

## Lecture 13 - Zeros

Wednesday, February 6, 2013

### Today's Objectives

1. explain the effects of zeros
2. show examples of how zeros affect response
3. introduce the concept of non-minimum phase systems
4. demonstrate how zeros affect the relationship between input and output

Reading: FPE Section 3.5

## 1 The effects of zeros

Up to this point, most of our discussion of system responses has been focused on the poles in the denominator of the transfer function. The poles represent the basic "building blocks" of the response and determine the system stability.

The zeros are also important in determining the system response, though they do not impact the stability of the open-loop transfer function. Open-loop zeros do impact the stability of the closed-loop transfer function. Furthermore, the zeros can give a lot of insight into the structure of a system.

Most fundamentally, zeros determine how much of each "building block" appears in the system response.

## 2 Examples of zeros

To see this, consider three transfer functions with the same characteristic equation:

$$s^3 + 6s^2 + 11s + 6 = (s + 1)(s + 2)(s + 3) = 0$$

$$(a) \quad H(s) = \frac{3s^2 + 12s + 11}{s^3 + 6s^2 + 11s + 6} = \frac{1}{s + 1} + \frac{1}{s + 2} + \frac{1}{s + 3}$$

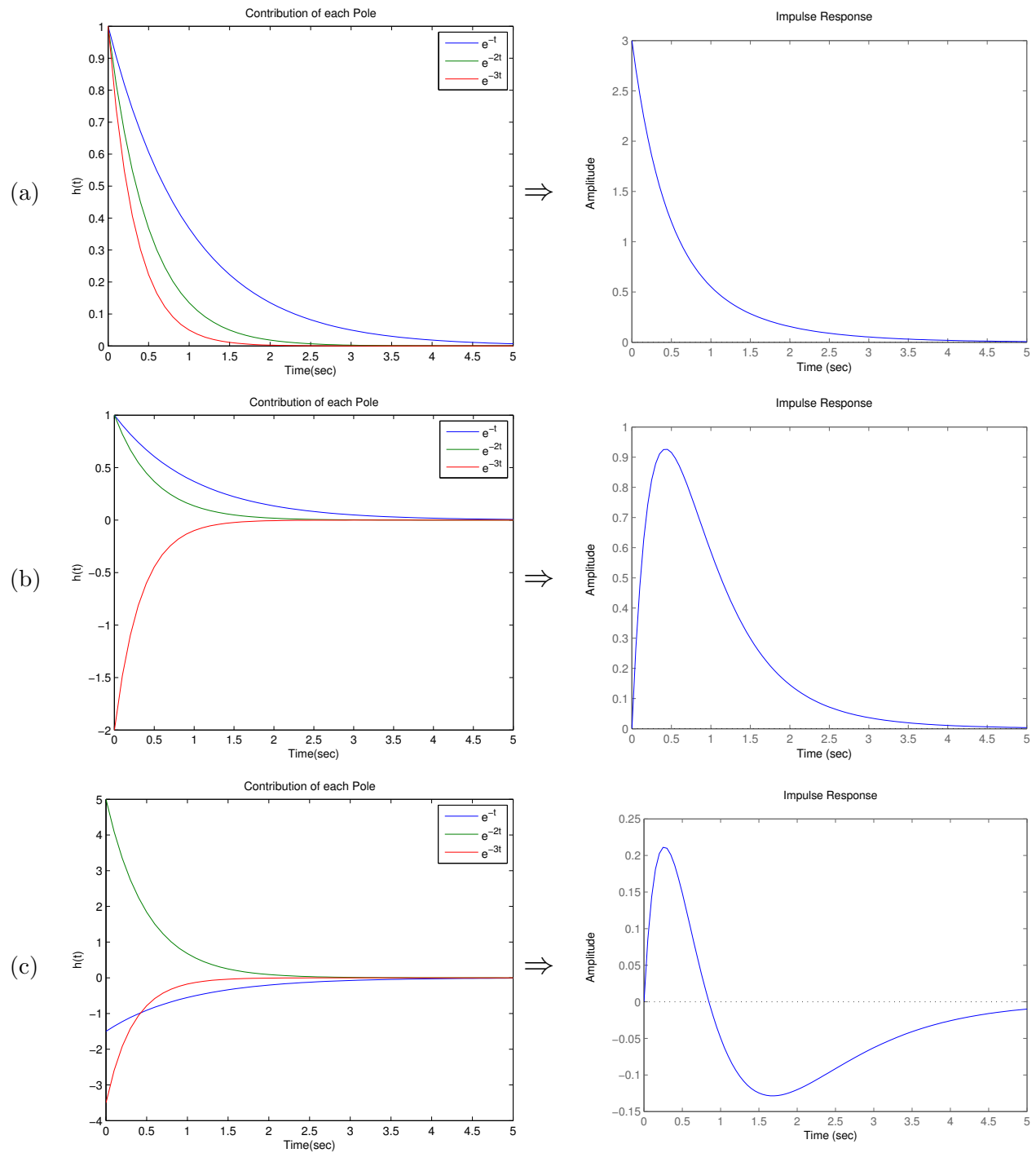
$$(b) \quad H(s) = \frac{6s + 7}{s^3 + 6s^2 + 11s + 6} = \frac{1}{s + 1} + \frac{1}{s + 2} - \frac{2}{s + 3}$$

$$(c) \quad H(s) = \frac{2s - 1}{s^3 + 6s^2 + 11s + 6} = \frac{-1.5}{s + 1} + \frac{5}{s + 2} - \frac{3.5}{s + 3}$$

The impulse response of each transfer function consists of some combination of the three basic exponentials:

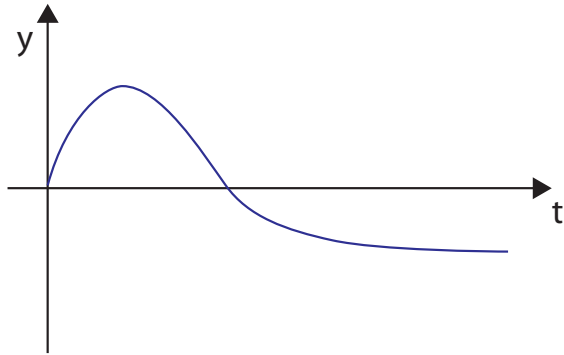
$$e^{-t} \quad e^{-2t} \quad e^{-3t}$$

However, the different weightings of each term gives dramatically different responses.



### 3 Non-minimum phase systems

The response in (c) is characteristic of a *non-minimum phase system* which has zeros located in the right half plane. If a system has an odd number of right-half-plane zeros, its step response will initially move in the opposite direction.

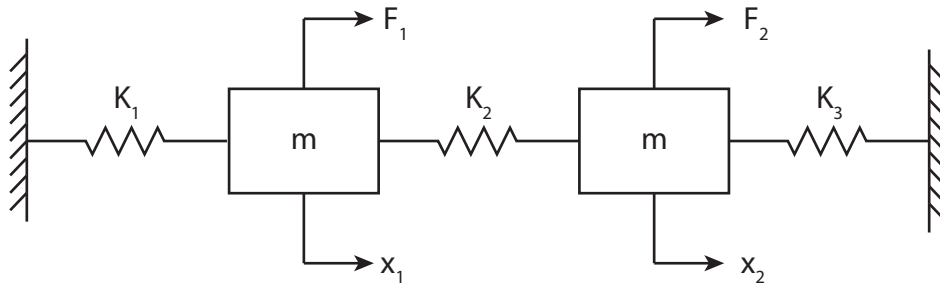


$$Y(s) = H(s)\frac{1}{s} \text{ for system (c)}$$

This characteristic can be seen in many real systems, including an inverted pendulum on a cart and highly maneuverable jet aircraft. We'll return to this later when we study frequency-domain analysis (Bode plots in particular) and can look at phase plots.

### 4 Relationship of input to output

Zeros can also tell a lot about the structure of the system input in relation to the output. For example, consider the two mass system:



Force Balances:

$$\begin{aligned} \text{mass 2} \quad F_2 - K_3 x_2 + K_2 (x_1 - x_2) &= m_2 \ddot{x}_2 \\ \Rightarrow F_2(s) - K_3 X_2(s) + K_2 X_1(s) - K_2 X_2(s) &= m_2 s^2 X_2(s) \\ F_2(s) + K_2 X_1(s) &= (m_2 s^2 + K_{23}) X_2(s) \quad \{K_{23} = K_2 + K_3\} \end{aligned}$$

$$\begin{aligned} \underline{\text{mass 1}} \quad F_1 - K_1 x_1 + K_2 (x_2 - x_1) &= m_1 \ddot{x}_1 \\ \Rightarrow F_1(s) + K_2 X_2(s) &= (m_1 s^2 + K_{12}) X_1(s) \quad \{K_{12} = K_1 + K_2\} \end{aligned}$$

$$\begin{aligned} X_1(s) &= \frac{K_2}{m_1 s^2 + K_{12}} X_2(s) + \frac{1}{m_1 s^2 + K_{12}} F_1(s) \\ \Rightarrow \frac{K_2^2 X_2(s)}{m_1 s^2 + K_{12}} + \frac{K_2 F_1(s)}{m_1 s^2 + K_{12}} + F_2(s) &= (m_2 s^2 + K_{23}) X_2(s) \\ X_2(s) &= G_1 F_1(s) + G_2 F_2(s) \end{aligned}$$

$$G_1(s) = \frac{K_2}{m_1 m_2 s^4 + (m_1 K_{23} + m_2 K_{12}) s^2 + (K_{12} K_{23} - K_2^2)}$$

The input  $F_1$  appears in the 4<sup>th</sup> derivative of the position  $X_2$ . The system has a relative degree of 4 between input and output.

$$G_2(s) = \frac{m_1 s^2 + K_{12}}{m_1 m_2 s^4 + (m_1 K_{23} + m_2 K_{12}) s^2 + (K_{12} K_{23} - K_2^2)}$$

The input  $F_2$  appears in the 2<sup>nd</sup> derivative of the position  $X_2$ . The system has a relative degree of 2 corresponding to the two integrations needed to go from force to position.

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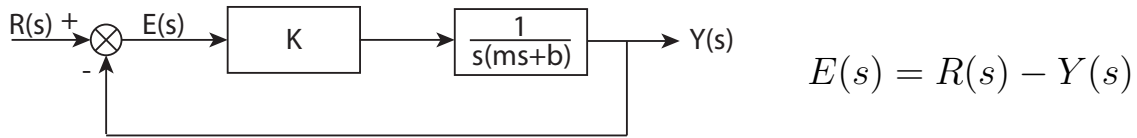
*Relative degree* of the numerator and denominator polynomials gives a measure of how “far” (in terms of integrators) the input is from the output.

The role of relative degree can also be seen in the *Initial Value Theorem*

$$\lim_{s \rightarrow \infty} sF(s) = f(0^+) \quad (\text{just after time zero})$$

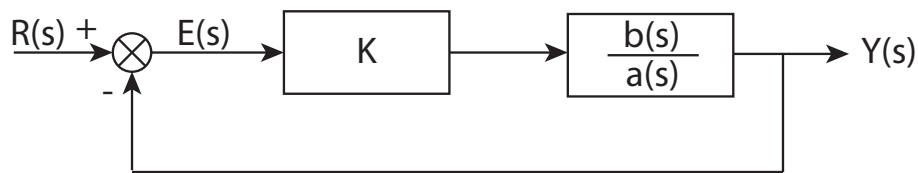
If the system has at least one more pole than zero, the initial value of the system in response to a step is equal to zero. In other words, the input must go through at least one integrator to reach the output. Hence, the output does not change instantly.

If the system has the same number of poles and zeros, the output will change instantaneously in response to a step input. Such direct feedthrough is not common in plants, but does occur often in the system error in closed-loop:



$$\frac{E(s)}{R(s)} = \underset{\substack{\uparrow \\ \text{direct feedthrough}}}{1} - \frac{Y(s)}{R(s)} = \frac{ms^2 + bs}{\underbrace{ms^2 + bs + K}_{\substack{\text{relative} \\ \text{degree} \\ \text{zero}}}}$$

Finally, the open-loop poles of a transfer function help to determine the closed-loop poles.



$$\frac{Y(s)}{R(s)} = \frac{K \frac{b(s)}{a(s)}}{1 + K \frac{b(s)}{a(s)}} = \frac{Kb(s)}{a(s) + Kb(s)}$$

Characteristic equation giving closed-loop poles is

$$1 + K \frac{b(s)}{a(s)} = 0 \quad \text{or} \quad \begin{array}{cc} a(s) & + & Kb(s) & = & 0 \\ \uparrow & & \uparrow & & \\ \text{open-loop poles} & & \text{open-loop zeros} & & \end{array}$$

The closed-loop poles can be described in terms of the open-loop poles, open-loop zeros and the gain  $K$ . This is the concept behind the Root Locus.