

# Group Theory (Math 113), Summer 2014

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## Abstract

These are notes for the first half of the upper division course 'Abstract Algebra' (Math 113) taught at the University of California, Berkeley, during the summer session 2014. Students are assumed to have attended a first course in linear algebra (equivalent to UCB Math 54). The aim of these notes is to provide an introduction to group theory with a particular emphasis on finite groups: topics to be covered include basic definitions and concepts, Lagrange's Theorem, Sylow's Theorems and the structure theorem of finitely generated abelian groups, and there will be a strong focus on group actions and realising groups through symmetry.

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## 1 Lecture 1 - Examples

## 2 Lecture 2 - Basic arithmetic properties of $\mathbb{Z}$ . Equivalence relations.

*Previous lecture - Next lecture*

**Keywords:** Fundamental Theorem of Arithmetic, division algorithm, gcd, Euclid algorithm. Equivalence relation, equivalence class, class representative, natural mapping.

In this lecture we will collect some basic arithmetic properties of the integers that will be used repeatedly throughout the course - they will appear frequently in both Group Theory and Ring Theory - and introduce the notion of an equivalence relation on a set.

### 2.1 Arithmetic properties of $\mathbb{Z}$

The set of integers

$$\mathbb{Z} = \{0, \pm 1, \pm 2, \pm 3, \pm 4, \dots\},$$

is a fundamental object in both group theory and ring theory. Many of its abstract algebraic properties were explained to us as children. Here we record some of the basic (algebraic) facts about this set:

**Theorem 2.1.1** (Fundamental Theorem of Arithmetic). *Let  $n \in \mathbb{Z}_{>0}$ . Then, there exist primes  $p_1, \dots, p_r$  and integers  $n_1, \dots, n_r \geq 1$  such that  $n = p_1^{n_1} p_2^{n_2} \cdots p_r^{n_r}$ . Moreover, if we order  $p_1 < p_2 < \dots < p_r$ , then the above product is unique.*<sup>1</sup>

**Theorem 2.1.2** (Division algorithm in  $\mathbb{Z}$ ). *Let  $x, a \in \mathbb{Z}$ ,  $a \neq 0$ . Then, there exists  $b, r \in \mathbb{Z}$ , with  $0 \leq r < a$ , such that  $x = ba + r$ .*

**Definition 2.1.3.** Let  $a, b \in \mathbb{Z}$ . Then, the **greatest common divisor** of  $a, b$ , denoted  $\gcd(a, b)$ , is the largest (positive) integer  $c$  such that  $c$  divides  $a$  and  $c$  divides  $b$ . If  $\gcd(a, b) = 1$  then we say that  $a, b$  are **coprime**.

**Theorem 2.1.4** (Euclid's algorithm). *Let  $a, b \in \mathbb{Z}$ . Then, there exist  $u, v \in \mathbb{Z}$  such that*

$$\gcd(a, b) = ua + bv.$$

### 2.2 Equivalence relations

Let  $A$  be an arbitrary nonempty set. There are many ways that we can *partition* a set into collections of similar objects - in this context, the term '*partition*' means that  $A$  is 'broken up' into disjoint nonempty subsets.

For example,

- if  $A$  is the set of people in this classroom then we could partition  $A$  by hair colour or height or country of birth.
- if  $A = \mathbb{Z}$  then we can partition  $A$  into three subsets  $A_0, A_1, A_2$ , where  $A_i$  consists of all those integers that have remainder  $i$  when divided by 3.

In mathematics we embody this process - of breaking up a set into disjoint nonempty subsets - using equivalence relations.

**Definition 2.2.1** (Equivalence Relation). Let  $A$  be a (nonempty) set. We say that  $R \subset A \times A = \{(a, b) \mid a, b \in A\}$  is an **equivalence relation on  $A$**  if the following properties hold:

- (ER1)  $R$  contains the diagonal subset  $\Delta_A = \{(a, a) \mid a \in A\}$ ; **(reflexive)**
- (ER2) if  $(a, b) \in R$  then  $(b, a) \in R$ ; **(symmetric)**
- (ER3) if  $(a, b) \in R$  and  $(b, c) \in R$ , then  $(a, c) \in R$ . **(transitive)**

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<sup>1</sup>Recall that a prime number is an integer  $p$ ,  $p > 1$ , such that the only divisors of  $p$  are 1 and  $p$ .

This definition seems to be quite different from our notions of similarity that we introduced above. For example, why should we care about a subset of the product  $A \times A$ ? Let's see how the definition of an equivalence relation just given does actually embody 'similarity': first, let us write

$$a \sim_R b \iff (a, b) \in R,$$

and say

$$a \sim_R b \iff a \text{ is equivalent to } b.$$

Note that the order in which we write  $a \sim_R b$  (and say 'a is equivalent to b') must respect the order of the ordered pair  $(a, b)$ .

Thus, we can reformulate **ER1**, **ER2**, **ER3** in the following way:

(ER1)' if  $a \in A$  then  $a \sim_R a$  - 'every  $a \in A$  is equivalent to itself';

(ER2)' if  $a \sim b$  then  $b \sim a$  - 'if  $a$  is equivalent to  $b$  then  $b$  is equivalent to  $a$ ';

(ER3)' if  $a \sim_R b$  and  $b \sim_R c$  then  $a \sim_R c$  - 'if  $a$  is equivalent to  $b$  and  $b$  is equivalent to  $c$  then  $a$  is equivalent to  $c$ '.

It should now feel more plausible that an equivalence relation is capturing the notion of similarity of objects. For example, check (by saying aloud) that if we let  $A$  be the set of people in this classroom and

$$R = \{(a, b) \in A \times A \mid a \text{ and } b \text{ have the same hair colour}\} \subset A \times A,$$

then  $R$  satisfies ER1, ER2, ER3 and so defines an equivalence relation on  $A$ .

How does this all relate to partitioning a set  $A$  into disjoint nonempty subsets?

**Definition 2.2.2** (Equivalence Class). Let  $A$  be a (nonempty) set,  $R \subset A \times A$  an equivalence relation on  $A$ . For  $a \in A$  we define the **equivalence class of  $a$  (with respect to  $R$ )** to be the subset of  $A$

$$[a] \stackrel{\text{def}}{=} \{b \in A \mid a \sim_R b\} \subset A.$$

So, the equivalence class of  $a$  (with respect to  $R$ ) is the set of all elements in  $A$  that are equivalent to  $a$ .

We will also refer to  $[a]$  as an **equivalence class (of  $R$ )** (without reference to  $a$ ) when the context is clear.

If  $b \in [a]$  then we say that  $b$  is a **(class) representative of  $[a]$** . We denote the **set of all equivalence classes of  $R$**  by  $A/R$  or  $A/\sim_R$ , or even  $A/\sim$  when the equivalence relation  $R$  is understood. We will often write the set of all equivalence classes making use of some (nice) choice of class representatives (see Example 2.2.6).

We define the **natural mapping associated to  $R$  (or  $\sim_R$ , or  $\sim$ )** to be

$$\pi : A \rightarrow A/\sim ; a \mapsto [a],$$

the function that sends an element of  $A$  to its equivalence class.

The main reason we are interested in equivalence classes is that they are precisely the subsets that describe a partition of a set. This will be made precise and proved in the following results.

First, consider the example discussed above:  $A$  is the set of people in this classroom and

$$R = \{(a, b) \mid a \text{ and } b \text{ have the same hair colour}\}.$$

Then, we can consider an equivalence class for this equivalence relation as the set of all people in this classroom with the same hair colour. In particular, we can label equivalence classes by a single person in an equivalence class or by the hair colour of that student - thus, we have

$$A/R \longleftrightarrow \{\text{brown, black, ...}\}$$

**Lemma 2.2.3.** Let  $A$  be a nonempty set,  $R \subset A \times A$  an equivalence relation on  $A$ . Let  $a, b \in A$  be such that  $b \in [a]$  (so that  $b$  is a representative of  $[a]$ ). Then,  $[a] = [b]$ .

*Proof:* Homework/worksheet problem. □

Lemma 2.2.3 states that

if  $a \sim b$  then the equivalence class of  $a$  is equal to the equivalence class of  $b$ .

**Theorem 2.2.4.** Let  $A$  be a (nonempty) set,  $R \subset A \times A$  an equivalence relation on  $A$ . Then,  $A$  is a disjoint union of the equivalence classes of  $R$

$$A = \bigsqcup_{X \in A/R} X.$$

**Remark 2.2.5.** To say that  $A$  is a disjoint union of equivalence classes of  $R$  means the following:  $A$  is a union of equivalence classes and, if  $X, X' \in A/R$  are distinct equivalence classes then  $X \cap X' = \emptyset$ .

*Proof:* We must prove two statements:

- $A$  is a union of equivalence classes: recall that an equivalence class  $X \in A/R$  is of the form  $X = [a]$ , for some  $a \in A$ . Let  $a \in A$ . Then,  $a \in [a]$ , by ER1, so that an arbitrary  $a \in A$  is a member of some equivalence class. Hence,

$$A = \bigcup_{a \in A} [a].$$

- if  $X, X' \in A/R$  are distinct equivalence classes then  $X \cap X' = \emptyset$ : let  $X = [a], X' = [b]$ , for some  $a, b \in A$ . Since  $X \neq X'$  then  $a$  is not equivalent to  $b$  by Lemma 2.2.3. Suppose, for a contradiction, that  $X \cap X' \neq \emptyset$ . Thus, there is some  $c \in X \cap X'$  so that  $c \in [a]$  and  $c \in [b]$ . Hence,  $a \sim_R c$  and  $b \sim_R c$ . By ER2 we know that  $c \sim_R b$  which implies, by ER3, that  $a \sim_R b$ . Hence, by Lemma 2.2.3,  $X = [a] = [b] = X'$ , which is absurd. Thus, the assumption that  $X \cap X' \neq \emptyset$  must be false so that  $X \cap X' = \emptyset$ .

□

**Example 2.2.6.** 1. Let  $A = \mathbb{Z}$  and fix  $n \in \mathbb{Z}, n \neq 0$ . Consider the following equivalence relation

$$R = \{(a, b) \in \mathbb{Z} \times \mathbb{Z} \mid n \text{ divides } (a - b)\}.$$

That is,

$$a \sim b \iff n \text{ divides } (a - b).$$

Then, the following are examples of equivalence classes

$$[0] = \{\dots, -2n, -n, 0, n, 2n, 3n, \dots\}$$

$$[1] = \{\dots, -2n + 1, -n + 1, 1, n + 1, 2n + 1, 3n + 1, \dots\}$$

If  $n \neq 1$  then  $[0] \neq [1]$ .<sup>2</sup> The set of equivalence classes correspond to the possible remainders when dividing by  $n$ :

$$A/R \longleftrightarrow \{0, 1, \dots, n - 1\}.$$

How do we know that this gives all of the equivalence classes? Suppose that  $X \in A/R$  is an equivalence class. Thus, there is some  $x \in \mathbb{Z}$  such that  $[x] = X$ . By Lemma 2.2.3 we have (check!)

$$\dots = [x - 2n] = [x - n] = [x] = [x + n] = \dots$$

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<sup>2</sup>What if  $n = 1$ ?

Now, using the division algorithm for  $\mathbb{Z}$  (Theorem 2.1.2) we know that there exists  $q, r \in \mathbb{Z}$  with  $0 \leq r < n$  such that  $x = nq + r$ . Hence, we have  $[x] = [r]$ .

This example will appear again and again throughout this course so that we will write

$$\mathbb{Z}/n\mathbb{Z} \stackrel{\text{def}}{=} A/R = \{\bar{0}, \bar{1}, \dots, \overline{n-1}\},$$

for this particular equivalence relation on  $\mathbb{Z}$ , where  $\bar{i}$  denotes the equivalence class of  $i$ . We say 'zee mod n zee'.

2. Let  $A = \mathbb{Q}$  and consider the equivalence relation

$$R = \{(a, b) \in \mathbb{Q} \times \mathbb{Q} \mid a - b \in \mathbb{Z}\}.$$

Then, some equivalence classes of this equivalence relation on  $\mathbb{Q}$  are

$$[0] = \mathbb{Z}, \quad \left[\frac{1}{2}\right] = \left\{ \dots, -\frac{3}{2}, -\frac{1}{2}, \frac{1}{2}, \frac{3}{2}, \frac{5}{2}, \dots \right\}.$$

We have that

$$A/R \longleftrightarrow \{p \in \mathbb{Q} \mid 0 \leq p < 1\}.$$

3. We can extend the above example to define an equivalence relation on  $A = \mathbb{R}$ ,

$$R = \{(a, b) \in \mathbb{R} \times \mathbb{R} \mid a - b \in \mathbb{Z}\}.$$

The set of equivalence classes corresponds the half-open interval  $[0, 1)$

$$A/R \longleftrightarrow \{x \in \mathbb{R} \mid 0 \leq x < 1\}.$$

The set of equivalence classes for this particular equivalence relation on  $\mathbb{R}$  is usually denoted

$$\mathbb{R}/\mathbb{Z} \stackrel{\text{def}}{=} A/R.$$

4. Let  $A = \mathbb{C}^n$ , the complex vector space. Define an equivalence relation on  $A$  by declaring

$$u \sim v \Leftrightarrow \text{there exists invertible } n \times n \text{ matrix } P \text{ with entries in } \mathbb{C} \text{ such that } Pu = v.$$

There are exactly two equivalence classes:

$$[0] = \{0\}, \quad X = \{v \in \mathbb{C}^n \mid v \neq 0\}.$$

Indeed, if  $v \neq 0$  then we can extend  $v$  to a basis  $(v, v_2, \dots, v_n)$  of  $\mathbb{C}^n$ . The matrix  $Q = [v \ v_2 \ \dots \ v_n]$  is invertible (as the columns are linearly independent) and  $Qe_1 = v$ , where  $e_1$  is the first standard basis vector. Let  $P = Q^{-1}$ . Then, we have  $Pv = PQe_1 = e_1$ , so that  $v \sim e_1$ . Since  $v$  is arbitrary we have just shown that  $v \sim e_1$ , for any  $v \in \mathbb{C}^n$ ,  $v \neq 0$ .

5. Fix  $n \in \mathbb{Z}_{>0}$ . Let  $M_n(\mathbb{C})$  be the set of  $n \times n$  matrices with complex entries. Consider the following equivalence relation

$$R = \{(A, B) \in M_n(\mathbb{C}) \times M_n(\mathbb{C}) \mid A = P^{-1}BP \text{ for some invertible } P \in M_n(\mathbb{C})\}.$$

For  $n = 2$ , we have the following examples of some equivalence classes

$$[0] = \{0\}, \quad [\mathbb{I}_n] = \{\mathbb{I}_n\},$$

$$\left[\begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}\right] = \{A \in M_2(\mathbb{C}) \mid A^2 = 0, A \neq 0\}.$$

The description of the equivalence class of  $\begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$  is a consequence of finding the Jordan form of a linear map.<sup>3</sup> In fact, determining the Jordan form of a matrix is precisely the same problem as determining the equivalence classes of the above equivalence relation on  $M_n(\mathbb{C})$ .

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<sup>3</sup>This is usually covered in Math 110, the upper division course in linear algebra at Berkeley.

### 3 Lecture 3 - Definitions: group, subgroups, order.

Previous lecture - Next lecture

**Keywords:** Group, subgroup, order of group, order of element.

In today's lecture we will introduce the basic algebraic objects that we are going to be investigating for the next few weeks - groups and their subgroups.

#### 3.1 Groups - basic definitions

**'Group theory is the study of symmetries.'**[1]

A group is an example of a **set with structure** - we will see more examples of objects of this type when study rings. You have already seen an example of a 'set with structure' when you studied vector spaces in Math 54. A group is simultaneously mathematically simpler and (much!) harder to understand than a vector space. A group encapsulates algebraically the notion of a symmetry of an object, where we consider a symmetry of the object to be an operation that leaves the object (essentially) 'unchanged'.

**Definition 3.1.1** (Definition of a group). Let  $G$  be a set. We say that  $G$  is a **group with law of composition**  $*$  if the following axioms hold:

(G1) the assignment

$$(g, h) \mapsto g * h \in G, \quad (\text{closure of } *)$$

defines a function  $G \times G \rightarrow G$ . We call  $g * h$  the **product of  $g$  and  $h$** ;

(G2) there exists  $e_G \in G$  such that for every  $g \in G$  we have

$$g * e_G = g = e_G * g. \quad (\text{existence of identity})$$

We call  $e_G$  an **identity of  $G$** .

(G3) for every  $g \in G$  there exists some  $h \in G$  such that

$$h * g = e_G = g * h. \quad (\text{existence of inverse})$$

We call such an  $h$  an **inverse of  $g$** .

(G4) for any  $g, h, k \in G$  we have

$$(g * h) * k = g * (h * k). \quad (\text{associativity})$$

We will often denote a group  $(G, *)$  to emphasise the law of composition  $*$ , or simply  $G$  when  $*$  is understood.

A group is called

- **commutative** or **abelian** if for every  $g, h \in G$  we have  $g * h = h * g$ ;
- **finite** if  $G$  is a finite set. We will also say that  $G$  has **finite order**, or, if  $|G| = n$ , that  $G$  has **order  $n$** ;
- **infinite** if  $G$  is an infinite set. We will also say that  $G$  has **infinite order**.

The axioms G1-4 have some immediate consequences:

**Lemma 3.1.2.** Let  $(G, *)$  be a group.



- a) Suppose that  $e_G$  and  $f_G$  satisfy G2 in Definition 3.1.1. Then,  $e_G = f_G$ , so that **the identity element of  $G$  is unique**.
- b) Let  $g \in G$  and suppose that  $h, h' \in G$  both satisfy G3 in Definition 3.1.1. Then,  $h = h'$ , so that **an inverse of  $g$  is unique**.

*Proof:*

- a) Suppose that  $e_G$  and  $f_G$  both satisfy G2. Then, we have

$$e_G \stackrel{G2}{=} e_G * f_G \stackrel{G2}{=} f_G,$$

where the first equality comes from G2 applied to  $f_G$ , and the second equality comes from G2 applied to  $e_G$ .

- b) let  $g \in G$  and suppose that  $h, h'$  both satisfy G3. Then,

$$h \stackrel{G2}{=} e_G * h \stackrel{G3}{=} (h' * g) * h \stackrel{G4}{=} h' * (g * h) \stackrel{G3}{=} h' * e_G \stackrel{G2}{=} h'.$$

□

**Remark 3.1.3.** 1. Axiom G2 in Definition 3.1.1 ensures that the set  $G$  appearing in a group  $(G, *)$  must be nonempty.

2. As a consequence of Lemma 3.1.2 we are allowed to say the identity element of  $G$  - which we will denote  $e_G$ , or simply  $e$  if the group  $G$  is understood - and, for any  $g \in G$ , the inverse of  $g$  - which we will denote  $g^{-1}$ . An element  $g \in G$  with  $g \neq e_G$  will be called **nontrivial**, and we will sometimes refer to  $e_G$  as the **trivial element** (of  $G$ ).
3. Axiom G1 is simply stating that to any pair of elements  $g, h \in G$  there is a unique element  $g * h \in G$ . Note that it is not a consequence of the Axioms of a group that  $g * h$  must necessarily equal  $h * g$ .
4. Since writing the '\*' can become quite cumbersome we will often write simply  $gh$  to denote  $g * h$ .
5. As a consequence of G4 we can write an iterated product

$$g_1 * (g_2 * (\cdots * (g_{k-1} * g_k) \cdots)) \in G$$

as an expression

$$g_1 * \cdots * g_k \in G, \quad \text{or} \quad g_1 \cdots g_k \in G.$$

G4 is essentially stating that it does not matter in which order we evaluate products in an iterated product.

6. For any  $g \in G$ , we will write  $g^i$  for the  $i$ -fold iterated product

$$g^i \stackrel{\text{def}}{=} g * \cdots * g \in G \quad (i \text{ times})$$

We will define  $g^0 \stackrel{\text{def}}{=} e_G$ , for any  $g \in G$ , and denote  $g^{-i} \stackrel{\text{def}}{=} (g^i)^{-1}$ .

**Definition 3.1.4** (Subgroup). Let  $(G, *)$  be a group. A subset  $H \subset G$  is called a **subgroup of  $G$**  if the following axioms are satisfied:

- (SG1)  $e_G \in H$ ;
- (SG2) for any  $h, h' \in H$  we have  $h * h' \in H$ ;
- (SG3) if  $h \in H$  then  $h^{-1} \in H$ .

In fact, we can collect SG1-SG3 into the single axiom

(SG) for every  $h, k \in H$ ,  $h * k^{-1} \in H$ .

If  $H \subset G$  is a subgroup such that  $H \neq G$  then we will say that  $H$  is a **proper subgroup**. The subgroup  $\{e_G\} \subset G$  is called **the trivial subgroup**.

It is not too difficult to find examples of subgroups:

**Definition 3.1.5.** Let  $(G, *)$  be a group,  $g \in G$ . We define the **subgroup generated by  $g$**  to be

$$\langle g \rangle \stackrel{\text{def}}{=} \{\dots, g^{-2}, g^{-1}, e_G, g, g^2, g^3, \dots\}.$$

As the name suggests,  $\langle g \rangle$  is a (in fact, abelian) subgroup of  $G$ .

**Lemma 3.1.6.** Let  $(G, *)$  be a group,  $g \in G$  nontrivial (ie,  $g \neq e_G$ ). Then, exactly one of the following must hold:

- a)  $g^i \neq g^j$  for any  $i \neq j$  and  $\langle g \rangle$  has infinite order;
- b) there exists some  $k > 1$  such that  $|\langle g \rangle| = k$  and

$$\langle g \rangle = \{e_G, g, \dots, g^{k-1}\},$$

In particular,  $g^{-i} = g^{k-i}$ , for any  $0 < i < k$ .

*Proof:*

- a) The condition is simply stating that all elements appearing in the definition of  $\langle g \rangle$  are distinct. As there are infinitely many of them then the result follows.
- b) Suppose that there exists two elements  $g^i$  and  $g^j$  such that  $i < j$  and  $g^i = g^j$ . Hence,

$$e_G = g^{-i} * g^j = g^{-i} * g^j = g^{j-i},$$

and  $j - i > 0$ . Let  $k > 1$  be the smallest integer such that  $g^k = e_G$ .<sup>1</sup>

Let  $m \in \mathbb{Z}$ . Then, we can find  $q, r \in \mathbb{Z}$  with  $0 \leq r < k$  and such that  $m = qk + r$  (Theorem 2.1.2). Thus,

$$g^m = g^{kq+r} = g^{kq} * g^r = (g^k)^q * g^r = (e_G)^q * g^r = g^r.$$

By the minimality of  $k$  we must have that  $g^r \neq e_G$ , for each  $0 < r < k$ . Thus, have shown that

$$\langle g \rangle = \{e_G, g, \dots, g^{k-1}\},$$

and each of the group elements appearing are distinct, so that  $|\langle g \rangle| = k$ .

Now, observe that  $g^i * g^{k-i} = e_G = g^{k-i} * g^i$  so that  $g^{k-i} = g^{-i}$ , by Lemma 3.1.2.

□

**Corollary 3.1.7.** Let  $(G, *)$  be a finite group,  $g \in G$  a nontrivial element. Then, there is some  $1 < k \leq |G|$  such that  $g^k = e_G$ .

*Proof:* If there does not exist such  $k > 1$  then  $\langle g \rangle \subset G$  is infinite implying that  $G$  is infinite, which is absurd. □

**Definition 3.1.8** (Order of an element). Let  $(G, *)$  be a group,  $g \in G$  a nontrivial element.

- $g$  is said to have **infinite order** if  $\langle g \rangle \subset G$  is a subgroup of infinite order. In particular, we have  $g^i \neq g^j$  for any  $i \neq j$ , by Lemma 3.1.6.

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<sup>1</sup>Why does such an integer exist? It must be larger than 1 since  $g$  is nontrivial.

- $g$  is said to have **order**  $k$  if  $|\langle g \rangle| = k$ . In particular,  $g^k = e_G$  and  $k$  is the smallest positive integer for which this holds. We define  $e_G$  to have order 1.

We write  $o(g) = k$  (resp.  $o(g) = \infty$ ) if  $g$  has order  $k$  (resp. infinite order).

**Example 3.1.9.** 1. All of the examples introduced in Lecture 1, with their associated laws of composition, are examples of groups.

2. Let  $n > 1$  be an integer. Then,  $(\mathbb{Z}/n\mathbb{Z}, *)$ , where

$$\bar{i} * \bar{j} = \overline{i+j},$$

defines a group with identity  $e_G \stackrel{\text{def}}{=} \bar{0}$ . If  $\bar{i} \in G$  then its inverse is  $\overline{-i}$ . We will always write the law of composition for this group additively:  $\bar{i} + \bar{j} \stackrel{\text{def}}{=} \overline{i+j}$ . In particular, we write  $-\bar{i} \stackrel{\text{def}}{=} \overline{-i}$ .

We should check that the law of composition given is well-defined: this means that if  $\bar{i} = \bar{x}$  and  $\bar{j} = \bar{y}$ , then we must ensure that  $\overline{i+j} = \overline{x+y}$ . Otherwise, the assignment that we have given for  $+$  does not define a function so that  $(\mathbb{Z}/n\mathbb{Z}, +)$  does not satisfy G1. Now, if  $\bar{i} = \bar{x}$  then  $i - x \in n\mathbb{Z} = \{nr \mid r \in \mathbb{Z}\}$ , so there is some  $r \in \mathbb{Z}$  such that  $i = x + nr$ . Similarly, there is some  $s \in \mathbb{Z}$  such that  $j = y + ns$ . Then,

$$\overline{i+j} = \overline{x+nr+y+ns} = \overline{x+y+n(r+s)} = \overline{x+y},$$

and the definition given is well-defined.

If  $k$  is a divisor of  $n$  then  $H = \{\overline{ak} \mid a \in \mathbb{Z}\}$  is a subgroup: if  $\overline{ak}, \overline{bk} \in H$  then

$$\overline{ak} + (-\overline{bk}) = \overline{ak} + \overline{-bk} = \overline{ak - bk} = \overline{(a-b)k} \in H.$$

The element  $\bar{1} \in \mathbb{Z}/n\mathbb{Z}$  has order  $n$ .

3. Define **the symmetric group on  $n$  letters**  $S_n$  to be

$$S_n \stackrel{\text{def}}{=} \text{Perm}(\{1, \dots, n\}) = \{f : \{1, \dots, n\} \rightarrow \{1, \dots, n\} \mid f \text{ is a bijective function}\},$$

with law of composition given by 'composition of functions'. The identity element is  $e_{S_n} = \text{id}_n$ , where  $\text{id}_n(x) = x$ , for every  $x$ . For any  $f \in S_n$ , the inverse  $f^{-1}$  is the inverse function. In general, for any set  $S$  we define

$$\text{Perm}(S) = \{f : S \rightarrow S \mid f \text{ is a bijective function}\};$$

it is a group with law of composition given by composition of functions.

The subset

$$H = \{f \in S_n \mid f(n) = n\}$$

is a subgroup. Indeed, if  $f, g \in H$  then  $(f \circ g^{-1})(1) = f(g^{-1}(1)) = f(1) = 1$ , so that  $fg^{-1} \in H$ .

If  $n = 5$  the element  $f \in S_5$  such that  $f(1) = 1, f(2) = 3, f(3) = 4, f(4) = 2, f(5) = 5$  has order 3: indeed, that  $f^3(1) = 1, f^3(5) = 5$ , is straightforward and, for example,

$$f^3(2) = f(f(f(2))) = f(f(3)) = f(4) = 2,$$

and similarly it can be shown that  $f^3(3) = 3, f^3(4) = 4$ .

4. Let  $n > 2$  be an integer. Then, the **dihedral group of order  $2n$ , denoted  $D_{2n}$** , is the group of symmetries of the regular  $n$ -gon. We can write the elements of this group as

$$D_{2n} = \{e, r, \dots, r^{n-1}, s, sr, \dots, sr^{n-1}\},$$

where  $r$  is the '*rotate by  $2\pi/n$  counterclockwise*' transformation of the plane, and  $s$  is a reflection of the plane preserving the  $n$ -gon. We have

$$r^i * r^j = r^{i+j}, \quad sr = r^{-1}s, \quad r^n = e, \quad s^2 = e.$$

We say that  $r, s \in D_{2n}$  are **generators** of  $D_{2n}$  subject to the above **relations**.

5. In general, we might present a group  $G$  via a **generators and relations presentation** as follows:

$$G = \langle x_1, \dots, x_n \mid R \rangle,$$

where  $x_1, \dots, x_n$  are **generators of  $G$  subject to the relations  $R$** . This means that each element  $g \in G$  may be written as

$$g = x_{i_1}^{\pm} \cdots x_{i_p}^{\pm}, \quad i_1, \dots, i_p \in \{1, \dots, n\},$$

and such that we can use the relations  $R$  to transform one expression for  $g$  into another. An expression  $x_{i_1} \cdots x_{i_p}$  as above is called a **word**.

For example, we have

$$D_{2n} = \langle r, s \mid s^2 = e, r^n = e, s * r = r^{-1} * s \rangle :$$

the word

$$r * r * r * r * s * s * s * s * r^{-1} * s * r,$$

can be reduced to  $r^5$  using the given relations, since  $s * s = e$  and  $s * r^{-1} * s = r$ .

6. Let  $GL_n(\mathbb{R})$  be the set of invertible  $n \times n$  matrices with entries in  $\mathbb{R}$ , referred to as the  $n \times n$  general linear group. This is a group with law of composition being matrix multiplication; the identity is the  $n \times n$  identity matrix  $I_n$ . Similarly, we obtain groups  $GL_n(\mathbb{C})$ ,  $GL_n(\mathbb{Z})$ ,  $GL_n(\mathbb{Q})$ ,  $GL_n(\mathbb{Z}/p\mathbb{Z})$  etc. The element

$$g = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \in GL_2(\mathbb{R}),$$

has order 4.

Let  $B_n(\mathbb{R}) = \{B = [b_{ij}] \in GL_n(\mathbb{R}) \mid b_{ij} = 0 \text{ when } i > j\}$ , the set of all upper-triangular invertible matrices. This is a subgroup of  $GL_n(\mathbb{R})$ . Similarly, we define

$$D_n(\mathbb{R}) \stackrel{\text{def}}{=} \{\text{invertible diagonal matrices}\} \subset GL_n(\mathbb{R}),$$

$$U_n(\mathbb{R}) \stackrel{\text{def}}{=} \{A = [a_{ij}] \in B_n(\mathbb{R}) \mid a_{ii} = 1, \forall i\} \subset B_n(\mathbb{R});$$

they are all subgroups of  $GL_n(\mathbb{R})$ .

7. The **quaternions**  $(\mathcal{Q}_8, *)$ , where

$$\mathcal{Q}_8 \stackrel{\text{def}}{=} \{\pm 1, \pm i, \pm j, \pm k\},$$

and

$$\begin{aligned} i * j &= k, \quad j * k = i, \quad k * i = j, \quad j * i = -k, \quad k * j = -i, \quad i * k = -j, \\ i^2 &= j^2 = k^2 = -1, \end{aligned}$$

with  $\pm 1$  scaling in the obvious way, defines a (nonabelian) group of order 8. The subset  $H = \{\pm 1, \pm j\}$  is a subgroup.

8.  $(\mathbb{Z}, +)$ ,  $(\mathbb{Q}, +)$ ,  $(\mathbb{R}, +)$ ,  $(\mathbb{C}, +)$  are all (abelian) groups of infinite order. No element in any of these groups has finite order.
9. The set of nonzero complex numbers with law of composition coming from multiplication of numbers,  $(\mathbb{C}^\times, \cdot)$ , is an abelian group. The set  $\mu_n = \{z \in \mathbb{C} \mid z^n = 1\}$  of  $n$ 'th roots of unity is a (finite) subgroup.

## 4 Lecture 4 - Homomorphisms, isomorphisms. Cosets.

Previous lecture - Next lecture

**Keywords:** group homomorphism, kernel, image. Isomorphism, automorphism. Cosets.

In the previous lecture we introduced the main objects of study in group theory. In this lecture we will introduce the notion of a group homomorphism, which will allow us to observe how different groups can interact with each other. Moreover, we will introduce the fundamental notion of two groups  $G$  and  $H$  being isomorphic - this means that  $G$  and  $H$  'are the same group but wearing different clothes'. Finally, we will see that any subgroup  $H \subset G$  allows us to define an equivalence relation on  $G$  - the equivalence classes are the (left/right) cosets of  $H$  in  $G$ . The existence of this equivalence relation will have some powerful consequences (to be investigated in the next lecture).

### 4.1 Group homomorphisms, isomorphisms

**Definition 4.1.1** (Group homomorphism). Let  $(G, *)$  and  $(H, \bullet)$  be groups,  $f : G \rightarrow H$  a function. We say that  $f$  is a **group homomorphism** if

(HOM) for any  $g, h \in G$ , we have  $f(g * h) = f(g) \bullet f(h)$ .

Give a group homomorphism  $f : G \rightarrow H$  we define

- the **kernel of**  $f$  to be

$$\ker f = \{g \in G \mid f(g) = e_H \in H\} \subset G;$$

- the **image of**  $f$  to be

$$\text{im } f = \{h \in H \mid h = f(g) \text{ for some } g \in G\} \subset H.$$

**Lemma 4.1.2.** Let  $(G, *)$ ,  $(H, \bullet)$  be two groups,  $f : G \rightarrow H$  a group homomorphism.

- a)  $f(e_G) = e_H \in H$ ;
- b) for every  $g \in G$ ,  $f(g^{-1}) = f(g)^{-1} \in H$ ;
- c)  $\ker f \subset G$  is a subgroup;
- d)  $\text{im } f \subset H$  is a subgroup;
- e)  $f$  is injective if and only if  $\ker f = \{e_G\}$ .

*Proof:*

- a) We have

$$\begin{aligned} f(e_G) &= f(e_G * e_G) = f(e_G) \bullet f(e_G) \\ \implies e_H &= f(e_G)^{-1} \bullet f(e_G) = f(e_G)^{-1} \bullet (f(e_G) \bullet f(e_G)) = (f(e_G)^{-1} \bullet f(e_G)) \bullet f(e_G) \\ &\implies e_H = f(e_G). \end{aligned}$$

b), c), d) Homework/worksheet problems.

□

**Example 4.1.3.** 1. Let  $G = \mathbb{Z}/4\mathbb{Z}$  and  $H = D_8 = \{e_H, r, r^2, r^3, s, sr, sr^2, sr^3\}$ . Define

$$f : G \rightarrow H; \bar{i} \mapsto r^i.$$

Then,  $f$  is well-defined: if  $\bar{i} = \bar{j}$  then the definition does not depend on the choice of representative. Indeed, in this case we have  $i = j + 4k$ , for some  $k \in \mathbb{Z}$ , so that

$$f(\bar{i}) = r^i = r^{j+4k} = r^j r^{4k} = r^j (r^4)^k = r^j = f(\bar{j}),$$

since  $r^4 = e_H$ . Moreover,

$$f(\bar{i} + \bar{j}) = f(\overline{i+j}) = r^{i+j} = r^i r^j = f(\bar{i})f(\bar{j}).$$

Also,  $\ker f = \{e_G\}$  so that  $f$  is injective: if  $\bar{i} \in \ker f$ , with  $i \in \{0, 1, 2, 3\}$ , then

$$r^i = f(\bar{i}) = e_H \implies i = 0.$$

Hence,  $\bar{i} = \bar{0}$  and  $\ker f = \{\bar{0}\}$ .  $f$  can't be surjective as  $|D_8| = 8 > 4 = |\mathbb{Z}/4\mathbb{Z}|$ .

2. Let  $G = \mathbb{Z}/8\mathbb{Z}$ ,  $H = \mathbb{Z}/2\mathbb{Z}$ . Define

$$f : G \rightarrow H ; \bar{i} \mapsto \bar{i},$$

where the input is considered as a residue class modulo 8, and the output is a residue class modulo 2.

This is well-defined and a homomorphism: if  $\bar{i}, \bar{j} \in \mathbb{Z}/8\mathbb{Z}$  then

$$f(\bar{i} + \bar{j}) = f(\overline{i+j}) = \overline{i+j} = \bar{i} + \bar{j} = f(\bar{i}) + f(\bar{j}).$$

If  $\bar{i} \in \ker f$  then we have  $f(\bar{i}) = \bar{0}$ , so that  $i \in \bar{0} \in \mathbb{Z}/2\mathbb{Z}$ . Hence,  $i = 2k$ , for some  $k$ . Therefore,

$$\ker f = \{\bar{0}, \bar{2}, \bar{4}, \bar{6}\}.$$

$f$  is surjective since  $f(\bar{0}) = \bar{0}$  and  $f(\bar{3}) = \bar{1}$  (also  $f(\bar{1}) = f(\bar{5}) = f(\bar{7}) = \bar{1}$ ).

3. The assignment

$$f : \mathbb{Z}/2\mathbb{Z} \rightarrow \mathbb{Z}/4\mathbb{Z} ; \bar{i} \mapsto \bar{i},$$

is **not well-defined!**: note that  $\bar{1} = \bar{3}$  but

$$f(\bar{1}) = \bar{1} \in \mathbb{Z}/4\mathbb{Z}, \quad f(\bar{3}) = \bar{3} \in \mathbb{Z}/4\mathbb{Z},$$

and  $\bar{1} \neq \bar{3}$  in  $\mathbb{Z}/4\mathbb{Z}$ . Hence, the given assignment defining  $f$  is **not a function!** However, the assignment

$$g : \mathbb{Z}/2\mathbb{Z} \rightarrow \mathbb{Z}/4\mathbb{Z} ; \bar{i} \mapsto \overline{2i},$$

is well-defined, and an injective homomorphism of groups.

**Definition 4.1.4.** Let  $f : G \rightarrow H$  be a group homomorphism of the groups  $(G, *)$ ,  $(H, \bullet)$ . We say that  $f$  is an **isomorphism** if  $f$  is a bijective function.<sup>1</sup> If  $(H, \bullet) = (G, *)$  then we call an isomorphism an **automorphism of  $G$** .

If there exists an isomorphism  $f : (G, *) \rightarrow (H, \bullet)$  then we say that  $G$  and  $H$  are **isomorphic as groups**, or simply **isomorphic**. We will write  $G \cong H$ , whenever  $G$  is isomorphic to  $H$ .

**Example 4.1.5.** 1. Let

$$\begin{aligned} G = D_6 &= \{\text{symmetries of the triangle with vertices } (1, 0), (-\sqrt{3}/2, 1/2), (-\sqrt{3}/2, -1/2)\} \\ &= \{e_G, r, r^2, s, sr, sr^2\}, \end{aligned}$$

where  $r$  is 'rotate by  $2\pi/3$  counter-clockwise',  $s$  is 'reflect in  $x$ -axis', and define

$$\begin{aligned} H &= \{A = [a_{ij}] \in \text{Mat}_3(\mathbb{Z}) \mid a_{ij} \in \{0, 1\} \text{ and there is exactly one 1 in each row and each column}\} \\ &= \left\{ \begin{bmatrix} 1 & & \\ & 1 & \\ & & 1 \end{bmatrix}, \begin{bmatrix} & 1 & \\ 1 & & \\ & & 1 \end{bmatrix}, \begin{bmatrix} 1 & & \\ & & 1 \\ & 1 & \end{bmatrix}, \begin{bmatrix} & 1 & \\ & & 1 \\ 1 & & \end{bmatrix}, \begin{bmatrix} & & 1 \\ 1 & & \\ & 1 & \end{bmatrix}, \begin{bmatrix} & & 1 \\ & 1 & \\ 1 & & \end{bmatrix} \right\} \\ &= \{e_H, x_1, x_2, x_3, x_4, x_5\} \quad (x_i \text{ corresponding to the } (i+1)\text{'th matrix above}) \end{aligned}$$

<sup>1</sup>According to Wikipedia, *isomorphism* comes from the Greek words *isos*, meaning 'equal', and *morphe*, meaning 'shape'.

Define

$$\begin{aligned} f : G &\rightarrow H ; \\ e_G &\mapsto e_H \\ s &\mapsto x_1 \\ sr &\mapsto x_2 \\ r &\mapsto x_5 \\ r^2 &\mapsto x_3 \\ sr^2 &\mapsto x_4 \end{aligned}$$

Then,  $f$  is a bijection - it is injective and  $|G| = |H|$  - and it is a homomorphism, as can be checked directly (although somewhat tedious). Hence,  $G$  is isomorphic to  $H$ .

2. Consider the function

$$f : (\mathbb{Z}, +) \rightarrow U_2(\mathbb{Z}) = \left\{ \begin{bmatrix} 1 & x \\ 0 & 1 \end{bmatrix} \mid x \in \mathbb{Z} \right\} \subset GL_2(\mathbb{Z}) ; x \mapsto \begin{bmatrix} 1 & x \\ 0 & 1 \end{bmatrix},$$

where  $U_2(\mathbb{Z})$  is a subgroup of  $GL_2(\mathbb{Z})$ . This is an isomorphism of groups: indeed, if  $x, y \in \mathbb{Z}$  then

$$f(x+y) = \begin{bmatrix} 1 & x+y \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & x \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & y \\ 0 & 1 \end{bmatrix} = f(x)f(y),$$

so that  $f$  is a group homomorphism. Moreover, let  $x \in \ker f$ . Then,

$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = f(x) = \begin{bmatrix} 1 & x \\ 0 & 1 \end{bmatrix} \implies x = 0,$$

so that  $\ker f = \{0\}$ , and  $f$  is injective (Lemma 4.1.2). Showing that  $f$  is surjective is straightforward.

3. If  $|G| \neq |H|$  then  $G$  and  $H$  are not isomorphic.

4. Let  $G = \mathbb{Z}/4\mathbb{Z}$  and  $H = \left\{ A = \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} \in Mat_2(\mathbb{Z}) \mid a, b \in \{1, -1\} \right\} \subset GL_2(\mathbb{Z})$ . Then,  $G$  is not isomorphic to  $H$ : if  $G \cong H$  then there would exist an isomorphism of groups  $f : G \rightarrow H$ . Moreover,  $A = f(\bar{1}) \in H$  would satisfy  $A^2 = I_2 = e_H$ , by definition of  $H$  (every element  $B \in H$  satisfies  $B^2 = e_H$ ). Thus,

$$f(\bar{2}) = f(\bar{1} + \bar{1}) = f(\bar{1})f(\bar{1}) = A^2 = I_2 = e_H,$$

so that  $\bar{2} \in \ker f$ . Therefore, it is not possible for  $f$  to be injective, which contradicts that  $f$  is an isomorphism.

This example highlights the following fundamental fact

**there exist groups having the same order that are not isomorphic**

5. The symmetric group  $S_n$  is isomorphic to the group  $W_n$  of wiring diagrams on  $n$  vertices.

**Remark 4.1.6.** If  $G$  and  $H$  are isomorphic then they are, essentially, the same group. As we have assumed  $f$  to be a group homomorphism then it preserves the law of composition in both  $G$  and  $H$  (this is what HOM ensures).

It is a fundamental problem in group theory, if not the fundamental problem, to determine (essentially) all possible finite groups. This means that we would like to give a list of all possible *isomorphism classes* of groups: if  $G$  is any finite group, then there should be exactly one group  $K$  in our list such that  $G$  is



isomorphic to  $K$ . The analagous problem in (finite dimensional) theory of vector spaces is pretty easy - you determine the answer in Math 54: if  $V$  is a vector space such that  $\dim V = n$  then  $V \cong F^n$ , where  $F$  is the scalar field. Thus, every finite dimensional vector space (over  $F$ ) is isomorphic to one of the following

$$\{0\}, F, F^2, F^3, \dots, F^n, \dots \quad (\text{cf. Example 4 above})$$

In group theory the problem is **significantly harder** - a list of the 'building blocks' of all finite groups (the so-called **simple groups**) was given in the 70-80s. The proof that this list is correct spans some 15000 pages spread over approxiamtely 500 journal articles and the most important information has been recorded in a book, referred to as 'The Atlas'.

Later in this course we shall see how we can (in theory and in practice) determine a list of the isomorphism classes of **all finite abelian groups**. However, discussing the non-abelian case in this course is far beyond the author's capabilities.

## 4.2 Cosets

Let  $G$  be a group and  $H \subset G$  be a subgroup. To this data we can define an equivalence relation  $\sim_H$ , or simply  $\sim$ , on  $G$ , and hence a partition of  $G$  corresponding to  $\sim_H$  which we will call the **left  $H$ -partition**, as follows:

$$g \sim_H g' \Leftrightarrow g^{-1}g' \in H.$$

Let's check ER2 and ER3:

(ER2): suppose that  $g \sim_H g'$  and  $g^{-1}g' = h \in H$ . Then,  $(g')^{-1}g = h^{-1} \in H$ , since  $H$  is a subgroup, so that  $g' \sim_H g$ .

(ER3): suppose that  $g \sim_H g'$  and  $g' \sim_H g''$ . Thus, we have  $g^{-1}g' \in H$  and  $(g')^{-1}g'' \in H$ . Then,  $g^{-1}g'' = (g^{-1}g')(g')^{-1}g'' \in H$ , since  $H$  is a subgroup. Hence,  $g \sim_H g''$ .

**Definition 4.2.1.** The equivalence classes of the equivalence relation corresponding to the left  $H$ -partition are called **left cosets of  $H$  (in  $G$ )**. We denote the set of equivalence classes  $G/H$  and call it **the set of left cosets of  $H$  in  $G$** . The number of left cosets of  $H$  in  $G$  is **the index of  $H$  in  $G$** , denoted  $[G : H]$ , it may be infinite.

**Lemma 4.2.2.** Let  $[g] \in G/H$ . Then,

$$[g] = \{gh \mid h \in H\} \stackrel{\text{def}}{=} gH.$$

*Proof:* Let  $h \in H$ . Then, since  $g^{-1}(gh) = h \in H$  we have that  $g \sim_H gh$  so that  $gh \in [g]$ . Conversely, suppose that  $k \in [g]$ . Then, there is  $h \in H$  such that  $g^{-1}k = h$ , so that  $k = gh \in gH$ .  $\square$

**Corollary 4.2.3.** Let  $[g] \in G/H$  be a left coset of  $H$  in  $G$ . Then, there is a bijection

$$[g] \xrightarrow{1:1} H.$$

In particular, if  $H$  is finite then all left cosets of  $H$  in  $G$  have the same (finite) size, equal to  $|H|$ .

*Proof:* By Lemma 4.2.2 we have that  $[g] = gH$ . Consider the function

$$F : H \rightarrow gH ; h \mapsto gh.$$

Then,  $F$  is injective - if  $F(h) = F(h')$  then  $gh = gh'$  so that  $h = h'$ ; and surjective - if  $gh \in gH$  then  $F(h) = gh$ . Hence,  $F$  is a bijection.  $\square$

**Example 4.2.4.** Let  $H = \{\pm 1, \pm i\} \subset \mathcal{Q}$ , the group of quaternions. Then, there are exactly two left cosets of  $H$  in  $G$

$$eH = \{\pm 1, \pm i\}, \quad jH = \{\pm j, \pm k\}.$$

We always have  $H$  is a coset (it is the equivalence class of any  $h \in H$ ), and for  $g \notin H$ , say  $g = j$ , you can check that

$$jH = \{jh \mid h \in H\} = \{\pm j, \pm ji\} = \{\pm j, \pm k\}.$$

Note that  $jH$  is **not a subgroup of  $G$** !

In general

**$gH$  is a subgroup if and only if  $gH = eH$**

## 5 Lecture 5 - Lagrange's Theorem and applications. Cyclic groups.

Previous lecture - Next lecture

**Keywords:** Lagrange's Theorem. Groups of prime power are cyclic. Cyclic group, group generator.

In today's lecture we will prove one of the most fundamental results in group theory - **Lagrange's Theorem**. This result states that there are strong conditions on the existence of subgroups of a finite group. Moreover, we will classify all groups  $G$  such that  $|G| = p$  is prime. We will also introduce a class of groups - the **cyclic groups** - and completely describe their structure; not bad for a day's work!

**Remark.** For the remainder of the course we will suppress the ' $*$ ' when considering the law of composition in a group. As such, we will simply say 'Let  $G$  be a group', where the law of composition is implicitly understood to have been defined as part of the definition of  $G$ .<sup>1</sup>

### 5.1 Lagrange's Theorem

**Theorem 5.1.1** (Lagrange's Theorem). Let  $G$  be a finite group,  $H \subset G$  a subgroup. Then, the number of left cosets of  $H$  in  $G$  is  $|G|/|H|$ . That is,

$$|G/H| = |G|/|H|.$$

Hence,

**the order of  $H$  divides the order of  $G$ .**

*Proof:* Since  $G$  is finite then  $H \subset G$  is finite and, by Corollary 4.2.3, every left coset of  $H$  in  $G$  has the same size, equal to  $k = |H|$ . Since a left coset of  $H$  in  $G$  is an equivalence class of the equivalence relation  $\sim_H$  we know that there is a partition of  $G$  into equivalence classes. If there are  $r$  such equivalence classes (ie  $r$  left cosets of  $H$ ), each of which has the same size  $k$ , then

$$|G| = \overset{r \text{ times}}{k + \cdots + k} = rk \implies |G/H| = r = |G|/|H|.$$

□

**Corollary 5.1.2.** Let  $G$  be a finite group,  $g \in G$ . Then,  $o(g)$  divides  $|G|$ .

*Proof:* Recall that  $o(g) = |\langle g \rangle|$ , and  $\langle g \rangle \subset G$  is a subgroup of  $G$ . The result follows from Lagrange's Theorem. □

Hence,

**the order of an element  $g$ ,  $o(g)$ , divides the order of  $G$ .**

**Example 5.1.3.** 1. Suppose that  $H \subset D_8$  is a subgroup. Then,  $|H|$  must be even. Indeed, Lagrange's Theorem implies that  $|H|$  divides  $|D_8| = 8$ , we must have  $|H| = 1, 2, 4, 8$ . Note that Lagrange's Theorem **does not** imply that there must exist a subgroup of each of these orders. We will come back to this problem when we discuss Sylow's Theorems.

2. Let  $f : \mathbb{Z}/5\mathbb{Z} \rightarrow S_4$  be a group homomorphism. Then,  $f$  must be the trivial homomorphism. Indeed, since  $\ker f \subset \mathbb{Z}/5\mathbb{Z}$  is a subgroup then  $|\ker f| = 1, 5$ ; if  $|\ker f| = 1$  then  $f$  is injective. Hence, there must exist an element of  $S_5$  of order 5, but 5 does not divide  $|S_4| = 24$ .

<sup>1</sup>Why do we make an issue of this? It could be possible to define two *different* laws of composition on a set  $G$  so that we obtain two *different* groups  $(G, *)$  and  $(G, \bullet)$  with the same underlying set.

**Remark 5.1.4.** Why have we repeatedly used the adjective 'left'? There is an analogous notion of a **right coset of  $H$  in  $G$** : define an equivalence relation on  $G$  by

$$g \sim^H g' \Leftrightarrow g'g^{-1} \in H.$$

It can be shown that this defines an equivalence relation on  $G$  and the equivalence classes are of the form

$$[g] = \{hg \mid h \in H\} \stackrel{\text{def}}{=} Hg.$$

The resulting partition of  $G$  is called the **right  $H$ -partition of  $G$** , and we denote the set of equivalence classes  $H \backslash G$ . There are analogous results to those obtained above for right cosets of  $H$  in  $G$  - in particular, there is an analogue of Lagrange's Theorem - **the number of right cosets of  $H$  in  $G$  equals  $|G|/|H|$**  - so that, for a finite group  $G$  and a subgroup  $H \subset G$

the number of right cosets equals the number of left cosets.

## 5.2 Groups of prime order

Let  $G$  be a group of prime order, so that  $|G| = p$  is a prime. Let  $g \in G$  be nontrivial. Thus, the subgroup  $H = \langle g \rangle$  is a nontrivial subgroup of order  $o(g)$  so that  $H = G$ , by Corollary 5.1.2. Hence, we have

$$G = \{e_G, g, g^2, \dots, g^{p-1}\}.$$

Moreover,  $g^p = e_G$ , for any nontrivial  $g \in G$ .

If  $G'$  is another group of order  $p$  then, for any nontrivial  $h \in G'$ , we find that

$$G' = \{e_{G'}, h, h^2, \dots, h^{p-1}\}.$$

It can then be shown that  $G$  and  $G'$  are isomorphic as groups.<sup>2</sup> In particular, any group  $G$  of prime order  $p$  is isomorphic to  $\mathbb{Z}/p\mathbb{Z}$ . Hence, any group of prime order  $p$  is a **cyclic group** (to be defined in the next section) - a group generated by a single element.

## 5.3 Cyclic groups

Lagrange's Theorem is a simple consequence of the existence of a particular equivalence relation that can be defined on any finite group  $G$ , given a subgroup  $H$ , and has allowed us to classify<sup>3</sup> all finite groups of prime order. Combining Lagrange's Theorem with the basic arithmetic properties of  $\mathbb{Z}$  from Lecture 2 allows us to understand the structure of a larger class of groups - the **cyclic groups**.

**Definition 5.3.1** (Cyclic group). A group  $G$  is **cyclic** if there exists  $x \in G$  such that

$$G = \langle x \rangle = \{\dots, x^{-1}, e_G, x, x^2, \dots\}.$$

We call such an  $x$  a **generator of  $G$** , and say that  $G$  is **generated by  $x$** .

**Remark 5.3.2.** Let  $G$  be a cyclic group with generator  $x \in G$ . Then,  $x^{-1}$  is also a generator of  $G$ . In general, there are many generators of a cyclic group.

**Example 5.3.3.** a)  $e_G$  is a generator of a cyclic group  $G$  if and only if  $G$  is the trivial group.

b) Let  $G = \mathbb{Z}$ . Then,  $G$  is cyclic and generated by 1. The set of all generators of  $G$  is  $\{\pm 1\}$ .

<sup>2</sup>What is the isomorphism between  $G$  and  $G'$ ?

<sup>3</sup>This means that we have understood essentially all finite groups of prime order - they are isomorphic to  $\mathbb{Z}/p\mathbb{Z}$ , so that they have the same structure as  $\mathbb{Z}/p\mathbb{Z}$ .

c) Let  $n \in \mathbb{Z}_{>1}$  and  $G = \mathbb{Z}/n\mathbb{Z}$ . Then,  $G$  is cyclic and generated by 1. The set of generators of  $G$  is

$$\{\bar{x} \in \mathbb{Z}/n\mathbb{Z} \mid x \in \{1, \dots, n-1\}, \gcd(x, n) = 1\}.$$

d) Let  $G = \mu_6 = \{z \in \mathbb{C} \mid z^6 = 1\}$ , considered as a subgroup of  $(\mathbb{C}^\times, \cdot)$ , the law of composition being multiplication of complex numbers -  $\mu_6$  is the group of sixth roots of unity. Then,  $\mu_6$  is cyclic with generator  $w = \frac{1}{2}(1 + \sqrt{-3})$ .<sup>4</sup>

e) The dihedral group  $D_8$  is not a cyclic group as there does not exist any element of order 8. In general,  $D_{2n}$  is not cyclic.

f)  $S_n$  is cyclic if and only if  $n = 2$ .

g)  $(\mathbb{Q}, +)$  is not cyclic.

In fact, the examples above describe **all possible cyclic groups**:

**Theorem 5.3.4** (Structure Theorem of cyclic groups). *Let  $G$  be a cyclic group generated by  $x \in G$ . Then,*

a) *if  $G$  is infinite then  $G$  is isomorphic to  $\mathbb{Z}$ ;*

b) *if  $G$  has order  $n$  then  $G$  is isomorphic to  $\mathbb{Z}/n\mathbb{Z}$ .*

Let  $H \subset G$  be a nontrivial subgroup. Then,

a) *( $G$  infinite)  $H$  is isomorphic to  $\mathbb{Z}$  and generated by  $x^i$ , where  $i = \min\{r \in \mathbb{Z}_{>0} \mid x^r \in H\}$ .*

b) *( $G$  of finite order  $n$ ) Suppose  $|H| = k$  so that  $n = km$ , by Lagrange's Theorem. Then,  $H$  is cyclic and generated by  $x^m$ . Hence,  $H$  is isomorphic to  $\mathbb{Z}/k\mathbb{Z}$ .*

*Proof:*

a) Suppose that  $G$  is infinite and  $G = \langle x \rangle$ . Then, by the definition of a cyclic group we have

$$G = \{\dots, x^{-1}, e_G, x, x^2, \dots\}.$$

Define

$$f : \mathbb{Z} \rightarrow G; r \mapsto x^r.$$

Then,  $f$  is a group homomorphism. Moreover,  $f$  is injective - if  $f(r) = e_G$  then  $x^r = e_G = x^0$  so that  $r = 0$ , by Lemma 3.1.6 - and  $f$  is surjective, as  $x$  is a generator of  $G$ . Hence,  $f$  is an isomorphism and  $G$  is isomorphic to  $\mathbb{Z}$ .

If  $H \subset G$  is a nontrivial subgroup and  $i = \min\{r \in \mathbb{Z}_{>0} \mid x^r \in H\}$  then we claim that  $x^i$  generates  $H$ : we need only show that any nontrivial  $h \in H$  is of the form  $h = x^{ia}$ , for some  $a \in \mathbb{Z}$ . So, let  $h \in H$  be nontrivial. Then, we must have  $h = x^r$ , for some  $r \in \mathbb{Z}$ . By the division algorithm we can find  $q, b \in \mathbb{Z}$  with  $0 \leq b < i$  such that  $r = qi + b$ . Hence, we see that

$$x^b = x^{r-qi} = x^r(x^i)^{-q} \in H.$$

Since  $0 \leq b < i$  and  $i$  is the minimal positive integer such that  $x^i \in H$ , we must have that  $b = 0$  so that  $r = qi$ . Hence,  $h = (x^i)^q$  and

$$H = \langle x^i \rangle = \{\dots, x^{-i}, e_G, x^i, x^{2i}, \dots\}.$$

---

<sup>4</sup>This is a particular example of a more general result: any finite subgroup of  $(\mathbb{C}^\times, \cdot)$  is cyclic.

b) If  $G$  is finite of order  $n$  and cyclic, then

$$G = \langle x \rangle = \{e_G, x, \dots, x^{n-1}\},$$

and  $x^n = e_G$  by Lemma 3.1.6. Define

$$f : \mathbb{Z}/n\mathbb{Z} \rightarrow G ; \bar{r} \mapsto x^r.$$

This function is well-defined: if  $\bar{r} = \bar{s}$ , so that  $r - s \in n\mathbb{Z}$ , then

$$f(\bar{r}) = x^r = x^{s+nk} = x^s(x^n)^k = x^s(e_G)^k = x^s = f(\bar{s}).$$

Moreover,  $f$  is an isomorphism of groups. In a similar way as proved in a), it can be shown that any nontrivial subgroup  $H$  is of the stated form.

□

**Example 5.3.5.** Let  $n = 10 = 2 \cdot 5$ . Then, the subgroups of  $\mathbb{Z}/10\mathbb{Z}$  are

$$\{\bar{0}\}, \{\bar{0}, \bar{5}\} \cong \mathbb{Z}/2\mathbb{Z}, \{\bar{0}, \bar{2}, \bar{4}, \bar{6}, \bar{8}\} \cong \mathbb{Z}/5\mathbb{Z}, \mathbb{Z}/10\mathbb{Z}.$$

## 6 Lecture 6 - Group actions. Orbits, stabilisers. Orbit partition. Normalisers, centralisers.

Previous lecture - Next lecture

**Keywords:** Group action. Permutation action, conjugation action. Orbit, stabiliser. Orbit decomposition. Centraliser, normaliser, normal subgroup.

We now come to the most important concept in group theory, that of a **group action**. It is through group actions that we can realise a group as a mathematical object that captures the notion of symmetry - if a group  $G$  acts on a set  $S$  then the (abstract) group  $G$  captures some of the symmetry of  $S$ . Moreover, every important topic that we will discuss in this course can be reformulated in the language of group actions.

### 6.1 Group actions

**Definition 6.1.1** ((Left) Group action). Let  $G$  be a group,  $S$  an arbitrary (nonempty) set. A **(left) group action of  $G$  on  $S$**  is a function

$$a : G \times S \rightarrow S ; (g, x) \mapsto a(g, x) \stackrel{\text{def}}{=} g \cdot x,$$

satisfying the following properties

(ACT1)  $e \cdot x = x$ , for every  $x \in S$ ;

(ACT2)  $g \cdot (h \cdot x) = (gh) \cdot x$ , for every  $g, h \in G, x \in S$ .

When there exists a (left) group action of  $G$  on  $S$  (via the function  $a$ ), we will say that  $G$  **acts on  $S$  (via  $a$ )**.

The relationship between group actions and 'symmetry' are a consequence of the following result<sup>1</sup>:

**Lemma 6.1.2.** Let  $a : G \times S \rightarrow S$  be a group action of  $G$  on  $S$ . Then, we can define a group homomorphism

$$\tilde{a} : G \rightarrow \text{Perm}(S) ; g \mapsto \tilde{a}(g) \stackrel{\text{def}}{=} \left[ \begin{array}{l} a_g : S \rightarrow S \\ x \mapsto g \cdot x \end{array} \right].$$

where  $\text{Perm}(S)$  is the group of bijections on  $S$ . Conversely, given a group homomorphism  $G \rightarrow \text{Perm}(S)$  we can define a group action of  $G$  on  $S$ . Thus,

**a group action of  $G$  on  $S$  is the same as a group homomorphism  $\tilde{a} : G \rightarrow \text{Perm}(S)$**

*Proof:* Suppose that  $a$  defines a group action of  $G$  on  $S$ . Define the function

$$\tilde{a} : G \rightarrow \text{Perm}(S) ; g \mapsto \tilde{a}(g) \stackrel{\text{def}}{=} \left[ \begin{array}{l} a_g : S \rightarrow S \\ x \mapsto g \cdot x \end{array} \right]$$

as above. This definition says that to  $g \in G$  we are associating the bijection (of  $S$ )  $a_g \in \text{Perm}(S)$ , where  $a_g(x) = g \cdot x$ . This function is well-defined: namely,  $a_g$  is a bijective function on  $S$ . Indeed, ACT1 implies that  $a_e = \text{id}_S$  is the identity function on  $S$  and, for any  $x \in S$

$$g \cdot (g^{-1} \cdot x) \stackrel{\text{ACT2}}{=} e \cdot x \stackrel{\text{ACT2}}{=} g^{-1} \cdot (g \cdot x).$$

Hence, for any  $x \in S$

$$(a_g \circ a_{g^{-1}})(x) = a_g(a_{g^{-1}}(x)) = g \cdot (g^{-1} \cdot x) = e \cdot x = a_e(x)$$

<sup>1</sup>Recal that, for any set  $S$  we define  $\text{Perm}(S)$  to be the set of all bijective functions  $f : S \rightarrow S$ .

$$\implies a_g \circ a_{g^{-1}} = \text{id}_S,$$

and similarly  $a_{g^{-1}} \circ a_g = \text{id}_S$ . Thus,  $a_g$  is bijective with inverse  $(a_g)^{-1} = a_{g^{-1}}$ .

We need to check that  $\tilde{a}$  is a group homomorphism: let  $g, h \in G$ . Then, we want to show an equality of functions  $\tilde{a}(gh) = \tilde{a}(g) \circ \tilde{a}(h)$  or, using slightly different notation,  $a_{gh} = a_g \circ a_h$ . Now, for  $x \in S$  we have

$$a_{gh}(x) = (gh) \cdot x \stackrel{\text{ACT2}}{=} g \cdot (h \cdot x) = a_g(h \cdot x) = a_g(a_h(x)) = (a_g \circ a_h)(x).$$

Hence,  $a_{gh} = a_g \circ a_h$  and  $\tilde{a}$  is a group homomorphism.

The converse result will be a homework problem. □

**Example 6.1.3.** 1. Let  $G = \mathbb{Z}/2\mathbb{Z} = \{1, -1\}$ ,  $S = \mathbb{R}$ . Define

$$a : G \times S \rightarrow S ; (g, x) \mapsto g \cdot x \stackrel{\text{def}}{=} \begin{cases} x, & \text{if } g = 1, \\ -x, & \text{if } g = -1. \end{cases}$$

This defines an action of  $G$  on  $S$ .

2. Let  $G = \mathbb{Z}/2\mathbb{Z} = \{1, -1\}$ ,  $S = \mathbb{R}$ . Define

$$a : G \times S \rightarrow S ; (g, x) \mapsto g \cdot x \stackrel{\text{def}}{=} \begin{cases} x, & \text{if } g = 1, x \neq 0, \\ x^{-1}, & \text{if } g = -1, x \neq 0, \\ 0, & \text{if } x = 0. \end{cases}$$

This defines an action of  $G$  on  $S$ .

*Thus, it is possible for a group to act on a set via different actions.*

3. Let  $G = \mathbb{Z}$ ,  $S = \mathbb{R}$ . Define

$$a : G \times S \rightarrow S ; (n, x) \mapsto n \cdot x \stackrel{\text{def}}{=} x + n;$$

since

$$m \cdot (n \cdot x) = (n \cdot x) + m = (x + n) + m = x + (n + m) = (n + m) \cdot x,$$

this is a group action.

4. Let  $G = \text{GL}_2(\mathbb{Z})$ ,  $S = \text{Mat}_2(\mathbb{Z})$ . Define

$$a : G \times S \rightarrow S ; (g, x) \mapsto gxg^{-1}.$$

This defines an action of  $G$  on  $S$ .

5. Let  $G = SO(2) \stackrel{\text{def}}{=} \left\{ \begin{bmatrix} \cos t & \sin t \\ -\sin t & \cos t \end{bmatrix} \mid t \in \mathbb{R} \right\} \subset \text{GL}_2(\mathbb{R})$ ,  $S = \mathbb{R}^2$ . Define

$$a : G \times S \rightarrow S ; (g, v) \mapsto g \cdot v \stackrel{\text{def}}{=} gv.$$

This defines an action of  $G$  on  $S$ .

6. Let  $G = \mathbb{Z}$ ,  $S = \mathbb{Z}$ . Define

$$a : G \times S \rightarrow S ; (n, x) \mapsto n \cdot x \stackrel{\text{def}}{=} nx.$$

This does not define a group action of  $G$  on  $S$  as ACT1 does not hold:  $0 \cdot 1 = 0 \neq 1$ . ACT2 is also not satisfied.



7. Let  $G = \text{GL}_2(\mathbb{Z})$ ,  $S = \text{Mat}_2(\mathbb{Z})$ . Define

$$a : G \times S \rightarrow S ; (g, x) \mapsto g \cdot x \stackrel{\text{def}}{=} xg.$$

ACT1 is satisfied -  $l_2 \cdot x = x l_2 = x$  - but ACT2 is not satisfied: let  $g = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$ ,  $h = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$ ,  $x = l_2$ . Then,

$$\begin{aligned} g \cdot (h \cdot x) &= (h \cdot x)g = (l_2 h)g = hg, \\ (gh) \cdot x &= l_2(gh) = gh, \end{aligned}$$

$$\text{but } gh = \begin{bmatrix} 2 & 1 \\ 1 & 1 \end{bmatrix} \neq \begin{bmatrix} 1 & 2 \\ 1 & 1 \end{bmatrix} = hg.$$

The following examples are **fundamental** and will reappear frequently:

**Example 6.1.4** (Fundamental group actions). Let  $G$  be a group. Then,  $G$  acts on the following sets  $S$  in the prescribed manner:

1. Let  $S = G$  and define

$$a : G \times S \rightarrow S ; (g, h) \mapsto g \cdot h \stackrel{\text{def}}{=} gh.$$

This action is called **permutation action of  $G$  on itself**.

2. Let  $S = G$  and define

$$a : G \times S \rightarrow S ; (g, h) \mapsto g \cdot h \stackrel{\text{def}}{=} ghg^{-1}.$$

This action is called the **conjugation action of  $G$  on itself**.

3. Let  $S = \text{Sub}(G) = \{H \subset G \mid H \text{ subgroup of } G\}$ , the set of all subgroups of  $G$ . Define

$$a : G \times S \rightarrow S ; (g, H) \mapsto g \cdot H \stackrel{\text{def}}{=} gHg^{-1},$$

where

$$gHg^{-1} \stackrel{\text{def}}{=} \{ghg^{-1} \mid h \in H\}.$$

4. Let  $S = P(G) = \{A \subset G\}$ , the set of all subsets of  $G$ . Define

$$a : G \times S \rightarrow S ; (g, A) \mapsto g \cdot A \stackrel{\text{def}}{=} gA,$$

where

$$gA \stackrel{\text{def}}{=} \{ga \mid a \in A\}.$$

**Remark 6.1.5.** If  $G$  is finite then, for a subgroup  $H \subset G$  and subset  $A \subset G$ ,

$$|gHg^{-1}| = |H|, \text{ and } |gA| = |A|,$$

for any  $g \in G$ .

## 6.2 Orbits, stabilisers

A group action of  $G$  on  $S$  allows (a 'shadow' of)  $G$  to be realised as symmetries of the set  $S$ . Associated to a group action are the fundamental concepts of **orbit** and **stabiliser**.

**Definition 6.2.1.** Suppose that  $G$  acts on  $S$  and let  $x \in S$ .

- a) the **orbit through**  $x$  is the subset (of  $S$ )

$$G \cdot x = \{g \cdot x \mid g \in G\} \subset S.$$

We will also denote the orbit through  $x$  by  $\mathcal{O}_x$ .

- b) the **stabiliser of**  $x$  (**in**  $G$ ) is the subset

$$\text{Stab}_G(x) = \{g \in G \mid g \cdot x = x\}.$$

- c) If  $\mathcal{O}_x = S$  then the action is said to be **transitive**.

- d) If  $\text{Stab}_G(x) = G$  then  $x$  is a **fixed point of the action**.

**Lemma 6.2.2.** Let  $G$  act on  $S$ ,  $x \in S$ . The stabiliser of  $x$  in  $G$ ,  $\text{Stab}_G(x)$ , is a subgroup of  $G$ .

*Proof:* Let  $g, h \in \text{Stab}_G(x)$  -it suffices to show that SUB holds. Now,

$$x = e \cdot x = h^{-1} \cdot (h \cdot x) = h^{-1} \cdot x,$$

since  $h \cdot x = x$ , and

$$(gh^{-1}) \cdot x \stackrel{ACT2}{=} g \cdot (h^{-1} \cdot x) = g \cdot x = x.$$

Hence,  $gh^{-1} \in \text{Stab}_G(x)$ . □

**Remark 6.2.3.** Lemma 6.2.2 can be used to prove that a given subset  $H \subset G$  is a subgroup without having to check the subgroup axiom SUB directly: if we can realise  $H = \text{Stab}_G(x)$  for some group action of  $G$ , then  $H$  must be a subgroup.

**Example 6.2.4.** Recall Example 6.1.3:

1.

$$\begin{aligned}\mathcal{O}_0 &= \{0\}, \text{Stab}_G(0) = \mathbb{Z}/2\mathbb{Z}, \\ \mathcal{O}_2 &= \{2, -2\}, \text{Stab}_G(2) = \{1\} \subset \mathbb{Z}/2\mathbb{Z}.\end{aligned}$$

2.

$$\begin{aligned}\mathcal{O}_1 &= \{1\}, \text{Stab}_G(1) = \mathbb{Z}/2\mathbb{Z}, \\ \mathcal{O}_0 &= \{0\}, \text{Stab}_G(0) = \mathbb{Z}/2\mathbb{Z}, \\ \mathcal{O}_2 &= \left\{2, \frac{1}{2}\right\}, \text{Stab}_G(2) = \{1\}.\end{aligned}$$

3.

$$\begin{aligned}\mathcal{O}_0 &= \mathbb{Z}, \text{Stab}_G(0) = \{0\}, \\ \mathcal{O}_1 &= \mathbb{Z}, \text{Stab}_G(1) = \{0\}, \\ \mathcal{O}_{\frac{1}{2}} &= \left\{\dots, -\frac{1}{2}, \frac{1}{2}, \frac{3}{2}, \dots\right\}, \text{Stab}_G\left(\frac{1}{2}\right) = \{0\}.\end{aligned}$$

4.

$$\mathcal{O}_0 = \{0\}, \text{ Stab}_G(0) = \text{GL}_2(\mathbb{Z}),$$

$$\text{Let } A = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \text{ then } \mathcal{O}_A = \{B \in \text{Mat}_2(\mathbb{Z}) \mid B^2 = 0, B \neq 0\},$$

$$\text{Stab}_G(A) = \left\{ \begin{bmatrix} a & b \\ 0 & a \end{bmatrix} \in \text{GL}_2(\mathbb{Z}) \mid a, b \in \mathbb{Z} \right\}.$$

5.

$$\mathcal{O}_0 = \{0\}, \text{ Stab}_G(0) = \text{SO}(2),$$

$$\mathcal{O}_{e_1} = \{v \in \mathbb{R}^2 \mid \|v\| = 1\}, \text{ Stab}_G(e_1) = \{I_2\}.$$

**Theorem 6.2.5** (Orbit partition). *Let  $G$  act on  $S$  (via  $a$ ). Then, we can define an equivalence relation on  $S$  such that the equivalence classes are precisely the orbits of the action as follows: if  $x, y \in S$  then define*

$$x \sim_a y \Leftrightarrow y = g \cdot x, \text{ for some } g \in G.$$

*Hence,  $S$  is partitioned into the orbits of the action of  $G$  on  $S$ .*

*Proof:* ER1: since  $x = e \cdot x$  then  $x \sim_a x$ ,

ER2: If  $x \sim_a y$ , so that  $y = g \cdot x$ , for some  $g \in G$ . Then,

$$x = e \cdot x = (g^{-1}g) \cdot x = g^{-1} \cdot (g \cdot x) = g^{-1} \cdot y \implies y \sim_a x.$$

ER3: Suppose that  $x \sim_a y$  and  $y \sim_a z$ , so that  $y = g \cdot x$ ,  $z = h \cdot y$ , for some  $g, h \in G$ . Then,

$$z = h \cdot y = h \cdot (g \cdot x) = (hg) \cdot x \implies x \sim_a z.$$

For any  $x \in S$

$$\mathcal{O}_x = \{g \cdot x \mid g \in G\} = \{y \in S \mid y = g \cdot x, \text{ for some } g \in G\} = \{y \in S \mid x \sim y\} = [x].$$

□

**Corollary 6.2.6.** *Let  $G$  act on  $S$ . Then, distinct orbits in  $S$  are disjoint; equivalently, if  $\mathcal{O}_x \cap \mathcal{O}_y \neq \emptyset$  then  $\mathcal{O}_x = \mathcal{O}_y$ .*

**Corollary 6.2.7** (Orbit decomposition formula). *Let  $G$  act on the **finite** set  $S$ . If  $\mathcal{O}_1, \dots, \mathcal{O}_k$  are the distinct orbits in  $S$  then*

$$|S| = |\mathcal{O}_1| + \dots + |\mathcal{O}_k|.$$

### 6.3 Centralisers, normalisers

Let's take a closer look at some of the fundamental group actions introduced above.

**Definition 6.3.1** (Centraliser of  $g \in G$ ). Let  $g \in G$ , where  $G$  is a group. Consider Example 2 in Example 6.1.4 (the conjugation action of  $G$  on itself). The **centraliser of  $g$  (in  $G$ )** is

$$\text{Cent}_G(g) \stackrel{\text{def}}{=} \text{Stab}_G(g),$$

for this action. Thus,

$$\text{Cent}_G(g) = \{h \in G \mid h \cdot g = g\} = \{h \in G \mid hgh^{-1} = g\}.$$

We define **the centre of  $G$ , denoted  $Z(G)$** , to be

$$Z(G) \stackrel{\text{def}}{=} \bigcap_{g \in G} \text{Cent}_G(g).$$

Hence,  $Z(G)$  is the set of all elements in  $G$  that commute with every element in  $G$ .

**Definition 6.3.2** (Normaliser of  $H \subset G$ ). Let  $H \in \text{Sub}(G)$  be a subgroup of a group  $G$ . Consider Example 3 in Example 6.1.4. The **normaliser of  $H$  (in  $G$ )** is

$$\text{Norm}_G(H) \stackrel{\text{def}}{=} \text{Stab}_G(H),$$

for this action. Thus,

$$\text{Norm}_G(H) = \{g \in G \mid g \cdot H = H\} = \{g \in G \mid gHg^{-1} = H\}.$$

Since stabilisers of group actions are subgroups (Lemma 6.2.2) then **the centraliser of  $g \in G$  is a subgroup of  $G$  and the normaliser of  $H \subset G$  is a subgroup of  $G$ .**

**Definition 6.3.3** (Normal subgroup). Let  $H \subset G$  be a subgroup. If  $G = \text{Norm}_G(H)$ , so that  $H$  is a fixed point for the action in Example 3 of Example 6.1.4, then we say that  $H$  is a **normal subgroup in  $G$** .

**Example 6.3.4.** 1. Assume that  $G$  is abelian. Then, for any  $g \in G$ ,  $\text{Cent}_G(g) = G$ . Moreover, the converse is true: suppose that, for any  $g \in G$ ,  $G = \text{Cent}_G(g)$ , the  $G$  is abelian.

2. Let  $G = D_8 = \{e, r, r^2, r^3, s, sr, sr^2, sr^3\}$ . Then,  $\text{Cent}_G(r^2) = G$ , while  $G$  is not abelian. Also,  $\text{Cent}_G(s) = \{e, s, r^2, sr^2\}$  as can be seen by inspection.

3. Let  $G = S_3 = \{e, s, t, st, ts, sts\}$ , where

$$s(1) = 2, s(2) = 1, s(3) = 3, t(1) = 1, t(2) = 3, t(3) = 2.$$

Then,  $\text{Cent}_G(s) = \{e, s\}$ : indeed, since  $\text{Cent}_G(s) \subset G$  is a subgroup, we must have its order divides the order of  $G$ , equal to 6. Hence,  $|\text{Cent}_G(s)| = 2, 3, 6$ . We have seen that the only subgroup of order 3 in  $G$  is  $H = \{e, st, ts\}$  so that, since  $s \notin H$ , then  $H \neq \text{Cent}_G(s)$ . So, we must have  $|\text{Cent}_G(s)| = 2, 6$  and, as  $st \neq ts$  - for example,  $st(1) = s(1) = 2$  while  $ts(1) = t(2) = 3$  - then  $t \notin \text{Cent}_G(s)$  and  $|\text{Cent}_G(s)| \leq 5$ . Hence,  $|\text{Cent}_G(s)| = 2$  and the claim follows.

4. Assume that  $G$  is abelian. Then, every subgroup of  $G$  is normal in  $G$ .

5. Let  $H \in \text{Sub}(G)$ ,  $h \in H$ . Then,  $h \in \text{Norm}_G(H)$ . Hence,  $H \subset \text{Norm}_G(H)$ , for any subgroup  $H$  in  $G$ .

6. Let  $G = D_8$  and  $H = \{e, s\} \subset G$ . Then,  $\text{Norm}_G(H) = \{e, s, r^2, sr^2\}$ : indeed, we have

$$r^2 H r^{-2} = r^2 H r^2 = \{r^2 h r^2 \mid h \in H\} = \{e, r^2 s r^2\} = \{e, s\} = H$$

$$s r^2 H (s r^2)^{-1} = s r^2 H r^2 s = s (r^2 H r^2) s = s H s = H,$$

since  $s \in H$ . This shows that  $\{e, s, r^2, sr^2\} \subset \text{Norm}_G(H)$  and, since  $\text{Norm}_G(H)$  is a subgroup, we have that  $\text{Norm}_G(H) = \{e, s, r^2, sr^2\}$  or  $G$ . As

$$r s r^{-1} = r s r^3 = s r^3 r^3 = s r^2 \notin H,$$

then  $r \notin \text{Norm}_G(H)$  and  $\text{Norm}_G(H) = \{e, s, r^2, sr^2\}$ .

## 7 Lecture 7 - Conjugacy. Symmetric groups. Cayley's Theorem.

Previous lecture - Next lecture

**Keywords:** Conjugacy class, conjugate elements. Class equation. Partitions of integers. Cycle decomposition. Cayley's Theorem.

We saw in Lecture 5 that Lagrange's Theorem was a simple consequence of the existence of an equivalence relation on a group  $G$  (dependent on a given subgroup  $H$ ). In this lecture we introduce another equivalence relation that exists on any group (not relying on a subgroup) and make use of the corresponding partition of  $G$  into the equivalence classes that arise. We investigate this partition in the case of  $G = S_n$ , the symmetric group on  $n$  letters. Finally, we prove Cayley's Theorem - this states that any (abstract) group can be realised as a group of symmetries of some set.

### 7.1 Conjugacy classes

**Definition 7.1.1.** Let  $G$  be a group,  $g, g' \in G$ . Say that  $g$  is **conjugate to**  $g'$  if there exists  $h \in G$  such that  $g' = hgh^{-1}$ .

**Lemma 7.1.2.** Let  $G$  be a group. The subset

$$R_{conj} = \{(g, g') \in G \times G \mid g \text{ conjugate to } g'\} \subset G \times G,$$

defines an equivalence relation on  $G$ .

*Proof:* Homework/worksheet. □

**Definition 7.1.3** (Conjugacy class). Let  $g \in G$ . The equivalence class of  $g$  with respect to  $R_{conj}$  is called the **conjugacy class of  $g$  in  $G$** . We will denote the equivalence class of  $g$  by  $C(g)$ ; we see that

$$C(g) = \{g' \in G \mid g' = hgh^{-1} \text{ for some } h \in H\}.$$

We will sometimes simply refer to a **conjugacy class in  $G$** , the reference to  $g \in G$  being implicit.

**Example 7.1.4.** a) For any group  $G$ ,  $C(e_G) = \{e_G\}$ . Hence, **if  $G$  is a nontrivial group then there always exists at least two conjugacy classes.**

b) Let  $G$  be an abelian group. Then, for any  $g \in G$

$$C(g) = \{g\}.$$

In fact,  $G$  is abelian if and only if  $C(g) = \{g\}$ , for any  $g \in G$ .

c) Let  $G = D_8$ . Then, you can verify (by direct computation) that

$$C(e) = \{e\}, \quad C(r) = \{r, r^3\}, \quad C(r^2) = \{r^2\}, \quad C(s) = \{s, sr^2\}, \quad C(sr) = \{sr, sr^3\}.$$

d) Let  $G = GL_2(\mathbb{C})$ . Then,

$$C\left(\begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}\right) = \{A \in GL_2(\mathbb{C}) \mid (A - I_2)^2 = 0, A \neq I_2\}.$$

This is a consequence of the theory of the Jordan form of a linear operator on  $\mathbb{C}^2$ ; we will see how to prove this in a homework/worksheet problem.

If  $G$  is finite then the existence of the equivalence relation  $R_{conj}$  described above imposes a condition relating the order of  $G$  with the number/size of conjugacy classes:

**Theorem 7.1.5** (Class equation). Let  $G$  be a finite group. Suppose that there exists  $k$  conjugacy classes and  $e_G, g_2, \dots, g_k$  are distinct class representatives of the conjugacy classes of  $G$ . Then,

$$|G| = 1 + \sum_{i=2}^k |C(g_i)|.$$

*Proof:* Homework/worksheet. □

## 7.2 Symmetric groups, cycle decompositions

Let  $[n] = \{1, \dots, n\}$ . Then, the symmetric group  $S_n$  acts on  $[n]$  in the following (obvious) way (recall that elements of  $S_n$  are functions on  $[n]$ ):

$$S_n \times [n] \rightarrow [n]; (\omega, i) \mapsto \omega(i).$$

In this way we obtain a **permutation of  $[n]$** . Let  $\omega \in S_n$  and consider the subgroup  $H(\omega) = \langle \omega \rangle \subset S_n$ ; it is an abelian subgroup of order  $o(\omega)$ . We now restrict the action defined above to this subgroup

$$H(\omega) \times [n] \rightarrow [n]; (\omega^r, i) \mapsto (\omega \circ \dots \circ \omega) (i), \quad r \text{ times}$$

This action defines a partition of  $[n]$  into the orbits of this action and, given  $i \in [n]$ , we denote the orbit of  $i$

$$(i_1 \dots i_k) \stackrel{\text{def}}{=} \mathcal{O}_i = \{i_1, \dots, i_k\}, \quad 1 \leq i_1 < i_2 < \dots < i_k \leq n$$

and call  $(i_1 \dots i_k)$  a  **$k$ -cycle**, or simply a **cycle**. Note that we are saying that  $i \in \{i_1, \dots, i_k\}$ . Conversely, given a cycle  $(i_1 \dots i_k)$ , we obtain a unique element  $\omega \in S_n$  corresponding to that cycle. Two cycles  $(i_1 \dots i_r)$  and  $(j_1 \dots j_s)$  are said to be **disjoint** if

$$\{i_1, \dots, i_r\} \cap \{j_1, \dots, j_s\} = \emptyset.$$

Hence, to any  $\omega \in S_n$  we can consider the above partition of  $[n]$  into orbits, and label the orbits by (disjoint) cycles. Thus, we can denote an element  $\omega \in S_n$  as

$$\omega \leftrightarrow (i_1 \dots i_r)(j_1 \dots j_s) \dots (k_1 \dots k_t),$$

where  $i_1 < \dots < i_r, j_1 < \dots < j_s$  etc. and

$$\{i_1, \dots, i_r\} \cap \{j_1, \dots, j_s\} = \emptyset,$$

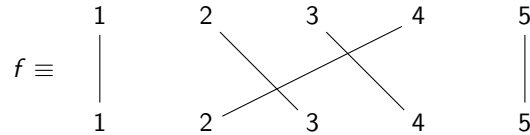
because cycles are orbits. This presentation of an element of  $\omega \in S_n$  is called the **cycle decomposition of  $\omega$** .

The cycle decomposition implies

**the  $r$ -cycles,  $r = 1, \dots, n$ , generate the symmetric group  $S_n$**

However, the relations satisfied by these generators are not so straightforward to determine.

**Example 7.2.1.** Consider the element  $\omega \in S_5$ , corresponding to the wiring diagram



The partition of  $\{1, 2, 3, 4, 5\}$  is

$$\{1, 2, 3, 4, 5\} = \{1\} \cup \{2, 3, 4\} \cup \{5\}$$

as you can check that  $o(\omega) = 3$  and  $\omega(1) = 1, \omega(5) = 5$  and

$$\omega(2) = 3, \omega^2(2) = \omega(\omega(2)) = \omega(3) = 4, \omega^3(2) = 2,$$

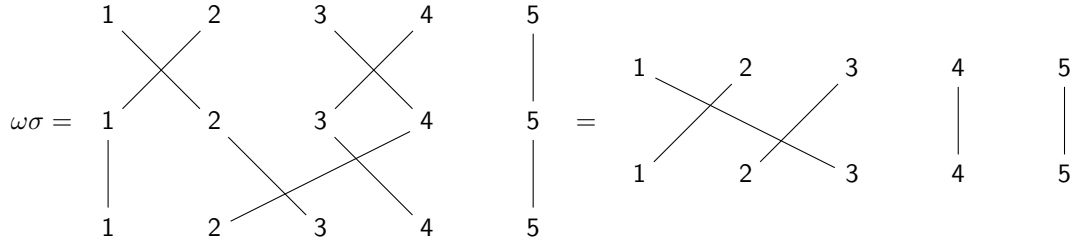
so the orbit of 2 under the action of  $H(\omega)$  is  $\{2, 3, 4\}$ . Hence, the cycle decomposition of  $\omega$  is  $(1)(234)(5)$ .

**Remark 7.2.2.** When a cycle contains exactly one integer we will not include it in the cycle decomposition; so in the above example we have

$$\omega = (234) \in S_5.$$

This requires **keeping track of the symmetric group we are working with** - for example, the element  $(2457)(16) \in S_9$  is missing the 1-cycles  $(3), (9), (8)$ . However, if we did not state that  $(2457)(16)$  is an element of  $S_9$  then there would be ambiguity in our notation.

**Example 7.2.3.** Let  $\omega = (234) \in S_5$  and  $\sigma = (12)(34) \in S_5$ . Then, the cycle decomposition of  $\omega\sigma$  can be determined as follows: since  $S_5 \cong W_5$ , we can make use of wiring diagrams. We see that the product  $\omega\sigma$  is the wiring diagram



so that  $\omega\sigma = (132) \in S_5$ .

Introducing the cycle notation for elements of  $S_n$  allows us to give a nice description of conjugacy classes in  $S_n$ .

**Definition 7.2.4** (Partitions of integers). A sequence of integers  $n_1 \geq \dots \geq n_k \geq 1$  such that  $n_1 + \dots + n_k = n$  is called a **partition of  $n$** .<sup>1</sup>

We will denote a partition  $n_1 \geq \dots \geq n_k$  either as a sequence  $\nu : (n_1, n_2, \dots, n_k)$  or by the expression  $\nu : 1^{r_1} 2^{r_2} \dots$ , where  $r_i$  is the number of times  $i$  appears in the partition; partitions will be denoted by Greek alphabet letters such as  $\lambda, \mu, \nu, \pi$  etc.

We define the **length of  $\nu : (n_1, \dots, n_k)$**  to be  $|\nu| = n_1 + \dots + n_k$ .

For example, the partition

$$\nu : 4 + 4 + 3 + 2 + 2 + 2 + 1 + 1 = 19,$$

will be expressed either as the sequence  $\nu : (4, 4, 3, 2, 2, 2, 1, 1)$  or as the expression  $\nu : 1^2 2^3 3^4 2^2$ ; the length of  $\nu$  is  $|\nu| = 19$ .

To any element  $\omega \in S_n$  we associate a partition as follows: let  $1 \leq k_1 \leq \dots \leq k_r$  be the lengths of the cycles appearing in the cycle decomposition of  $\omega$ . Then, we define the **cycle type of  $\omega$**  to be the partition of  $n$

$$\pi_\omega \stackrel{\text{def}}{=} (k_r, \dots, k_1).$$

**Theorem 7.2.5** (Classification of conjugacy classes in  $S_n$ ). Let  $\omega, \sigma \in S_n$ . Then,

$$C(\omega) = C(\sigma) \Leftrightarrow \pi_\omega = \pi_\sigma.$$

*Proof:* We prove the case of a single  $r$ -cycle: let  $\omega = (i_1 \dots i_r), \sigma = (j_1 \dots j_r) \in S_n$ . Define  $\tau \in S_n$  as follows:

$$\tau(i_1) = j_1, \tau(i_2) = j_2, \dots, \tau(i_r) = j_r.$$

Then,

$$\tau^{-1} \sigma \tau = \omega.$$

The general case will be a homework exercise. □

**Example 7.2.6.** Consider the elements  $\omega = (12)(34), \sigma = (13)(24) \in S_4$ . Then, by Theorem 7.2.5, we have that  $(12)(34)$  and  $(13)(24)$  are conjugate. You can check that

$$(23)\sigma(23) = \omega,$$

using wiring diagrams.

<sup>1</sup>There's potential for confusion of notation here as we have two definitions: *partitions of a set* and *partitions of an integer*. However, the context in which we use the word should make it clear to which of these definitions we are referring.

### 7.3 Cayley's Theorem

If a group  $G$  acts on a set  $S$  then we obtain a group homomorphism

$$G \rightarrow \text{Perm}(S),$$

so that  $\text{im } G$  is a subgroup of permutations of  $S$ . It is in this way that we consider that  $G$  captures some of the symmetry of  $S$ . Loosely speaking, a 'symmetry of  $S$ ' should be an 'operation' that we can apply to  $S$  that can be 'undone' and leaves  $S$  'unchanged'; this is precisely a 'bijection/permutation of  $S$ '.

A group  $G$  is an abstract collection of symmetries, and whenever  $G$  acts on a set  $S$  then we obtain a 'realisation' of these symmetries as permutations of  $S$ . However, it may be the case that distinct elements of  $G$  are realised as the same permutation of  $S$ . Is there a way that we can realise any group  $G$  concretely as the symmetries of some actual set, so that  $G$  can be considered as a group of permutations? The answer is yes, and may be quite surprising:

**Theorem 7.3.1** (Cayley's Theorem). *Let  $G$  be a group. Then, there is an injective homomorphism*

$$L : G \rightarrow \text{Perm}(G) ; g \mapsto L_g \in \text{Perm}(G),$$

where  $L_g$  is the left multiplication function,

$$L_g : G \rightarrow G ; h \mapsto L_g(h) = gh.$$

*Proof:* We need to check the following:

- $L$  is well-defined, namely  $L_g$  is a bijective function, for every  $g \in G$ : indeed, we have  $L_g \circ L_{g^{-1}} = \text{id}_G = L_{g^{-1}} \circ L_g$ , so that  $L_g^{-1} = L_{g^{-1}}$ .
- $L$  is a homomorphism: this follows from noticing that  $L$  is the homomorphism of groups associated to the action of  $G$  on  $G$

$$G \times G \rightarrow G ; (g, h) \mapsto gh,$$

defined in Fundamental Example 6.1.4.

- $L$  is injective: suppose that  $L_g$  is the identity function (so that  $g \in \ker L$ ). Then,

$$e = L_g(e) = ge = g \implies \ker L = \{e\}.$$

□

Why does Cayley's Theorem enable us to realise  $G$  as permutations of some set? Since the map  $L$  is injective then we have that  $G$  is isomorphic<sup>2</sup> to  $\text{im } G \subset \text{Perm}(G)$  so that

**$G$  can be realised as a (sub)group of permutations of the set  $G$ .**

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<sup>2</sup>The isomorphism being defined by  $L$ !



## 8 Lecture 8 - Orbit-Stabiliser Theorem.

Previous lecture - Next lecture

**Keywords:** Orbit-Stabiliser Theorem.  $p$ -group. Fixed point congruence for  $p$ -groups.

In this lecture we will see that there are tight restrictions on the size of an orbit  $\mathcal{O}$ , whenever a group  $G$  acts on the set  $S$ . We will investigate further some consequences when  $|G| = p^r$ , for  $p$  a prime.

### 8.1 Orbit-Stabiliser Theorem

**Theorem 8.1.1** (Orbit-Stabiliser Theorem). *Let  $G$  be a finite group acting on the set  $S$ ,  $x \in S$ . Then,*

$$|\mathcal{O}_x| = |G|/|\text{Stab}_G(x)|.$$

In particular,

**the size of an orbit divides the order of  $G$ .**

*Proof:* Denote  $H = \text{Stab}_G(x)$ . Then, using Lagrange's Theorem, it suffices to show that there is a bijection

$$\mathcal{O}_x \rightarrow G/H.$$

Define

$$f : \mathcal{O}_x \rightarrow G/H; y = g \cdot x \mapsto gH.$$

We need to check that  $f$  is well-defined: namely, suppose that we have  $y = g \cdot x$  and  $y = g' \cdot x$ . Then, we need to ensure that

$$g'H = f(y) = gH,$$

so that  $f$  is a function. As  $g \cdot x = g' \cdot x$  then  $x = g^{-1} \cdot (g' \cdot x) = (g^{-1}g') \cdot x$ , then  $g^{-1}g' \in \text{Stab}_G(x) = H$  and  $gH = g'H$ .

**$f$  is injective:** suppose that  $y = g \cdot x, y' = g' \cdot x$  and  $f(y) = f(y')$ . Then,

$$gH = g'H \implies g^{-1}g' \in H \implies x = g^{-1}g' \cdot x \implies y = g \cdot x = g' \cdot x = y'.$$

**$f$  is surjective:** let  $gH \in G/H$ . If  $y = g \cdot x \in \mathcal{O}_x$  then  $f(y) = gH$ . □

**Corollary 8.1.2.** *Let  $G$  be a finite group. The size of a conjugacy class in  $G$  divides the order of  $G$ .*

*Proof:* Consider the action of  $G$  on itself by conjugation. Then, an orbit of this action is a conjugacy class in  $G$ , and the result follows from the Orbit-Stabiliser Theorem. □

**Example 8.1.3.** 1. The group  $\mathbb{Z}/9\mathbb{Z}$  acts on the set  $\mathbb{Z}/3\mathbb{Z}$  by

$$\bar{i} \cdot \bar{a} \stackrel{\text{def}}{=} \overline{a + 2i}, \quad \bar{i} \in \mathbb{Z}/9\mathbb{Z}, \bar{a} \in \mathbb{Z}/3\mathbb{Z}.$$

If  $\bar{i} \in \text{Stab}_{\mathbb{Z}/9\mathbb{Z}}(\bar{a})$  then  $\overline{a + 2i} = \bar{a}$ , so that  $(a + 2i) - a = 2i$  is divisible by 3, which means that  $i$  is divisible by 3 (because 3 is prime). Conversely, if  $i$  is divisible by 3 then  $\bar{i} \in \text{Stab}_{\mathbb{Z}/9\mathbb{Z}}(\bar{a})$ ; thus,  $\text{Stab}_{\mathbb{Z}/9\mathbb{Z}}(\bar{a}) = \{\bar{0}, \bar{3}, \bar{6}\}$ . Hence, the Orbit-Stabiliser Theorem implies that

$$|\mathcal{O}_{\bar{1}}| = 9/3 = 3 \implies \mathcal{O}_{\bar{1}} = \mathbb{Z}/3\mathbb{Z}.$$

2. Let  $S_5$  act on itself by conjugation. Theorem 7.2.5 tells us that two elements  $\sigma, \tau \in S_n$  are conjugate precisely when they have the same cycle type; the Orbit-Stabiliser theorem now allows us to determine how many elements there are of a given cycle type. For example, there are 20 elements in  $S_5$  with cycle type (3, 2). Indeed, the element  $(123)(45) \in S_5$  has the following stabiliser

$$\text{Stab}_{S_5}((123)(45)) = \{\sigma \in S_5 \mid (\sigma(1)\sigma(2)\sigma(3))(\sigma(4)\sigma(5)) = (123)(45)\}.$$

This is because

$$\sigma \cdot (123)(45) \cdot \sigma^{-1} = (\sigma(1)\sigma(2)\sigma(3))(\sigma(4)\sigma(5)).$$

Now,  $(123) = (\sigma(1)\sigma(2)\sigma(3))$  if and only if  $\sigma(i+1) = \sigma(i) + 1 \pmod 3$ , for  $i \in \{1, 2, 3\}$ . This means that  $\sigma(\{1, 2, 3\}) = \{1, 2, 3\}$  and  $\sigma$ , when restricted to  $\{1, 2, 3\}$ , is completely determined by what  $\sigma(1)$  is equal to; thus, there are three such choices. Similarly,  $\sigma$ , when restricted to  $\{4, 5\}$ , is completely determined by what  $\sigma(4)$  is equal to, and there are two such choices. Hence, there are  $2 \cdot 3 = 6$  such functions and  $|\text{Stab}_{S_5}((123)(45))| = 2 \cdot 3 = 6$ . Now,  $|S_5| = 5! = 120$ , so that the number of conjugates of  $(123)(45)$  is  $120/6=20$ .

Furthermore, this calculation shows that there are 20 elements in  $S_5$  of order 6 - the elements of cycle type  $(3, 2)$  are precisely the elements in  $S_5$  of order 6.

## 8.2 $p$ -groups

In this section we will see that the Orbit-Stabiliser Theorem implies some restrictions on how certain groups can act on sets.

**Definition 8.2.1** ( $p$ -group). A finite group  $G$  is a  **$p$ -group** if the order of  $G$  is  $p^r$ , for some prime  $p$  and  $r > 0$ .

**Example 8.2.2.** 1. Let  $p$  be a prime. Then,  $G = \mathbb{Z}/p^r\mathbb{Z}$  is a  $p$ -group whenever  $r > 0$ .

2. Consider the vector space  $\mathbb{F}_p^r$ . Then,  $(\mathbb{F}_p^r, +)$  is a  $p$ -group.

3. The group of unit quaternions  $Q = \{\pm 1, \pm i, \pm j, \pm k\}$  is a 2-group.

These examples show that a  $p$ -group need not be cyclic nor abelian, in contrast to a group whose order is a prime  $p$  (the case  $r = 1$ ).

The Orbit-Stabiliser Theorem has some useful consequences whenever a  $p$ -group acts on a set  $S$ .

**Theorem 8.2.3** (Fixed point congruence for  $p$ -groups). Let  $G$  be a  $p$ -group acting on the set  $S$ . Suppose there are  $k$  fixed points of this action. Then,

$$k \equiv |S| \pmod p.$$

*Proof:* If  $x \in S$  is a fixed point then the orbit through  $x$  admits a straightforward description:  $\mathcal{O}_x = \{x\}$ . The Orbit-Stabiliser Theorem implies that the size of any orbit must divide  $|G| = p^r$ . Hence, if an orbit contains more than one element then it must have size divisible by  $p$ . Thus, if  $\mathcal{O}_1, \dots, \mathcal{O}_s$  are the distinct orbits in  $S$ , then there are exactly  $k$  orbits consisting of one element. Assume that  $|\mathcal{O}_1| = \dots = |\mathcal{O}_k| = 1$ . Then, by the Orbit Decomposition Formula,

$$|S| = |\mathcal{O}_1| + \dots + |\mathcal{O}_s| = k + |\mathcal{O}_{k+1}| + \dots + |\mathcal{O}_s| \equiv k \pmod p.$$

□

**Corollary 8.2.4.** Let  $G$  be a  $p$ -group. Suppose that  $G$  acts on  $S$  and  $|S| \not\equiv 0 \pmod p$ . Then, there exists a fixed point of this action.

*Proof:* If there is not a fixed point of this action then Theorem 8.2.3 implies that

$$|S| \equiv 0 \pmod p.$$

This contradicts the assumption on  $|S|$  in the statement of the result.

□

Here is an example of how we can use these ‘fixed-point results’:

**Theorem 8.2.5.** Let  $G$  be a  $p$ -group. Then, there exists nontrivial  $g \in G$  such that  $g^p = e$ .

*Proof:* Assume  $|G| = p^r$ . Define

$$S = \{(g_0, \dots, g_{p-1}) \mid g_0, \dots, g_{p-1} \in G, g_0 \cdots g_{p-1} = e\}.$$

Suppose  $(g_0, \dots, g_{p-1}) \in S$ . If  $g_0, \dots, g_{p-2} \in G$  are arbitrary then  $g_{p-1} = (g_0 \cdots g_{p-2})^{-1}$ . Hence,  $|S| = (p^r)^{p-1} = p^{r(p-1)}$ .

Now, if  $(g_0, \dots, g_{p-1}) \in S$  then so is  $(g_1, \dots, g_{p-1}, g_0)$ :  $g_0^{-1} = g_1 \cdots g_{p-1}$  and we always have

$$g_0 g_0^{-1} = g_0^{-1} g_0 = e.$$

Hence, we can define an action of  $H = \mathbb{Z}/p\mathbb{Z}$  on  $S$  as follows: for  $\bar{i} \in \mathbb{Z}/p\mathbb{Z}$

$$\bar{i} \cdot (g_0, \dots, g_{p-1}) \stackrel{\text{def}}{=} (g_{\overline{0+i}}, \dots, g_{\overline{p-1+i}})$$

where the subscript  $\bar{x}$  denotes  $x \bmod p$  (by some abuse of notation). For example,

$$\bar{2} \cdot (g_0, \dots, g_{p-1}) = (g_2, g_3, \dots, g_{p-1}, g_0, g_1).$$

So the action of  $H$  is by cyclically shifting the entries of an element of  $S$ .

Since  $(e, \dots, e)$  is a fixed point, Theorem 8.2.3 implies that the number of fixed points is a positive multiple of  $p$ . Any fixed point is of the form  $(g, \dots, g)$ , for some  $g \in G$ , and since  $(g, \dots, g) \in S$  then  $g^p = e$ .  $\square$

## 9 Lecture 9 - Sylow's Theorems.

Previous lecture - Next lecture

**Keywords:** Sylow  $p$ -subgroup. Sylow's Theorems.

Lagrange's Theorem gives a necessary condition on the order of a subgroup  $H$  of a finite group  $G$  - the order of  $H$  divides the order of  $G$ . This gives a tight restriction on the potential subgroups of any finite group  $G$ .

Is this a sufficient condition? That is: if  $k$  divides the order of  $G$  then is there a subgroup of  $G$  of order  $k$ ? A glance at some small groups (of order less than 10) would support this claim. However, **this statement is false**: for example, there is no subgroup of  $T_+$ , the group of rotational symmetries of the tetrahedron (which has order 12), of order 6.

This lecture is concerned with a sufficient condition for a subgroup of a finite group to exist:

**if  $p$  is a prime such that  $p^r$  divides the order of  $G$ , with  $r$  maximal, then there exists a subgroup of  $G$  of order  $p^r$ .**

Moreover, we will obtain strong conditions relating all possible such subgroups.

### 9.1 Sylow's Theorems

Define

$$\text{Syl}_p \stackrel{\text{def}}{=} \{H \subset G \mid H \in \text{Sub}(G), |H| = p^r\}.$$

A subgroup  $H \in \text{Syl}_p$  will be called a **Sylow  $p$ -subgroup of  $G$** .

Most of this lecture is concerned with the proof of the following result:

**Theorem 9.1.1 (Sylow).** *Let  $G$  be a finite group of order  $n$ . Suppose that  $n = p^r m$ , where  $p$  is a prime,  $m \neq 1$ , and  $p$  does not divide  $m$ . Then,*

(SYL1) *There exists a Sylow  $p$ -subgroup of  $G$ .*

(SYL2) *All Sylow  $p$ -subgroups are conjugate and any  $p$ -subgroup of  $G$  is contained in a Sylow  $p$ -subgroup.*

(SYL3) *If  $k_p$  is the number of Sylow  $p$ -subgroups then  $k_p \equiv 1 \pmod{p}$ .*

Then,

- SYL1 is equivalent to showing that  $\text{Syl}_p \neq \emptyset$ ;
- if we let  $G$  act on  $\text{Syl}_p$  by conjugation -  $g \cdot H = gHg^{-1}$  - then SYL2 is equivalent to showing that there is exactly one orbit of this action;
- SYL3 is equivalent to showing that  $|\text{Syl}_p| \equiv 1 \pmod{p}$ .

To establish SYL1, SYL2, SYL3 we consider  $G$  acting on several sets, illustrating the far-reaching consequences of group actions.

*Proof:* Let  $G$  be a finite group of order  $n$ , with  $n = p^r m$ ,  $m \neq 1$  and  $\gcd(p, m) = 1$ . In particular,  **$n$  is not a prime power** (otherwise the result is trivial!).

**SYL1:** let  $X = \{A \in P(G) \mid |A| = p^r\}$ . Then,  $|X| = \binom{n}{p^r}$  and  $|X| \not\equiv 0 \pmod{p}$ .<sup>1</sup>

We define an action of  $G$  on  $X$  as follows,

$$G \times X \rightarrow X; (g, A) \mapsto g \cdot A \stackrel{\text{def}}{=} gA.$$

---

<sup>1</sup>This is a homework/worksheet problem.

There must exist an orbit  $\mathcal{O}$  such that  $|\mathcal{O}| \not\equiv 0 \pmod{p}$ , by the condition on  $|X|$  given above; moreover, since  $|\mathcal{O}|$  divides  $n$ , by the Orbit-Stabiliser Theorem, then  $|\mathcal{O}|$  divides  $m$ . Suppose that  $\mathcal{O} = \mathcal{O}_A$  for some  $A \subset G$ , and denote  $S = \text{Stab}_G(A)$ ; the Orbit-Stabiliser Theorem implies that  $|S|$  is divisible by  $p^r$ , by the restriction on  $|\mathcal{O}|$  just stated, in particular  $|S| \geq p^r$ . Fix  $a \in A$ . For  $g \in S$  we have  $ga \in A$ , so that  $g \in Aa^{-1}$ . Since  $g$  is arbitrary then  $S \subset Aa^{-1}$  and  $|S| \leq |Aa^{-1}| = p^r$ . Hence,  $|S| = p^r$  and  $S \in \text{Syl}_p$ .

**SYL2:** Let  $S \in \text{Syl}_p$ ,  $H$  a  $p$ -subgroup of  $G$ . Consider  $Y = G/S$  and let  $H$  act on  $Y$  via

$$H \times Y \rightarrow Y; (h, gS) \mapsto h \cdot gS \stackrel{\text{def}}{=} hgS.$$

As  $|Y| = m$  and  $\gcd(p, m) = 1$ , the Orbit Decomposition Formula implies that some orbit  $\mathcal{O}$  must satisfy  $|\mathcal{O}| \not\equiv 0 \pmod{p}$  - else, every orbit has size divisible by  $p$  so that  $|Y|$  is divisible by  $p$  - and the Orbit-Stabiliser Theorem then gives  $|\mathcal{O}|$  divides  $|H|$ , a power of  $p$ . Hence,  $|\mathcal{O}| = 1$  so that some coset  $gS$  must satisfy  $hgS = gS$ , for every  $h \in H$ . Hence,  $g^{-1}hgS = S$ , for every  $h \in H$ , and  $g^{-1}hg \in S$ , for every  $h \in H$ . Thus,  $g^{-1}Hg \subset S$  and,  $H \subset gSg^{-1}$ . If  $H \in \text{Syl}_p$  then  $H = gSg^{-1}$  and  $|H| = |gSg^{-1}| = |S|$ , giving  $H \in \text{Syl}_p$ .

**SYL3:** Let  $S \in \text{Syl}_p$ . Then,  $S$  acts on  $\text{Syl}_p$  by conjugation:

$$S \times \text{Syl}_p \rightarrow \text{Syl}_p; (g, H) \mapsto g \cdot H \stackrel{\text{def}}{=} gHg^{-1}.$$

If  $S' \in \text{Syl}_p$  is such that  $g \cdot S' = S'$ , for every  $g \in S$ , then

$$gS'g^{-1} = S', \text{ for every } g \in S, \text{ implying that } SS' = S'S.$$

Thus,  $K = SS'$  is a subgroup of  $G$  and  $S, S'$  are normal subgroups of  $K$ . In addition,  $S'$  is a Sylow  $p$ -subgroup of  $K$ , hence conjugate to  $S$  by SYL2. So, there is  $k \in K$  with

$$S = kS'k^{-1} = S',$$

the last equality a consequenc of  $S'$  being normal in  $K$ . Hence, we have seen that the only fixed point in  $\text{Syl}_p$  (under the action of  $S$ ) is  $S$ . As  $S$  is a  $p$ -group then

$$\text{no. of fixed points of action} \equiv |\text{Syl}_p| \pmod{p},$$

by Theorem 8.2.3, and  $|\text{Syl}_p| \equiv 1 \pmod{p}$ . □

**Corollary 9.1.2.** *The number of Sylow  $p$ -subgroups is equal to the index of  $N_G(S)$ , where  $S \in \text{Syl}_p$ .*

*Proof:*  $G$  acts on  $\text{Syl}_p$  by conjugation and SYL2 implies that there is only one orbit  $\mathcal{O}$  of this action; hence,  $\text{Syl}_p = \mathcal{O}$ . If  $S \in \text{Syl}_p$  then  $\text{Stab}_G(S) = N_G(S)$  and the Orbit-Stabiliser Theorem gives

$$|\text{Syl}_p| = |\mathcal{O}| = |G|/|N_G(S)| = [G : N_G(S)].$$
□

## 9.2 An application: groups of order $pq$

Let  $G$  be a group of order  $n = pq$ , where  $p$  and  $q$  are distinct primes and  $p > q$ . Then, Sylow's Theorems imply that there exist subgroups  $H, K$  of  $G$  of order  $p, q$  respectively. As  $H$  and  $K$  have prime orders then they are cyclic.

Consider the subgroup  $H$  of order  $p$  - we will see that  $H$  is normal. Recall that  $H$  is normal if and only if  $gHg^{-1} = H$ , for every  $g \in G$ , and that this is equivalent to  $\text{Norm}_G(H) = G$ .

$\text{Norm}_G(H)$  is a subgroup of  $G$  so that its order divides  $G$  - hence,  $|\text{Norm}_G(H)|$  equals either  $1, p, q$  or  $pq$ . Moreover,  $H \subset \text{Norm}_G(H)$  so that  $p$  divides  $\text{Norm}_G(H)$  - hence,  $|\text{Norm}_G(H)|$  is equal to  $p$  or  $pq$ . If  $|\text{Norm}_G(H)| = p$  then  $|\text{Syl}_p| = q$ , by Corollary 9.1.2, and SYL3 implies that

$$q = |\text{Syl}_p| \equiv 1 \pmod{p}.$$

As  $q < p$  then  $q \equiv 1 \pmod{p}$  if and only if  $q = 1$ . Hence, it is not possible that  $q \equiv 1 \pmod{p}$  so that  $|\text{Norm}_G(H)| \neq p$ , implying that  $|\text{Norm}_G(H)| = pq$ . Thus,  $\text{Norm}_G(H) = G$  and  $H$  is normal.

We have just shown

**every group of order  $pq$  has a proper, nontrivial normal subgroup**

## 10 Lecture 10 - Quotient groups, the canonical homomorphism.

Previous lecture - Next lecture

**Keywords: Quotient group. Canonical homomorphism. First Isomorphism Theorem.**

Let  $G$  be a group and  $N \subset G$  a **normal** subgroup - it is extremely important that  $N$  is normal in what follows. Consider the set of (left) cosets of  $N$  in  $G$ , denoted  $G/N$ . Then, an element of the set  $G/N$  is a (left) coset of  $N$  in  $G$ . In fact, since  $N$  is normal in  $G$ , we have that  $gNg^{-1} = N$ , for every  $g \in G$ . This condition is equivalent to the equality of sets  $gN = Ng$ , for every  $g \in G$ ; hence,

**if  $N$  is normal,  $g \in G$ , then the left coset of  $N$  containing  $g$  is also a right coset of  $N$  containing  $g$**

In this lecture we will investigate some of the consequences of this fact.

### 10.1 Quotient groups

**Definition 10.1.1.** Let  $G$  be a group,  $N \subset G$  a normal subgroup. Define the **quotient group of  $G$  by  $N$**  to be  $(G/N, *)$ , where we define

$$* : G/N \times G/N \rightarrow G/N ; (gN, hN) \mapsto gN * hN \stackrel{\text{def}}{=} ghN.$$

The definition of  $*$  above has some potential ambiguity: if  $gN = g'N$  and  $hN = h'N$  (so that the cosets of  $g$  and  $g'$  are equal, and the cosets of  $h$  and  $h'$  are equal), then we need to ensure that  $ghN = g'h'N$ , otherwise  $*$  is not a function. However, we need not worry: suppose that  $gN = g'N$  and  $hN = h'N$ . Thus, there exists  $n, m \in N$  such that

$$gn = g', \quad hm = h'.$$

Moreover, since  $N$  is normal, then  $nh \in Nh = hN$ , and there is  $p \in N$  such that  $nh = hp$ . Thus,

$$g'h' = gn hm = gh(pm) \in ghN,$$

and  $g'h'N = ghN$ .<sup>1</sup>

Of course, we need to justify the phrase 'quotient group':

**Theorem 10.1.2.** Let  $G$  be a group,  $N$  a normal subgroup. Then,  $(G/N, *)$ , where  $*$  is defined above, is a group.

*Proof:* We need to show that  $(G/N, *)$  satisfies G1, G2, G3, G4 of Definition 3.1.1.

G1: this is precisely what we have established above.

G2: define  $e_{G/N} \stackrel{\text{def}}{=} e_G N$ . Then, for any  $g \in G$  we have

$$e_{G/N} * gN = e_G N * gN = e_G gN = gN = ge_G N = gN * e_G N = gN * e_{G/N}.$$

G3: homework/worksheet.

G4: homework/worksheet.

□

**Remark 10.1.3.** In general, it is not so straightforward to determine what the quotient group  $G/N$  is for given  $G$  and  $N$ . However, we can determine some information about  $G/N$ :

<sup>1</sup>Recall that left cosets are equivalence classes of a particular equivalence relation, and equivalence classes are either disjoint or equal.

- if  $G$  is finite then Lagrange's Theorem gives the order of  $G/N$  - we have  $|G/N| = |G|/|N|$ ;
- if  $G$  is abelian then  $G/N$  is abelian;
- if  $G$  is cyclic then  $G/N$  is cyclic.

Beware, **the converse of these statements are false in general.**

**Example 10.1.4.** 1. Let  $G = D_8 = \{e, r, r^2, r^3, s, sr, sr^2, sr^3\}$ . Then,  $N = \{e, r, r^2, r^3\}$  is a normal subgroup of  $G$  and  $G/N$  is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$ : indeed, we have  $|G/N| = |G|/|N| = 2$ , so that  $G/N$  is a group of prime order and so must be isomorphic to a cyclic group (THEOREM ???) of order 2.

Note that  $G/N$  is cyclic (and abelian) while  $G$  is not cyclic (and not abelian).

2. Let  $G = Q = \{\pm 1, \pm i, \pm j, \pm k\}$ , the group of unit quaternions with identity element  $e = 1$ . Then,  $N = \{1, -1\}$  is a normal subgroup of  $G$  and the quotient group  $G/N$  has order 4. Every group of order 4 is isomorphic to either  $\mathbb{Z}/4\mathbb{Z}$  or the subgroup

$$K_4 = \left\{ \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} \mid a, b \in \{1, -1\} \right\} \subset \text{Mat}_2(\mathbb{Z}).$$

Note that  $K_4$  is not isomorphic to  $\mathbb{Z}/4\mathbb{Z}$ : indeed,  $K_4$  does not possess an element of order 4.

We will see that  $G/N$  is isomorphic to  $K_4$  by showing that there is no element of order 4 in  $G/N$ . Note that

$$G/N = \{eN, iN, jN, kN\},$$

because  $iN = \{\pm i\}$ ,  $jN = \{\pm j\}$ ,  $kN = \{\pm k\}$ , and, for example,

$$(iN)^2 = iN * iN = i^2N = (-1)N = eN.$$

Similarly,  $(jN)^2 = (kN)^2 = eN$ . Hence, each of the nontrivial elements in  $G/N$  has order 2 and the claim follows. In fact, the isomorphism from  $G/N$  is

$$f : K_4 \rightarrow G/N ; \quad \begin{array}{ll} \begin{bmatrix} 1 & 1 \end{bmatrix} & \mapsto eN \\ \begin{bmatrix} 1 & -1 \end{bmatrix} & \mapsto iN \\ \begin{bmatrix} -1 & 1 \end{bmatrix} & \mapsto jN \\ \begin{bmatrix} -1 & -1 \end{bmatrix} & \mapsto kN \end{array}$$

where  $\begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}$  denotes the matrix  $\begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}$ .  $f$  is a bijection by construction and it is not too hard to check that  $f$  is a homomorphism: for example,

$$f\left(\begin{bmatrix} 1 & -1 \end{bmatrix} * \begin{bmatrix} -1 & 1 \end{bmatrix}\right) = f\left(\begin{bmatrix} -1 & -1 \end{bmatrix}\right) = kN,$$

$$f\left(\begin{bmatrix} 1 & -1 \end{bmatrix}\right) * f\left(\begin{bmatrix} -1 & 1 \end{bmatrix}\right) = iN * jN = ijN = kN,$$

so that

$$f\left(\begin{bmatrix} 1 & -1 \end{bmatrix} * \begin{bmatrix} -1 & 1 \end{bmatrix}\right) = f\left(\begin{bmatrix} 1 & -1 \end{bmatrix}\right) * f\left(\begin{bmatrix} -1 & 1 \end{bmatrix}\right).$$

3. Let  $G$  be a group,  $H \subset G$  any subgroup. Then,  $H$  is a normal subgroup of  $\text{Norm}_G(H)$  always (but not necessarily a normal subgroup of  $G$ ). Hence, we can consider the quotient group  $\text{Norm}_G(H)/H$ .

For example, if  $G = \text{GL}_3(\mathbb{Z})$  and  $D_3 \subset G$  is the subgroup of diagonal (invertible) matrices, then it can be shown that  $\text{Norm}_G(D_3)/D_3$  is isomorphic to  $S_3$ . In general, if  $G = \text{GL}_n(\mathbb{Z})$  and  $D_n \subset G$  is the subgroup of diagonal matrices, then  $\text{Norm}_G(D_n)/D_n$  is isomorphic to  $S_n$ .



## 10.2 The canonical homomorphism and the First Isomorphism Theorem

If  $G$  is a group and  $N \subset G$  is a normal subgroup, we have seen how we can obtain a new group, the quotient group  $G/N$ . Recall from the section on Equivalence Relations that to any equivalence relation  $R$  defined on a set  $A$  we can consider **the natural mapping associated to  $R$**

$$\pi : A \rightarrow A/\sim ; a \mapsto [a].$$

Since  $G/N$  is the set of equivalence classes of a certain equivalence relation defined on  $G$  - arising from the left  $N$ -partition - we have the natural mapping

$$\pi : G \rightarrow G/N ; g \mapsto gN.$$

In the given situation we will denote the above natural mapping by  $\pi_N$ , so that

$$\pi_N : G \rightarrow G/N ; g \mapsto gN,$$

and call it **the canonical homomorphism (associated to  $N$ )** or simply **the quotient homomorphism of  $G/N$** .

**Lemma 10.2.1.** *Let  $G$  be a group,  $N$  a normal subgroup. Then,*

a)  $\pi_N$  is a group homomorphism.

b)  $\ker \pi_N = N$ .

c)  $\text{im } \pi_N = G/N$ .

*Proof:*

a) let  $g, h \in G$ . Then,

$$\pi_N(gh) = ghN = gN * hN = \pi_N(g) * \pi_N(h).$$

Thus,  $\pi_N$  is a group homomorphism.<sup>2</sup>

b) Let  $n \in N$ . Then,  $\pi_N(n) = nN = N = eN = e_{G/N}$ , so that  $n \in \ker \pi_N$ . Hence,  $N \subset \ker \pi_N$ . We will now show that  $\ker \pi_N \subset N$ : if  $g \in \ker \pi_N$  then  $\pi_N(g) = e_{G/N}$ . Thus,  $gN = eN$  implying that  $g \in N$ .

c) This is straightforward. □

In fact, the quotient homomorphism is 'the only' surjective homomorphism with domain  $G$  and kernel  $N$ : this is (essentially) what the First Isomorphism Theorem states. First, we need the following

**Lemma 10.2.2.** *Let  $f : G \rightarrow H$  be a group homomorphism. Then,  $\ker f \subset G$  is a normal subgroup.*

*Proof:* Homework/worksheet. □

Thus, since every normal subgroup is the kernel of some homomorphism (the quotient homomorphism), we obtain the following slogan

**the normal subgroups of  $G$  are precisely those subgroups that are kernels**

**Theorem 10.2.3** (First Isomorphism Theorem). *Let  $f : G \rightarrow H$  be a group homomorphism. Then, if we denote  $K = \ker f$ , the induced homomorphism*

$$\bar{f} : G/K \rightarrow \text{im } f ; gK \mapsto f(g),$$

*is an isomorphism. In particular, if  $G$  is finite then  $|\text{im } f| = |G|/|\ker f|$ .*

<sup>2</sup>In fact, the definition of the law of composition on the quotient group is defined so that the natural mapping associated to the equivalence relation defining  $G/N$  is a group homomorphism.

*Proof:* There are several things we must establish:

- the map  $\bar{f}$  is well-defined, so that its definition does not depend on the choice of representative we have chosen for a coset in  $G/K$ . So, if  $gK = g'K$  we need to show that  $\bar{f}(gK) = \bar{f}(g'K)$  (otherwise  $\bar{f}$  is not a function!). Now,  $gK = g'K$  implies that there is  $k \in K$  such that  $g = g'k$ . Then,

$$\bar{f}(gK) = f(g) = f(g'k) = f(g')f(k) = f(g')e_H = f(g') = \bar{f}(g'K).$$

- $\bar{f}$  is a homomorphism: let  $gK, hK \in G/K$ . Then,

$$\bar{f}(gK * hK) = \bar{f}(ghK) = f(gh) = f(g)f(h) = \bar{f}(gK)\bar{f}(hK).$$

- $\bar{f}$  is injective: if  $hK \in \ker \bar{f}$  then

$$\bar{f}(hK) = e_H \implies f(h) = e_H \implies h \in K = \ker f \implies hK = eK.$$

Hence,  $\ker \bar{f} = \{e_{G/K}\}$  and  $\bar{f}$  is injective.

- $\bar{f}$  is surjective: let  $h \in \text{im } f$ . Then, there is some  $g \in G$  such that  $f(g) = h$ . Hence, we have  $\bar{f}(gK) = f(g) = h$ .

□

**Remark 10.2.4.** The First Isomorphism Theorem (Theorem 10.2.3) is the group-theoretic analogue of the Rank Theorem from linear algebra (in a sense that can be made precise). When  $G$  is finite, this result implies that there is a strong restriction on the existence of nontrivial homomorphisms with domain  $G$ .

**Example 10.2.5.** 1. Let  $f : G \rightarrow H$  be a group homomorphism, with  $|G| = 15, |H| = 28$ . Then,  $f$  must be the trivial homomorphism

$$f : G \rightarrow H ; g \mapsto e_H.$$

Indeed, since  $\text{im } f \subset H$  is a subgroup then  $|\text{im } f|$  must divide 28. Theorem 10.2.3 implies that  $|\text{im } f|$  must also be a divisor of  $|G| = 15$ . However,  $\gcd(15, 28) = 1$  so that  $|\text{im } f| = 1$  and  $\text{im } f = \{e_H\}$  is the trivial subgroup of  $H$ .

2. Suppose that  $f : D_{10} \rightarrow \mathbb{Z}/5\mathbb{Z}$  is a surjective homomorphism of groups. Thus,  $\text{im } f = \mathbb{Z}/5\mathbb{Z}$  so that  $D_{10}/\ker f \cong \mathbb{Z}/5\mathbb{Z}$ , by Theorem 10.2.3. We must have that  $|\ker f| = |D_{10}|/|\text{im } f| = 10/5 = 2$ , so that  $\ker f = \{e, s\}$ , for some  $s \in D_{10}$  of order 2. The only elements of order 2 are precisely the reflections of the pentagon. Now, we can write  $D_{10} = \{e, r, \dots, r^4, s, sr, \dots, sr^4\}$ , where  $r$  is 'rotate by  $2\pi/5$  counterclockwise'. Thus, we must have

$$f(srs) = f(r^{-1}) \implies f(s) + f(r) + f(s) = -f(r) \implies f(r) + f(r) = \bar{0} \in \mathbb{Z}/5\mathbb{Z}.$$

Since there are no elements of order two in  $\mathbb{Z}/5\mathbb{Z}$  this last equality is impossible, so that our assumption of the existence of a surjective homomorphism  $f : D_{10} \rightarrow \mathbb{Z}/5\mathbb{Z}$  is false. Hence, there are no surjective homomorphisms  $D_{10} \rightarrow \mathbb{Z}/5\mathbb{Z}$ .

# 11 Lecture 11 - Direct products. Finite abelian groups.

Previous lecture - Next lecture

**Keywords:** Direct product group. Structure theorem of finite abelian groups.

In this lecture we introduce the fundamental notion of the direct product group - it provides a way of building a new group given any two groups  $G, H$ , and such that  $G$  and  $H$  arise as normal subgroups.

## 11.1 Direct products

Let  $G$  be a group. We have seen how to construct a new group - the quotient group  $G/N$  - from  $G$  given a normal subgroup  $N$  of  $G$ . In general, it is not so straightforward to identify the group  $G/N$ ; that is, it might be difficult to determine if the group  $G/N$  is a group that we are familiar with (for example, a symmetric group, a dihedral group, a cyclic group etc.).

Consider the following question: **given a group  $G$  and a group  $H$ , is there a group  $M$  such that  $G$  is a normal subgroup of  $M$  and  $M/G$  is isomorphic to  $H$ ?** We will see that the answer is **yes** and is actually quite simple to establish.

**Definition 11.1.1.** Let  $G, H$  be two groups. The **direct product of  $G$  and  $H$**  is the group  $(G \times H, *)$ , where

$$G \times H = \{(g, h) \mid g \in G, h \in H\}, \quad (\text{the set of ordered pairs})$$

$$(g, h) * (g', h') \stackrel{\text{def}}{=} (gg', hh').$$

In particular, the law of composition in  $G \times H$  is '**component-wise composition**'.

The identity element in  $G \times H$  is  $e_{G \times H} = (e_G, e_H)$ , and  $(g, h)^{-1} = (g^{-1}, h^{-1})$ .

**Example 11.1.2.** 1. Let  $G = \mathbb{Z}/3\mathbb{Z}$ ,  $H = \mathbb{Z}/4\mathbb{Z}$ . Then,

$$G \times H = \{(\bar{i}, \bar{j}) \mid \bar{i} \in \mathbb{Z}/3\mathbb{Z}, \bar{j} \in \mathbb{Z}/4\mathbb{Z}\}.$$

We have  $|G \times H| = 12$ , and  $e_{G \times H} = (\bar{0}, \bar{0})$ . Also,

$$(\bar{2}, \bar{2}) * (\bar{1}, \bar{2}) = (\bar{2} + \bar{1}, \bar{2} + \bar{2}) = (\bar{0}, \bar{0}),$$

so that the inverse of  $(\bar{2}, \bar{2})$  in  $G \times H$  is  $(\bar{1}, \bar{2})$ .

Notice that the order of  $x = (\bar{1}, \bar{1})$  is 12, so that  $G \times H$  is a cyclic group isomorphic to  $\mathbb{Z}/12\mathbb{Z}$ .<sup>1</sup>

2. If  $G = \mathbb{Z}/4\mathbb{Z}$ ,  $H = \mathbb{Z}/2\mathbb{Z}$  then  $G \times H$  has order 8. However,  $G \times H$  is abelian but not cyclic - hence, not isomorphic to  $\mathbb{Z}/8\mathbb{Z}$ .

Theorem 11.1.3 below implies that  $G$  is a normal subgroup of  $G \times H$  isomorphic to  $\mathbb{Z}/4\mathbb{Z}$  and  $G \times H / G \times \{e_H\}$  is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$ . Recall that there is a normal subgroup  $N = \{e, r, r^2, r^3\} \subset D_8$  isomorphic to  $\mathbb{Z}/4\mathbb{Z}$  and  $D_8/N$  is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$ . Considering the question asked at the beginning of this section, this example shows that there may be two non-isomorphic groups  $M$  and  $M'$  that provide a resolution of this question.

**Theorem 11.1.3.** Let  $G, H$  be groups. Then,

$$G \times \{e_H\} = \{(g, e_H) \mid g \in G\} \subset G \times H,$$

is a normal subgroup in  $G \times H$  isomorphic to  $G$ . Moreover, the quotient group  $G \times H / G \times \{e_H\}$  is isomorphic to  $H$ .

<sup>1</sup>In general, if  $\gcd(m, n) = 1$  then  $\mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$  is cyclic of order  $mn$ .

*Proof:* Let  $K = G \times \{e_H\}$ . Then,  $K$  is a subgroup: if  $(g, e_H), (g', e_H) \in K$  then  $(g, e_H) * (g', e_H)^{-1} = (g(g')^{-1}, e_H) \in K$ . Furthermore, if  $(g, e_H) \in K$  and  $(z, h) \in G \times H$  then

$$(z, h) * (g, e_H) * (z, h)^{-1} = (zgz^{-1}, zz^{-1}) = (zgz^{-1}, e_H) \in K \implies (z, h)K(z, h)^{-1} = K,$$

so that  $K$  is normal in  $G \times H$ . The function

$$\alpha : G \rightarrow K ; g \mapsto (g, e_H),$$

provides an isomorphism from  $G$  to  $K$ .

Now, define

$$\beta : H \rightarrow (G \times H)/K ; h \mapsto (e_G, h)K.$$

Then,

-  $\beta$  is a homomorphism: let  $h, h' \in H$  then

$$\beta(hh') = (e_G, hh')K = ((e_G, h) * (e_G, h'))K = (e_G, h)K(e_G, h')K = \beta(h)\beta(h').$$

-  $\beta$  is injective: let  $h \in \ker \beta$ . Then,

$$\beta(h) = (e_G, e_H)K \implies (e_G, h)K = (e_G, e_H)K \implies (e_G, h) \in K \implies h = e_H.$$

-  $\beta$  is surjective: let  $(g, h)K \in (G \times H)/K$ . Then,  $(g, h) = (e_G, h) * (g, e_H) \in (e_G, h)K$ , and  $(g, h)K = (e_G, h)K$ . Then,  $\beta(h) = (g, h)K$ .

□

## 11.2 Structure Theorem of finite abelian groups

In this section we will prove the following

**Theorem 11.2.1** (Structure Theorem of finite abelian groups). *Let  $A$  be an abelian group with  $|A| = p_1^{n_1} \cdots p_r^{n_r}$ , where  $p_1, \dots, p_r$  are distinct primes and  $n_1, \dots, n_r \geq 1$ . Then,  $A$  is isomorphic to a product*

$$U_1 \times U_2 \times \cdots \times U_r,$$

where  $U_i$  is a  $p_i$ -group and  $|U_i| = p_i^{n_i}$ .

**Lemma 11.2.2.** *Let  $G$  be a finite abelian group,  $H \subset G$  a Sylow  $p$ -subgroup, for some prime  $p$  dividing  $|G|$ . Consider the subset*

$$K = \{g \in G \mid p \text{ does not divide } o(g)\}.$$

*Then,  $K$  is a subgroup of  $G$  isomorphic to the quotient group  $G/H$ .*

*Proof:* Since  $o(e_G) = 1$ , we see that  $e_G \in K$  so that  $K$  is nonempty. Let  $g, h \in K$  and denote  $r = o(g), s = o(h) = o(h^{-1})$ . Then,  $p$  does not divide  $r$  nor  $s$  so that  $p$  does not divide  $rs$ . Since

$$(gh^{-1})^{rs} = g^{rs}h^{-rs} = (g^r)^s(h^{-s})^r = e_G,$$

the order of  $gh^{-1}$  divides  $rs$ . Hence,  $p$  does not divide  $o(gh^{-1})$  and  $gh^{-1} \in K$ , showing that  $K$  is a subgroup of  $G$ .

Consider the following map

$$\alpha : K \rightarrow G/H ; k \mapsto kH.$$

As  $\alpha = \pi_H \circ i_K$  is the composition of two group homomorphisms,  $\alpha$  is a group homomorphism; we will see that  $\alpha$  is an isomorphism.

-  $\alpha$  is injective: let  $k \in \ker \alpha \subset K$ . Then,  $\alpha(k) = kH = e_{G/H} = eH$ , implying that  $k \in H$ . As  $H$  is a  $p$ -group then every element has order equal to a power of  $p$ . However,  $p$  does not divide  $o(k)$  so that the only possibility is  $k = e \in K$  (as  $o(k) = 1$ ).

- $\alpha$  is surjective: let  $gH \in G/H$ . Suppose that  $|G| = p^a m$ , with  $\gcd(p, m) = 1$ . Then, we can find integers  $u, v \in \mathbb{Z}$  such that  $p^a u + mv = 1$ . Hence,

$$g = g^1 = g^{p^a u} g^{mv}.$$

Note that  $e = (g^{p^a m})^u = (g^{p^a u})^m$ , so that the order of  $g^{p^a u}$  divides  $m$ ; hence,  $g^{p^a u} \in K$ , because  $\gcd(p, m) = 1$ .

**Claim:**  $g^{mv} \in H$ : notice that  $(g^{mv})^{p^a} = e$  so that  $g^{mv}$  has order a power of  $p$ . Thus, the subgroup  $\langle g^{mv} \rangle \subset G$  is a  $p$ -subgroup. Sylow's Theorem implies that a conjugate of  $\langle g^{mv} \rangle$  is a subgroup of  $H$ , say  $x\langle g^{mv} \rangle x^{-1} \subset H$ . Since  $G$  is abelian then  $xAx^{-1} = A$ , for any subset  $A \subset G$ ; in particular,  $\langle g^{mv} \rangle = x\langle g^{mv} \rangle x^{-1} \subset H$  and  $g^{mv} \in H$ .

Now,  $g = g^{p^a u} g^{mv} \in g^{p^a u} H \implies gH = g^{p^a u} H$ , with  $g^{p^a u} \in K$ , so that  $\alpha(g^{p^a u}) = gH$  and  $\alpha$  is surjective.

□

**Lemma 11.2.3.** Let  $G$  be a finite abelian group,  $H \subset G$  a Sylow  $p$ -subgroup for some prime  $p$  dividing  $|G|$ . Then,  $G$  is isomorphic to the direct product  $H \times G/H$ .

*Proof:* Let  $G$  be a finite abelian group,  $H \subset G$  a Sylow  $p$ -subgroup, and let  $K$  be the subgroup defined in Lemma 11.2.2.

Consider the function

$$\beta : H \times K \rightarrow G ; (h, k) \mapsto hk.$$

We will show that  $\beta$  is an isomorphism.

- $\beta$  is a group homomorphism: homework/worksheet problem.
- $\beta$  is injective: let  $(h, k) \in \ker \beta$  then  $\beta(hk) = hk = e_G$  so that  $k = h^{-1}$ . Since  $o(h)$  is a power of  $p$ , and  $o(k)$  is not divisible by  $p$ , the only possibility is that  $o(h) = o(k) = 1$ , so that  $h = k = e_G$ . Hence,  $\ker \beta = \{(e_G, e_G)\}$ .
- $\beta$  is surjective: homework problem.

We combine this isomorphism with the one from Lemma 11.2.2 to obtain the desired isomorphism between  $G$  and  $H \times G/H$ . □

We are now in a position to prove Theorem 11.2.1.

*Proof:[of Structure Theorem]* Let  $A$  be abelian. If  $A$  is a  $p$ -group, for some prime  $p$ , (this is the case  $r = 1$ ), then there is nothing to prove. We are going to proceed by induction on  $r$ : assume that the result is true for  $r < k$ , we will show it holds true for  $r = k$ .

So, let  $r = k$  and consider the prime  $p_k$ . Sylow's Theorem (Theorem 9.1.1) implies the existence of a Sylow  $p_k$ -subgroup  $U_k \subset A$  such that  $|U_k| = p_k^{n_k}$ , and  $U_k$  is normal as  $A$  is abelian. Hence, we can consider the (finite abelian) quotient group  $A/U_k$ . Since  $|A/U_k| = |A|/|U_k| = p_1^{n_1} \cdots p_{k-1}^{n_{k-1}}$ , the induction hypothesis implies that  $A/U_k$  is isomorphic to

$$U_1 \times \cdots \times U_{k-1},$$

with each  $U_i$  a  $p_i$ -group of order  $p_i^{n_i}$ . Lemma 11.2.3 implies that

$$A \cong (A/U_k) \times U_k \cong U_1 \times \cdots \times U_{k-1} \times U_k.$$

□

## 12 Lecture 12 - Finite abelian $p$ -groups. The Hall polynomial.

Previous lecture - Next lecture

**Keywords:** Structure theorem of abelian  $p$ -groups. Type of an abelian  $p$ -group. Labelled Young diagrams, Hall polynomial.

### 12.1 Structure Theorem of finite abelian $p$ -groups

Recall that a  $p$ -group is a group  $G$  whose order is a power of a prime  $p$ .

**Theorem 12.1.1.** Let  $A$  be a finite abelian group,  $|A| = p^r$  for some prime  $p$ . Then, there exists unique integers  $r_1 \geq r_2 \geq \dots \geq r_k \geq 1$  such that  $A$  is isomorphic to

$$(\mathbb{Z}/p^{r_1}\mathbb{Z}) \times (\mathbb{Z}/p^{r_2}\mathbb{Z}) \times \dots \times (\mathbb{Z}/p^{r_k}\mathbb{Z}).$$

*Proof:* We will not discuss the proof of this result - a supplementary note of the the proof will be posted online for those that are interested. The proof and its contents will not be examinable.  $\square$

Suppose that  $A$  is a finite abelian  $p$ -group,  $B \subset A$  a subgroup. Then, Lagrange's Theorem implies that  $B$  is a finite abelian  $p$ -group; moreover, as  $B \subset A$  is normal then we can consider the quotient group  $B/A$  and, again by Lagrange's Theorem, we have that  $B/A$  is a finite abelian group. Hence, we can find sequences of integers

$$s_1 \geq \dots \geq s_l \geq 1, \quad \text{and} \quad t_1 \geq \dots \geq t_m \geq 1,$$

such that

$$B \cong (\mathbb{Z}/p^{s_1}\mathbb{Z}) \times \dots \times (\mathbb{Z}/p^{s_l}\mathbb{Z}), \quad \text{and} \quad A/B \cong (\mathbb{Z}/p^{t_1}\mathbb{Z}) \times \dots \times (\mathbb{Z}/p^{t_m}\mathbb{Z}).$$

**Definition 12.1.2.** Let  $A$  be a finite abelian  $p$ -group. Then, since  $A$  is isomorphic to

$$(\mathbb{Z}/p^{r_1}\mathbb{Z}) \times (\mathbb{Z}/p^{r_2}\mathbb{Z}) \times \dots \times (\mathbb{Z}/p^{r_k}\mathbb{Z}),$$

with  $r_1 \geq r_2 \geq \dots \geq r_k \geq 1$ , we can associate a partition  $\pi_A : (r_1, \dots, r_k)$  to  $A$  - we call  $\pi_A$  **the type of**  $A$ .

### 12.2 Combinatorics of finite abelian $p$ -groups of type $(a, b)$

**For the remainder of this lecture we will only consider finite abelian  $p$ -groups  $A$  whose type is of the form  $\pi_A : (a, b)$  - these are those finite abelian  $p$ -groups that are isomorphic to**

$$(\mathbb{Z}/p^a\mathbb{Z}) \times (\mathbb{Z}/p^b\mathbb{Z}), \quad a \geq b \geq 1.$$

Let  $A$  be a finite abelian  $p$ -group (of type  $(a, b)$ ),  $B \subset A$  a subgroup. We are going to investigate the following

**Questions:** What are the allowed types of  $B$ ? How many subgroups of  $A$  exist with a given type?

It suffices to consider the case  $A = (\mathbb{Z}/p^a\mathbb{Z}) \times (\mathbb{Z}/p^b\mathbb{Z})$ . Then, any element  $g \in A$  can be written as an ordered pair

$$g = (\bar{x}, \bar{y}), \quad \bar{x} \in \mathbb{Z}/p^a\mathbb{Z}, \quad \bar{y} \in \mathbb{Z}/p^b\mathbb{Z}.$$

**We will always assume that  $0 \leq x \leq p^a - 1$  and  $0 \leq y \leq p^b - 1$ , so that we will simply write  $(x, y)$  instead of  $(\bar{x}, \bar{y})$ .**

We are going to introduce a pictorial way of representing  $g \in A$ :

1. Write  $x$  and  $y$  in their 'base  $p$ ' expansions

$$x = c_{a-1}p^{a-1} + c_{a-2}p^{a-2} + \dots + c_1p + c_0,$$

$$y = d_{b-1}p^{b-1} + d_{b-2}p^{b-2} + \dots + d_1p + d_0.$$

2. Define the **labelled Young diagram associated to  $g$ , denoted  $\text{Yng}(g)$** ,

$c_{a-1}$	$c_{a-2}$	$\dots$	$\dots$	$\dots$	$\dots$	$c_1$	$c_0$
$d_{b-1}$	$d_{b-2}$	$\dots$	$d_1$	$d_0$			

If  $c_i = 0$  (or  $d_i = 0$ ) then we will leave the box empty. In particular,

$$\text{Yng}(e_A) = \begin{array}{|c|c|c|c|c|c|c|c|} \hline & & & \dots & \dots & \dots & \dots & \\ \hline & & & \dots & & & & \\ \hline \end{array}$$

We will also refer to the unlabelled diagram  $\text{Yng}(e_A)$  as the **Young diagram of  $A$  (or  $\pi_A$ )**.

For example, the element  $(3, 2) \in \mathbb{Z}/8\mathbb{Z} \times \mathbb{Z}/4\mathbb{Z}$  would be represented as

	1	1
1		

since  $a = 3, b = 2$  and

$$3 = 0.4 + 1.2 + 1.1, \quad 2 = 1.2 + 0.1.$$

Consider the 'multiplication by  $p$ ' homomorphism

$$m_p : A \rightarrow A ; (x, y) \mapsto (px, py).$$

We see that, in our pictorial representation,

$c_{a-1}$	$c_{a-2}$	$\dots$	$\dots$	$\dots$	$c_1$	$c_0$	
$d_{b-1}$	$d_{b-2}$	$\dots$	$d_1$	$d_0$			

 $\mapsto$ 

$c_{a-2}$	$\dots$	$\dots$	$\dots$	$c_1$	$c_0$		
$d_{b-2}$	$\dots$	$d_1$	$d_0$				

For example, since  $2 \cdot (3, 2) = (6, 0) \in \mathbb{Z}/8\mathbb{Z} \times \mathbb{Z}/4\mathbb{Z}$ , and  $6 = 1.4 + 1.2$ , then

	1	1
1		

 $\mapsto$ 

1	1	

In a similar way, the 'multiplication by  $p^i$ ' homomorphism

$$m_{p^i} : A \rightarrow A ; (x, y) \mapsto (p^i x, p^i y),$$

shifts all entries in the labelled Young diagram  $\text{Yng}(x, y)$   $i$  entries to the left.

**Theorem 12.2.1.** *Let  $A$  be a finite abelian  $p$ -group of type  $\lambda = (a, b)$ ,  $B \subset A$  a subgroup of type  $\mu = (r_1, r_2, \dots, r_k)$ . Then,  $k \leq 2$  and  $r_1 \leq a, r_2 \leq b$ .*

*Proof:* It is straightforward to see that  $r_1 \leq a$ : otherwise there is an element  $x \in B$  such that  $x^{p^{r_1}} = e_B$ , while  $x^n \neq e$  for any  $n < p^{r_1}$ , implying that there is an element in  $A$  of order strictly larger than  $p^a$ . Considering how  $m_{p^a}$  operates on labelled Young diagrams, we see that  $m_{p^a}$  is the zero homomorphism on  $A$ , so that no such  $x$  can exist.

If  $r_2 > b$ , then we have  $b < r_2 \leq r_1 \leq a$ .

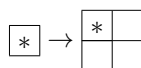
In  $A$  there are exactly  $p^2 - 1$  elements of order  $p$ : every element  $g \in A$  of order  $p$  is a nontrivial element in  $\ker m_p$ , and these are precisely those elements in  $A$  with  $\text{Yng}(g)$  having empty boxes in every column except the first, and there must be at least one box in the first column labelled. A quick count sees that there are  $p^2 - 1$  possible ways to label the first column so that this holds. A similar argument shows that there exists  $p^k - 1$  elements in  $B$  of order  $p$ . However,  $B \subset A$  so that the maximum number of elements of order  $p$  is no larger than  $p^2 - 1$ . Hence,  $p^k - 1 \leq p^2 - 1$  implying that  $k \leq 2$ .  $\square$

**Remark 12.2.2.** It is also possible to show the following: if  $A$  is a finite abelian  $p$ -group of type  $\lambda = (a, b)$ ,  $B \subset A$  a subgroup such that  $A/B$  has type  $\mu = (s_1, \dots, s_l)$ , then  $l \leq 2$  and  $s_1 \leq a$ ,  $s_2 \leq b$ .

If  $A$  is a finite abelian  $p$ -group of type  $\lambda = (a, b)$ , we have just seen that any subgroup  $B$  must have type  $\nu$  such that the Young diagram of  $B$  'sits inside' the Young diagram of  $A$ . Moreover, given any partition  $\nu$  such that the corresponding Young diagram of  $\nu$  sits inside the Young diagram of  $A$ , there is a subgroup  $B \subset A$  of type  $\nu$ .<sup>1</sup> **This gives an answer to the first question posed at the beginning of this lecture.** The remaining question - determining the number of subgroups of a given type - is more subtle.

## 12.3 The Hall polynomial

Consider the case  $A = \mathbb{Z}/4\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ , so that  $\pi_A = (2, 1)$ , and the partition  $\nu = (1)$ . Then, the Young diagram of  $\nu$  sits inside the Young diagram of  $\pi_A$



so that there is a subgroup  $B \subset A$  such that  $B \cong \mathbb{Z}/2\mathbb{Z}$ . Notice that the following subgroups are all isomorphic to  $\mathbb{Z}/2\mathbb{Z}$

$$B_1 = \{(0, 0), (0, 1)\}, B_2 = \{(0, 0), (2, 0)\}, B_3 = \{(0, 0), (2, 1)\}.$$

In fact, this gives all such subgroups of  $A$  with type  $\nu = (1)$ , there are  $3 = 2 + 1$  of them.

You can check that there are  $4 = 3 + 1$  subgroups in  $\mathbb{Z}/9\mathbb{Z} \times \mathbb{Z}/3\mathbb{Z}$  of type  $\nu = (1)$ , and  $6 = 5 + 1$  subgroups in  $\mathbb{Z}/25\mathbb{Z} \times 5\mathbb{Z}$  of type  $\nu = (1)$ . We will see that this type of behaviour is not coincidental; it is a consequence of the following *remarkable* Theorem due to Phillip Hall:

**Theorem 12.3.1** (Hall polynomial - P. Hall). *Let  $A$  be a finite abelian  $p$ -group of type  $\lambda$  (not necessarily of type  $(a, b)$ ),  $\mu, \nu$  arbitrary partitions. Then, there exists a polynomial  $g_{\mu\nu}^\lambda(t)$  with integer coefficients, called the **Hall polynomial corresponding to  $\lambda, \mu, \nu$** , such that*

$$g_{\mu\nu}^\lambda(p) = \text{no. of subgroups } B \subset A \text{ of type } \nu, \text{ such that } A/B \text{ has type } \mu.$$

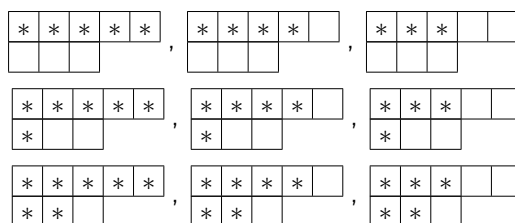
*In particular,  $g_{\mu\nu}^\lambda(t)$  is independent of  $p$ .*

The proof of this result utilises techniques that are too sophisticated for a first class in algebra: it makes use of the pictorial description of elements of  $A$  and the **combinatorics of (skew) Young tableaux** and **Littlewood-Richardson combinatorics**, as well as facts on **discrete valuation rings**. However, we will content ourselves with a formula for  $g_{\mu\nu}^\lambda(t)$  when  $\nu = (r)$  - this enables the computation of the number of cyclic subgroups of  $A$ .

Suppose that  $A$  is a finite abelian  $p$ -group of type  $\lambda = (a, b)$  (as before). Let  $\mu$  be a partition whose Young diagram is a subdiagram of the Young diagram of  $\lambda$ , and such that the boxes leftover in the Young diagram of  $\lambda$  when we 'delete' the Young (sub)diagram of  $\mu$  satisfy the following property: **each leftover box occupies a distinct column**.

If  $(\lambda, \mu)$  is such a pair of partitions, and the number of leftover boxes equals  $r$ , then we say that  $(\lambda, \mu)$  is  **$r$ -admissible**.

For example, if  $\lambda = (5, 3)$  then the allowed  $\mu$  are given below (the \*'d subdiagrams):



<sup>1</sup>Homework/worksheet problem.



*	*	*	*	*						*	*	*	*	*						*	*	*	*	*					
*	*	*								*	*	*								*	*	*							

Also, we see that  $\mu = (2, 1)$  is not allowed:

*	*	•			
*		•			

there are two leftover boxes occupying the same column (the •'d boxes).

**Definition 12.3.2.** Let  $(\lambda, \mu)$  be  $r$ -admissible. Define

$$I(\lambda, \mu) = \{i \in \{1, \dots, a\} \mid \text{there is a leftover box in column } i, \text{ but no leftover box in column } i+1\}$$

For example, if  $\lambda = (5, 3)$ ,  $\mu = (4, 2)$  then  $I(\lambda, \mu) = \{3, 5\}$  (see above).

**Theorem 12.3.3.** Let  $A$  be a finite abelian  $p$ -group of type  $\lambda = (a, b)$ ,  $\mu = (c, d)$  a partition such that  $(\lambda, \mu)$  is  $r$ -admissible (for  $r \leq a$ ). Then,

$$g_{\mu(r)}^{\lambda} = \frac{t^{b-d}}{1-t^{-1}} \prod_{i \in I(\lambda, \mu)} (1-t^{-m_i(\lambda)}).$$

Here,  $m_i(\lambda)$  is the number of times  $i$  appears in the partition  $\lambda$ .

**Corollary 12.3.4.** Let  $A$  be a finite abelian  $p$ -group of type  $\lambda = (a, b)$ . The number of cyclic subgroups of  $A$  of order  $p^r$  is equal to

$$\sum_{\mu} g_{\mu(r)}^{\lambda}(p),$$

the sum being taken over all  $\mu$  such that  $(\lambda, \mu)$  is  $r$ -admissible.

**Example 12.3.5.** 1. Let  $A$  be a finite abelian  $p$ -group of type  $\lambda = (2, 1)$ . Then, there are exactly two partitions  $\mu$  for which  $(\lambda, \mu)$  is 1-admissible - namely  $\mu_1 = (2, 0)$ ,  $\mu_2 = (1, 1)$ , as can be seen

*	*			*	
				*	

In this case  $I(\lambda, \mu_1) = \{1\}$ ,  $I(\lambda, \mu_2) = \{2\}$ , so that

$$g_{\mu_1(r)}^{\lambda}(t) = \frac{t}{1-t^{-1}} (1-t^{-1}) = t,$$

since  $i = 1$  appears once in  $\lambda$ , and

$$g_{\mu_2(r)}^{\lambda}(t) = \frac{1}{1-t^{-1}} (1-t^{-1}) = 1,$$

since  $i = 2$  appears once in  $\lambda$ . Hence, the number of cyclic subgroups of  $A$  of order  $p$  is  $p+1$ .

2. Let  $A$  be a finite abelian  $p$ -group of type  $(a, b)$  with  $a > b$ . Then, there is exactly one partition  $\mu$  for which  $(\lambda, \mu)$  is  $a$ -admissible:  $\mu = (b, 0)$ . In this case,  $I(\lambda, \mu) = \{a\}$  so that

$$g_{(b)(a)}^{\lambda}(t) = \frac{t^b}{1-t^{-1}} (1-t^{-1}) = t^b.$$

Hence, there are  $p^b$  cyclic subgroups of order  $p^a$  in  $\mathbb{Z}/p^a\mathbb{Z} \times \mathbb{Z}/p^b\mathbb{Z}$ .

# 13 Lecture 13 - Structure Theorem of finitely generated abelian groups.

Previous lecture

**Keywords:** Elementary divisors, invariant factors. Classification of finite abelian groups. Finitely generated abelian groups, free abelian groups. Torsion subgroup.

In this Lecture we will only be considering abelian groups and **will always write the law of composition additively**. We are going to provide a complete classification of abelian groups that can be generated by a finite number of elements - we will see that such groups can be written as a direct product of an infinite subgroup and a finite subgroup. The infinite subgroup will obey similar properties to a (finite dimensional) vector space; in particular, it admits a well defined **rank** (analogue of dimension for a vector space).

## 13.1 Classification of finite abelian groups

Let  $A$  be a finite abelian group. We have seen the following results for  $A$ :

**Theorem** (Theorem 11.2.1). *If  $|A| = p_1^{n_1} \cdots p_r^{n_r}$ , with  $p_1, \dots, p_r$  distinct primes, then*

$$A \cong U_1 \times \cdots \times U_r,$$

where  $U_i$  is a  $p_i$ -group,  $|U_i| = p_i^{n_i}$ . Moreover,  $U_i$  is the Sylow  $p_i$ -subgroup of  $A$ .

**Theorem** (Theorem 12.1.1). *If  $U$  is a  $p$ -group, say  $|U| = p^r$ , then there exists unique integers  $r_1 \geq \dots \geq r_k \geq 1$  such that  $r = \sum_{i=1}^k r_i$  and*

$$U \cong (\mathbb{Z}/p^{r_1}\mathbb{Z}) \times \cdots \times (\mathbb{Z}/p^{r_k}\mathbb{Z}).$$

These results imply the following:

**every finite abelian group is isomorphic to a product of cyclic groups.**

Since the product of cyclic groups  $\mathbb{Z}/3\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  is isomorphic to the cyclic group  $\mathbb{Z}/6\mathbb{Z} = \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/3\mathbb{Z}$  is generated by  $(\bar{1}, \bar{1})$ , for example - we see that **a finite abelian group may be isomorphic to different products of cyclic groups**. In general, we have already seen that

**Lemma 13.1.1.** *Let  $a_1, \dots, a_k$  be positive integers and, for  $i = 1, \dots, k$ , denote  $b_i = a_1 \cdots a_{i-1} a_{i+1} \cdots a_k$ . Assume that  $\gcd(a_i, b_i) = 1$ , for each  $i = 1, \dots, k$ . Then,*

$$(\mathbb{Z}/a_1\mathbb{Z}) \times \cdots \times (\mathbb{Z}/a_k\mathbb{Z}) \cong \mathbb{Z}/a_1 \cdots a_k\mathbb{Z}.$$

**Question:** Is there a way to write a finite abelian group uniquely as a product of cyclic groups?

Suppose that  $A$  is a finite abelian group such that  $|A| = p_1^{n_1} \cdots p_m^{n_m}$ , and let  $\pi_i = (r_{1,i}, \dots, r_{k_i,i})$  be the type of the Sylow  $p_i$ -subgroup  $U_i$  of  $A$ , so  $\pi_i$  is a partition of  $n_i$ . Hence, we have

$$U_i \cong (\mathbb{Z}/p_i^{r_{1,i}}\mathbb{Z}) \times \cdots \times (\mathbb{Z}/p_i^{r_{k_i,i}}\mathbb{Z}).$$

**Definition 13.1.2.** Define the **elementary divisors** of  $A$  to be the prime powers appearing above:

$$p_1^{r_{1,1}}, \dots, p_1^{r_{k_1,1}}, p_2^{r_{1,2}}, \dots, p_2^{r_{k_2,2}}, \dots, p_m^{r_{1,m}}, \dots, p_m^{r_{k_m,m}}.$$

Let  $k = \max\{k_1, \dots, k_m\}$ . Define the **invariant factors** of  $A$  to be the following integers: for  $i = 1, \dots, k$ ,

$$c_i = p_1^{r_{i,1}} \cdots p_m^{r_{i,m}},$$

where, if  $r_{i,j}$  is not defined we omit the prime  $p_j$  in the product (eg. if  $i > k_j$ ).

**Example 13.1.3.** Suppose that

$$A = \mathbb{Z}/16\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/9\mathbb{Z} \times \mathbb{Z}/3\mathbb{Z} \times \mathbb{Z}/3\mathbb{Z} \times \mathbb{Z}/25\mathbb{Z}.$$

The elementary divisors of  $A$  are  $2^4, 2, 3^2, 3, 3, 5^2$ . The invariant factors are

$$c_1 = 2^4 \cdot 3^2 \cdot 5^2, c_2 = 2 \cdot 3, c_3 = 3.$$

**Lemma 13.1.4.** Let  $A$  be a finite abelian group and  $c_1, \dots, c_m$  its invariant factors. Then,  $c_{i+1}$  divides  $c_i$ .

*Proof:* Homework/worksheet problem. □

Combining the above Theorems with Lemma 13.1.1 and Lemma 13.1.4 shows that the answer to the question posed is **yes!**

**Theorem 13.1.5** (Classification of finite abelian groups). Let  $A$  be a finite abelian group,  $|A| = n$ . Then, there exists unique integers  $c_1, \dots, c_k$  such that  $c_{i+1}$  divides  $c_i$ , and  $A$  is isomorphic to

$$(\mathbb{Z}/c_1\mathbb{Z}) \times \cdots \times (\mathbb{Z}/c_k\mathbb{Z}).$$

**Example 13.1.6.** Theorem 13.1.5 allows us to determine **all** possible finite abelian groups of a given order. For example, we will determine all finite abelian groups of order  $n = 2^5 \cdot 11 = 352$ :

1. Denote  $p_1 = 2, p_2 = 11, n_1 = 5, n_2 = 1$  (the exponents of the primes appearing in the prime decomposition of  $n$ ).
2. Determine all possible elementary divisors: this amounts to determining all partitions of  $n_1, n_2$ . We see that

$$5, 14, 23, 1^2 3, 12^2, 1^3 2, 1^5,$$

are all partitions of  $n_1 = 5$ , and there is exactly one partition of  $n_2 = 1$ .

3. For each list of partitions  $(\lambda_1, \lambda_2)$  of  $(n_1, n_2)$  we write down the corresponding elementary divisors:

$$(5, 1) : 2^5, 11,$$

$$(14, 1) : 2^4, 2, 11,$$

$$(23, 1) : 2^3, 2^2, 11,$$

$$(1^2 3, 1) : 2^3, 2, 2, 11,$$

$$(12^2, 1) : 2^2, 2^2, 2, 11,$$

$$(1^3 2, 1) : 2^2, 2, 2, 2, 11,$$

$$(1^5, 1) : 2, 2, 2, 2, 2, 11.$$

4. For each of the collection of elementary divisors listed determine the invariant factors:

$$(5, 1) : c_1 = 2^5 \cdot 11,$$

$$(14, 1) : c_1 = 2^4 \cdot 11, c_2 = 2,$$

$$(23, 1) : c_1 = 2^3 \cdot 11, c_2 = 2^2,$$

$$(1^2 3, 1) : c_1 = 2^3 \cdot 11, c_2 = 2, c_3 = 2,$$

$$(12^2, 1) : c_1 = 2^2 \cdot 11, c_2 = 2^2, c_3 = 2,$$

$$(1^3 2, 1) : c_1 = 2^2 \cdot 11, c_2 = 2, c_3 = 2, c_4 = 2,$$

$$(1^5, 1) : c_1 = 2 \cdot 11, c_2 = 2, c_3 = 2, c_4 = 2, c_5 = 2.$$

5. List the possible groups with the given invariant factors:

$$\begin{aligned}
 (5, 1) &: \mathbb{Z}/(2^5 \cdot 11)\mathbb{Z}, \\
 (14, 1) &: \mathbb{Z}/(2^4 \cdot 11)\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}, \\
 (23, 1) &: \mathbb{Z}/(2^3 \cdot 11)\mathbb{Z} \times \mathbb{Z}/2^2\mathbb{Z}, \\
 (1^2 3, 1) &: \mathbb{Z}/(2^3 \cdot 11)\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}, \\
 (12^2, 1) &: \mathbb{Z}/(2^2 \cdot 11)\mathbb{Z} \times \mathbb{Z}/2^2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}, \\
 (1^3 2, 1) &: \mathbb{Z}/(2^2 \cdot 11)\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}, \\
 (1^5, 11) &: \mathbb{Z}/(2 \cdot 11)\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}.
 \end{aligned}$$

**Every finite abelian group of order 352 is isomorphic to precisely one of the groups listed.**

## 13.2 Finitely generated abelian groups

**Definition 13.2.1.** Let  $G$  be an abelian group. We say that  $G$  is **finitely generated** if there exists  $\{g_1, \dots, g_n\} \subset G$  such that, for every  $g \in G$ , there exist integers  $r_1, \dots, r_n$  with

$$g = r_1 g_1 + \dots + r_n g_n.$$

If such a set  $\{g_1, \dots, g_n\}$  exists then we will call it a **spanning set of  $G$**  (in analogy with spanning sets in the theory of vector spaces).

We say that a finitely generated abelian group  $G$  is **free** (if there exists a spanning set  $\{g_1, \dots, g_n\}$  of  $G$  such that, for every  $g \in G$ , there exists **unique** integers  $r_1, \dots, r_n$  with

$$g = r_1 g_1 + \dots + r_n g_n.$$

In this case we will call such a spanning set  $\{g_1, \dots, g_n\}$  a **basis of  $G$**  and define the **rank** of  $G$  to be  $n$  (the size of a basis).<sup>1</sup>

It is tempting to think of (finitely generated) free abelian groups as being 'the same as' vector spaces: this means that both objects possess similar properties. This is true in some respects (eg. Lemma ??), but there is a major difference - **if  $G$  is a free group of rank  $n$  then  $G$  can contain a subgroup  $H$ ,  $H \neq G$ , that is free and has rank  $n$** . For example, the group  $\mathbb{Z}$  is a free abelian group of rank 1, and the subgroup  $2\mathbb{Z} = \{2x \mid x \in \mathbb{Z}\} \subset \mathbb{Z}$ , is also a free group of rank 1.

**Example 13.2.2.** 1. Any finite abelian group  $A$  is finitely generated - a set of generators is given by  $A$  itself!

2. For any  $n \geq 1$ , the abelian group

$$\mathbb{Z}^n = \mathbb{Z} \times \dots \times \mathbb{Z} \quad \text{\textit{n times}}$$

is finitely generated and free - a basis is given by  $\{(1, 0, \dots, 0), (0, 1, 0, \dots, 0), \dots, (0, \dots, 0, 1)\}$ .

3. Let  $A$  be a finite abelian group,  $n \geq 1$ . Then,  $\mathbb{Z}^n \times A$  is finitely generated. In fact, if  $G$  and  $H$  are finitely generated then so is  $G \times H$ .

4. The abelian group  $(\mathbb{Q}, +)$  is not finitely generated: if  $\{x_1, \dots, x_n\} \subset \mathbb{Q}$  were a spanning set, with  $x_i = a_i/b_i$ ,  $\gcd(a_i, b_i) = 1$ , then we have, for any integers  $r_1, \dots, r_n$

$$r_1 x_1 + \dots + r_n x_n = \frac{y}{b_1 \dots b_n}, \text{ for some } y \in \mathbb{Z}.$$

In particular, if  $c > |b_1 \dots b_n|$  then it is not possible to write  $\frac{1}{c}$  as a linear combination of  $\{x_1, \dots, x_n\}$ .

In fact, Example 3 above gives essentially all examples of finitely generated abelian groups, as we will see in the next section.

<sup>1</sup>This is a well-defined definition - if  $\{g_1, \dots, g_n\}$  and  $\{h_1, \dots, h_m\}$  are two bases of  $G$  then  $n = m$ . We will not see a proof of this fact in this course, however.

### 13.3 Structure theorem of finitely generated abelian groups

**Definition 13.3.1.** Let  $G$  be an abelian group. Define **the torsion subgroup of  $G$**  to be

$$G_{\text{tor}} = \{g \in G \mid g \text{ has finite order}\}.$$

This is a well-defined definition - namely,  $G_{\text{tor}}$  is a subgroup of  $G$ .

**Lemma 13.3.2.** *Let  $G$  be a finitely generated abelian group,  $G_{\text{tor}}$  the torsion subgroup of  $G$ . Then,  $G_{\text{tor}}$  is a finite abelian group.*

**Lemma 13.3.3.** *Let  $G$  be a finitely generated abelian group,  $G_{\text{tor}}$  the torsion subgroup of  $G$ . Then,  $G/G_{\text{tor}}$  is a (finitely generated) free abelian group.*

**Theorem 13.3.4** (Structure Theorem of finitely generated abelian groups). *Let  $G$  be a finitely generated abelian group. Then, there exists a finitely generated free abelian group  $F$  and a finite abelian group  $A$  such that  $G$  is isomorphic to  $F \times A$ . Moreover,  $A$  is isomorphic to  $G_{\text{tor}}$ .*

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