

Math 141

Lecture 7

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2014

Outline

1

Surfaces

- Implicit functions
- Parametrizations
- Quadric Surfaces

- A surface S can be given in two different ways:

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- A graph surface $z = f(x, y)$ can always be represented as a level surface:

$$z = f(x, y) \iff F(x, y, z) = 0 \quad \text{for} \quad F(x, y, z) = z - f(x, y) .$$

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- **Locally**, with additional requirements, a level surface **can** be represented as graph surfaces.
 - Near $P(0, 0, 1)$, the surface is the graph surface of $z = \sqrt{1 - x^2 - y^2}$.
 - Near $P(1, 0, 0)$, the surface is not a graph surface w.r.t. to z .

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 - f is defined on an open disk D around (x_0, y_0) ;
 - $f(x_0, y_0) = z_0$;
 - $F(x, y, f(x, y)) = 0$ for all (x, y) in the disk D .
- If the level surface is a graph surface, we say that the equation $F(x, y, z) = k$ **implicitly** defines $z = f(x, y)$ satisfying the condition $f(x_0, y_0) = z_0$.

- The equation $x^2 + y^2 + z^2 = 1$ implicitly defines $z = \sqrt{1 - x^2 - y^2}$ as the unique function $z = f(x, y)$ such that
 - $x^2 + y^2 + (f(x, y))^2 = 1$ for all (x, y) in a disk around $(0, 0)$;
 - $f(0, 0) = 1$.
- The equation $x^2 + y^2 + z^2 = 1$ implicitly defines $z = -\sqrt{1 - x^2 - y^2}$ as the unique function $z = f(x, y)$ such that
 - $x^2 + y^2 + (f(x, y))^2 = 1$ for all (x, y) in a disk around $(0, 0)$;
 - $f(0, 0) = -1$.

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- The function $\mathbf{f}: \mathbb{R}^2 \rightarrow \mathbb{R}^3$, $\mathbf{f}(s, t) = \mathbf{r}_0 + s\mathbf{u} + t\mathbf{v}$ globally identifies \mathbb{R}^2 with the plane \mathcal{P} .

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- Each point of \mathcal{P} corresponds uniquely to pair (s, t) .

Example: parametrization of part of sphere

- Consider $f: (0, 2\pi) \times (0, \pi) \rightarrow \mathbb{R}^3$ given by

$$f(t, s) = (R \sin s \cos t, R \sin s \sin t, R \cos s) .$$

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- The image of f is a subset of the sphere of radius R centered at the origin.
- Which points of the sphere are not covered by the image of f ?

Quadratic surfaces

- The level sets for second degree polynomial functions

$$f(x, y, z) = Ax^2 + By^2 + Cz^2 + Dxy + Exz + Fyz + Gx + Hy + Iz + J.$$

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- The coefficients are allowed to be zero.

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$$\begin{aligned} Ax^2 + By^2 + Cz^2 + D &= 0, \\ A(-x)^2 + B(-y)^2 + C(-z)^2 + D &= 0, \end{aligned}$$

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if (x, y, z) belongs to the surface, so does $(-x, -y, -z)$.



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The canonical forms above are in addition split into sub-forms depending on the sign of A, B, C, D, I .

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- Then the surface is the empty set.

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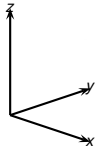
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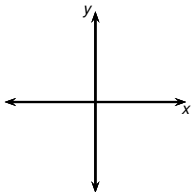
$$x^2 + 2y^2 + 3z^2 + 4 = 0.$$

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- Let $A > 0, B > 0, C > 0, D < 0$.
Rescale so $D = -1$.

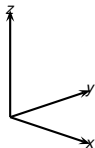


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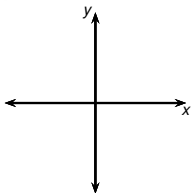
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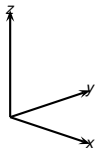


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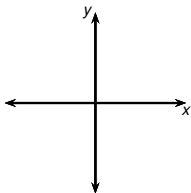


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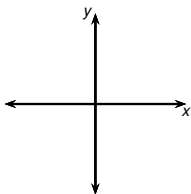
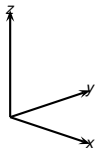


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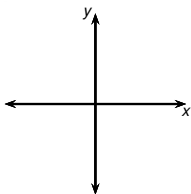
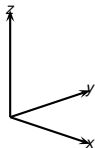
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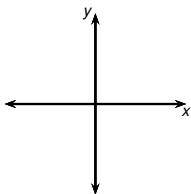
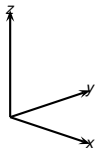
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- The level curves are:

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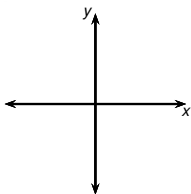
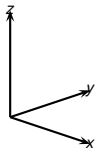
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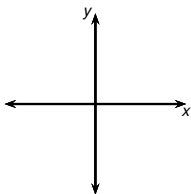
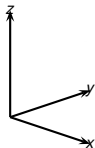
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- Let $A > 0, B > 0, C > 0, D < 0$. Rescale so $D = -1$.
- Set $A = \frac{1}{a^2}, B = \frac{1}{b^2}, C = \frac{1}{c^2}$.
- Surface becomes: $\left\{ (x, y, z) \mid \left(\frac{x}{a}\right)^2 + \left(\frac{y}{b}\right)^2 + \left(\frac{z}{c}\right)^2 = 1 \right\}$.
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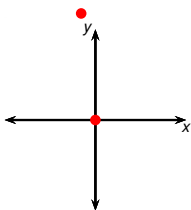
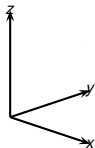
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$$Ax^2 + By^2 + Cz^2 + D = 0, A > 0, B > 0, C > 0, D > 0$$

Consider the surface $\mathcal{C} = \{(x, y, z) | Ax^2 + By^2 + Cz^2 + D = 0\}$.



$$z = -2$$

$$\frac{1}{2}x^2 + \frac{1}{3}y^2 = 1 - \frac{z^2}{4}$$

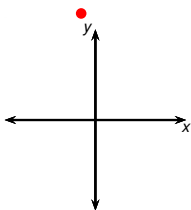
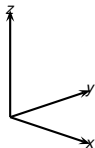
$$= 0$$

- Let $A > 0, B > 0, C > 0, D < 0$.
Rescale so $D = -1$.
- Set $A = \frac{1}{a^2}, B = \frac{1}{b^2}, C = \frac{1}{c^2}$.
- Surface becomes:

$$\left\{ (x, y, z) \mid \left(\frac{x}{a}\right)^2 + \left(\frac{y}{b}\right)^2 + \left(\frac{z}{c}\right)^2 = 1 \right\}.$$
- We illustrate the theory on the example: $\frac{1}{2}x^2 + \frac{1}{3}y^2 + \frac{z^2}{4} = 1$.
- Rewrite: $\frac{1}{2}x^2 + \frac{1}{3}y^2 = 1 - \frac{z^2}{4}$.
- The level curves are:
 - None for $z < -2$ and $z > 2$.
 - Two points for $z = \pm 2$.

$$Ax^2 + By^2 + Cz^2 + D = 0, A > 0, B > 0, C > 0, D > 0$$

Consider the surface $\mathcal{C} = \{(x, y, z) | Ax^2 + By^2 + Cz^2 + D = 0\}$.



$$z = -1$$

$$\frac{1}{2}x^2 + \frac{1}{3}y^2 = 1 - \frac{z^2}{4}$$

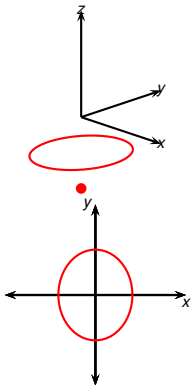
$$=$$

- Let $A > 0, B > 0, C > 0, D < 0$.
Rescale so $D = -1$.
- Set $A = \frac{1}{a^2}, B = \frac{1}{b^2}, C = \frac{1}{c^2}$.
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$$Ax^2 + By^2 + Cz^2 + D = 0, A > 0, B > 0, C > 0, D > 0$$

Consider the surface $\mathcal{C} = \{(x, y, z) | Ax^2 + By^2 + Cz^2 + D = 0\}$.



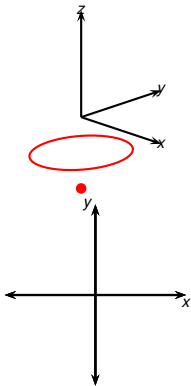
$$z = -1$$

$$\begin{aligned} \frac{1}{2}x^2 + \frac{1}{3}y^2 &= 1 - \frac{z^2}{4} \\ &= \frac{3}{4} \end{aligned}$$

- Let $A > 0, B > 0, C > 0, D < 0$. Rescale so $D = -1$.
- Set $A = \frac{1}{a^2}, B = \frac{1}{b^2}, C = \frac{1}{c^2}$.
- Surface becomes: $\left\{ (x, y, z) \mid \left(\frac{x}{a}\right)^2 + \left(\frac{y}{b}\right)^2 + \left(\frac{z}{c}\right)^2 = 1 \right\}$.
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$$Ax^2 + By^2 + Cz^2 + D = 0, A > 0, B > 0, C > 0, D > 0$$

Consider the surface $\mathcal{C} = \{(x, y, z) | Ax^2 + By^2 + Cz^2 + D = 0\}$.



$$z = 0$$

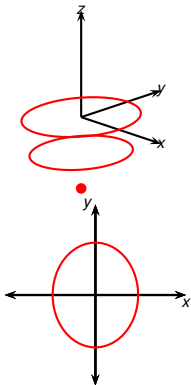
$$\frac{1}{2}x^2 + \frac{1}{3}y^2 = 1 - \frac{z^2}{4}$$

$$=$$

- Let $A > 0, B > 0, C > 0, D < 0$. Rescale so $D = -1$.
- Set $A = \frac{1}{a^2}, B = \frac{1}{b^2}, C = \frac{1}{c^2}$.
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$$Ax^2 + By^2 + Cz^2 + D = 0, A > 0, B > 0, C > 0, D > 0$$

Consider the surface $\mathcal{C} = \{(x, y, z) | Ax^2 + By^2 + Cz^2 + D = 0\}$.



$$z = 0$$

$$\frac{1}{2}x^2 + \frac{1}{3}y^2 = 1 - \frac{z^2}{4}$$

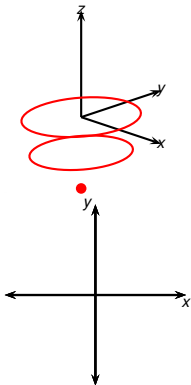
$$= 1$$

- Let $A > 0, B > 0, C > 0, D < 0$.
Rescale so $D = -1$.
- Set $A = \frac{1}{a^2}, B = \frac{1}{b^2}, C = \frac{1}{c^2}$.
- Surface becomes:

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$$Ax^2 + By^2 + Cz^2 + D = 0, A > 0, B > 0, C > 0, D > 0$$

Consider the surface $\mathcal{C} = \{(x, y, z) | Ax^2 + By^2 + Cz^2 + D = 0\}$.



$$z = 1$$

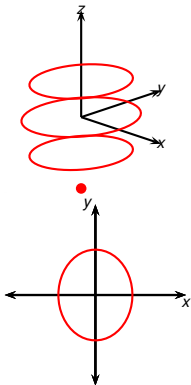
$$\frac{1}{2}x^2 + \frac{1}{3}y^2 = 1 - \frac{z^2}{4}$$

$$=$$

- Let $A > 0, B > 0, C > 0, D < 0$. Rescale so $D = -1$.
- Set $A = \frac{1}{a^2}, B = \frac{1}{b^2}, C = \frac{1}{c^2}$.
- Surface becomes: $\left\{ (x, y, z) \mid \left(\frac{x}{a}\right)^2 + \left(\frac{y}{b}\right)^2 + \left(\frac{z}{c}\right)^2 = 1 \right\}$.
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$$Ax^2 + By^2 + Cz^2 + D = 0, A > 0, B > 0, C > 0, D > 0$$

Consider the surface $\mathcal{C} = \{(x, y, z) | Ax^2 + By^2 + Cz^2 + D = 0\}$.



$$z = 1$$

$$\frac{1}{2}x^2 + \frac{1}{3}y^2 = 1 - \frac{z^2}{4}$$

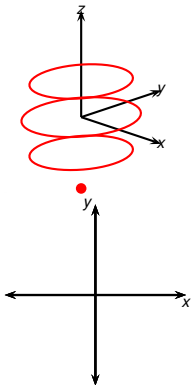
$$= \frac{3}{4}$$

- Let $A > 0, B > 0, C > 0, D < 0$.
Rescale so $D = -1$.
- Set $A = \frac{1}{a^2}, B = \frac{1}{b^2}, C = \frac{1}{c^2}$.
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$$Ax^2 + By^2 + Cz^2 + D = 0, A > 0, B > 0, C > 0, D > 0$$

Consider the surface $\mathcal{C} = \{(x, y, z) | Ax^2 + By^2 + Cz^2 + D = 0\}$.



$$z = 2$$

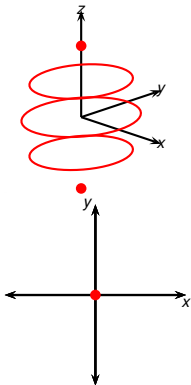
$$\frac{1}{2}x^2 + \frac{1}{3}y^2 = 1 - \frac{z^2}{4}$$

$$=$$

- Let $A > 0, B > 0, C > 0, D < 0$. Rescale so $D = -1$.
- Set $A = \frac{1}{a^2}, B = \frac{1}{b^2}, C = \frac{1}{c^2}$.
- Surface becomes: $\left\{ (x, y, z) \mid \left(\frac{x}{a}\right)^2 + \left(\frac{y}{b}\right)^2 + \left(\frac{z}{c}\right)^2 = 1 \right\}$.
- We illustrate the theory on the example: $\frac{1}{2}x^2 + \frac{1}{3}y^2 + \frac{z^2}{4} = 1$.
- Rewrite: $\frac{1}{2}x^2 + \frac{1}{3}y^2 = 1 - \frac{z^2}{4}$.
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 - None for $z < -2$ and $z > 2$.
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$$Ax^2 + By^2 + Cz^2 + D = 0, A > 0, B > 0, C > 0, D > 0$$

Consider the surface $\mathcal{C} = \{(x, y, z) | Ax^2 + By^2 + Cz^2 + D = 0\}$.



$$z = 2$$

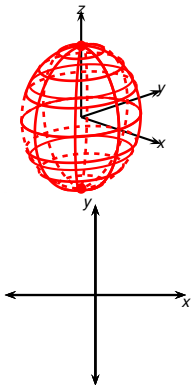
$$\frac{1}{2}x^2 + \frac{1}{3}y^2 = 1 - \frac{z^2}{4}$$

$$= 0$$

- Let $A > 0, B > 0, C > 0, D < 0$. Rescale so $D = -1$.
- Set $A = \frac{1}{a^2}, B = \frac{1}{b^2}, C = \frac{1}{c^2}$.
- Surface becomes: $\left\{ (x, y, z) \mid \left(\frac{x}{a}\right)^2 + \left(\frac{y}{b}\right)^2 + \left(\frac{z}{c}\right)^2 = 1 \right\}$.
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$$Ax^2 + By^2 + Cz^2 + D = 0, A > 0, B > 0, C > 0, D > 0$$

Consider the surface $\mathcal{C} = \{(x, y, z) | Ax^2 + By^2 + Cz^2 + D = 0\}$.



$$z = \sqrt{1 - \frac{1}{2}x^2 - \frac{1}{3}y^2}$$

$$\frac{1}{2}x^2 + \frac{1}{3}y^2 = 1 - \frac{z^2}{4}$$

- Let $A > 0, B > 0, C > 0, D < 0$. Rescale so $D = -1$.
- Set $A = \frac{1}{a^2}, B = \frac{1}{b^2}, C = \frac{1}{c^2}$.
- Surface becomes: $\left\{ (x, y, z) \mid \left(\frac{x}{a}\right)^2 + \left(\frac{y}{b}\right)^2 + \left(\frac{z}{c}\right)^2 = 1 \right\}$.
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- Rewrite: $\frac{1}{2}x^2 + \frac{1}{3}y^2 = 1 - \frac{z^2}{4}$.
- The level curves are:
 - None for $z < -2$ and $z > 2$.
 - Two points for $z = \pm 2$.
 - Ellipses for $z \in (-2, 2)$.
- Figure is called ellipsoid.

Summary: surfaces of form $Ax^2 + By^2 + Cz^2 + D = 0$

A	B	C	D	$x = x_0$	$y = y_0$	$z = z_0$	Example	Name
> 0	> 0	> 0	> 0	empty	empty	empty	$x^2 + 2y^2 + 3z^2 + 4 = 0$	empty
> 0	> 0	> 0	$= 0$					
> 0	> 0	> 0	< 0	ellipse	ellipse	ellipse	$x^2 + 2y^2 + 3z^2 - 4 = 0$	Ellipsoid
> 0	> 0	$= 0$	> 0					
> 0	> 0	$= 0$	$= 0$					
> 0	> 0	$= 0$	< 0					
> 0	> 0	< 0	> 0					
> 0	> 0	< 0	$= 0$					
> 0	> 0	< 0	< 0					
> 0	$= 0$	$= 0$	> 0					
> 0	$= 0$	$= 0$	$= 0$					
> 0	$= 0$	$= 0$	< 0					

Fill in the rest of the table.

Quadratics $Ax^2 + By^2 + Cz = 0$ (no central symmetry)

$$Ax^2 + By^2 + Cz = 0$$

A	B	$x = x_0$	$y = y_0$	$z = z_0$	Example	Name
> 0	> 0	parabola	parabola	ellipse, point, or empty	$x^2 + 2y^2 + 3z = 0$	Elliptic paraboloid
> 0	$= 0$					
> 0	< 0					

Fill in the rest of the table.