

# PROBLEM SOLVING AND SEARCH

## CHAPTER 3

## Reminders

Assignments and lecture notes are posted on D2L

Project 0 due Thu 9-06 at 5pm

Project 1 will be posted today or tomorrow, due 9-18 at 5pm

# Outline

- ◇ Course goal: Acting Rationality
- ◇ Agents and environments
- ◇ Problem-solving agents
- ◇ Problem types
- ◇ Problem formulation
- ◇ Example problems
- ◇ Basic search algorithms

# What is AI?

Systems that think like humans	Systems that think rationally
Systems that act like humans	Systems that act rationally

## Acting rationally

**Rational** behavior: doing the right thing

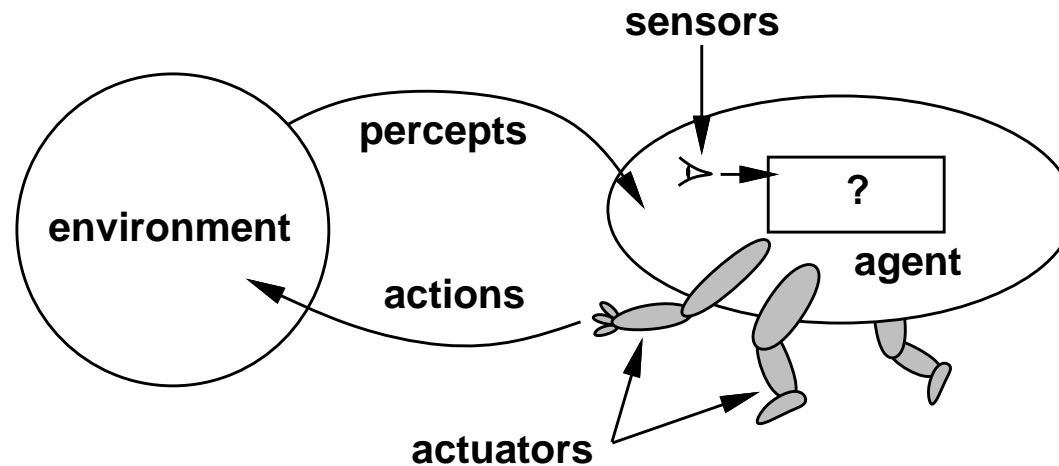
The right thing: that which is expected to maximize goal achievement, given the available information

Doesn't necessarily involve thinking—e.g., blinking reflex—but thinking should be in the service of rational action

Aristotle (Nicomachean Ethics):

**Every art and every inquiry, and similarly every action and pursuit, is thought to aim at some good**

# Agents and environments



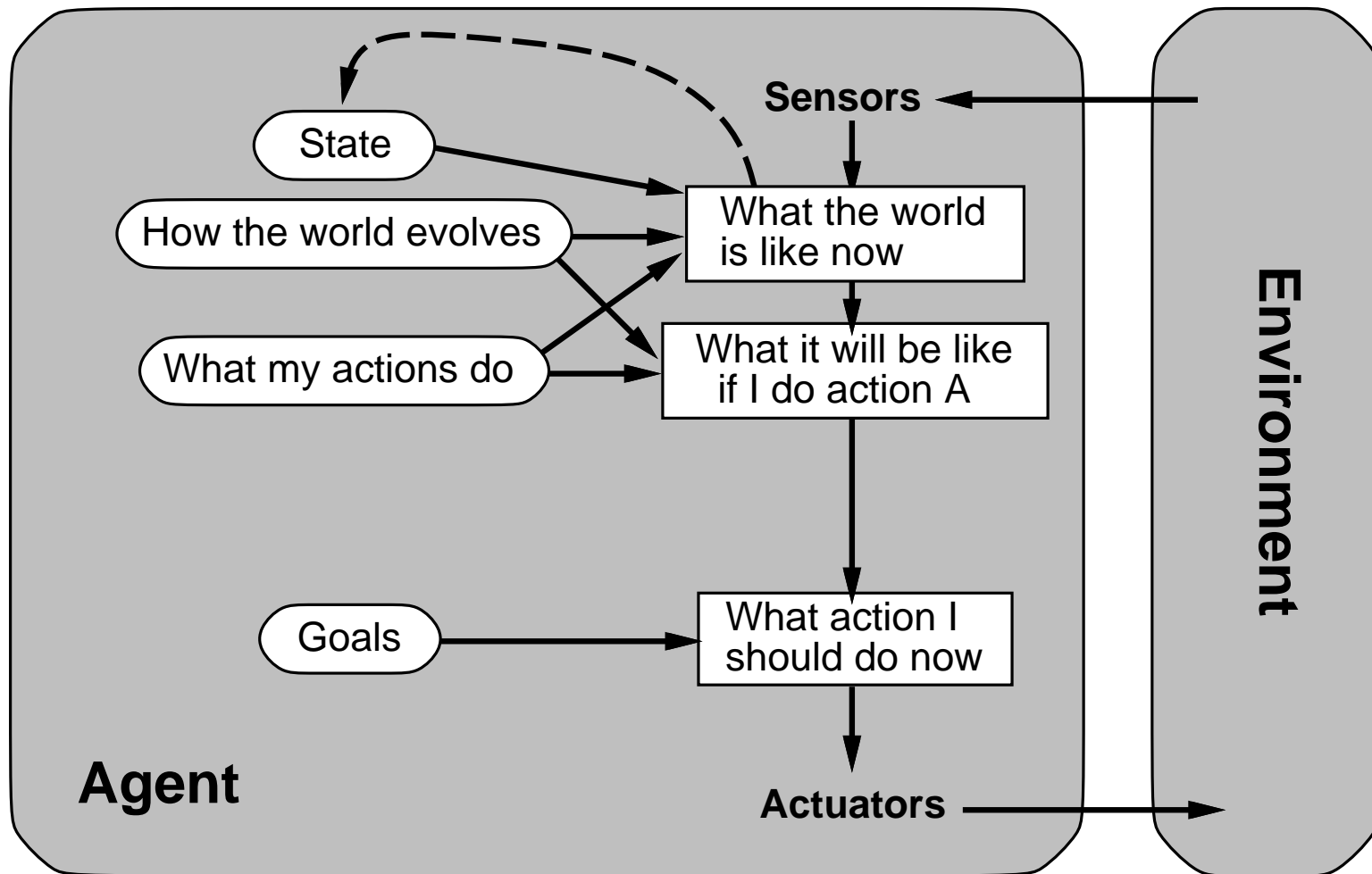
Agents include humans, robots, softbots, thermostats, etc.

The agent function maps from percept histories to actions:

$$f : \mathcal{P}^* \rightarrow \mathcal{A}$$

The agent program runs on the physical architecture to produce  $f$

# Goal-based agents



# Big picture

Agents interact with environments through actuators and sensors

The agent function describes what the agent does in all circumstances

The performance measure evaluates the environment sequence

A perfectly rational agent maximizes expected performance

Agent programs implement (some) agent functions

Environments are categorized along several dimensions:

observable? deterministic? episodic? static? discrete? single-agent?

Several basic agent architectures exist:

reflex, reflex with state, goal-based, utility-based

# Problem-solving agents

Restricted form of general agent:

```
function SIMPLE-PROBLEM-SOLVING-AGENT(percept) returns an action
static: seq, an action sequence, initially empty
         state, some description of the current world state
         goal, a goal, initially null
         problem, a problem formulation

state ← UPDATE-STATE(state, percept)
if seq is empty then
    goal ← FORMULATE-GOAL(state)
    problem ← FORMULATE-PROBLEM(state, goal)
    seq ← SEARCH(problem)
action ← RECOMMENDATION(seq, state)
seq ← REMAINDER(seq, state)
return action
```

Note: this is **offline** problem solving; solution executed “eyes closed.”  
**Online** problem solving involves acting without complete knowledge.

## Example: Romania

On holiday in Romania; currently in Arad.

Flight leaves tomorrow from Bucharest

Formulate goal:

be in Bucharest

Formulate problem:

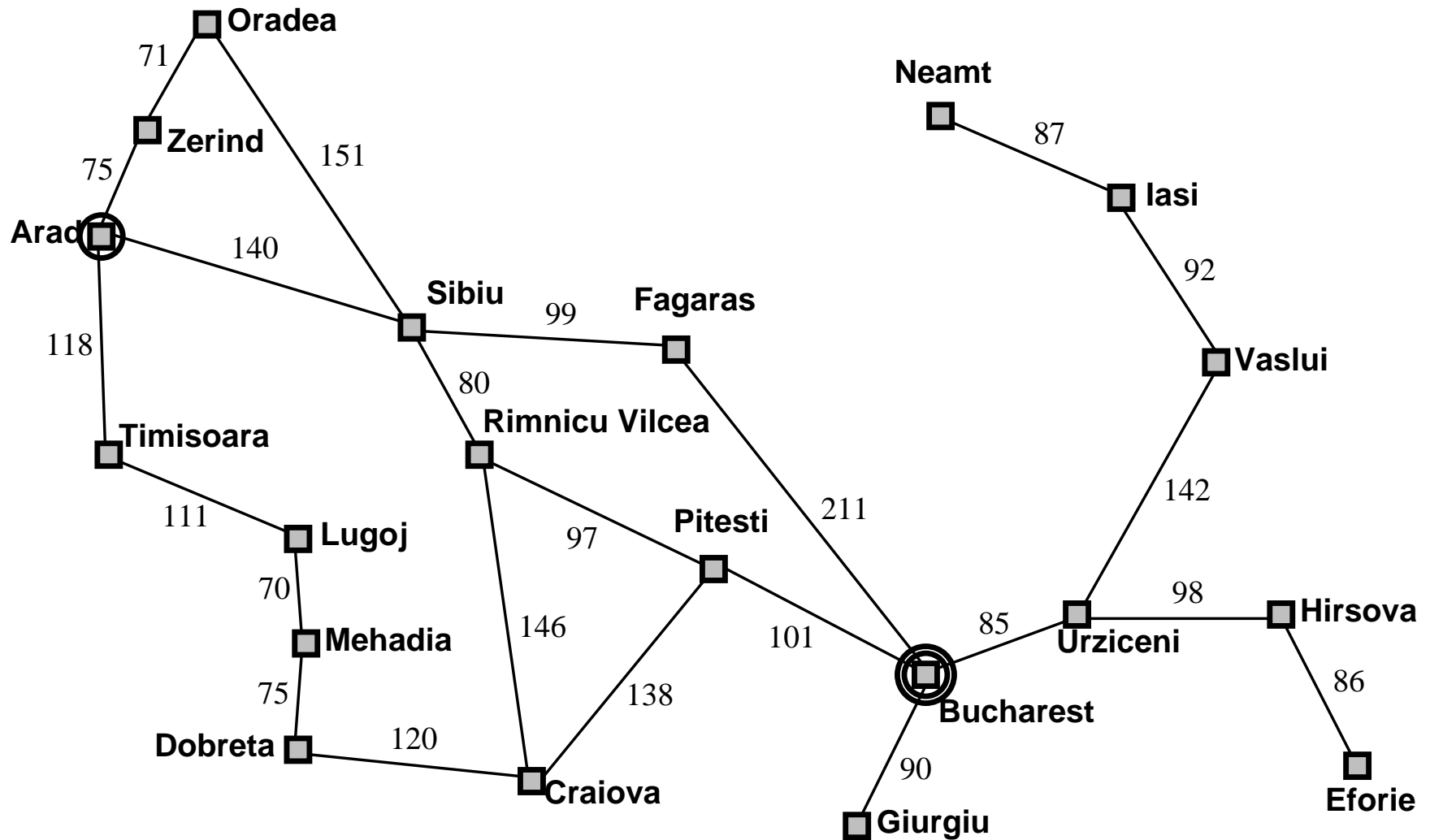
states: various cities

actions: drive between cities

Find solution:

sequence of cities, e.g., Arad, Sibiu, Fagaras, Bucharest

## Example: Romania



## Problem types

Deterministic, fully observable  $\implies$  single-state problem

Agent knows exactly which state it will be in; solution is a sequence

Non-observable  $\implies$  conformant problem

Agent may have no idea where it is; solution (if any) is a sequence

Nondeterministic and/or partially observable  $\implies$  contingency problem

percepts provide **new** information about current state

solution is a contingent plan or a policy

often **interleave** search, execution

Unknown state space  $\implies$  exploration problem (“online”)

## Single-state problem formulation

A **problem** is defined by four items:

**initial state** e.g., “at Arad”

**successor function**  $S(x)$  = set of action–state pairs

e.g.,  $S(Arad) = \{\langle Arad \rightarrow Zerind, Zerind \rangle, \dots\}$

**goal test**, can be

**explicit**, e.g.,  $x = \text{“at Bucharest”}$

**implicit**, e.g.,  $NoDirt(x)$

**path cost** (additive)

e.g., sum of distances, number of actions executed, etc.

$c(x, a, y)$  is the **step cost**, assumed to be  $\geq 0$

A **solution** is a sequence of actions

leading from the initial state to a goal state

## Selecting a state space

Real world is absurdly complex

⇒ state space must be **abstracted** for problem solving

(Abstract) state = set of real states

(Abstract) action = complex combination of real actions

e.g., “Arad → Zerind” represents a complex set  
of possible routes, detours, rest stops, etc.

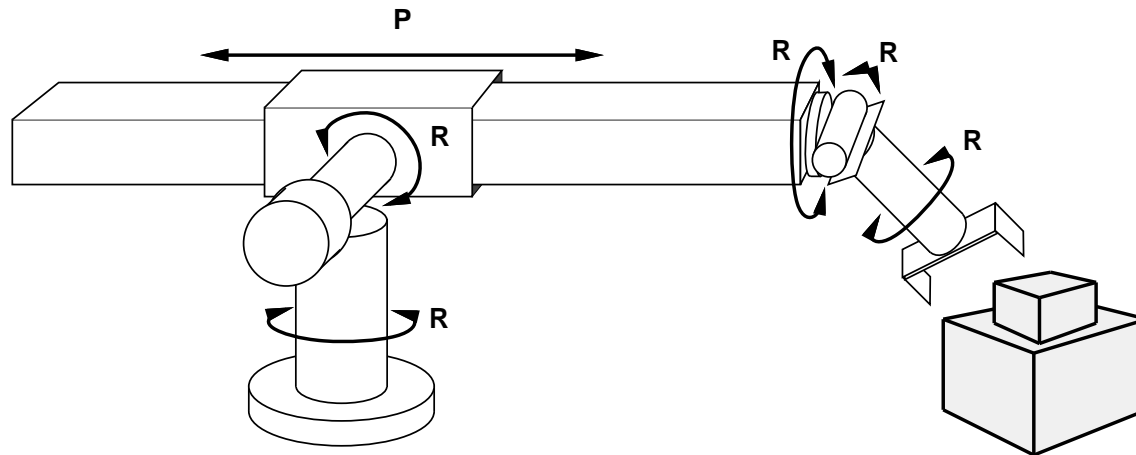
For guaranteed realizability, **any** real state “in Arad”  
must get to **some** real state “in Zerind”

(Abstract) solution =

set of real paths that are solutions in the real world

Each abstract action should be “easier” than the original problem!

## Example: robotic assembly



states??: real-valued coordinates of robot joint angles  
parts of the object to be assembled

actions??: continuous motions of robot joints

goal test??: complete assembly **with no robot included!**

path cost??: time to execute

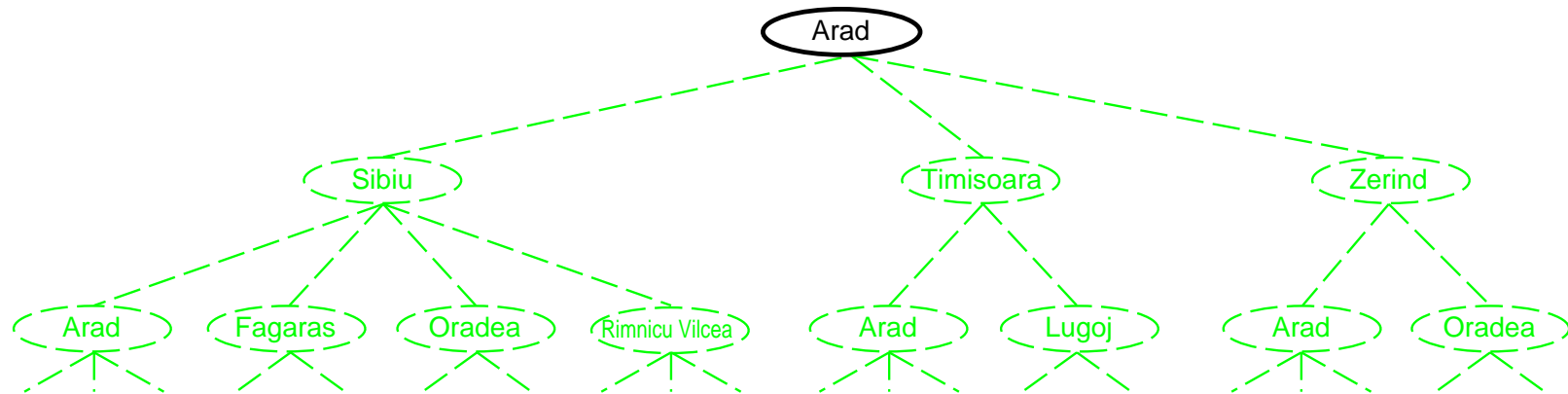
# Tree search algorithms

Basic idea:

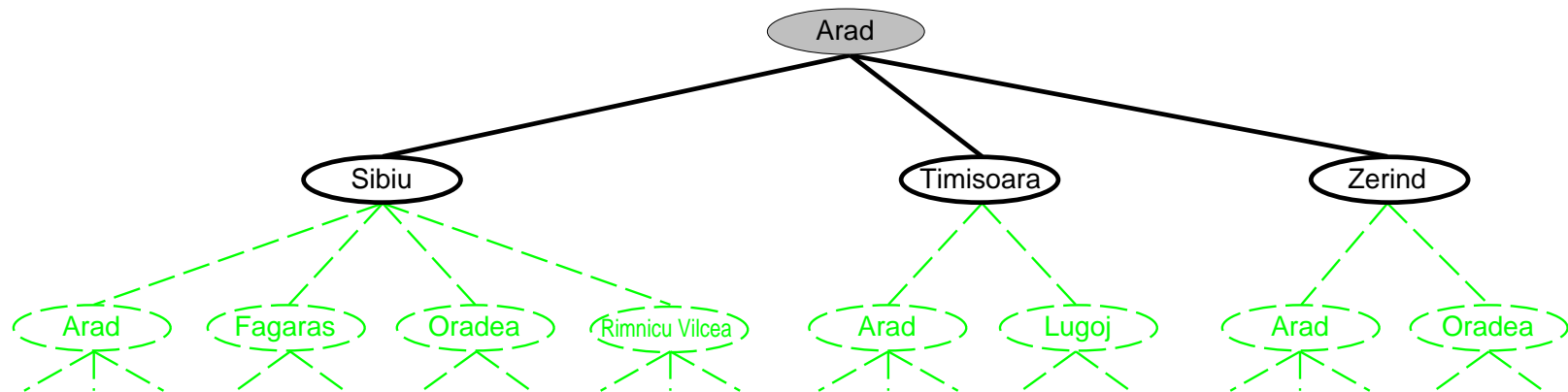
offline, simulated exploration of state space  
by generating successors of already-explored states  
(a.k.a. **expanding** states)

```
function TREE-SEARCH(problem, strategy) returns a solution, or failure
  initialize the search tree using the initial state of problem
  loop do
    if there are no candidates for expansion then return failure
    choose a leaf node for expansion according to strategy
    if the node contains a goal state then return the corresponding solution
    else expand the node and add the resulting nodes to the search tree
  end
```

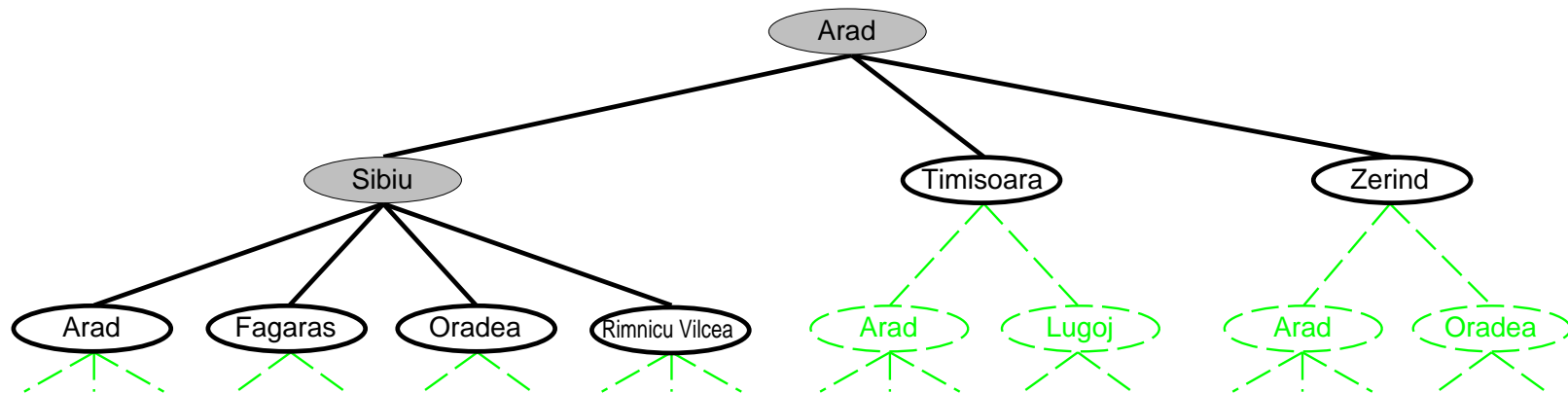
# Tree search example



# Tree search example



# Tree search example



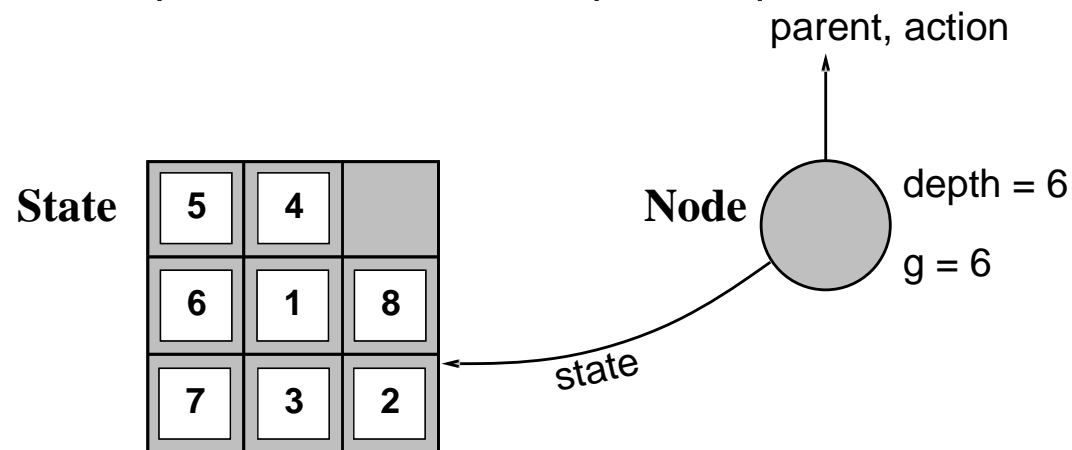
## Implementation: states vs. nodes

A **state** is a (representation of) a physical configuration

A **node** is a data structure constituting part of a search tree

includes **parent**, **children**, **depth**, **path cost**  $g(x)$

States do not have parents, children, depth, or path cost!



The EXPAND function creates new nodes, filling in the various fields and using the SUCCESSORFN of the problem to create the corresponding states.

## Implementation: general tree search

```

function TREE-SEARCH(problem, fringe) returns a solution, or failure
  fringe ← INSERT(MAKE-NODE(INITIAL-STATE[problem]), fringe)
  loop do
    if fringe is empty then return failure
    node ← REMOVE-FRONT(fringe)
    if GOAL-TEST(problem, STATE(node)) then return node
    fringe ← INSERTALL(EXPAND(node, problem), fringe)

```

---

```

function EXPAND(node, problem) returns a set of nodes
  successors ← the empty set
  for each action, result in SUCCESSOR-FN(problem, STATE[node]) do
    s ← a new NODE
    PARENT-NODE[s] ← node; ACTION[s] ← action; STATE[s] ← result
    PATH-COST[s] ← PATH-COST[node] + STEP-COST(STATE[node], action,
result)
    DEPTH[s] ← DEPTH[node] + 1
    add s to successors
  return successors

```

## Search strategies

A strategy is defined by picking the **order of node expansion**

Strategies are evaluated along the following dimensions:

**completeness**—does it always find a solution if one exists?

**time complexity**—number of nodes generated/expanded

**space complexity**—maximum number of nodes in memory

**optimality**—does it always find a least-cost solution?

Time and space complexity are measured in terms of

$b$ —maximum branching factor of the search tree

$d$ —depth of the least-cost solution

$m$ —maximum depth of the state space (may be  $\infty$ )

# Uninformed search strategies

Uninformed strategies use only the information available in the problem definition

Breadth-first search

Uniform-cost search

Depth-first search

Depth-limited search

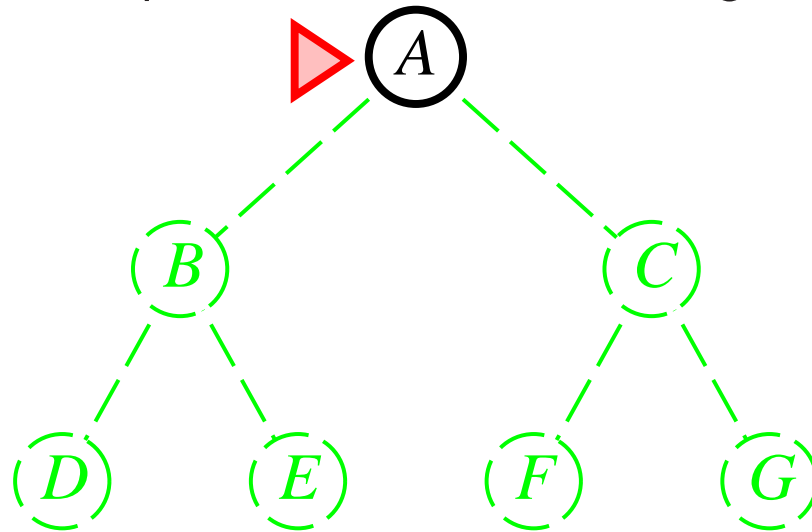
Iterative deepening search

# Breadth-first search

Expand shallowest unexpanded node

## Implementation:

*fringe* is a FIFO queue, i.e., new successors go at end

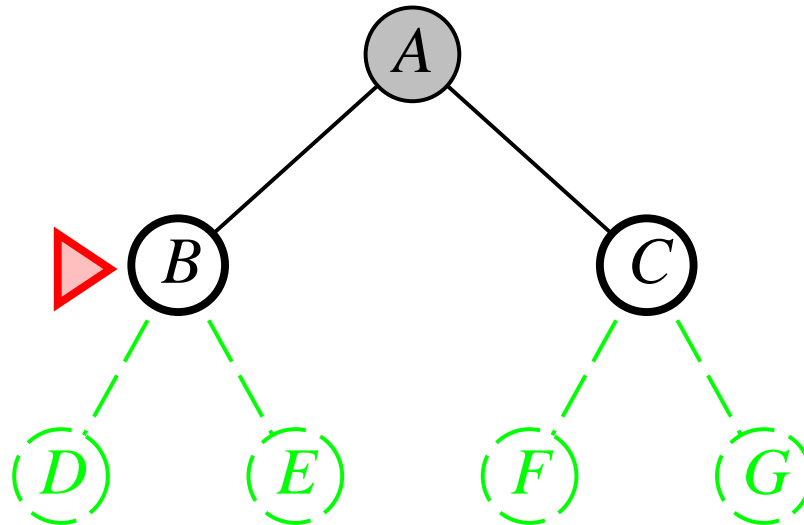


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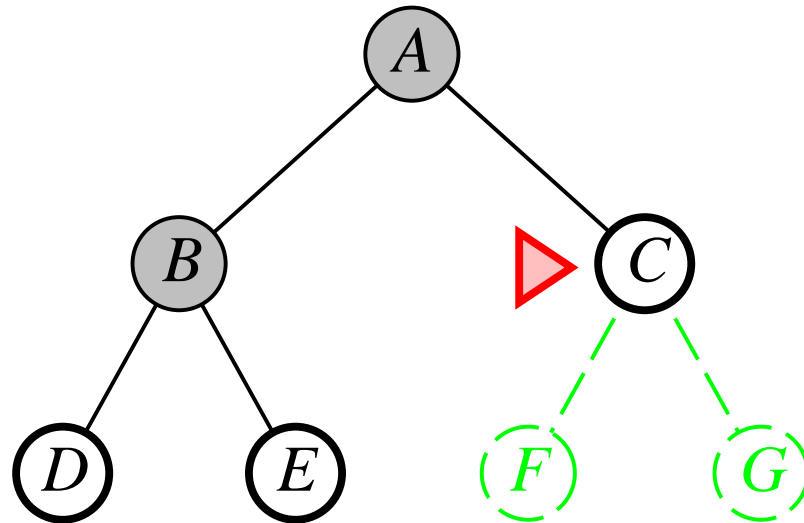


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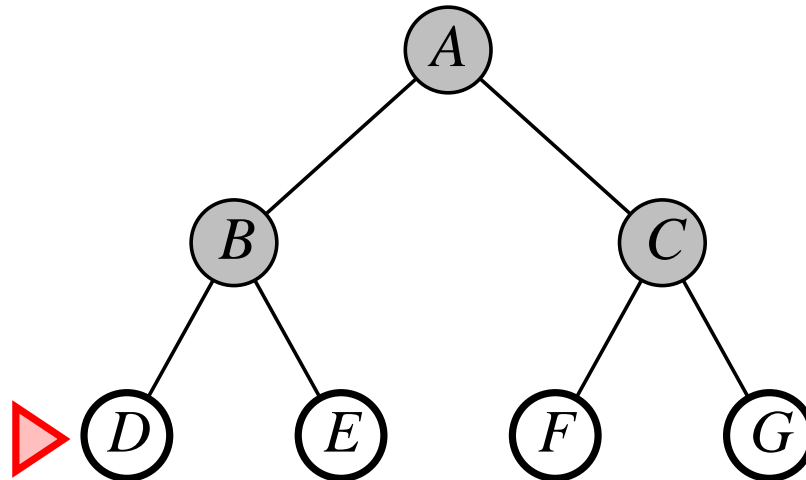


# Breadth-first search

Expand shallowest unexpanded node

## Implementation:

*fringe* is a FIFO queue, i.e., new successors go at end



# Properties of breadth-first search

Complete??

## Properties of breadth-first search

Complete?? Yes (if  $b$  is finite)

Time??

## Properties of breadth-first search

Complete?? Yes (if  $b$  is finite)

Time??  $1 + b + b^2 + b^3 + \dots + b^d + b(b^d - 1) = O(b^{d+1})$ , i.e., exp. in  $d$

Space??

## Properties of breadth-first search

Complete?? Yes (if  $b$  is finite)

Time??  $1 + b + b^2 + b^3 + \dots + b^d + b(b^d - 1) = O(b^{d+1})$ , i.e., exp. in  $d$

Space??  $O(b^{d+1})$  (keeps every node in memory)

Optimal??

## Properties of breadth-first search

Complete?? Yes (if  $b$  is finite)

Time??  $1 + b + b^2 + b^3 + \dots + b^d + b(b^d - 1) = O(b^{d+1})$ , i.e., exp. in  $d$

Space??  $O(b^{d+1})$  (keeps every node in memory)

Optimal?? Yes (if cost = 1 per step); not optimal in general

**Space** is the big problem; can easily generate nodes at 100MB/sec  
so 24hrs = 8640GB.

## Uniform-cost search

Expand least-cost unexpanded node

### Implementation:

*fringe* = queue ordered by path cost, lowest first

Equivalent to breadth-first if step costs all equal

Complete?? Yes, if step cost  $\geq \epsilon$

Time?? # of nodes with  $g \leq$  cost of optimal solution,  $O(b^{\lceil C^*/\epsilon \rceil})$   
where  $C^*$  is the cost of the optimal solution

Space?? # of nodes with  $g \leq$  cost of optimal solution,  $O(b^{\lceil C^*/\epsilon \rceil})$

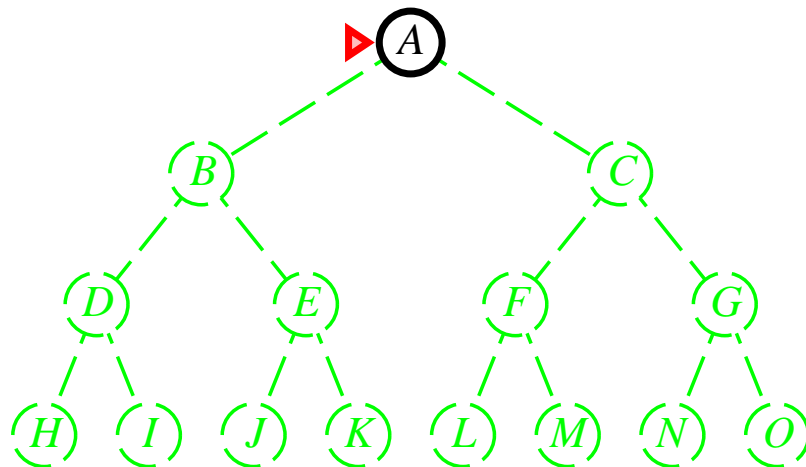
Optimal?? Yes—nodes expanded in increasing order of  $g(n)$

# Depth-first search

Expand deepest unexpanded node

**Implementation:**

*fringe* = LIFO queue, i.e., put successors at front

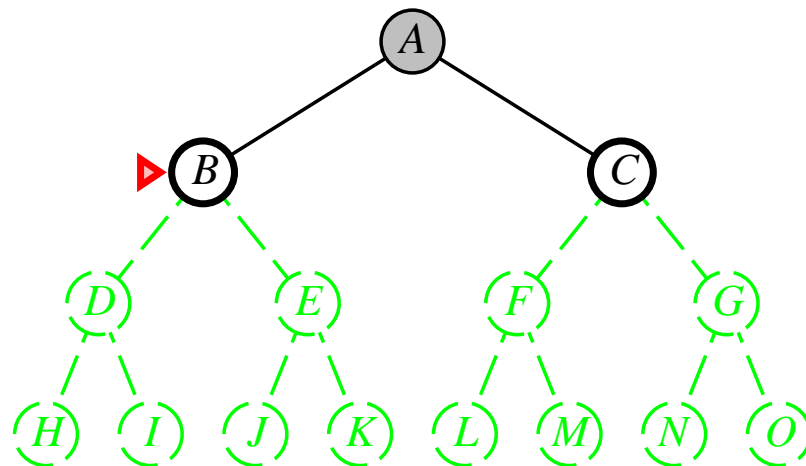


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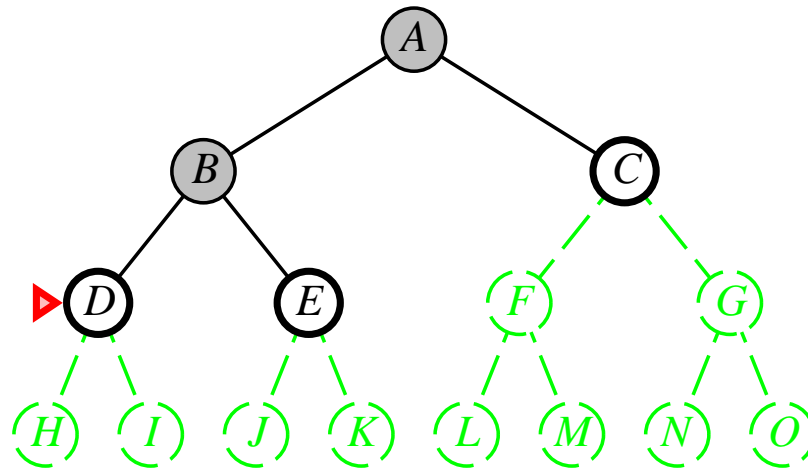


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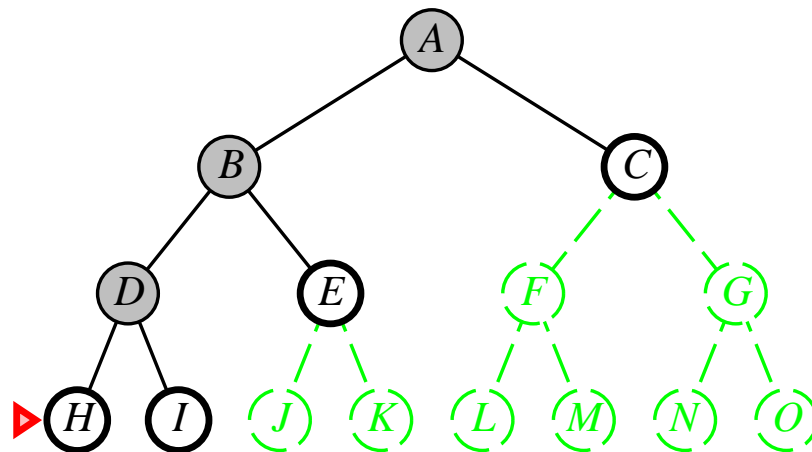


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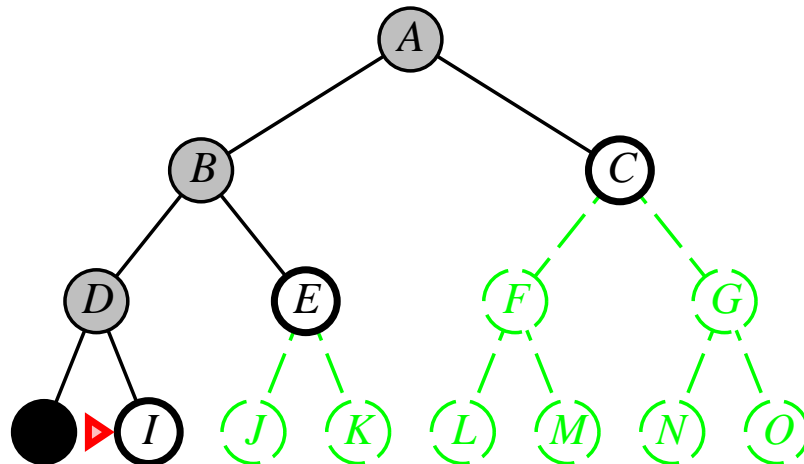


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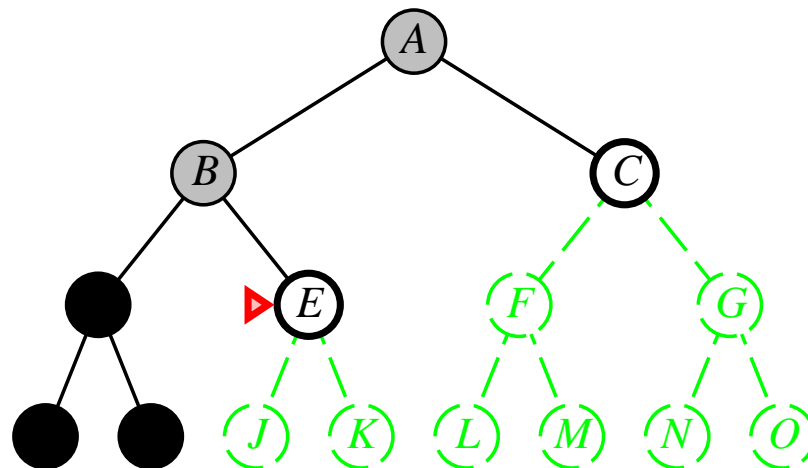


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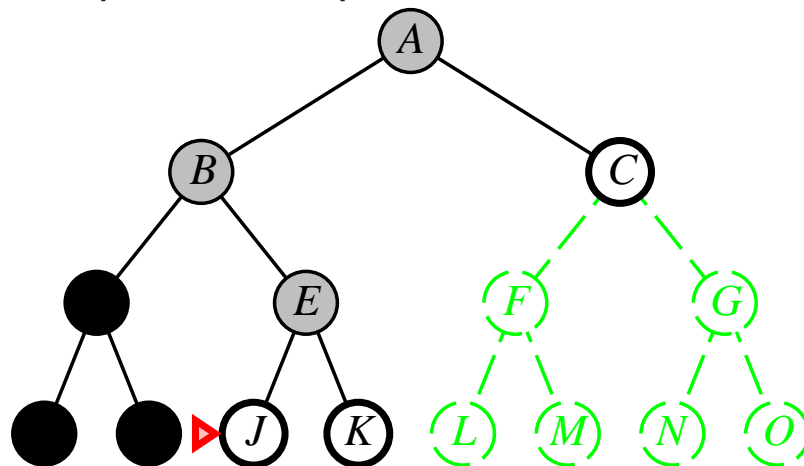


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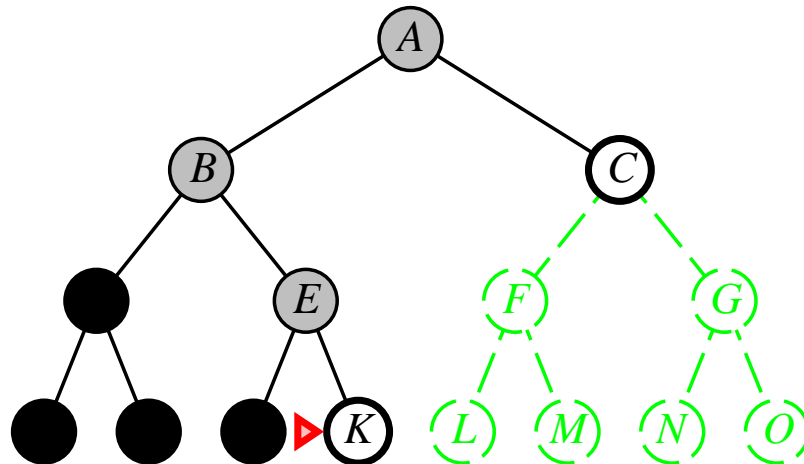


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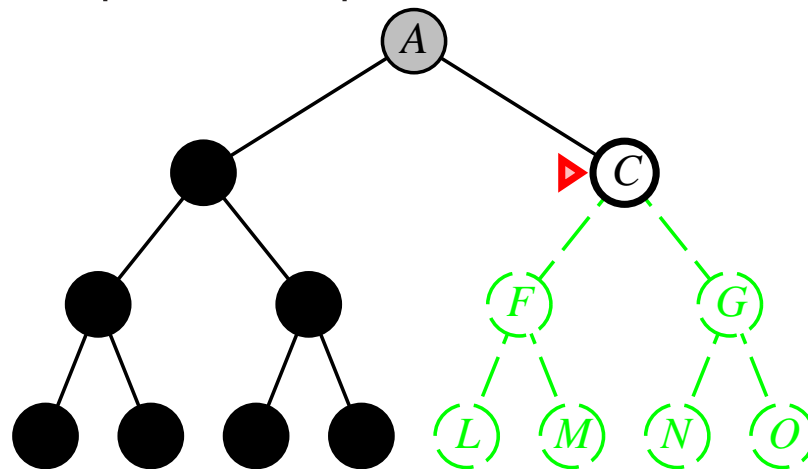


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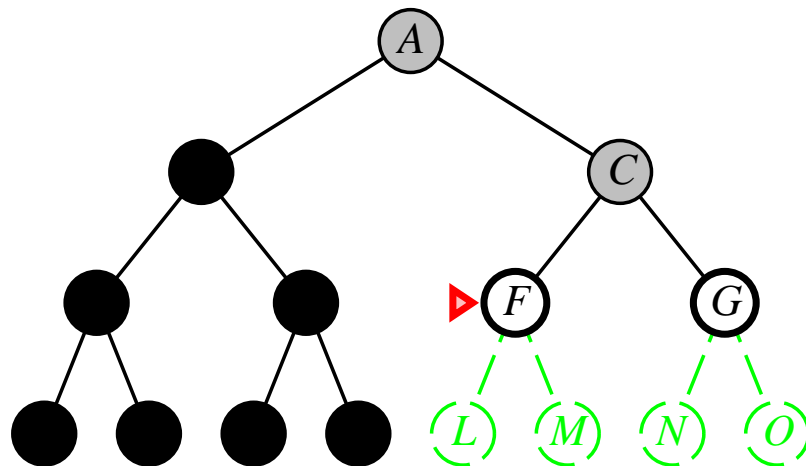


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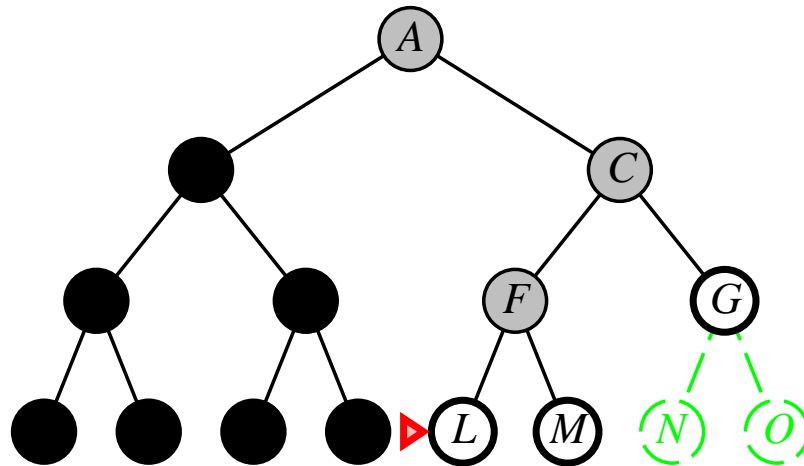


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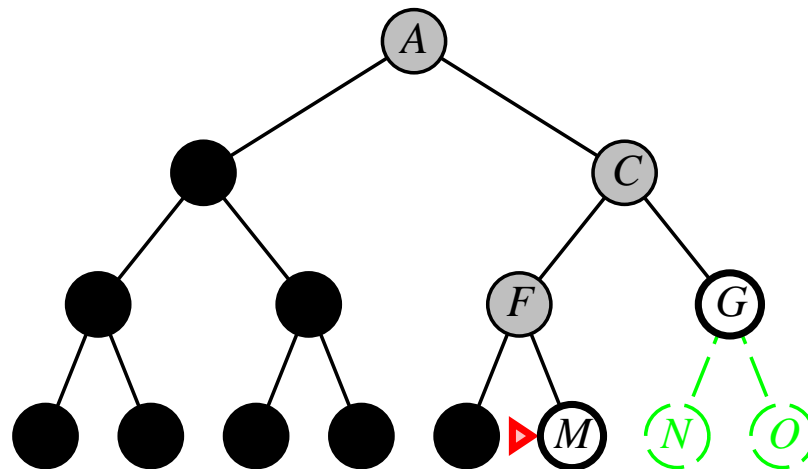


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Expand deepest unexpanded node

**Implementation:**

*fringe* = LIFO queue, i.e., put successors at front



# Properties of depth-first search

Complete??

## Properties of depth-first search

Complete?? No: fails in infinite-depth spaces, spaces with loops

Modify to avoid repeated states along path

⇒ complete in finite spaces

Time??

## Properties of depth-first search

Complete?? No: fails in infinite-depth spaces, spaces with loops

Modify to avoid repeated states along path

⇒ complete in finite spaces

Time??  $O(b^m)$ : terrible if  $m$  is much larger than  $d$

but if solutions are dense, may be much faster than breadth-first

Space??

## Properties of depth-first search

Complete?? No: fails in infinite-depth spaces, spaces with loops

Modify to avoid repeated states along path

⇒ complete in finite spaces

Time??  $O(b^m)$ : terrible if  $m$  is much larger than  $d$

but if solutions are dense, may be much faster than breadth-first

Space??  $O(bm)$ , i.e., linear space!

Optimal??

## Properties of depth-first search

Complete?? No: fails in infinite-depth spaces, spaces with loops

Modify to avoid repeated states along path

⇒ complete in finite spaces

Time??  $O(b^m)$ : terrible if  $m$  is much larger than  $d$

but if solutions are dense, may be much faster than breadth-first

Space??  $O(bm)$ , i.e., linear space!

Optimal?? No

## Depth-limited search

= depth-first search with depth limit  $l$ ,  
i.e., nodes at depth  $l$  have no successors

### Recursive implementation:

```
function DEPTH-LIMITED-SEARCH(problem, limit) returns soln/fail/cutoff
  RECURSIVE-DLS(MAKE-NODE(INITIAL-STATE[problem]), problem, limit)

function RECURSIVE-DLS(node, problem, limit) returns soln/fail/cutoff
  cutoff-occurred?  $\leftarrow$  false
  if GOAL-TEST(problem, STATE[node]) then return node
  else if DEPTH[node] = limit then return cutoff
  else for each successor in EXPAND(node, problem) do
    result  $\leftarrow$  RECURSIVE-DLS(successor, problem, limit)
    if result = cutoff then cutoff-occurred?  $\leftarrow$  true
    else if result  $\neq$  failure then return result
  if cutoff-occurred? then return cutoff else return failure
```

## Iterative deepening search

```
function ITERATIVE-DEEPENING-SEARCH(problem) returns a solution
  inputs: problem, a problem
  for depth  $\leftarrow$  0 to  $\infty$  do
    result  $\leftarrow$  DEPTH-LIMITED-SEARCH(problem, depth)
    if result  $\neq$  cutoff then return result
  end
```

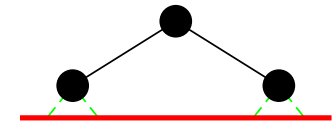
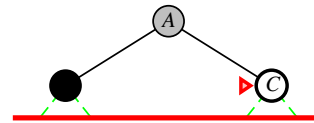
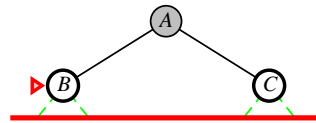
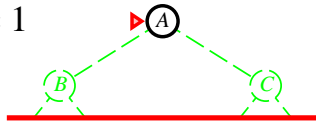
# Iterative deepening search $l = 0$

Limit = 0



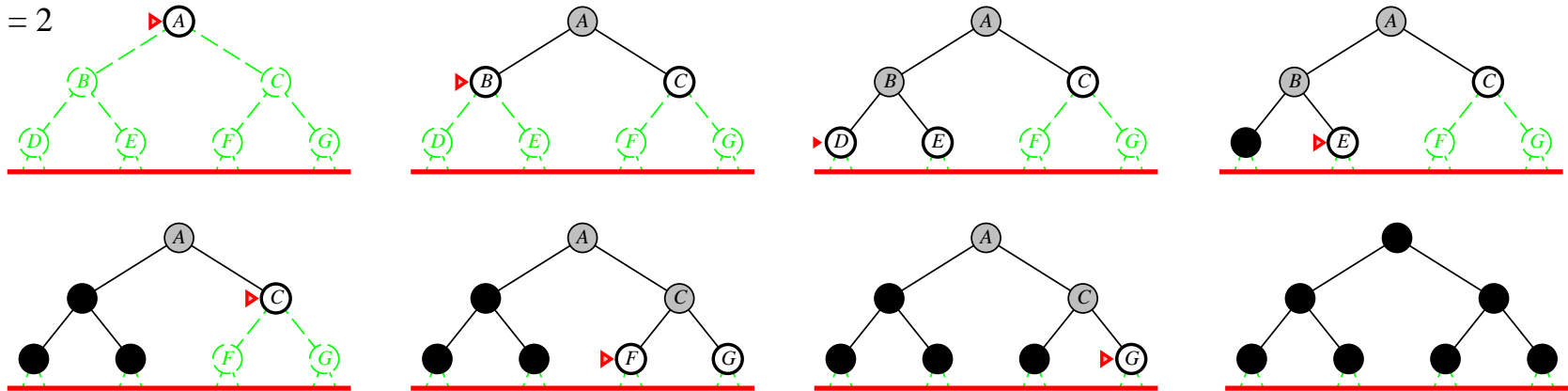
# Iterative deepening search $l = 1$

Limit = 1



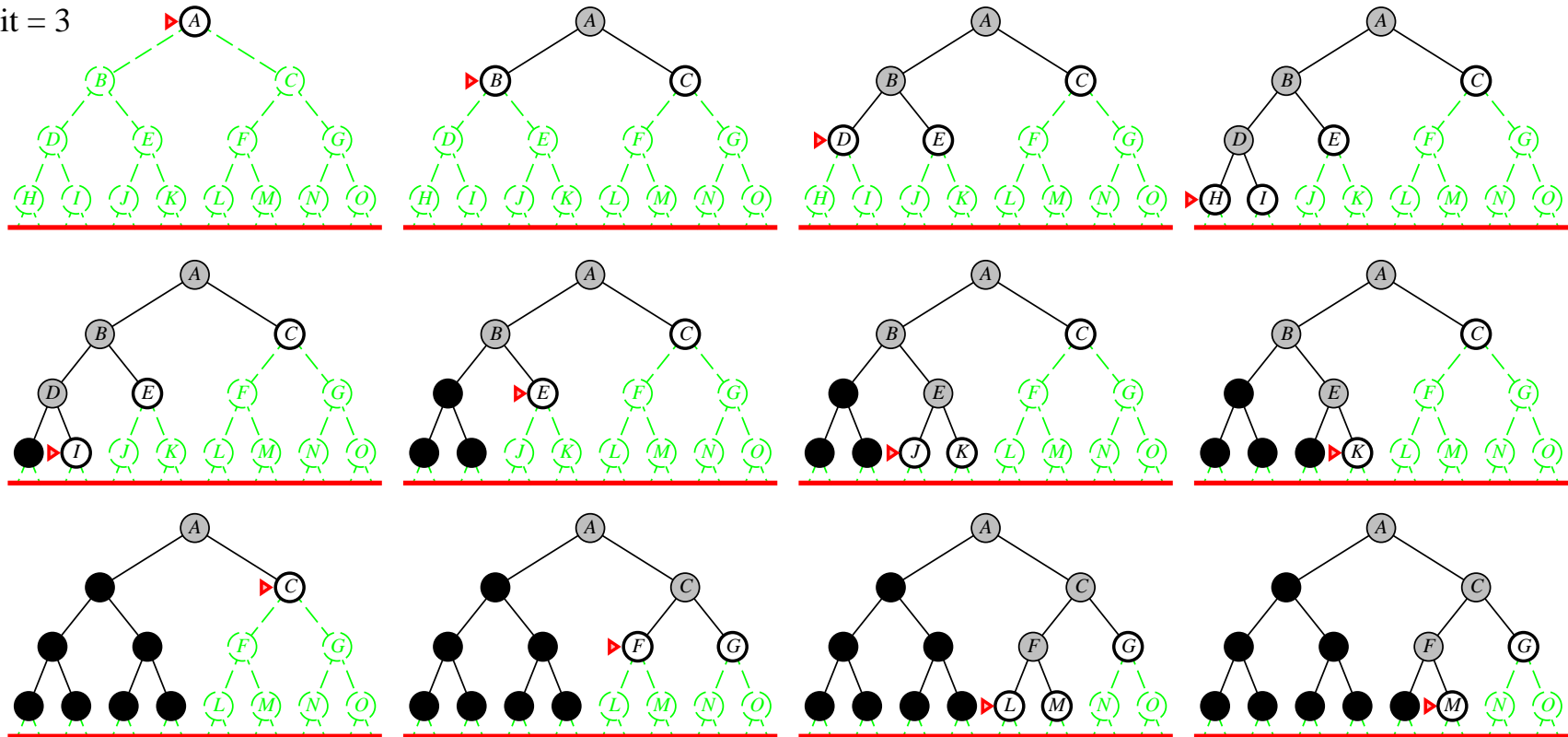
# Iterative deepening search $l = 2$

Limit = 2



# Iterative deepening search $l = 3$

Limit = 3



# Properties of iterative deepening search

Complete??

# Properties of iterative deepening search

Complete?? Yes

Time??

## Properties of iterative deepening search

Complete?? Yes

Time??  $(d + 1)b^0 + db^1 + (d - 1)b^2 + \dots + b^d = O(b^d)$

Space??

## Properties of iterative deepening search

Complete?? Yes

Time??  $(d + 1)b^0 + db^1 + (d - 1)b^2 + \dots + b^d = O(b^d)$

Space??  $O(bd)$

Optimal??

## Properties of iterative deepening search

Complete?? Yes

Time??  $(d + 1)b^0 + db^1 + (d - 1)b^2 + \dots + b^d = O(b^d)$

Space??  $O(bd)$

Optimal?? Yes, if step cost = 1

Can be modified to explore uniform-cost tree

Numerical comparison for  $b = 10$  and  $d = 5$ , solution at far right leaf:

$$N(\text{IDS}) = 50 + 400 + 3,000 + 20,000 + 100,000 = 123,450$$

$$N(\text{BFS}) = 10 + 100 + 1,000 + 10,000 + 100,000 + 999,990 = 1,111,100$$

IDS does better because other nodes at depth  $d$  are not expanded

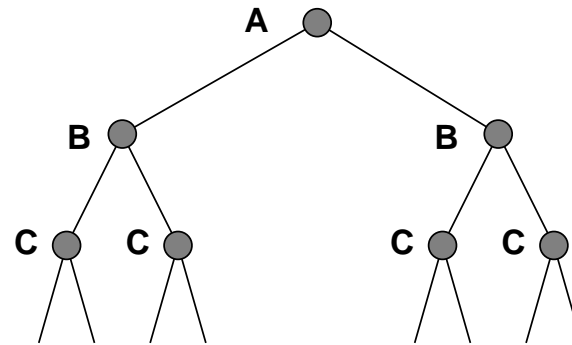
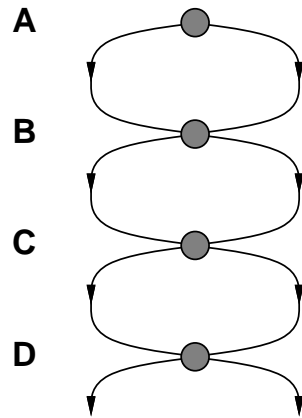
BFS can be modified to apply goal test when a node is **generated**

## Summary of algorithms

Criterion	Breadth-First	Uniform-Cost	Depth-First	Depth-Limited	Iterative Deepening
Complete?	Yes*	Yes*	No	Yes, if $l \geq d$	Yes
Time	$b^{d+1}$	$b^{\lceil C^*/\epsilon \rceil}$	$b^m$	$b^l$	$b^d$
Space	$b^{d+1}$	$b^{\lceil C^*/\epsilon \rceil}$	$bm$	$bl$	$bd$
Optimal?	Yes*	Yes	No	No	Yes*

# Repeated states

Failure to detect repeated states can turn a linear problem into an exponential one!



# Graph search

**function** GRAPH-SEARCH(*problem*, *fringe*) **returns** a solution, or failure

*closed*  $\leftarrow$  an empty set

*fringe*  $\leftarrow$  INSERT(MAKE-NODE(INITIAL-STATE[*problem*]), *fringe*)

**loop do**

**if** *fringe* is empty **then return** failure

*node*  $\leftarrow$  REMOVE-FRONT(*fringe*)

**if** GOAL-TEST(*problem*, STATE[*node*]) **then return** *node*

**if** STATE[*node*] is not in *closed* **then**

        add STATE[*node*] to *closed*

*fringe*  $\leftarrow$  INSERTALL(EXPAND(*node*, *problem*), *fringe*)

**end**

## Summary

Problem formulation usually requires abstracting away real-world details to define a state space that can feasibly be explored

Variety of uninformed search strategies

Iterative deepening search uses only linear space  
and not much more time than other uninformed algorithms

Graph search can be exponentially more efficient than tree search