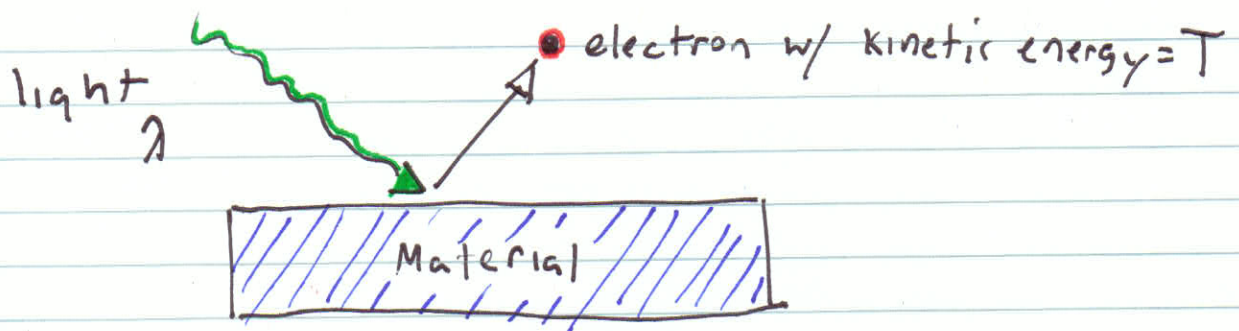


# Quantum Theory of Solids

(1)

## Lecture 3

- Around 1900's there were experiments that seemed to not follow classical mechanics
- one notable experiment was the photoelectric effect

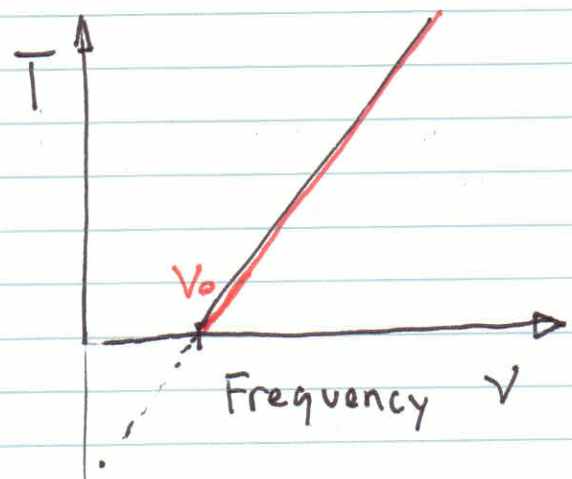
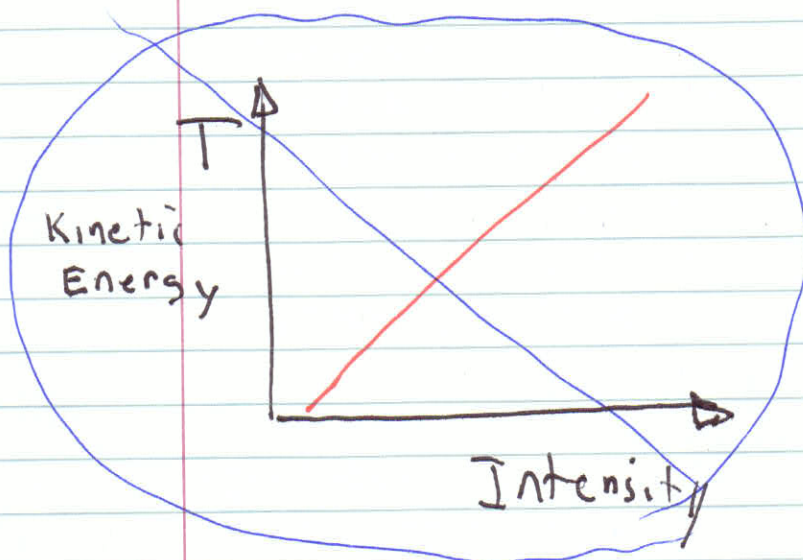


What do we expect?

Remember: At that time "light is a wave"

Classical Expectation

Actual Experiment



(2)

o Experimental observation of photo-electric effect

1. No electrons are ejected for  $\nu < \nu_0$ .
2. The kinetic energy proportional to frequency.
3. At low intensities, electrons are still ejected so long as  $\nu \geq \nu_0$

o Einstein suggested electron is ejected from a collision with a particle-like ~~coll~~ projectile

- The projectile carries enough energy to eject the electron from the material
- If the projectile is a photon with energy  $h\nu$  then by conservation of

energy

$$T = \frac{1}{2} m_e v^2 = h\nu - \Phi$$

where  $\Phi$  is the work function

(3)

o Thus a beam of light is a collection of photons each with energy  $h\nu$

### Wave particle Duality

o DeBroglie then wonder if particles also behaved like waves,

### Relativity

$$E = mc^2 = \sqrt{p^2 c^2 + m_0^2 c^4}$$

Kinetic  
energy

Rest mass  
energy

Kinetic term

$$E = pc$$

we also know

$$E = h\nu$$

equating

$$h\nu = pc$$

$$h \frac{c}{\lambda} = pc$$

$$\rightarrow \boxed{\lambda = \frac{h}{p} = \frac{h}{mv}}$$

Thus particles can indeed act like waves!

(4)

## Quantum Numbers

- Quantum numbers describe a conserved quantity
- In classical physics conserved quantities are continuous

### Classical

Energy

Angular Momentum

Magnetic Fields

### Quantum Numbers

Principle Quantum Number ( $n$ )

Azimuthal QN ( $l$ )

Magnetic QN ( $m$ ) or ( $m_l$ )

Spin QN ( $s$ ) or ( $m_s$ )

- The major difference is that in quantum systems the conserved quantities are "quantized", i.e. they come in discrete amounts.

# Quantum Mechanics

(5)

Main governing equation: **Schrodinger's equation**

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \Psi}{\partial x^2} + V(x) \Psi(x, t) = j\hbar \frac{\partial \Psi}{\partial t}$$

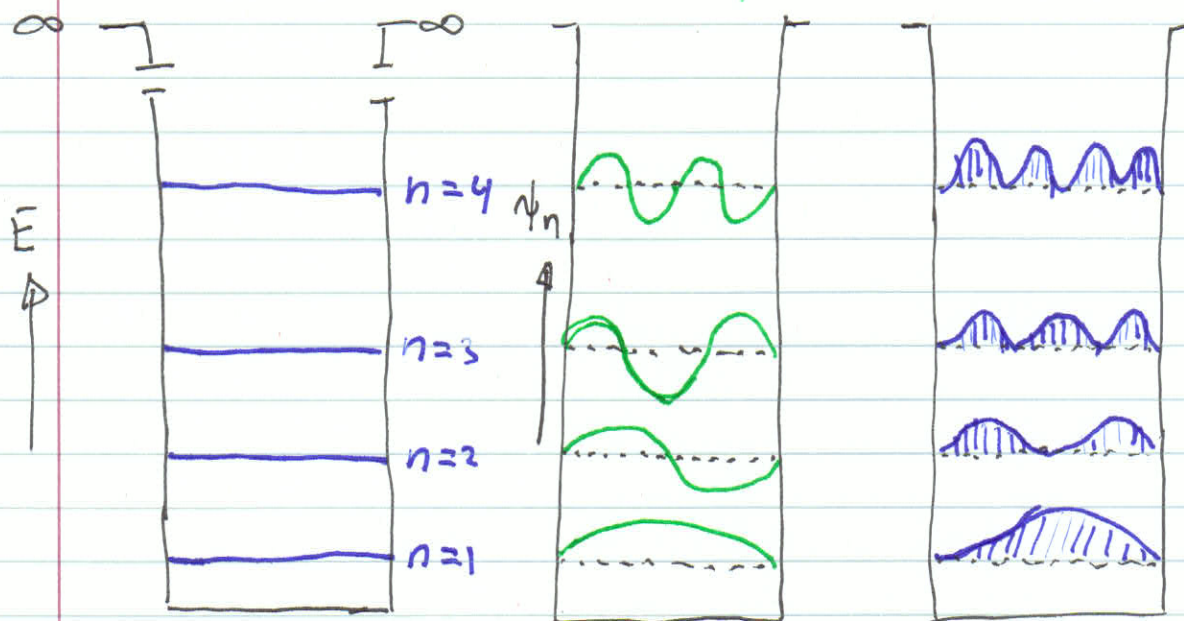
where:  $\hbar = \frac{h}{2\pi}$  and  $j = \sqrt{-1}$

- $\Psi$  is called the wave function
- It contains all the information about a systems dynamical properties.
- $\Psi$  is often labelled with the quantum numbers

~~Bohr's model of the atom~~

# Particle In a Box

(6)



Allowed energy  
levels

Corresponding  
Wave functions

Probability  
function

• Max Born postulated that  $|\Psi|^2 dx$  is the probability of finding the particle between  $x$  and  $x+dx$  at a given time

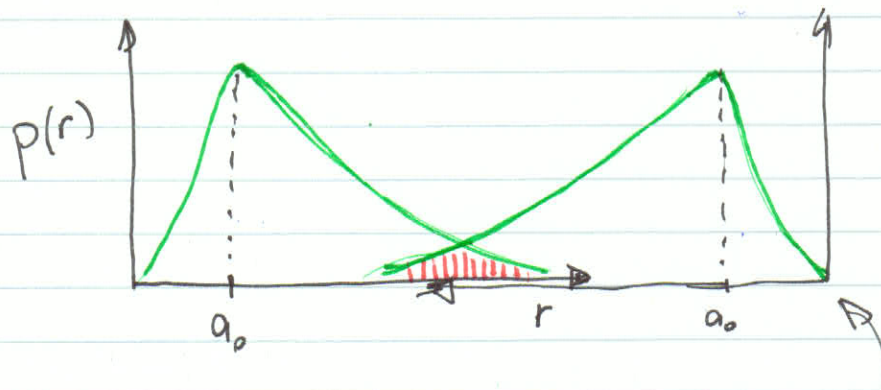
• Thus  $|\Psi|^2$  is a probability density function

where  $|\Psi|^2 = \Psi \cdot \Psi^*$

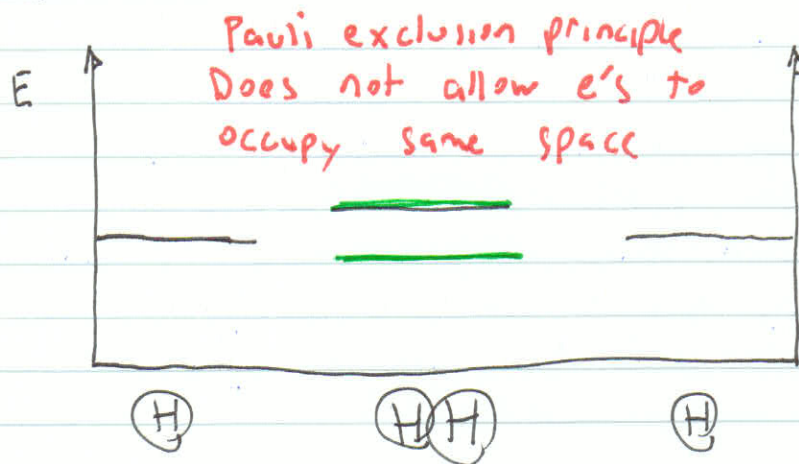
## Energy Bands

⑦

- Let's consider a hydrogen atom that is not interacting with anything else
- The radial probability density function  $p(r)$  will look like,



- If we have two hydrogen in proximity to each other

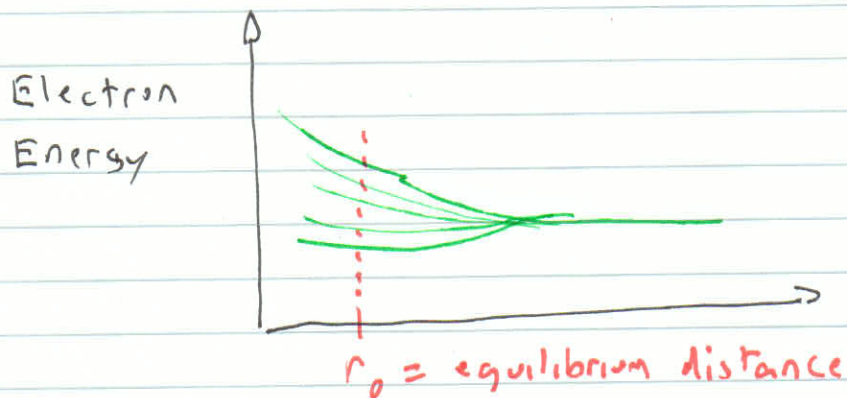


- The wave functions overlap & the e's interact.
- This results in a splitting of the energy levels

## Pauli Exclusion Principle

⑧

- Two identical Fermions (e.g. electrons) cannot occupy the same quantum state within a quantum system.
- For 2 e's in the same orbital, if their  $n$ ,  $l$ , and  $m_l$  quantum numbers are identical, then  $m_s$  must be different.
- o For a periodic lattice of hydrogen atoms, a plot of the electron energy as a function of  $a$ , i.e. the unit cell length looks like



- The end result is that we obtain bands of allowed energy states.