

CS420 – Lecture 21

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Radix Sort

Comparison Sort

- List of items and comparator
- Return list of items, ordered by comparator

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- Time Complexity?
 - Best: depends on algorithm
 - Average: $O(n \log n)$
 - Worst?

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Comparison Sort

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- Return list of items, ordered by comparator
- Time Complexity?
 - Best: depends on algorithm
 - Average: $O(n \log n)$
 - Worst? $O(n \log n)$, $O(n^2)$, ...
- Space Complexity?
 - Depends on algorithm
 - $O(1)$, $O(\log n)$, $O(n)$, ...

Comparison Sort: Examples

- Insertion sort:
 - $O(n)$ best
 - $O(n^2)$ average and worst
 - $O(1)$ space

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 - $O(n)$ space

Comparison Sort: Examples

- Insertion sort:
 - $O(n)$ best
 - $O(n^2)$ average and worst
 - $O(1)$ space
- Merge sort:
 - $O(n \log n)$ best, average, and worst
 - $O(n)$ space
- Quick sort:
 - $O(n \log n)$ best and average
 - $O(n^2)$ worst
 - $O(\log n)$ space

Non-Comparative Sort

- Will no one rid me of this troublesome comparator?
- Must restrict scope: can't sort arbitrary items
 - Focus on integers
 - But not strictly limited—requires “positional notation”
- Algorithm outline:

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Non-Comparative Sort

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 - 1 Take least significant group of digits (or bits)
 - 2 Group keys based on digits/bits, keeping order within group

Non-Comparative Sort

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- Must restrict scope: can't sort arbitrary items
 - Focus on integers
 - But not strictly limited—requires “positional notation”
- Algorithm outline:
 - 1 Take least significant group of digits (or bits)
 - 2 Group keys based on digits/bits, keeping order within group
 - 3 Repeat with next significant digits/bits

Decimal Example

Histogram I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	0
4	0
5	0
6	0
7	0
8	0
9	0

Histogram I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	1
4	0
5	0
6	0
7	0
8	0
9	0

Histogram I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	1
4	0
5	1
6	0
7	0
8	0
9	0

Histogram I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	2
4	0
5	1
6	0
7	0
8	0
9	0

Histogram I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	2
4	1
5	1
6	0
7	0
8	0
9	0

Histogram I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	2
4	1
5	1
6	1
7	0
8	0
9	0

Histogram I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	2
4	2
5	1
6	1
7	0
8	0
9	0

Histogram I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	3
4	2
5	1
6	1
7	0
8	0
9	0

Exclusive Scan I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	3
4	2
5	1
6	1
7	0
8	0
9	0

sum = 0

Exclusive Scan I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	3
4	2
5	1
6	1
7	0
8	0
9	0

sum = 0

Exclusive Scan I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	3
4	2
5	1
6	1
7	0
8	0
9	0

sum = 0

Exclusive Scan I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	3
4	2
5	1
6	1
7	0
8	0
9	0

sum = 0

Exclusive Scan I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	0
4	2
5	1
6	1
7	0
8	0
9	0

sum = 3

Exclusive Scan I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	0
4	3
5	1
6	1
7	0
8	0
9	0

sum = 5

Exclusive Scan I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	0
4	3
5	5
6	1
7	0
8	0
9	0

sum = 6

Exclusive Scan I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	0
4	3
5	5
6	6
7	0
8	0
9	0

sum = 7

Exclusive Scan I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	0
4	3
5	5
6	6
7	7
8	0
9	0

sum = 7

Exclusive Scan I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	0
4	3
5	5
6	6
7	7
8	7
9	0

sum = 7

Exclusive Scan I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	0
4	3
5	5
6	6
7	7
8	7
9	7

sum = 7

Emplace I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	0
4	3
5	5
6	6
7	7
8	7
9	7

Emplace I

0	1	2	3	4	5	6
23	45	43	54	76	14	13

Digit	Count
0	0
1	0
2	0
3	0
4	3
5	5
6	6
7	7
8	7
9	7

Emplace I

0	1	2	3	4	5	6
23	45	43	54	76	14	13
23						

Digit	Count
0	0
1	0
2	0
3	1
4	3
5	5
6	6
7	7
8	7
9	7

Emplace I

0	1	2	3	4	5	6
23	45	43	54	76	14	13
23						

Digit	Count
0	0
1	0
2	0
3	1
4	3
5	5
6	6
7	7
8	7
9	7

Emplace I

0	1	2	3	4	5	6
23	45	43	54	76	14	13
23					45	

Digit	Count
0	0
1	0
2	0
3	1
4	3
5	6
6	6
7	7
8	7
9	7

Emplace I

0	1	2	3	4	5	6
23	45	43	54	76	14	13
23					45	

Digit	Count
0	0
1	0
2	0
3	1
4	3
5	6
6	6
7	7
8	7
9	7

Emplace I

0	1	2	3	4	5	6
23	45	43	54	76	14	13
23	43				45	

Digit	Count
0	0
1	0
2	0
3	2
4	3
5	6
6	6
7	7
8	7
9	7

Emplace I

0	1	2	3	4	5	6
23	45	43	54	76	14	13
23	43				45	

Digit	Count
0	0
1	0
2	0
3	2
4	3
5	6
6	6
7	7
8	7
9	7

Emplace I

0	1	2	3	4	5	6
23	45	43	54	76	14	13
23	43		54		45	

Digit	Count
0	0
1	0
2	0
3	2
4	4
5	6
6	6
7	7
8	7
9	7

Emplace I

0	1	2	3	4	5	6
23	45	43	54	76	14	13
23	43		54		45	

Digit	Count
0	0
1	0
2	0
3	2
4	4
5	6
6	6
7	7
8	7
9	7

Emplace I

0	1	2	3	4	5	6
23	45	43	54	76	14	13
23	43		54		45	76

Digit	Count
0	0
1	0
2	0
3	2
4	4
5	6
6	7
7	7
8	7
9	7

Emplace I

0	1	2	3	4	5	6
23	45	43	54	76	14	13
23	43		54		45	76

Digit	Count
0	0
1	0
2	0
3	2
4	4
5	6
6	7
7	7
8	7
9	7

Emplace I

0	1	2	3	4	5	6
23	45	43	54	76	14	13
23	43		54	14	45	76

Digit	Count
0	0
1	0
2	0
3	2
4	5
5	6
6	7
7	7
8	7
9	7

Emplace I

0	1	2	3	4	5	6
23	45	43	54	76	14	13
23	43		54	14	45	76

Digit	Count
0	0
1	0
2	0
3	2
4	5
5	6
6	7
7	7
8	7
9	7

Emplace I

0	1	2	3	4	5	6
23	45	43	54	76	14	13
23	43	13	54	14	45	76

Digit	Count
0	0
1	0
2	0
3	3
4	5
5	6
6	7
7	7
8	7
9	7

Histogram II

0	1	2	3	4	5	6
23	43	13	54	14	45	76

Digit	Count
0	0
1	2
2	1
3	0
4	2
5	1
6	0
7	1
8	0
9	0

Exclusive Sum II

0	1	2	3	4	5	6
23	43	13	54	14	45	76

Digit	Count
0	0
1	0
2	2
3	3
4	3
5	5
6	6
7	6
8	7
9	7

Emplace II

0	1	2	3	4	5	6
23	43	13	54	14	45	76
13	14	23	43	45	54	76

Digit	Count
0	0
1	2
2	3
3	3
4	5
5	6
6	6
7	7
8	7
9	7

Serial Radix Sort I

```
void sort(int32_t **keys_p, size_t N)
{
    int32_t *keys = *keys_p;
    int32_t *new_keys = malloc(sizeof(int32_t) * N);
    size_t hist[2];

    /* int32_t is 4 bytes = 32 bits */
    for (int r = 0; r < 32; r++) {
        /* reset histogram */
        memset(hist, 0, sizeof(hist));

        /* compute histogram of key bits */
        for (size_t i = 0; i < N; i++) {
            size_t bit = (keys[i] >> r) & 0x1;
            hist[bit]++;
        }

        /* exclusive scan on histogram */
        size_t sum = 0;
        for (int i = 0; i < 2; i++) {
```

Serial Radix Sort II

```
        size_t tmp = hist[i];
        hist[i] = sum;
        sum += tmp;
    }

    /* put into place */
    for (size_t i = 0; i < N; i++) {
        size_t bit = (keys[i] >> r) & 0x1;
        size_t index = hist[bit]++;
        new_keys[index] = keys[i];
    }

    /* swap keys and new_keys */
    int32_t *tmp = keys;
    keys = new_keys;
    new_keys = tmp;
}

*keys_p = keys;
free(new_keys);
}
```

Serial Radix Sort: Analysis

- Time

- Histogram: $O(n)$
- Scan: $O(1)$
- Emplace: $O(n)$
- Each repeated k times
 - In general, k must be at least $\log n$ if all elements are distinct
 - Here, k is a constant—32
 - So depending on how you consider it, time is $O(n \log n)$ or $O(n)$

Serial Radix Sort: Analysis

- Time

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- Emplace: $O(n)$
- Each repeated k times
 - In general, k must be at least $\log n$ if all elements are distinct
 - Here, k is a constant—32
 - So depending on how you consider it, time is $O(n \log n)$ or $O(n)$

- Space

- Histogram: $O(1)$
- Extra array: $O(n)$

Parallel Radix Sort

Parallel Radix Sort

- Split array into P equal chunks
- Compute local histograms in parallel
- Compute global histogram
- Emplace in parallel

Global Histogram

- Each processor p knows how many elements it has in each bin i
- To emplace in the right array offset, must know:
 - Sum of counts for all processors of all bins prior to i

- $$\sum_{n=0}^{i-1} \sum_{k=0}^P H_{k,n}$$

- Exclusive scan over a reduction on the local histograms

- Sum of counts for all processors prior to p of bin i

- $$\sum_{k=0}^{p-1} H_{k,i}$$

- Exclusive scan over the local histograms

- Adding these together allows each processor to know its initial position in the global array for each bin

- Histogram and emplace are $O(\frac{n}{P})$
- Reduction and exclusive scan are $O(\log P)$ in parallel
- So total is $O(\frac{n}{P} + \log P)$ or $O((\frac{n}{P} + \log P) \log n)$
 - $P = 1$: $O(n)$ or $O(n \log n)$
 - $P = n$: $O(\log n)$ or $O(\log^2 n)$
- So with an infinite number of processors, can do in $O(\log n)$ time

OpenMP Radix Sort

OpenMP Radix Sort I

```
void sort_omp(int32_t **keys_p, size_t N)
{
    int32_t *keys = *keys_p;
    int32_t *new_keys = malloc(sizeof(int32_t) * N);
    size_t global_hist[2];

    #pragma omp parallel
    {
        const int num_threads = omp_get_num_threads();
        size_t local_hist[2];

        /* int32_t is 4 bytes = 32 bits */
        for (int r = 0; r < 32; r++) {
            /* reset global histogram, single thread */
            #pragma omp single nowait
            memset(global_hist, 0, sizeof(global_hist));

            /* reset local histogram */
            memset(local_hist, 0, sizeof(local_hist));
        }
    }
}
```

OpenMP Radix Sort II

```
/* compute local histogram of key bits */
#pragma omp for schedule(static) nowait
for (size_t i = 0; i < N; i++) {
    size_t bit = (keys[i] >> r) & 0x1;
    local_hist[bit]++;
}

/* loop over each thread in order */
#pragma omp for schedule(monotonic: static, 1) ordered
for (int t = 0; t < num_threads; t++) {
    /* compute reduction and exclusive scan */
    #pragma omp ordered
    for (size_t i = 0; i < 2; i++) {
        size_t tmp = global_hist[i];
        global_hist[i] += local_hist[i];
        local_hist[i] = tmp;
    }
}
```

OpenMP Radix Sort III

```
/* exclusive scan over global histogram */
#pragma omp single
{
    size_t sum = 0;
    for (int i = 0; i < 2; i++) {
        size_t tmp = global_hist[i];
        global_hist[i] = sum;
        sum += tmp;
    }
}

/* compute indices */
for (int i = 0; i < 2; i++) {
    local_hist[i] += global_hist[i];
}
```

OpenMP Radix Sort IV

```
/* put into place */
#pragma omp for schedule(static)
for (size_t i = 0; i < N; i++) {
    size_t bit = (keys[i] >> r) & 0x1;
    size_t index = local_hist[bit]++;
    new_keys[index] = keys[i];
}

/* swap keys and new_keys */
#pragma omp single
{
    int32_t *tmp = keys;
    keys = new_keys;
    new_keys = tmp;
}

}

*keys_p = keys;
free(new_keys);
}
```

- Reduction and exclusive scans done serially
- Can be done in parallel using OpenMP tasks
- OpenMP 5.0 has `scan` directive
 - Implementation simpler than using tasks
 - OpenMP 5.0 is new—standard released November 2018
 - Compiler support is sparse or nonexistent

MPI Radix Sort

MPI Radix Sort I

```
/* distributed array, each process has N elements */
void sort_mpi(int32_t **keys_p, size_t N)
{
    int32_t *keys = *keys_p;
    int32_t *new_keys = malloc(sizeof(int32_t) * N);
    MPI_Request *reqs = malloc(sizeof(MPI_Request) * N * 2);
    MPI_Count local_hist[2];
    MPI_Count global_hist[2];
    MPI_Count prior_hist[2];

    /* int32_t is 4 bytes = 32 bits */
    for (int r = 0; r < 32; r++) {
        /* reset local histogram */
        memset(local_hist, 0, sizeof(local_hist));

        /* compute local histogram of key bits */
        for (size_t i = 0; i < N; i++) {
            size_t bit = (keys[i] >> r) & 0x1;
            local_hist[bit]++;
        }
    }
}
```

MPI Radix Sort II

```
/* compute reduction on histogram */
MPI_Allreduce(local_hist, global_hist, 2,
              MPI_COUNT, MPI_SUM, MPI_COMM_WOLRD);

/* compute histogram for prior processes */
MPI_Exscan(local_hist, prior_hist, 2,
           MPI_COUNT, MPI_SUM, MPI_COMM_WORLD);

/* exclusive scan over global histogram */
MPI_Count sum = 0;
for (int i = 0; i < 2; i++) {
    MPI_Count tmp = global_hist[i];
    global_hist[i] = sum;
    sum += tmp;
}

/* compute starting indices */
for (int i = 0; i < 2; i++) {
    local_hist[i] = global_hist[i] + prior_hist[i];
}
```

MPI Radix Sort III

```
/* post receives */
for (size_t i = 0; i < N; i++) {
    MPI_Irecv(&new_keys[i], 1, MPI_INT32_T, MPI_ANY_SOURCE,
              i, MPI_COMM_WORLD, &reqs[i]);
}

/* send keys to right place */
for (size_t i = 0; i < N; i++) {
    size_t bit = (keys[i] >> r) & 0x1;
    MPI_Count index = local_hist[bit]++;
    int dest = index / N;
    int local_index = index % N;
    MPI_Isend(&keys[i], 1, MPI_INT32_T, dest,
              local_index, MPI_COMM_WORLD, &reqs[i+N]);
}

/* complete communication */
MPI_Waitall(2*N, reqs, MPI_STATUSES_IGNORE);
```

MPI Radix Sort IV

```
        /* swap keys and new_keys */  
        int32_t *tmp = keys;  
        keys = new_keys;  
        new_keys = tmp;  
    }  
    *keys_p = keys;  
    free(new_keys);  
    free(reqs);  
}
```

MPI Tag Limit

- Need one send and receive for each element in the array
- Use wildcard source (`MPI_ANY_SOURCE`) since it's impossible to know who has the element
- Each receive needs a different tag—match based on local index
- MPI-3.1, §8.1.2, pp. 335:

Tag values range from 0 to the value returned for `MPI_TAG_UB`, inclusive. These values are guaranteed to be unchanging during the execution of an MPI program. In addition, the tag upper bound value must be at least 32767. An MPI implementation is free to make the value of `MPI_TAG_UB` larger than this; for example, the value $2^{30} - 1$ is also a valid value for `MPI_TAG_UB`.

- So if we have more than $2^{15} - 1$ elements, this might not work

MPI One-Sided Radix Sort I

```
/* distributed array, each process has N elements */
void sort_mpi(int32_t **keys_p, size_t N)
{
    int32_t *keys = *keys_p;
    int32_t *new_keys = NULL;
    MPI_Win win;
    MPI_Win new_win;
    MPI_Request *reqs = malloc(sizeof(MPI_Request) * N);
    MPI_Count local_hist[2];
    MPI_Count global_hist[2];
    MPI_Count prior_hist[2];

    MPI_Win_create(keys, sizeof(int32_t) * N, sizeof(int32_t),
                   MPI_INFO_NULL, MPI_COMM_WORLD, &win);
    MPI_Win_allocate(sizeof(int32_t) * N, sizeof(int32_t),
                     MPI_INFO_NULL, MPI_COMM_WORLD, &new_keys, &new_win);
```

MPI One-Sided Radix Sort II

```
/* int32_t is 4 bytes = 32 bits */
for (int r = 0; r < 32; r++) {
    /* reset local histogram */
    memset(local_hist, 0, sizeof(local_hist));

    /* compute local histogram of key bits */
    for (size_t i = 0; i < N; i++) {
        size_t bit = (keys[i] >> r) & 0x1;
        local_hist[bit]++;
    }

    /* compute reduction on histogram */
    MPI_Allreduce(local_hist, global_hist, 2,
                  MPI_COUNT, MPI_SUM, MPI_COMM_WOLRD);

    /* compute histogram for prior processes */
    MPI_Exscan(local_hist, prior_hist, 2,
               MPI_COUNT, MPI_SUM, MPI_COMM_WORLD);
}
```

MPI One-Sided Radix Sort III

```
/* exclusive scan over global histogram */
MPI_Count sum = 0;
for (int i = 0; i < 2; i++) {
    MPI_Count tmp = global_hist[i];
    global_hist[i] = sum;
    sum += tmp;
}

/* compute starting indices */
for (int i = 0; i < 2; i++) {
    local_hist[i] = global_hist[i] + prior_hist[i];
}
```

MPI One-Sided Radix Sort IV

```
/* lock RMA window */
MPI_Win_lock_all(0, new_win);

/* put keys in right place */
for (size_t i = 0; i < N; i++) {
    size_t bit = (keys[i] >> r) & 0x1;
    MPI_Count index = local_hist[bit]++;
    int dest = index / N;
    MPI_Aint disp = index % N;
    MPI_Rput(&keys[i], 1, MPI_INT32_T, dest,
            disp, 1, MPI_INT32_T, new_win, &reqs[i]);
}

/* complete puts */
MPI_Waitall(N, reqs, MPI_STATUSES_IGNORE);
/* unlock RMA window */
MPI_Win_unlock_all(new_win);
/* wait for everyone */
MPI_Barrier(MPI_COMM_WORLD);
```

MPI One-Sided Radix Sort V

```
    /* swap keys, new_keys and win, new_win */
    int32_t *tmp_keys = keys;
    MPI_Win tmp_win = win;
    keys = new_keys;
    win = new_win;
    new_keys = tmp_keys;
    new_win = tmp_win;
}
*keys_p = keys;
MPI_Win_free(new_win);
MPI_Win_free(win);
free(reqs);
}
```