

CS 589 Principles of Databases, Lecture 2-4

Principles of Databases

Normal Form Review

Key

FDs F on schema R , $K \subseteq R$

1. $K^+ = R$
2. No proper subset of K has this property

Can be multiple keys

An attribute A is prime if it is in some key K

Superkey: Just Part 1. above

Unit 2, Part 5

David Maier

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Principles of Databases

Example

number apt course name room prof time
Q D C N R P T

$\{DC \rightarrow N, DN \rightarrow C, QDCT \rightarrow RP, P \rightarrow D, QRT \rightarrow DC\}$

$QRT^+ = QRTDCNP$

$QR^+ = QR$
 $QT^+ = QT$
 $RT^+ = RT$
 $Q^+ =$
 $R^+ =$
 $T^+ =$

) not keys

] not keys

QRT is a key

Is DN a key? $DN^+ = DNC$ - no!

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More Examples

$\{DC \rightarrow N, DN \rightarrow C, QDCT \rightarrow RP, P \rightarrow D, QRT \rightarrow DC\}$

$QCPT^+ = QCPTDNR$

$CPT^+ = CPTDN$

$QPT^+ = QPTD$

$QCT^+ = QCT$

$QCP^+ = QCPDN$

QCPT is key

What about QRPT?

$QRPT^+ = QRPTDNC$

*super-key
not a
key*

but QRT is a key

Different Example

FLight Date Pilot Gate

$\{LD \rightarrow PG, L \rightarrow G\}$

LD is a key, so L, D are prime

P, G are not prime -

not part of any key

Third Normal Form (3NF)

Schema R with FDs F is in third normal form if for any nontrivial FD $X \rightarrow A$,
then either X is a superkey for R or A is prime

Last example was not in 3NF

Consider $L \rightarrow G$

not a superkey *not prime*

$$L^+ = LG$$

Another Example

FLight Date PilotID PilotName

$\{LD \rightarrow IN, I \rightarrow N, N \rightarrow I\}$

not a problem

$$LD^+ = LD/N$$

not a superkey

not prime

$$N^+ = NI$$

Aside: Boyce-Codd Normal Form (BCNF)

Schema R with FDs F is in Boyce-Codd normal form if for any nontrivial FD $X \rightarrow A$, then X is a *superkey* of R

BCNF Example

Airport Company **BillTo**

$\{A \rightarrow B, B \rightarrow C\}$

This schema is in 3NF

AC is a key B is a key, but C is prime

This schema is not in BCNF

*B $\rightarrow$ C is a problem
B is not a key*

Normalization through Decomposition

If R not in 3NF for FDs F, find an FD $Y \rightarrow Z$ in F^+ where Y is not a key; split into

FLight Date PilotID PilotName
 $\{LD \rightarrow IN, I \rightarrow N, N \rightarrow I\}$

$R(L D I)$

$LD \rightarrow I$

$R1(I N)$

$I \rightarrow N$

$N \rightarrow I$

Problems with Decomposition

1. Figuring out non-prime attributes can be hard
2. Might end up with more relations than you need
3. Might not be able to enforce FDs

Decomposition Example

$R = A B C D E$

$\{AB \rightarrow CDE, AC \rightarrow BDE, B \rightarrow C, C \rightarrow B, C \rightarrow D, B \rightarrow E\}$

AB, AC are keys, so $C \rightarrow D$ is a problem

Decompose on $C \rightarrow D$

$R_1(ABCE) \quad R_2(CD)$

$B \rightarrow E$ is a problem, decompose again

$R_3(ABCE) \quad R_4(BE) \quad R_2(CD)$

But ... could have $R_4(ABC), R_5(BDE)$

Enforcing FDs Example

$R = A B C D E$

$\{A \rightarrow BCDE, CD \rightarrow E, CE \rightarrow B\}$

A is the only key, so $CD \rightarrow E$ is a problem

Decompose on $CD \rightarrow E$

$R_1(ABCD) \quad R_2(CDE)$

How do you enforce $CE \rightarrow B$?

Normalization through Synthesis

Start with schema R and FDs F

Generate database schema

$R_1, R_2, \dots, R_n$ such that

- Every relation schema is in 3NF
- Can enforce F
- Avoid extra relation schemas
- Have a lossless decomposition from R onto $R_1, R_2, \dots, R_n$.

Depends on a cover for F of a certain form

What's a Cover?

A set of FDs G is a cover for a set of FDs F if $F^+ \leftarrow G^+$

G is a non-redundant cover if you cannot eliminate FDs and still be a cover

$\{A \rightarrow B, B \rightarrow C, \cancel{A \rightarrow C}\}$ *redundant*

Can test FDs one by one to see if any can be left out

$\{A \rightarrow B, B \rightarrow C\}$ *non-redundant*

Minimum Cover

A minimum cover is one with fewest possible FDs *among all covers*

Non-redundant, but not minimum:

$\{A \rightarrow BC, B \rightarrow A, AD \rightarrow E, BD \rightarrow I\}$

$\{A \rightarrow BC, B \rightarrow A, AD \rightarrow EI\}$

Finding a Minimum Cover

Replace every FD $X \rightarrow Y$ in F with $X \rightarrow X^+$, then find a non-redundant cover

$\{A \rightarrow BC, B \rightarrow A, AD \rightarrow E, BD \rightarrow I\}$

$\{A \rightarrow ABC, B \rightarrow ABC, AD \rightarrow ABCDEI, BD \rightarrow ABCDEI\}$

$\Downarrow$ non-redundant

$\{A \rightarrow ABC, B \rightarrow ABC, AD \rightarrow ABCDEI\}$

Reduced Covers

Are there extraneous attributes?

Can appear on the right side or the left

$$\{AB \rightarrow C, A \rightarrow B\}$$

$$\{A \rightarrow BC, B \rightarrow C\}$$

How to remove?

For $XA \rightarrow Y$, see if

$$\text{see if } F \models X \rightarrow Y$$

For $X \rightarrow YB$, see if

$$\text{see if } (F - \{X \rightarrow YB\} \cup \{X \rightarrow Y\}) \models X \rightarrow YB$$

Reduce Left Sides First

$$G = \{A \rightarrow C, AB \rightarrow DE, \\ AB \rightarrow CDI, \underline{AC} \rightarrow J\}$$

Reduce left sides

$$G' = \{A \rightarrow C, AB \rightarrow \underline{DE}, \\ AB \rightarrow \underline{CDI}, A \rightarrow J\}$$

Reduce right sides

$$G'' = \{A \rightarrow C, AB \rightarrow E, \\ AB \rightarrow DI, A \rightarrow J\}$$

Synthesis Algorithm

Start with FDs F

Find G = minimum cover for F

Find H = reduced cover for G

For each $X \rightarrow Y$ in H , add a schema XY

Synthesis Example

$$F = \{A \rightarrow BC, B \rightarrow A, AD \rightarrow E, BD \rightarrow I\}$$

$$G = \{A \rightarrow \bar{A}BC, B \rightarrow \bar{A}B\bar{C}, AD \rightarrow \bar{A}B\bar{C}\bar{D}E\bar{I}, \cancel{BD \rightarrow \bar{A}B\bar{C}\bar{D}E\bar{I}}\}$$

$$H = \{A \rightarrow BC, B \rightarrow A, AD \rightarrow EI\}$$

$$R_1(ABC), R_2(BA), R_3(AD EI)$$

$$\swarrow \searrow$$

$$R_{12}(ABC) \quad R_3(AD EI)$$

Lossless Decomposition

If none of the FDs represents a key for all the attributes, need to add one more relation to get a lossless decomposition

$R = ABCDE$

$F = \{A \rightarrow B, C \rightarrow D\}$

$\square \rightarrow A B C D E$

"

H

$R_1(A B), R_2(C D)$

$\square \rightarrow A C E$

$R_3(\cancel{A} C E)$