

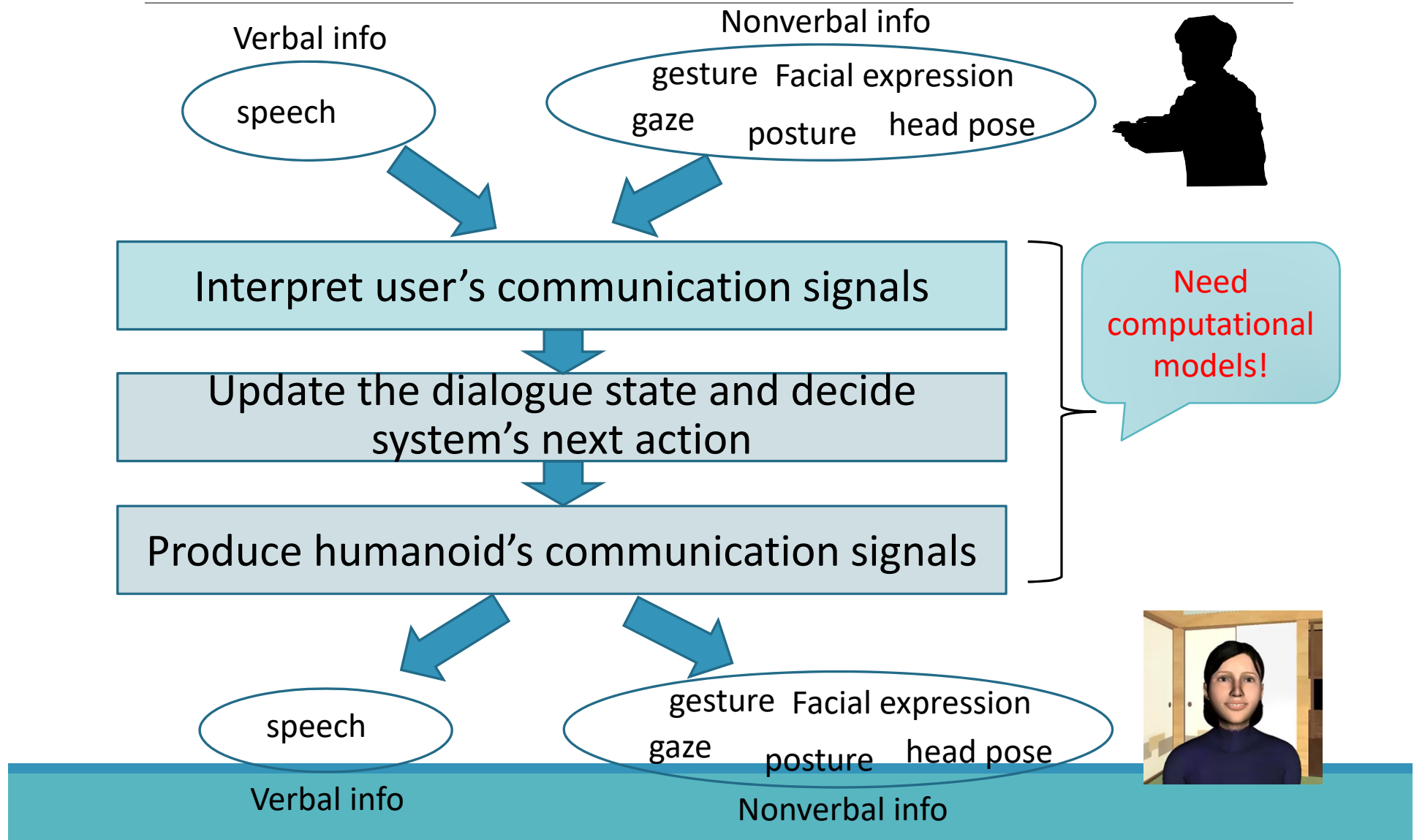


Multimodal Behavior Generation

Yukiko Nakano

Introduction

Multimodal Dialogue System Architecture



Conversational agent

- Animation characters or robots that can **display humanlike bodily expressions** (facial expression, gesture, etc) synchronized with speech
- **Autonomous agent: response is decided by the system**
 - Embodied conversational agent
 - Believable agent
- Avatar: human user type-in/select response

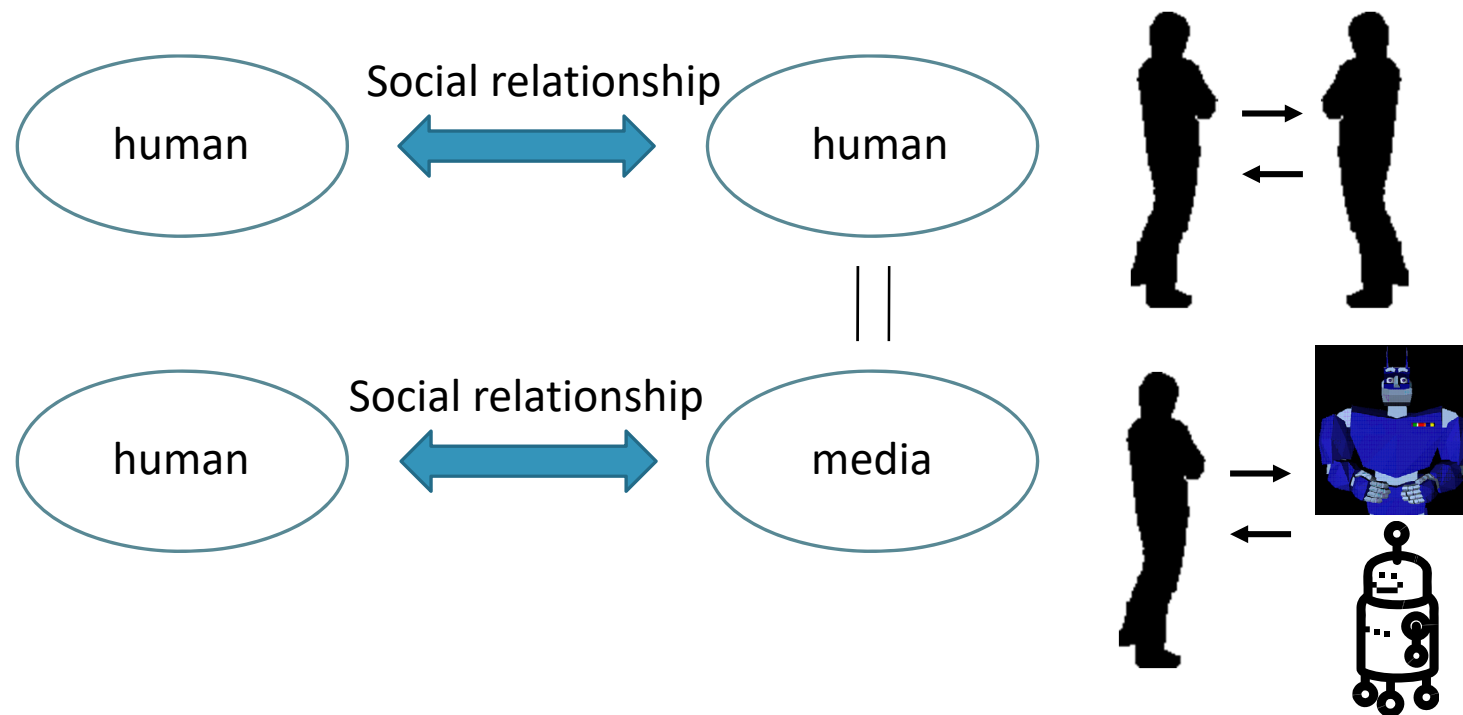


Benefits and advantages of conversational humanoids

- Intuitiveness
 - Users can use a computer by talking to a computer like in face-to-face conversation.
 - Users do not need to learn how to use the interface (manual free)
- Robustness
 - Multimodality contribute to decrease communication failure and increase the robustness of communication
- Naturalness
 - Users tend to treat computers as human (Media equation [Reeves&Nass, 1996])

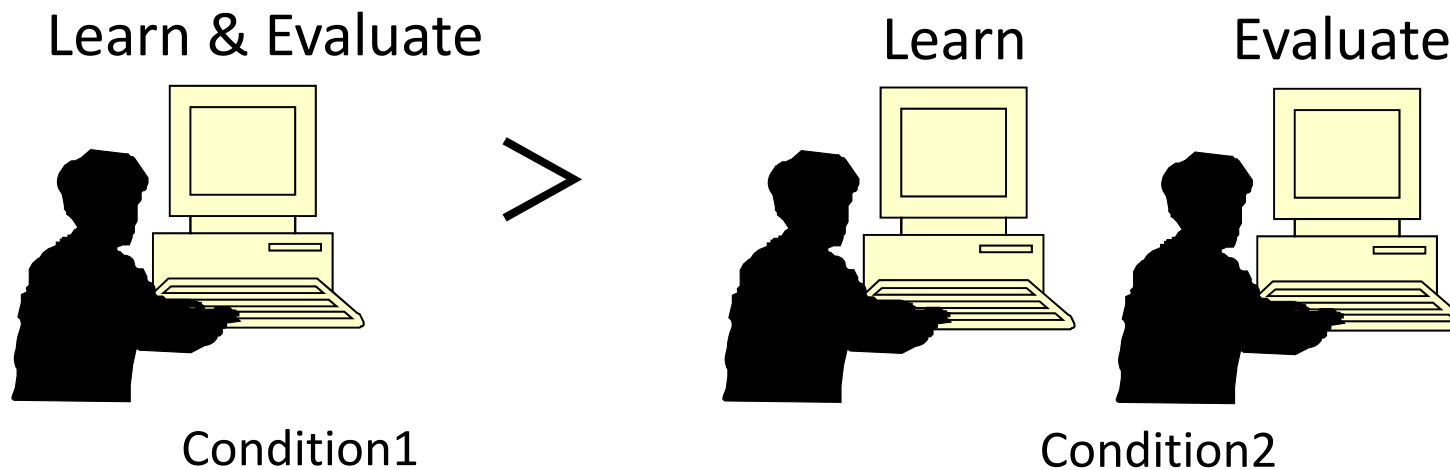
Media equation

- Media Equation, by Reeves & Nass (1996)
 - Computers Are Social Actors (CASA) paradigm: humans mindlessly apply the same social heuristics used for human interactions to computers and media



Experiment for media equation

- Politeness in human-computer interaction
 - The participants learn about American culture from a computer
 - (Condition1) : Evaluate the system using the same computer
 - (Condition2) : Evaluate the system using a different computer
 - Condition 1 participants gave more positive feedback than Condition 2 participants



Behavior Generation



Relationship between Linguistic Structure and Behavioral Cues

Non-verbal

Gesture

Eyebrow raise

Eye gaze

Head nod

Posture shift

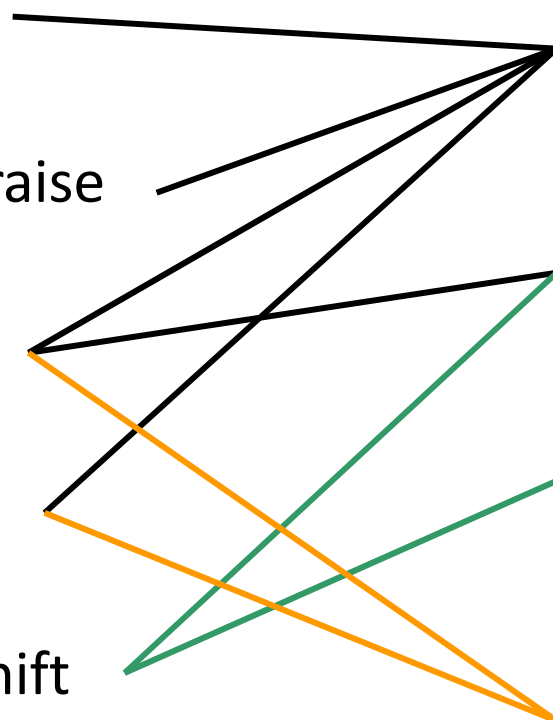
Verbal

Information structure
(Emphasize important information)

Conversation structure
(Turn taking)

Discourse structure
(Topic structure)

Grounding
(Establish shared knowledge)



Nonverbal communication signal

Function	Nonverbal behaviors
(1) emphasize utterance	Gesture, eyebrow raise
(2) Give a turn	Stop gesture, gaze at next speaker, mutual gaze, next speaker looks away from the current speaker
(3) Feedback to the speaker	Mutual gaze, acknowledgement
(4) Change topic	Change posture

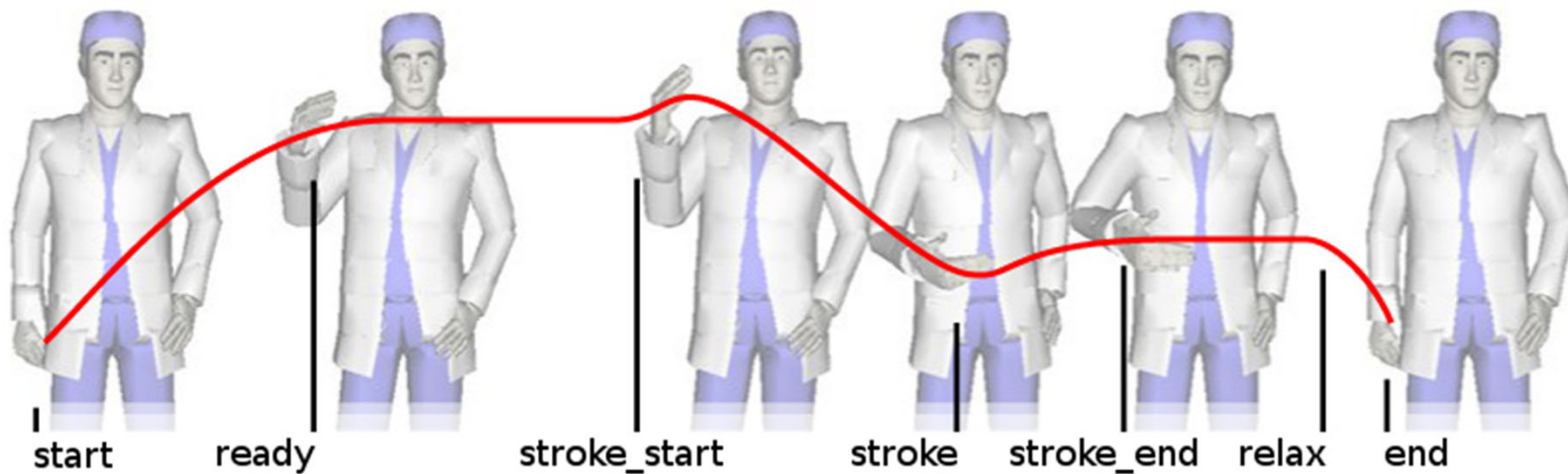
Facial expression as communication signal

Function		Facial expression
Syntactic function	Emphasize utterance	Eyebrow raise, blink
	Syntactic structure	Eyebrow raise, blink
	Change topic	Eyebrow raise, blink
Semantic function	Complement linguistic expression	Nod, shake, emblem
Conversation coordination function	Back-channel	Nod, smile
	Turn taking	gaze
emotion expression	Complement linguistic expression, reinforce semantics, Speaker's opinion/ evaluation feedback	Facial expression: happy, sad, surprise, disgust, etc

We need to make agent understand/generate these communication signals

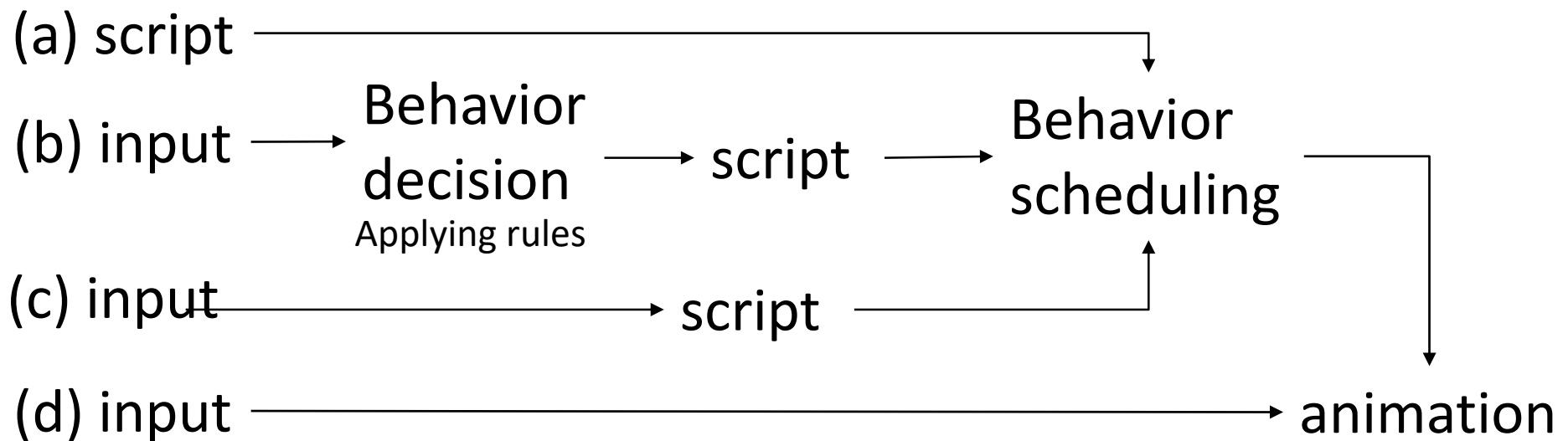
What should be decided?

- When?
 - With what words should nonverbal behaviors be co-occurred?
- What?
 - What type of behaviors should be performed?



Approaches

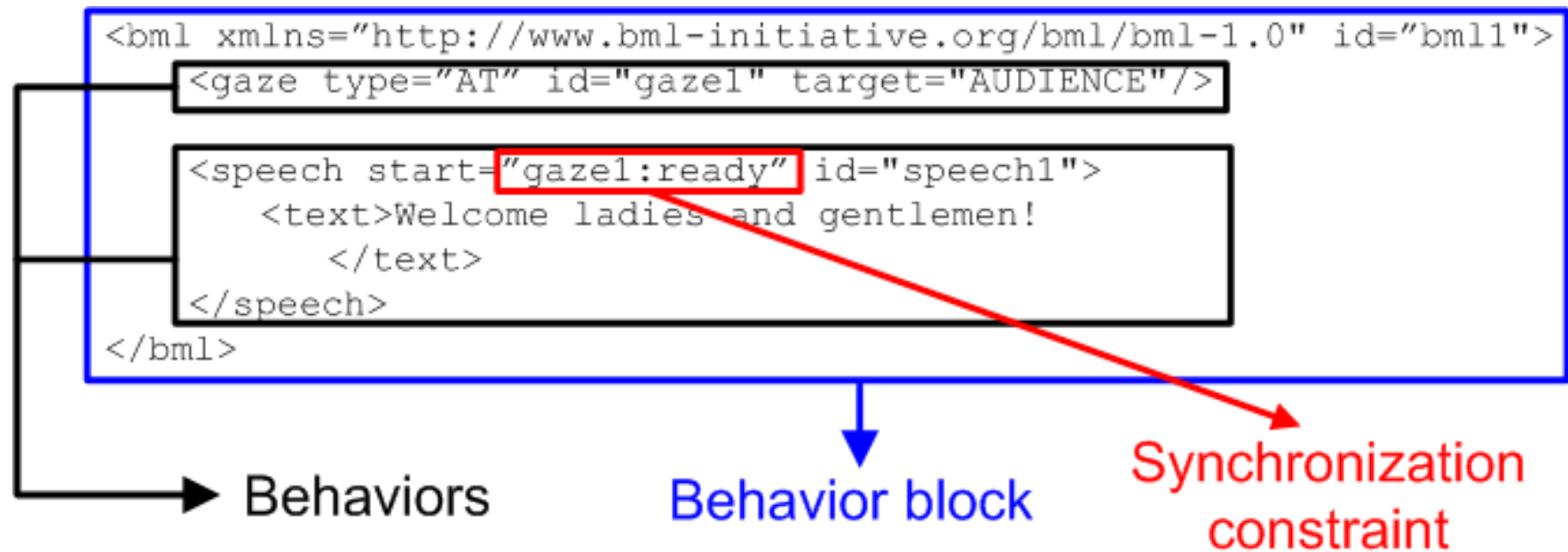
- (a) Manually generated script
 - (b) Rule-based
 - (c) Behavior prediction
 - (d) Joint position prediction
- } Data-driven/machine learning



Script

- Describe agent's behaviors using markup language
 - text
 - gesture
 - facial expression
 - gaze
 - background image, etc
- Markup languages
 - BML, FML
 - MPML
 - (Microsoft MS agent)

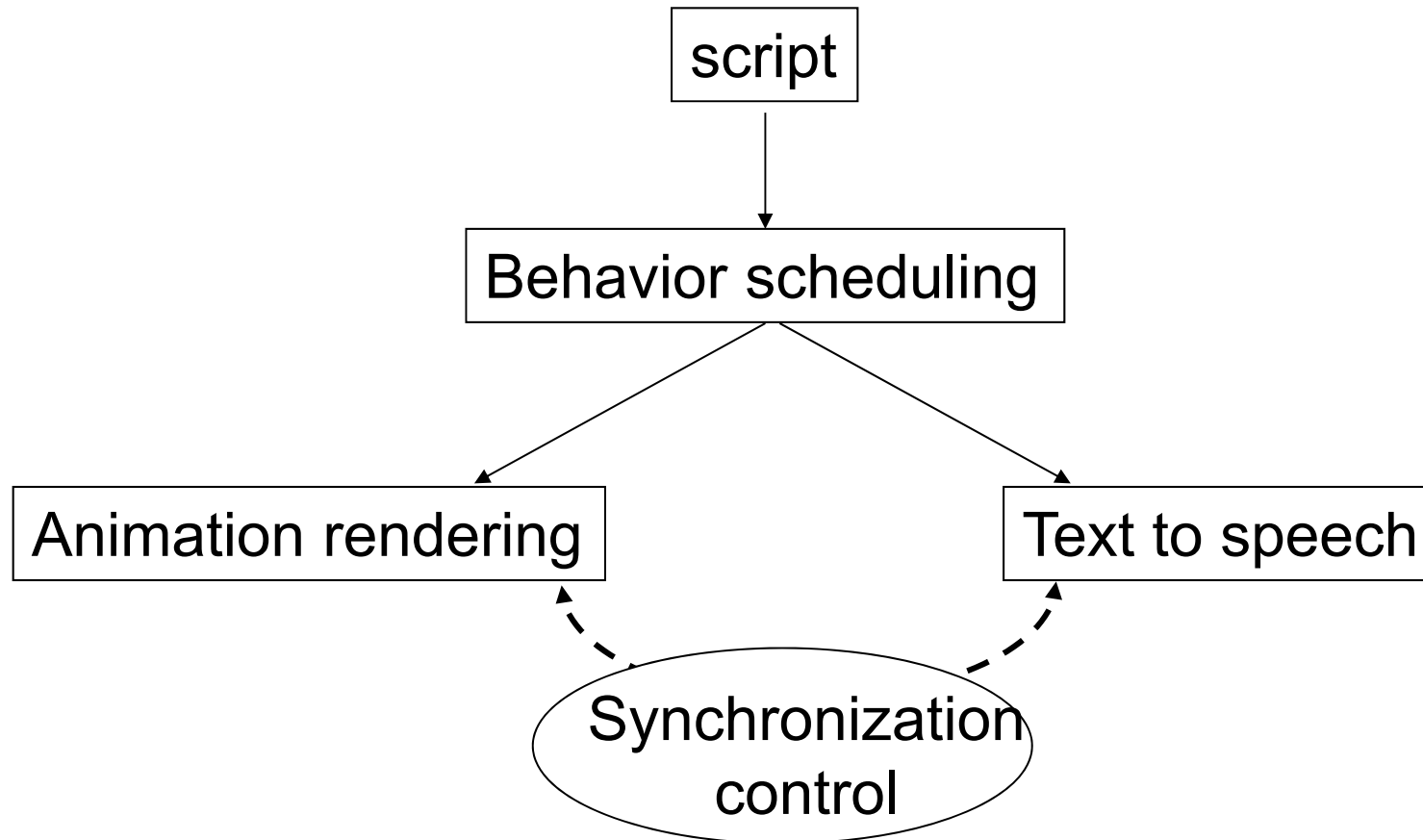
BML example (1)



BML example (2)

```
<gesture-sequence rest="sp1:T117" constraint-rest="true" constraint-handiness="true"
  constraint-handshape="true">
  <gesture move-lexeme="sweep-dome" hand-lexeme="flat" palm-orient="down"
    extent="large" location="center" stroke="sp1:T92"/>
  <gesture move-lexeme="push" hand-lexeme="flat" palm-orient-right="down"
    palm-orient-left="right" extent="large" location="right" stroke="sp1:T98"/>
  <gesture move-lexeme="sweep-dome" hand-lexeme="flat" palm-orient="down"
    extent="large" location="center" stroke="sp1:T104"/>
  <gesture move-lexeme="forward" hand-lexeme="flat" palm-orient="oblique-forward"
    location="front" stroke="sp1:T108">
    <gesture-overlay move-lexeme="forward-down" hand-lexeme="flat" palm-orient="down"
location="front" stroke="sp1:T110"/>
  <gesture/>
</gesture-sequence>
```


Realization process



Script to behavior schedule

Agent behavior script

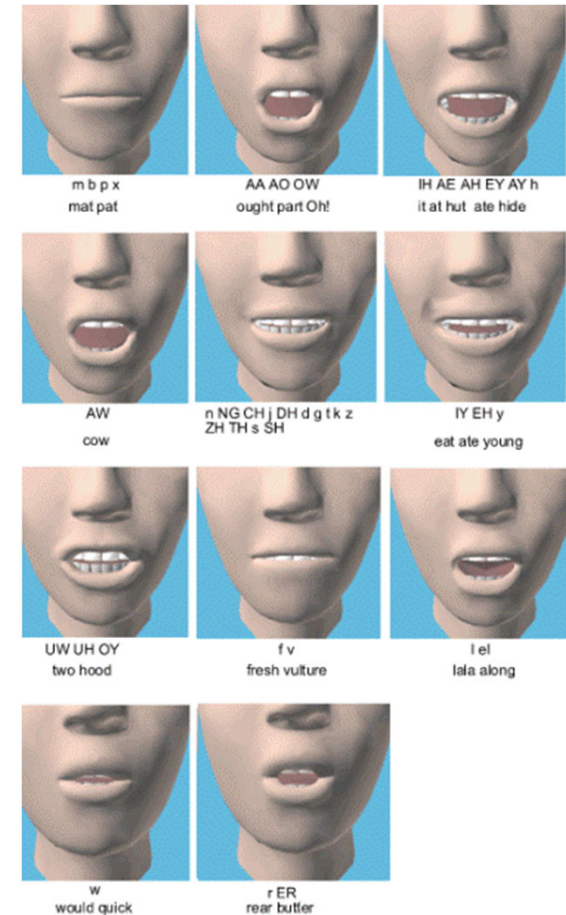
Are
<Gaze type="away">
the
<Gaze type="towards">
<Gesture_right type="beat">
...

Time schedule

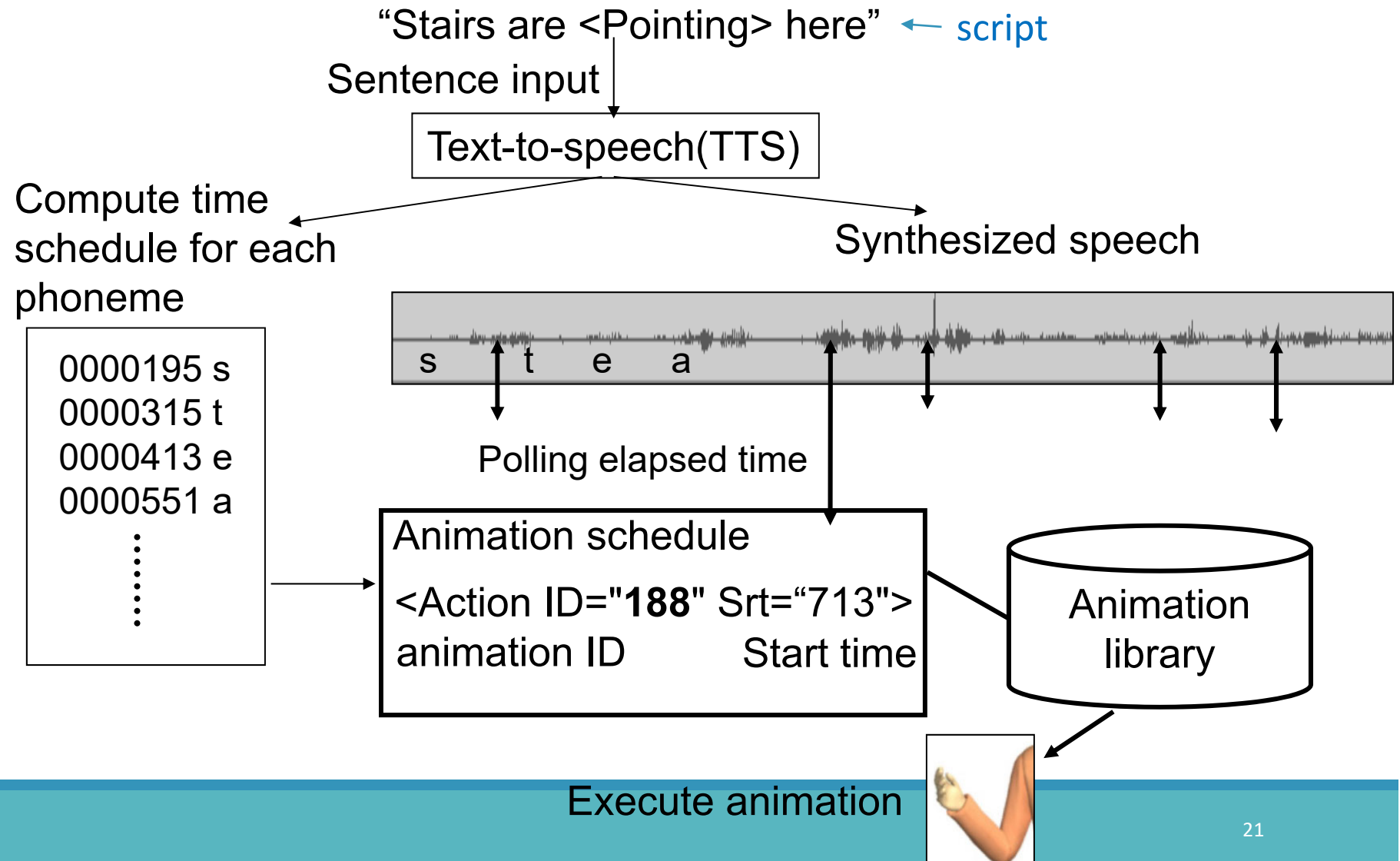
<VISEME time=0.0 spec="A">
<GAZE word=1 time=0.0
spec=AWAY_FROM_HEARER>
<VISEME time=0.24 spec="E">
<VISEME time=0.314 spec="A">
<VISEME time=0.364 spec="TH">
<VISEME time=0.453 spec="E">
<GAZE word=3 time=0.517
spec=TOWARDS_HEARER>
<R_GESTURE_START word=3
time=0.517 spec=BEAT>
<EYEBROWS_START word=3
time=0.517>

Lipsync and viseme

- Solution 1: use lipsync functionality provided by the animation engine
 - Unity
- Solution 2: implement by yourself
 - Get phoneme timing (and viseme) from TTS engine (e.g., Microsoft speech API (SAPI))
 - Execute viseme animation at the right timing by implementing a timer

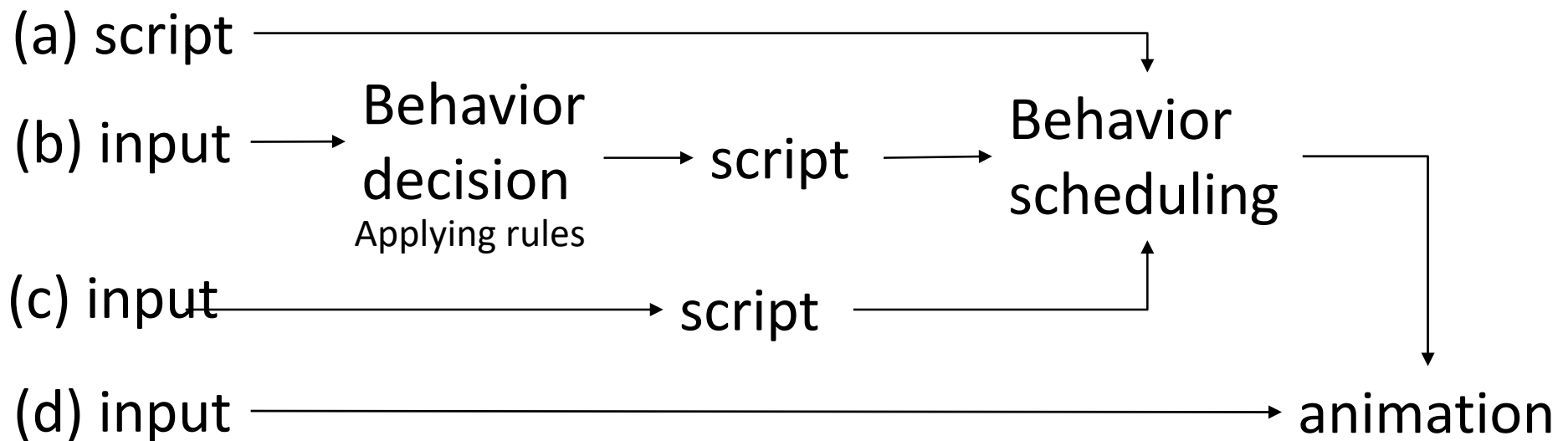


Synchronization between speech and animation



Approaches

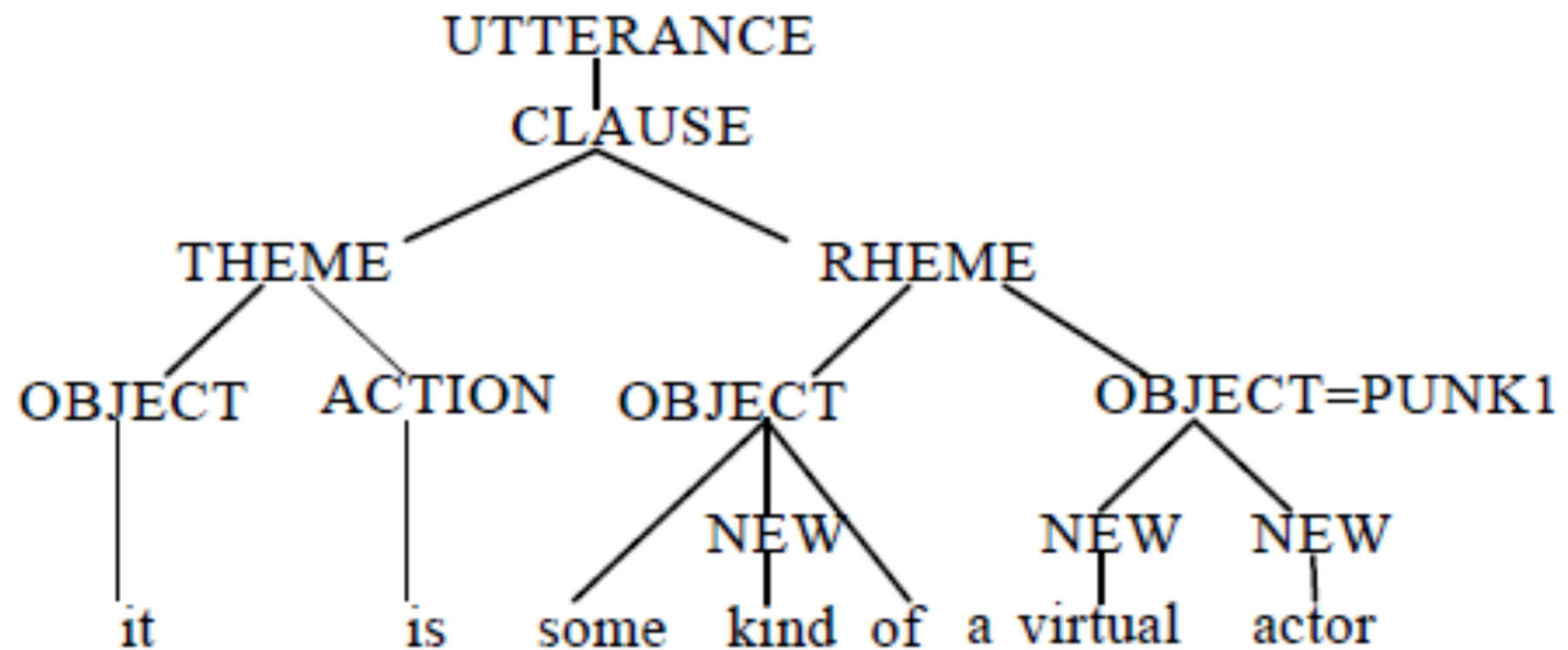
- (a) Manually generated script
- (b) Rule-based
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- (d)) Joint position prediction



Rule-based approach

- BEAT (Cassell et al 2001)
- Automatically Generate agent nonverbal behaviors from text input
- Approach
 - Define gesture decision rules based on the findings in previous nonverbal communication studies
 - Analyze linguistic information in the text
 - Apply the rule to the linguistic information to generate a script
 - Produce animation synchronized with speech from the script

Example of linguistic analysis



Rule examples

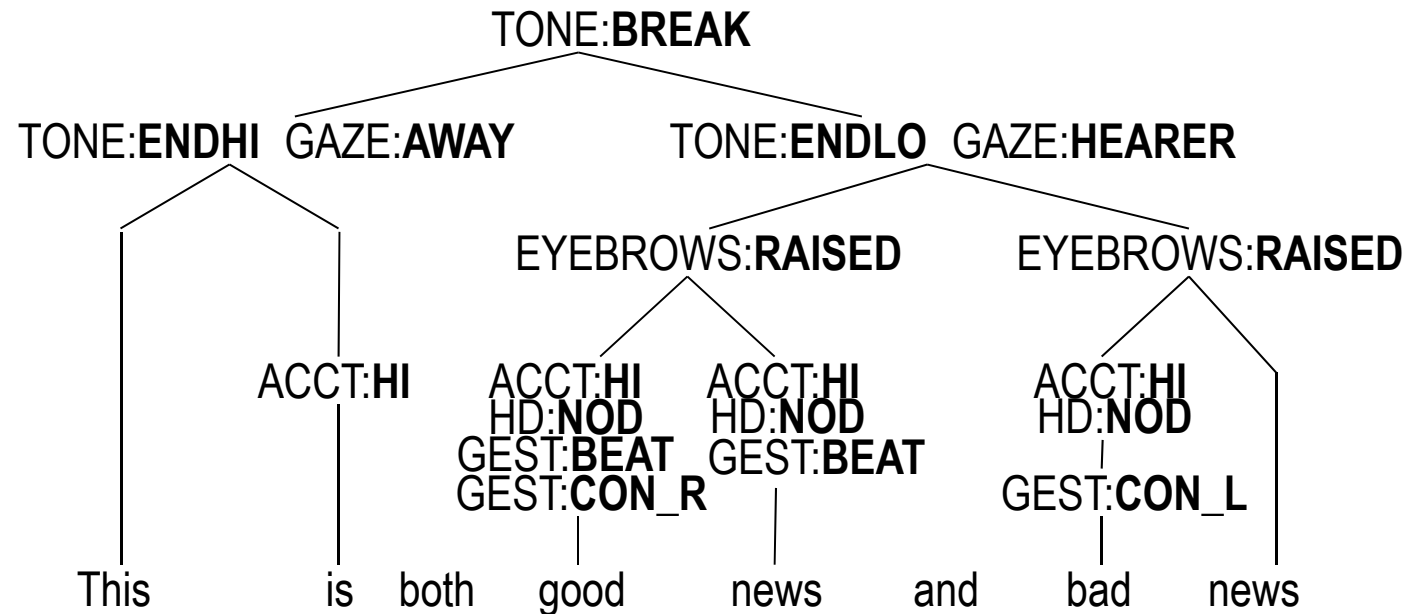
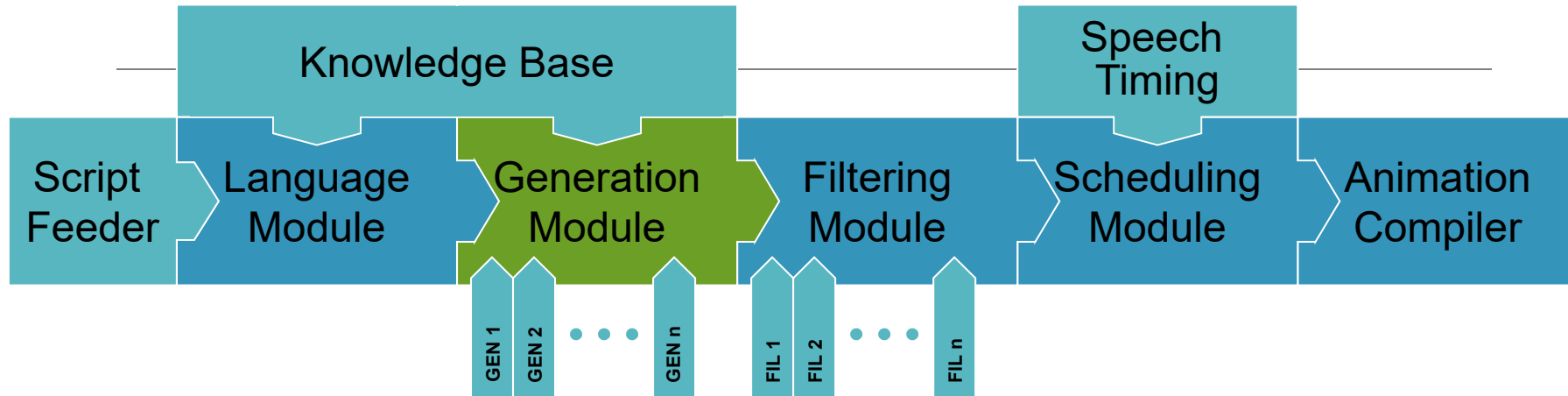
Gesture rule

FOR each RHEME node in the tree
IF the RHEME node contains at least one NEW node
THEN Suggest a BEAT to coincide with the OBJECT phrase

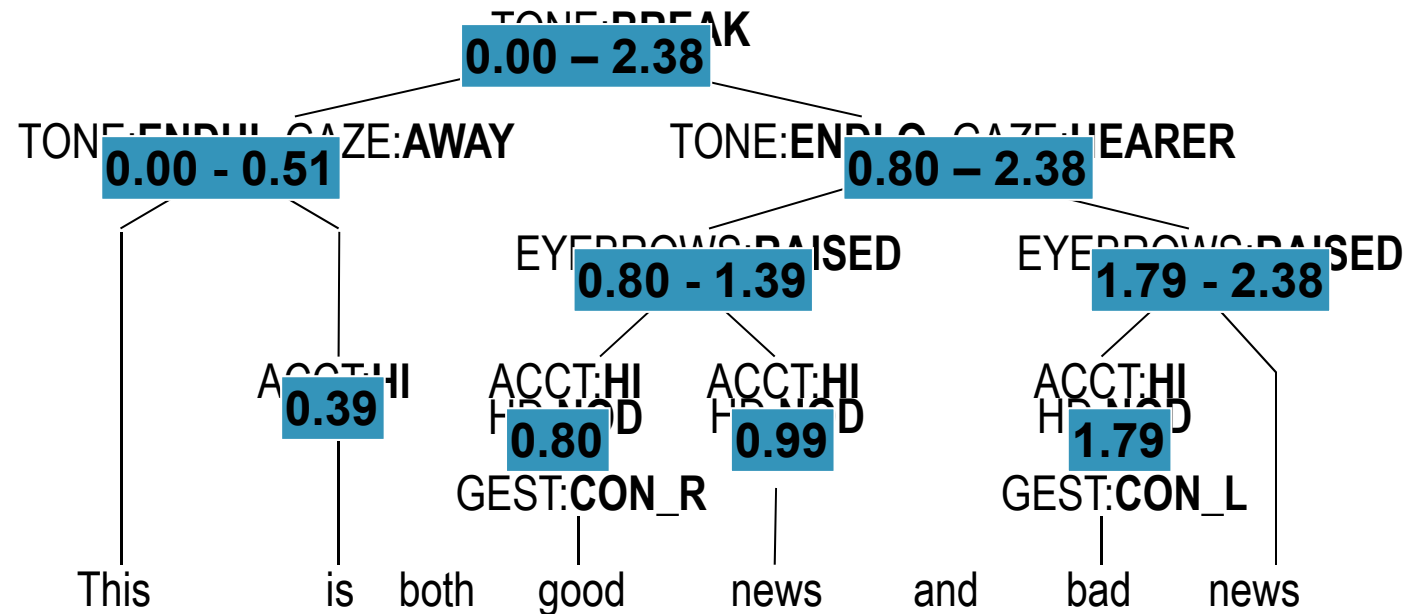
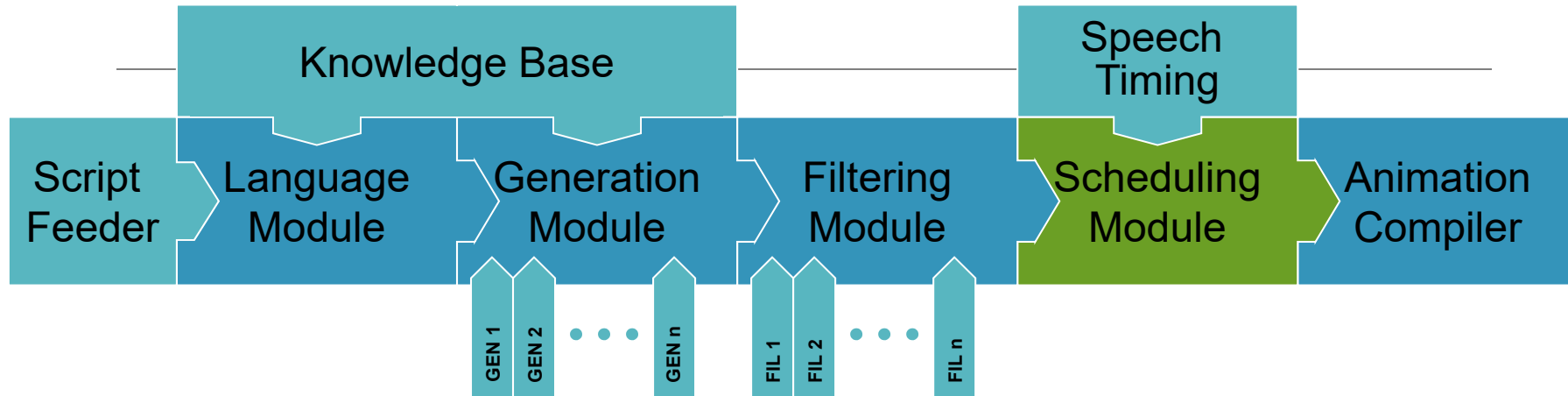
Gaze rule

FOR each THEME
IF at beginning of utterance OR 70% of the time
Suggest Gazing AWAY from user
FOR each RHEME
If at end of utterance OR 73% of the time
Suggest Gazing TOWARDS the user

Processing: Behavior Generation



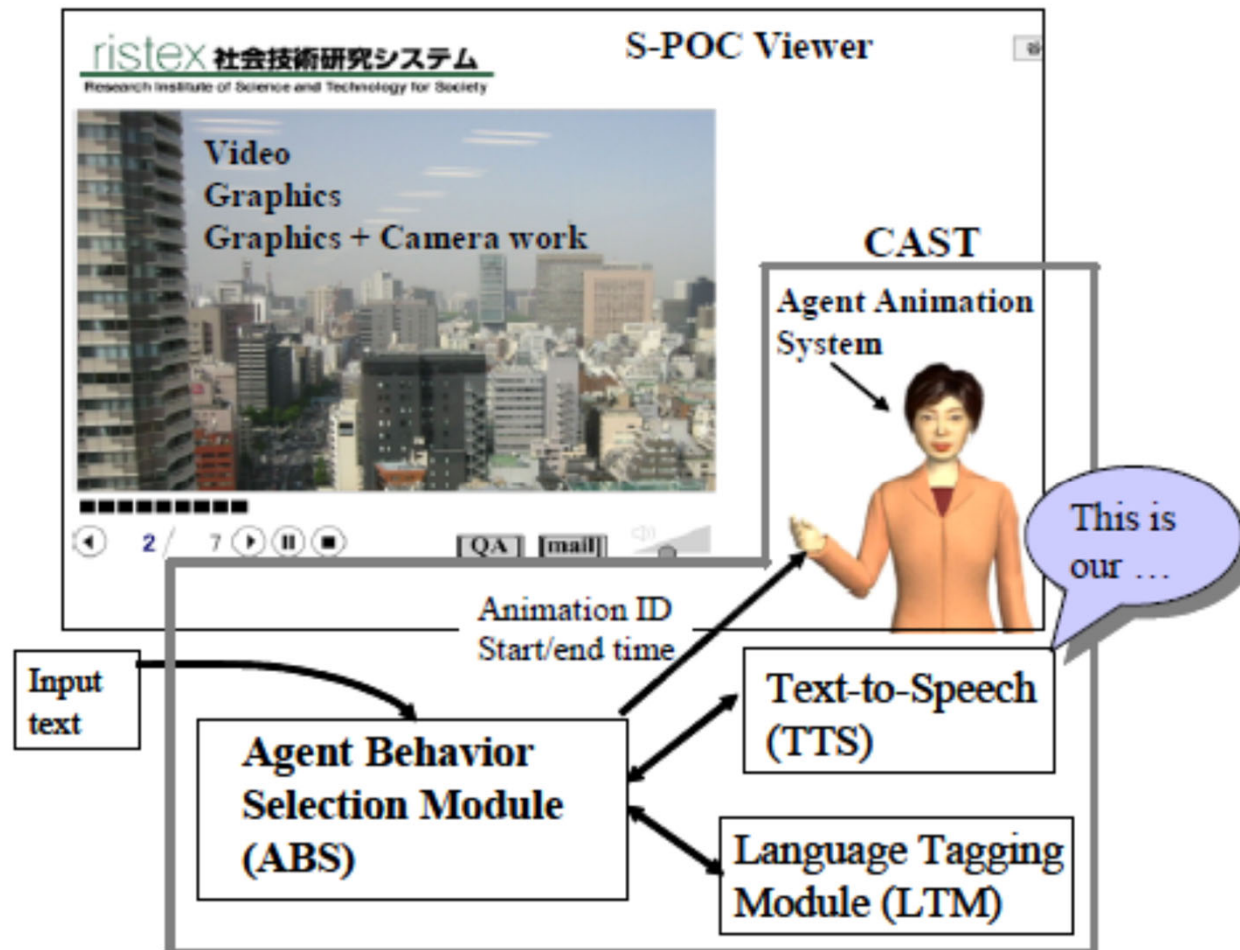
Processing: Behavior Scheduling



Gesture distribution in Japanese presentation

Case	Syntactic/lexical information of a bunsetsu unit			Gesture occurrence
C1	Quantity of modification	(a) NP modified by clause		0.382
C2		Pronouns, other types of NPs	(b) Case marker = “wo” & (d) New information	0.281
C3	(c) WH-interrogative			0.414
C4	(e) Coordination			0.477
C5	Emphatic	(f) Emphatic adverb itself		0.244
C6	adverbial phrase	(f') Following emphatic adverb		0.350
C7	(g) Cue word			0.415
C8	(h) Numeral			0.393
C9	Other (baseline)			0.101

Presentation agent



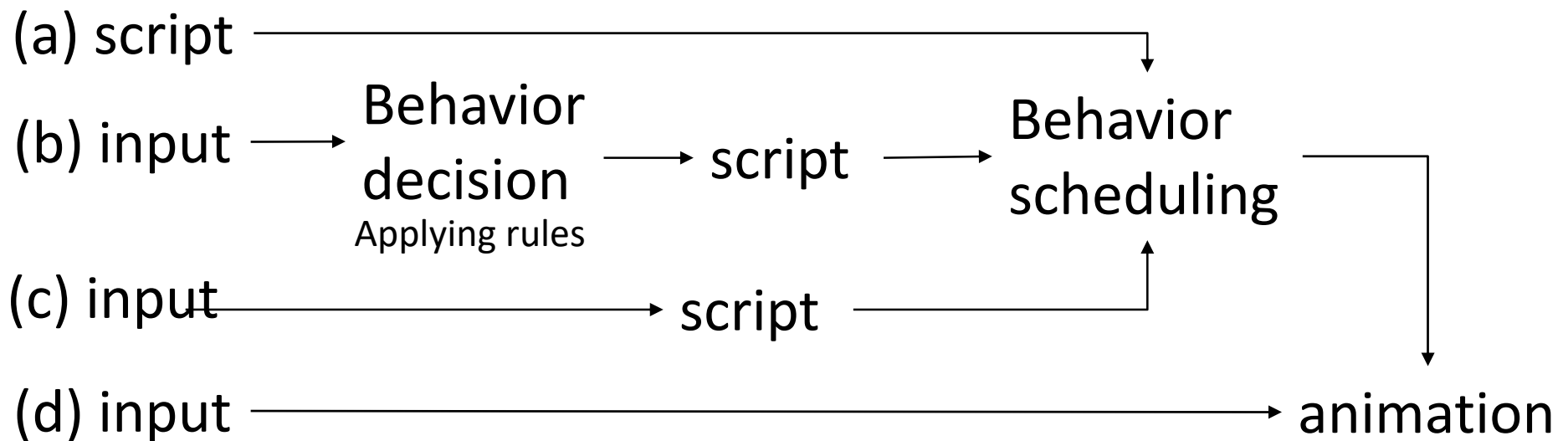
Co-articulation

- Xu et al. (2014)
- Co-articulation between gestures
-> previous gesture affects the shape of the next gesture
- Co-articulation within gesture units
-> when gestures go into relax, rest positions or holds?

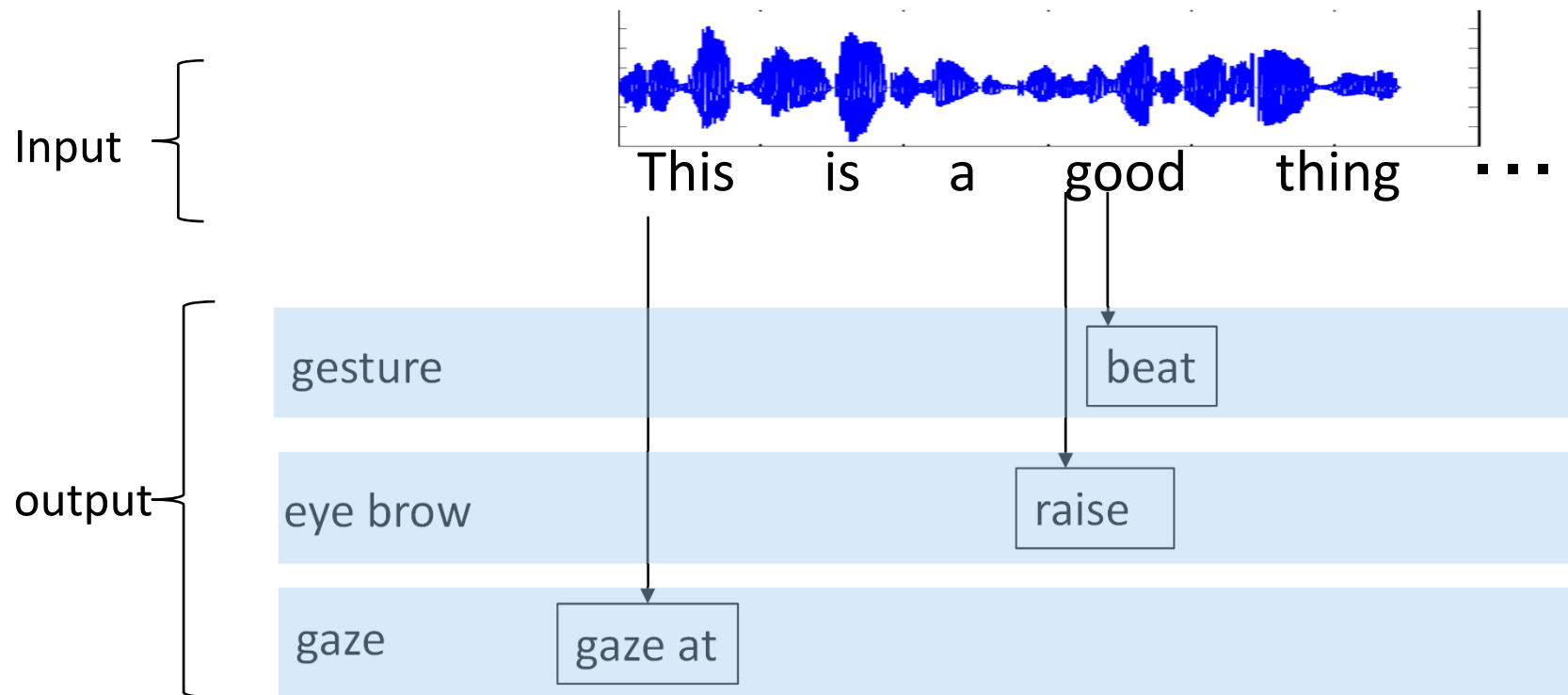
<https://www.youtube.com/watch?v=A-3lc-zCqnM&feature=youtu.be>

Approaches

- (a) Manually generated script
- (b) Rule-based
- (c) Behavior prediction
- (d)) Joint position prediction



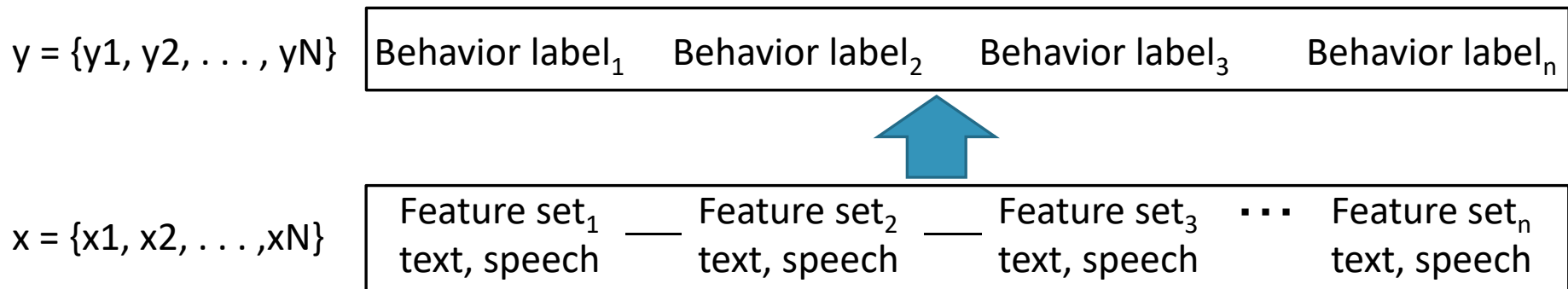
Predicting behavior labels



We want a model that predicts a sequence of behaviors

Predicting behavior labels (Cont.)

- Predict sequence of behaviors using temporal modeling, such as CRF (conditional random field).
- Prediction task
 - Input: $x = \{x_1, x_2, \dots, x_N\}$
utterance transcription, part-of-speech tags, prosody features
 - Output: predict a sequence of gestural signs $y = \{y_1, y_2, \dots, y_N\}$



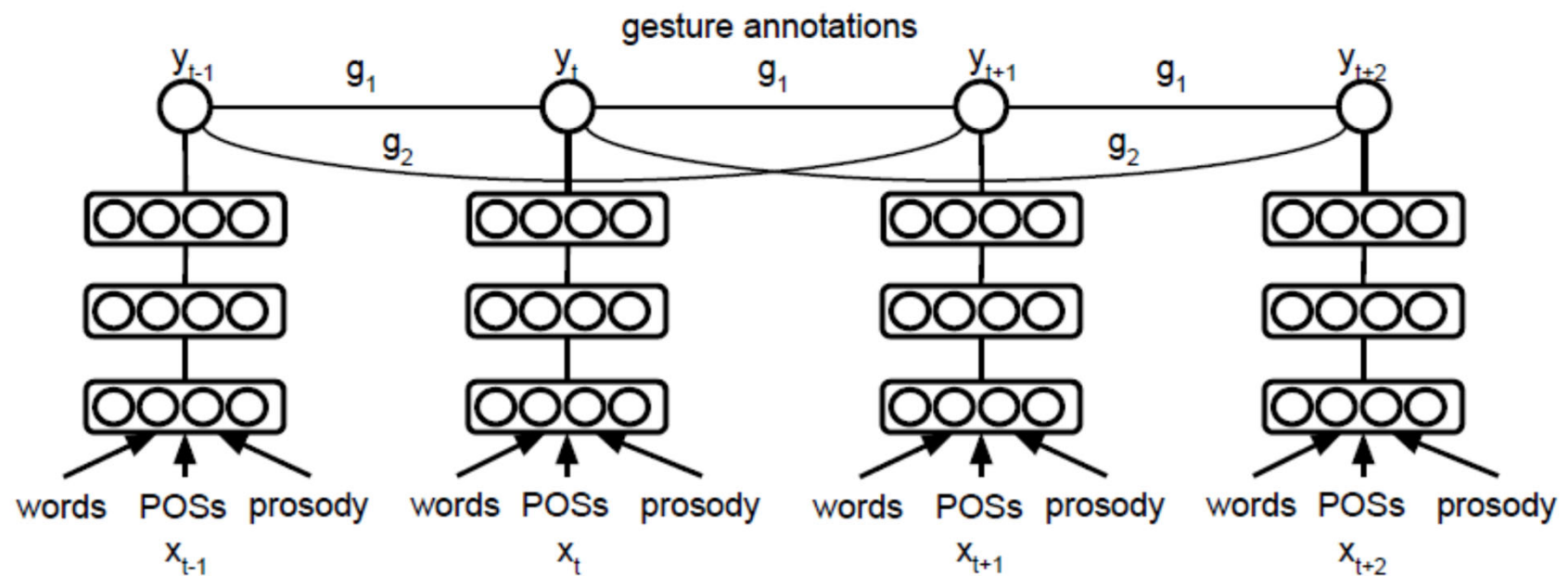
Predicting behavior sequence (1)

- Ishii et al (2018)
 - Input: set of features: Length of phrase , Word position, Bag of words, Dialogue act, Part of speech, synonyms
 - Output: behavior labels (choose one class for each behavior)

Generation target	Number of classes	Class details
Number of nods	6	0, 1, 2, 3, 4, more than 5
Depth of nod	4	micro, small, medium, large
Head rotation (yaw)	9	front, right-micro, right-small, right-medium, right-large, left-micro, left-small, left-medium, left-large
Head rotation (roll)	9	front, right-micro, right-small, right-medium, right-large, left-micro, left-small, left-medium, left-large
Head rotation (pitch)	7	front, up-micro, up-small, up-medium, up-large, up-micro, up-small, up-medium, up-large
Facial expression	8	happiness, sadness, surprise, fear, anger, disgust, contempt, normal
Hand gesture	9	none, iconic, metaphoric, beat, deictic, feedback, compellation, hesitate, others
Upper-body posture	7	center, forward-small, forward-medium, forward-large, forward-small, forward-medium, forward-large

Predicting behavior sequence (2)

- Chiu et al (2015): Deep Conditional Neural Field (DCNF)
- Predicting gesture labels by combining CRF and deep learning



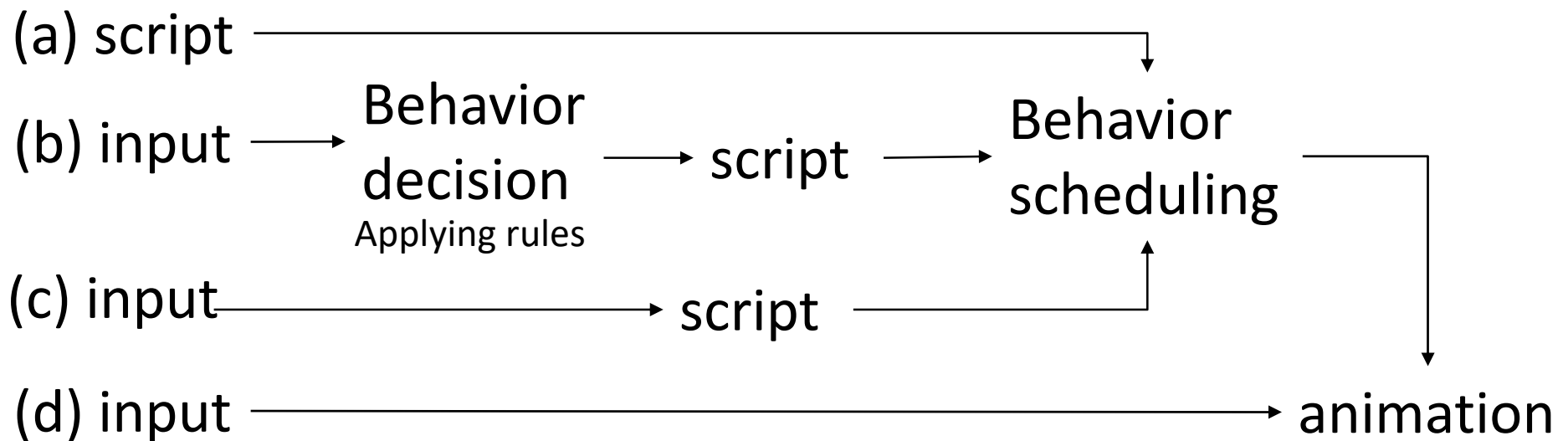
Set of gesture labels for prediction

Gestural signs	Description
Rest	Resting position of both hands.
Palm face up	Lift hands, rotate palms facing up or a little bit inward, and hold for a while.
Head nod	Head nod without arm gestures.
Wipe	Hands start near (above) each other and move apart in a straight motion.
Whole	Move both hands along outward arcs with palms facing forward.
Frame	Both hands are held some inches apart, palms facing each other, as if something is between hands.
Dismiss	Hand throws to the side in an arc as if chasing away.
Block	Hand is positioned in front of the speaker, palm toward front.
Shrug	Hands are opened in an outward arc, ending in a palm-up position, usually accompanied by a slight shrug.
More-Or-Less	The open hand, palm down, swivels around the wrist.
Process	Hand moves in circles.
Deictic.Other	Hand is pointing toward a direction other than self.
Deictic.Self	Points to him/herself.
Beats	Beats.

Table 1: A formalized representation of co-verbal gestures for computational prediction.

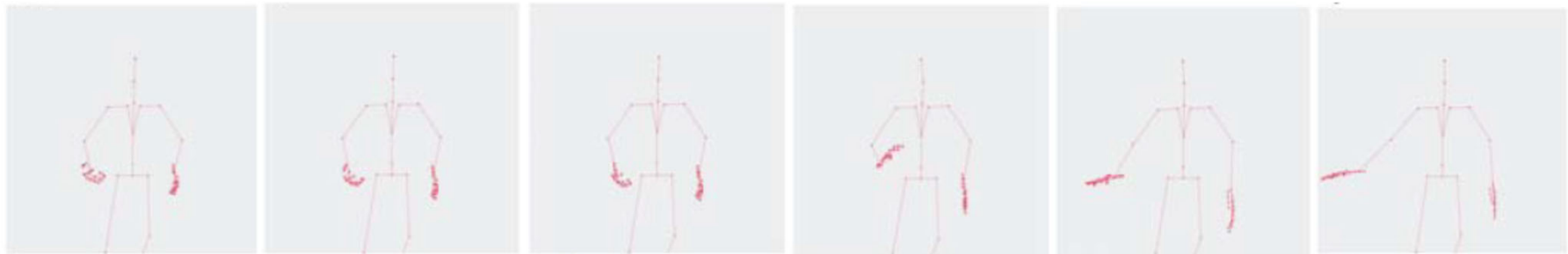
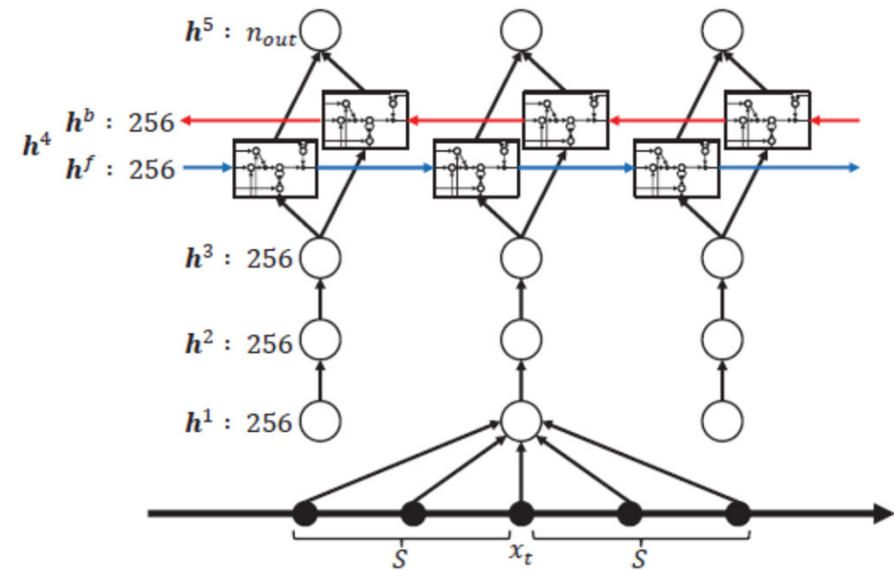
Approaches

- (a) Manually generated script
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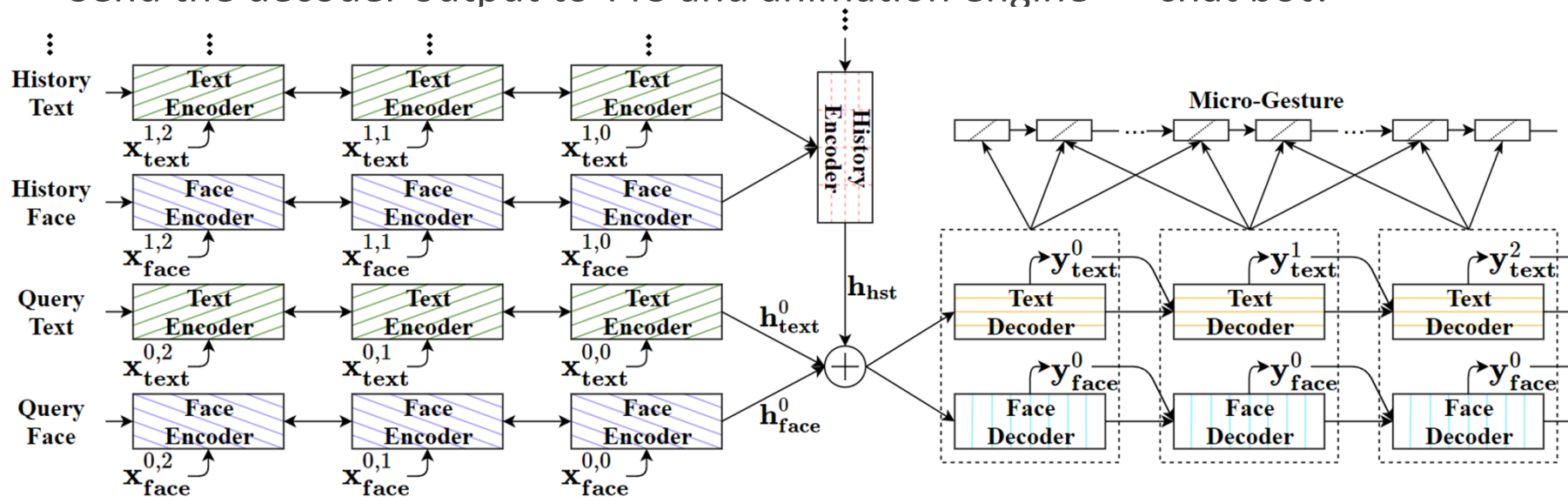
Joint position prediction approach

- Predicting next joint positions using LSTM
- Input: speech audio feature (MFCC)
- Output: set of joint positions



Seq2Seq multimodal dialogue system

- Chu et al. 2018
- Categories of face and head motion expression
 - Obtain 18 types of AUs and 3D head pose data from OpenFace
 - Clustering the behavior patterns using k-means(k=200) as behavior templates
- Create Seq2Seq model by combining sequence of words and sequence of behavior templates
- Send the decoder output to TTS and animation engine => chat bot!

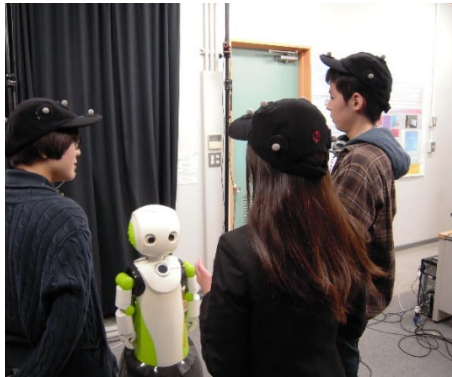


Discussions

- Wiring script is time consuming
- Defining rules need domain knowledge, and still need human effort
- Label prediction can only predict limited kinds of behaviors.
- Position prediction approach does not care about relationship between linguistic information and communication signals.

Communicating with virtual agent

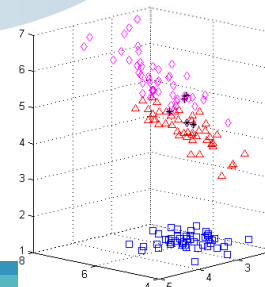
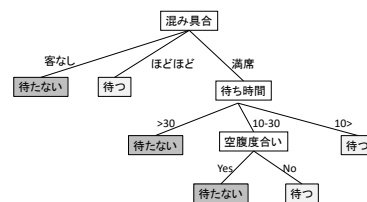
Our approach



Implement
ing
agents/rob
ots

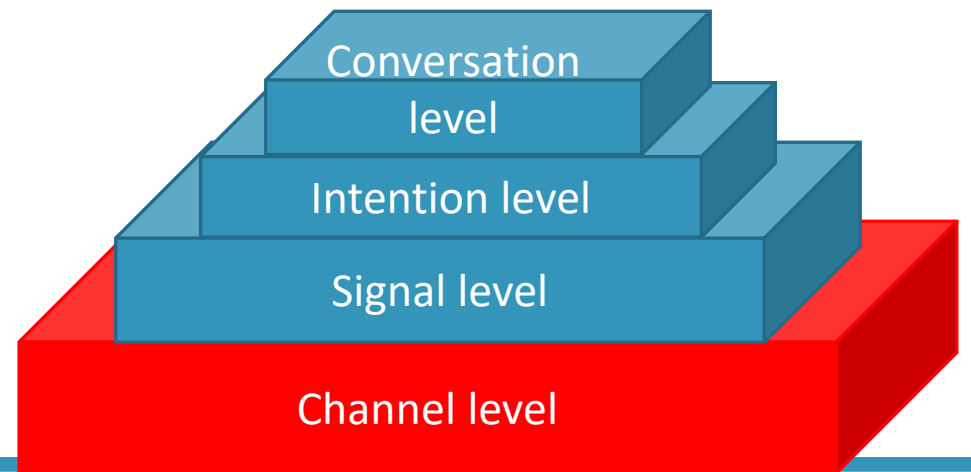
Collecting
behavior
data

Analysis and
Modeling

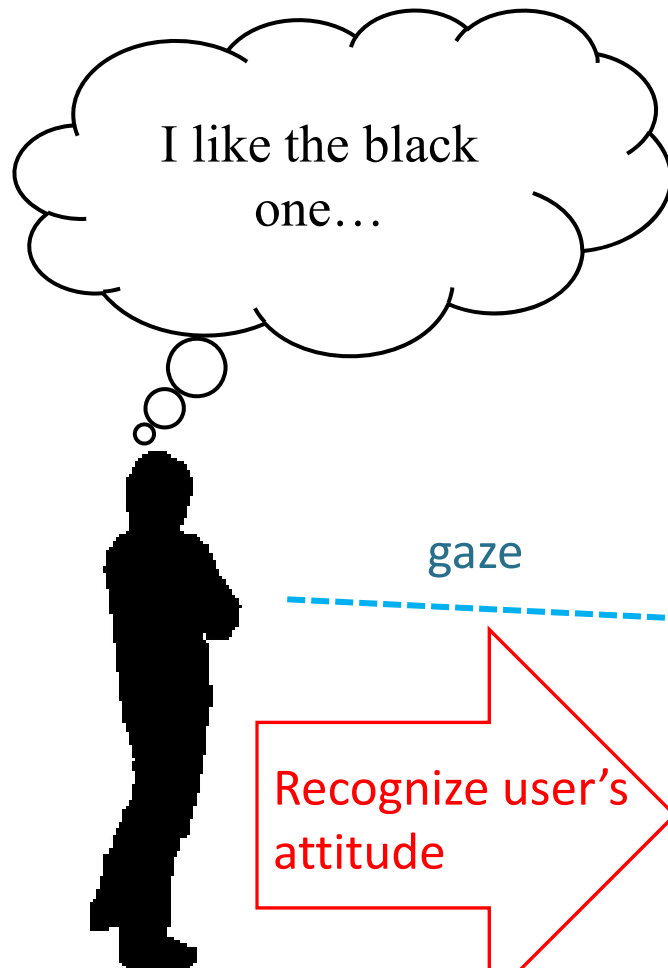


Establishing communication

- Level of conversation (Clark; 1996, Paek et al; 1999)
 - Channel level: the listener pays attention to and perceive signals from the speaker.
 - Signal level: the listener identify the signal as a communication signal
 - Intention level: the listener understand the speaker's utterance
 - Conversation level: the listener agree or disagree to work on the joint action proposed by the speaker



Engagement



I like the black one...

Agent needs user's engagement

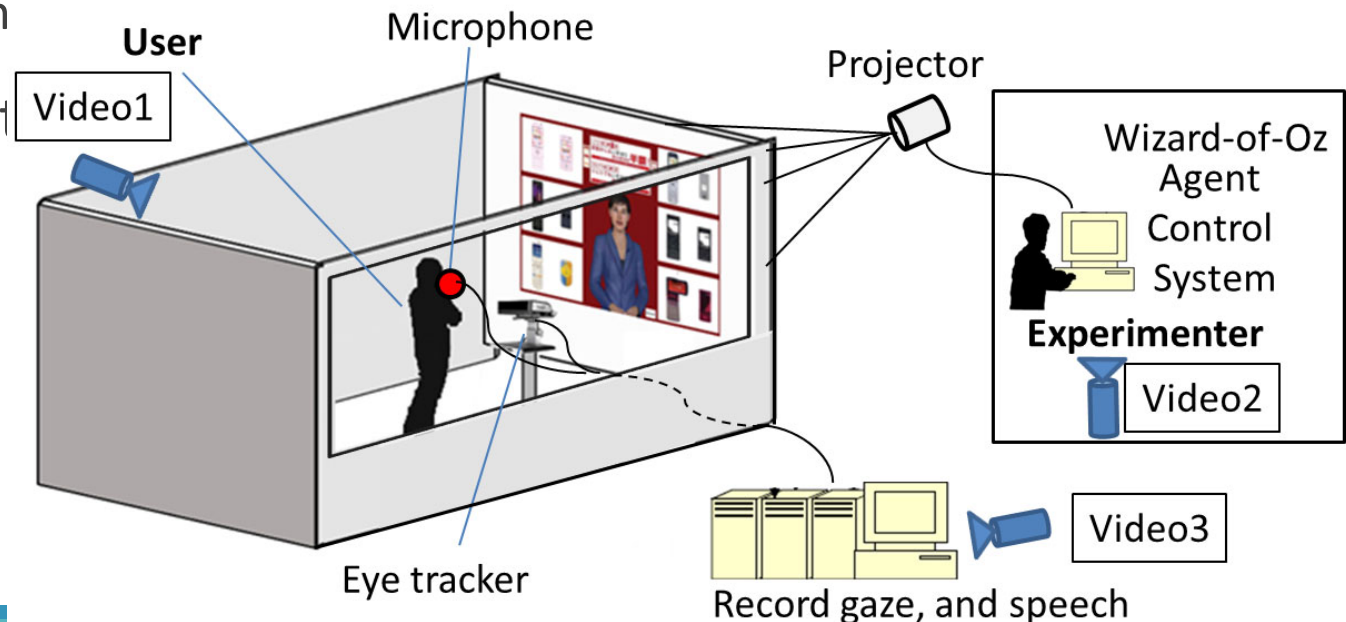
gaze

Recognize user's attitude



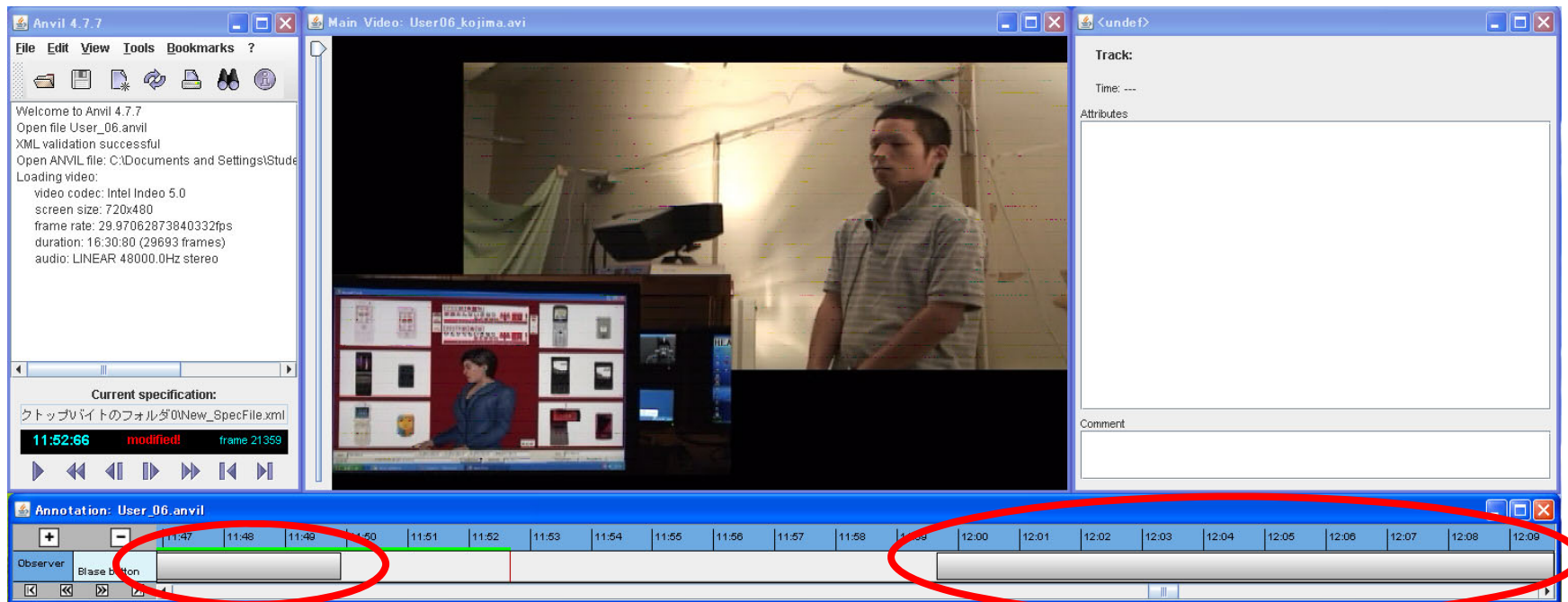
WOZ experiment for corpus collection

- Wizard-of-Oz system
 - Experimenter interpreted the subject's utterance and typed in the response
 - Subject can ask: price and functions of new models of cell phones
- 10 dialogues
 - Average length
- Collected data
 - Eye gaze
 - Head pose
 - Speech



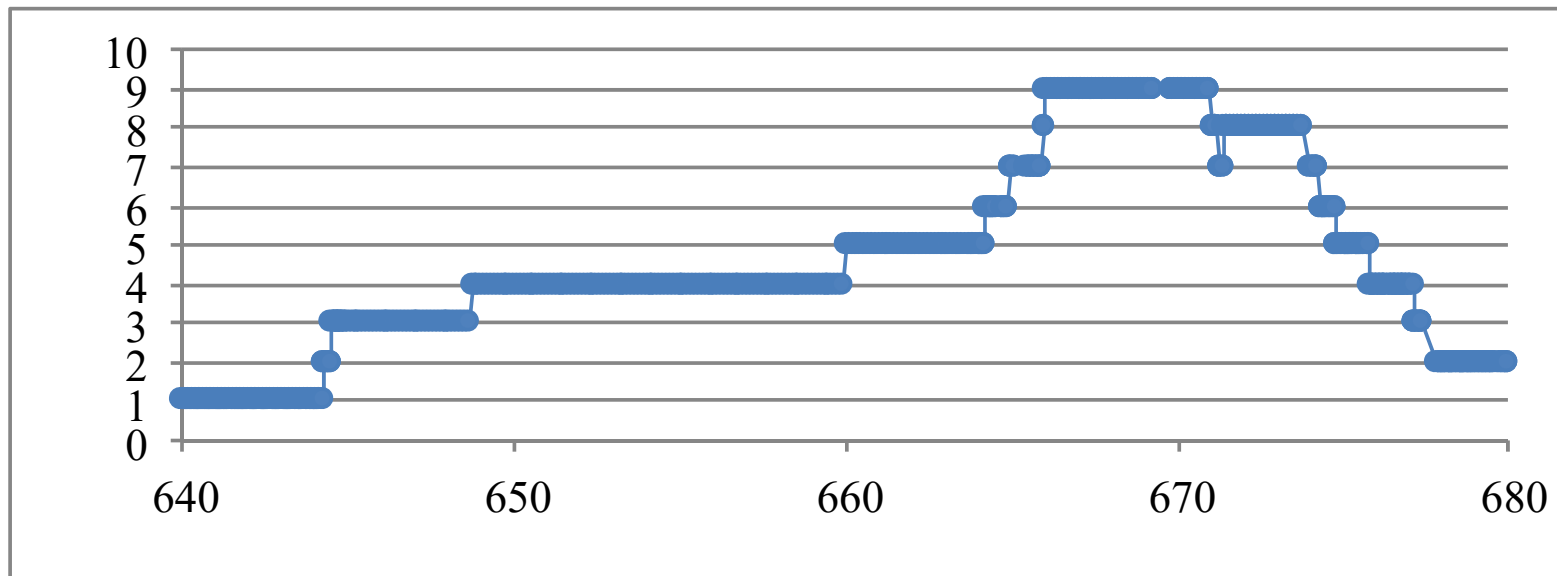
Video annotation

- We recruited another 10 annotators, and asked them to watch the video and mark the time when the subject looked disengaged from the conversation.
- Disengagement score: how many people judged a given time (30fps) as disengagement



Parasocial consensus data

- When the disengagement score was 3, the score reached higher scores. The average peaks for such shifts were over 5
-> set the disengagement threshold at 3



Data analysis

- Gaze 3-gram patterns
- Eye movement distance
- Pupil size
- Duration
- Head pose

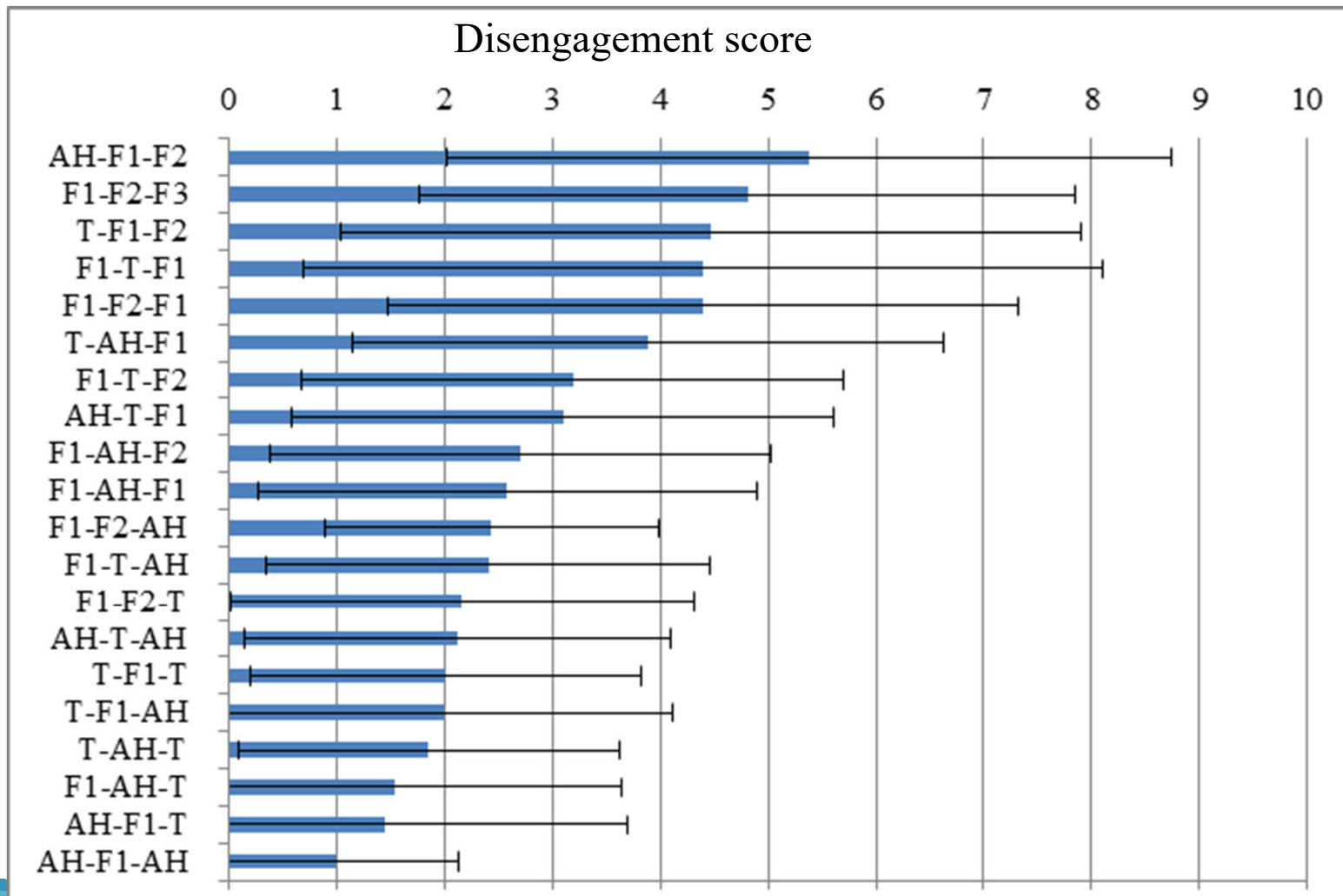


T: look at target object

A : look at agent

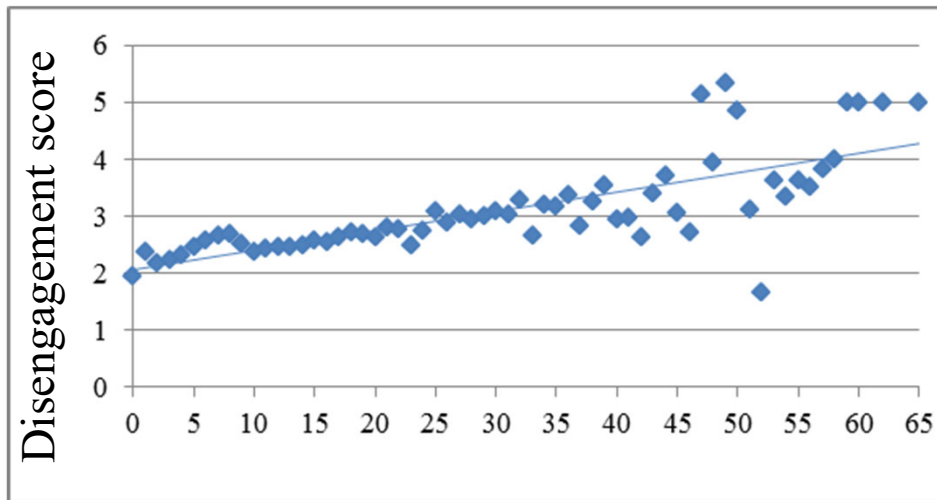
F : look away

Gaze patterns and disengagement

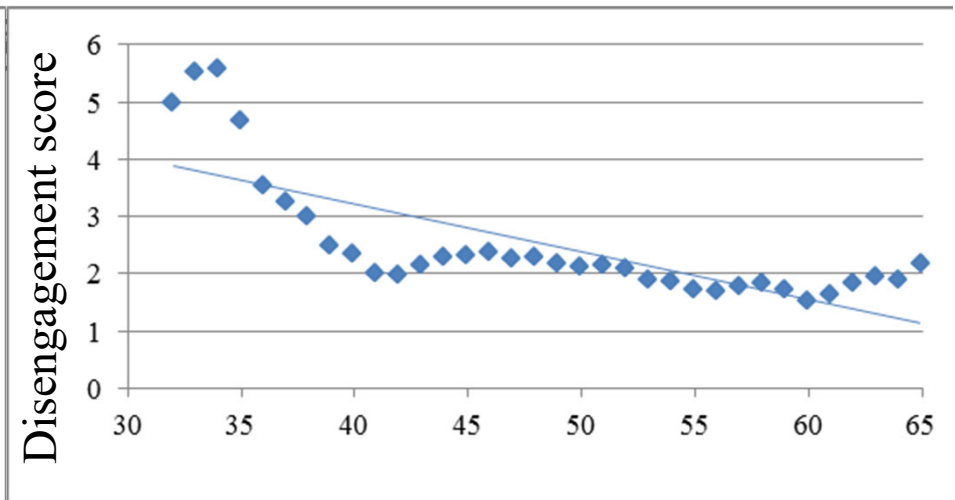


Eye move distance, pupil size

- The larger the eye movement distance is, the higher the disengagement score becomes
- The smaller the pupil size is, the higher the disengagement score becomes



Distribution of eye movement
distance (pixels)



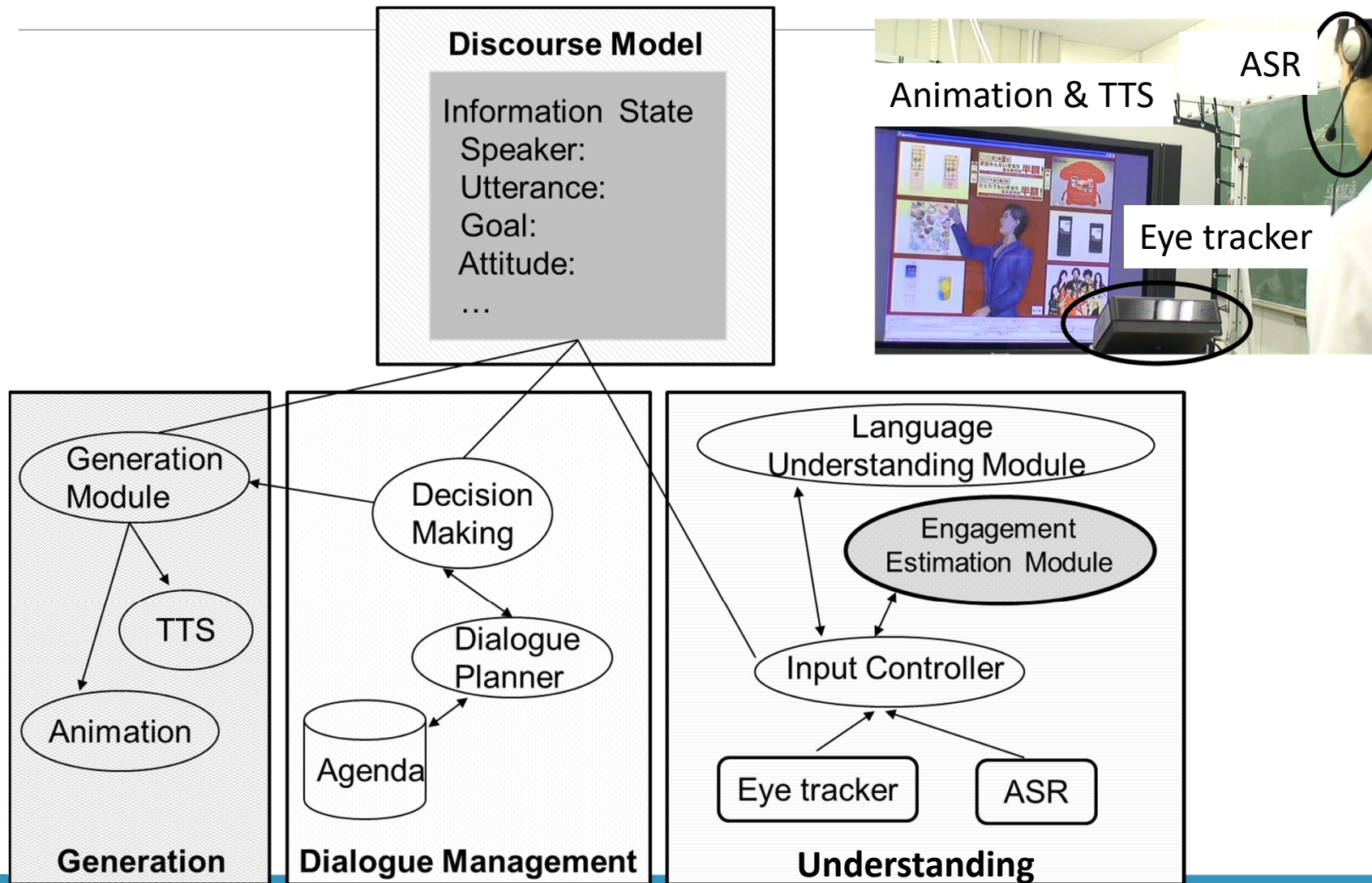
Pupil size
distribution (mm)

Model evaluation

Results of SVM

Result Model	Engagement			Disengagement		
	Precision	Recall	F-measure	Precision	Rec all	F-measure
3-gram	0.704	0.964	0.814	0.475	0.075	0.130
3-gram+M	0.750	0.991	0.854	0.597	0.089	0.155
3-gram+M+Dr	0.787	0.979	0.872	0.796	0.237	0.366
3-gram+M+Ds	0.764	0.982	0.859	0.712	0.128	0.217
3-gram+M+PS	0.866	0.975	0.858	0.667	0.145	0.238
3-gram+M+Dr +DS+PS	0.849	0.968	0.904	0.845	0.504	0.631
Head	0.874	0.996	0.931	0.931	0.270	0.419
All	0.887	0.979	<u>0.930</u>	0.913	0.641	<u>0.753</u>

Implementation

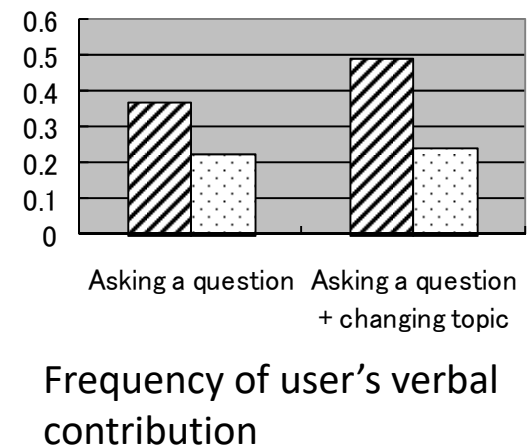
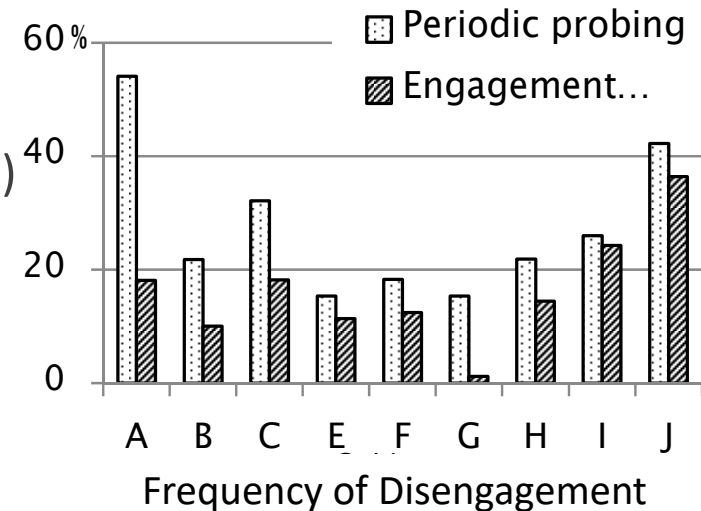


Demo video



Evaluation experiment

- Experimental conditions
 - Engage estimation : the proposed system
 - Periodic probing : probe every 10 utterances
- Subjects: 10 university students (7 male, 3 female)
- Subjective evaluation
 - Awareness of engagement, Appropriateness of behavior, Smoothness of conversation, Intelligence
- Subject's nonverbal behaviors
 - Decrease the number of disengagement status
- Subject's verbal behaviors
 - Subject asked questions and changed a topic when the agent gave the probe
- If the agent estimates the user's engagement and gives probes based on this;
 - Improve the impression to the agent
 - Decrease the user's disengagement states
 - Trigger subject's utterance

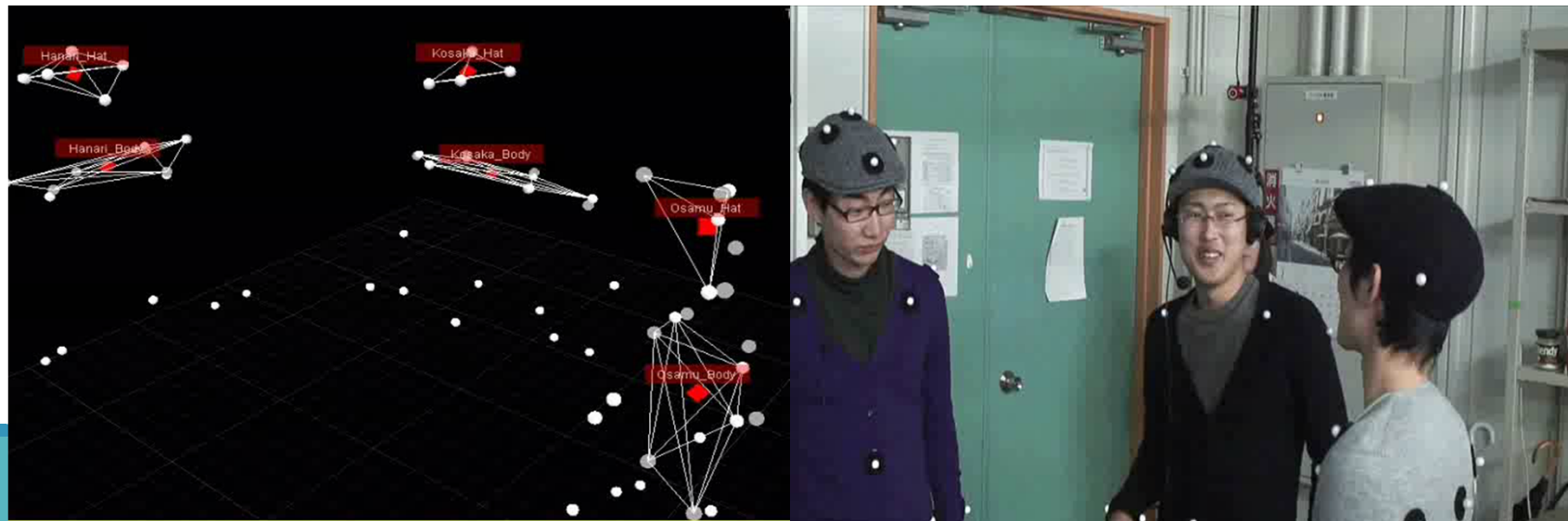


Dominance estimation

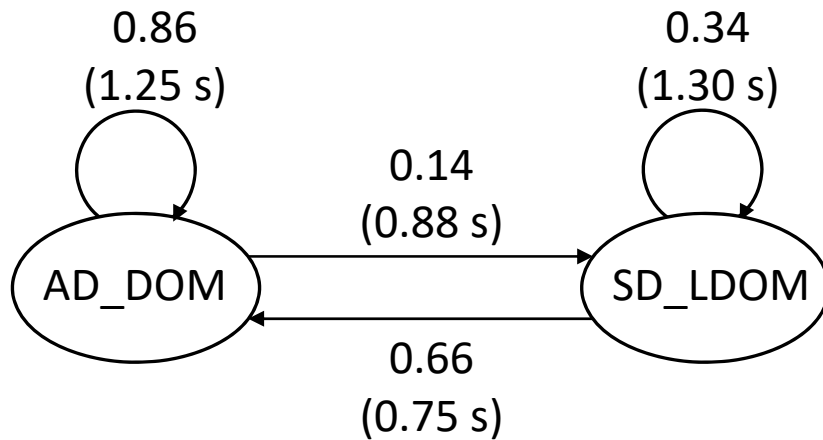
- In multiparty communication, there exists a dominant person and less dominant person.
 - Dominant participant : Leading a conversation
 - Less dominant participant : Small contribution to the conversation, fewer chances to speak
- Regression model for estimating dominance

Dominance score = (0.80) × amount of gaze at others + (0.162) × amount of mutual gaze + (0.94) × amount of speech + (0.256) × breaking a silence + (-0.25)

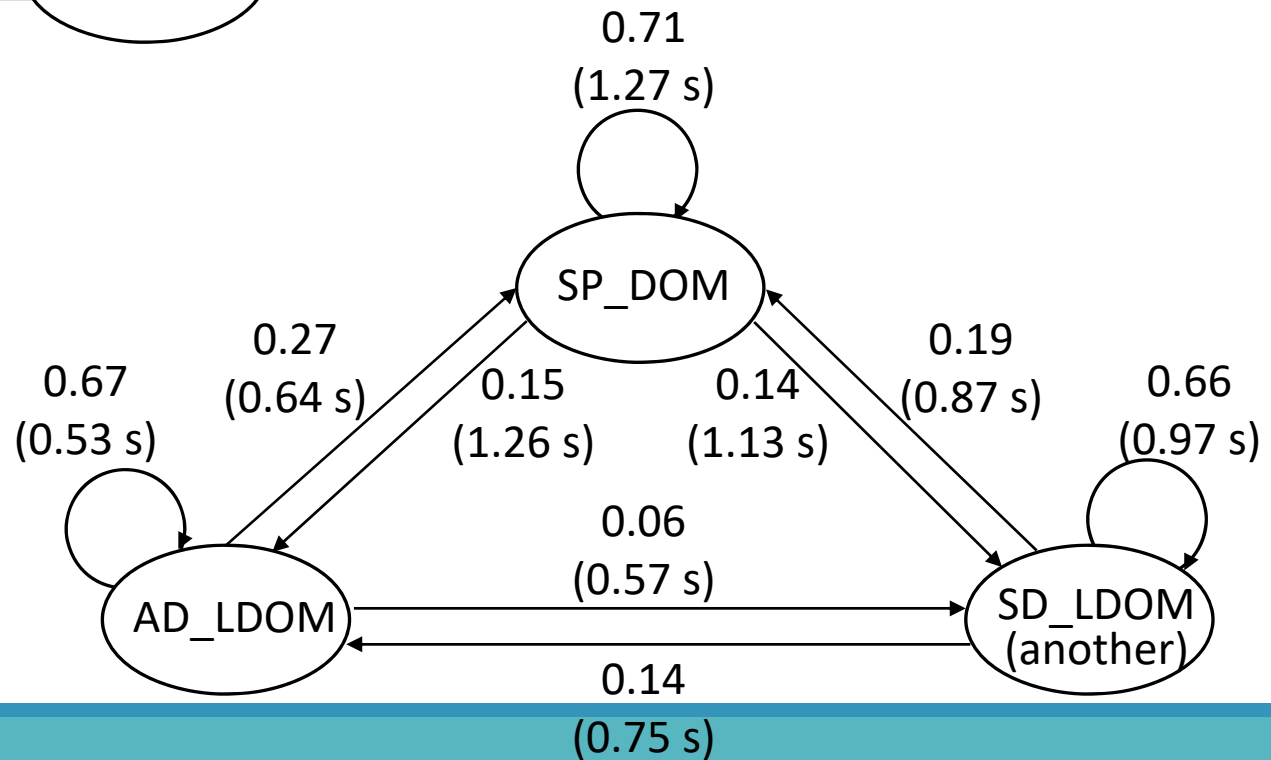
- Establish a robot attention model by considering dominance



Robot head gaze model



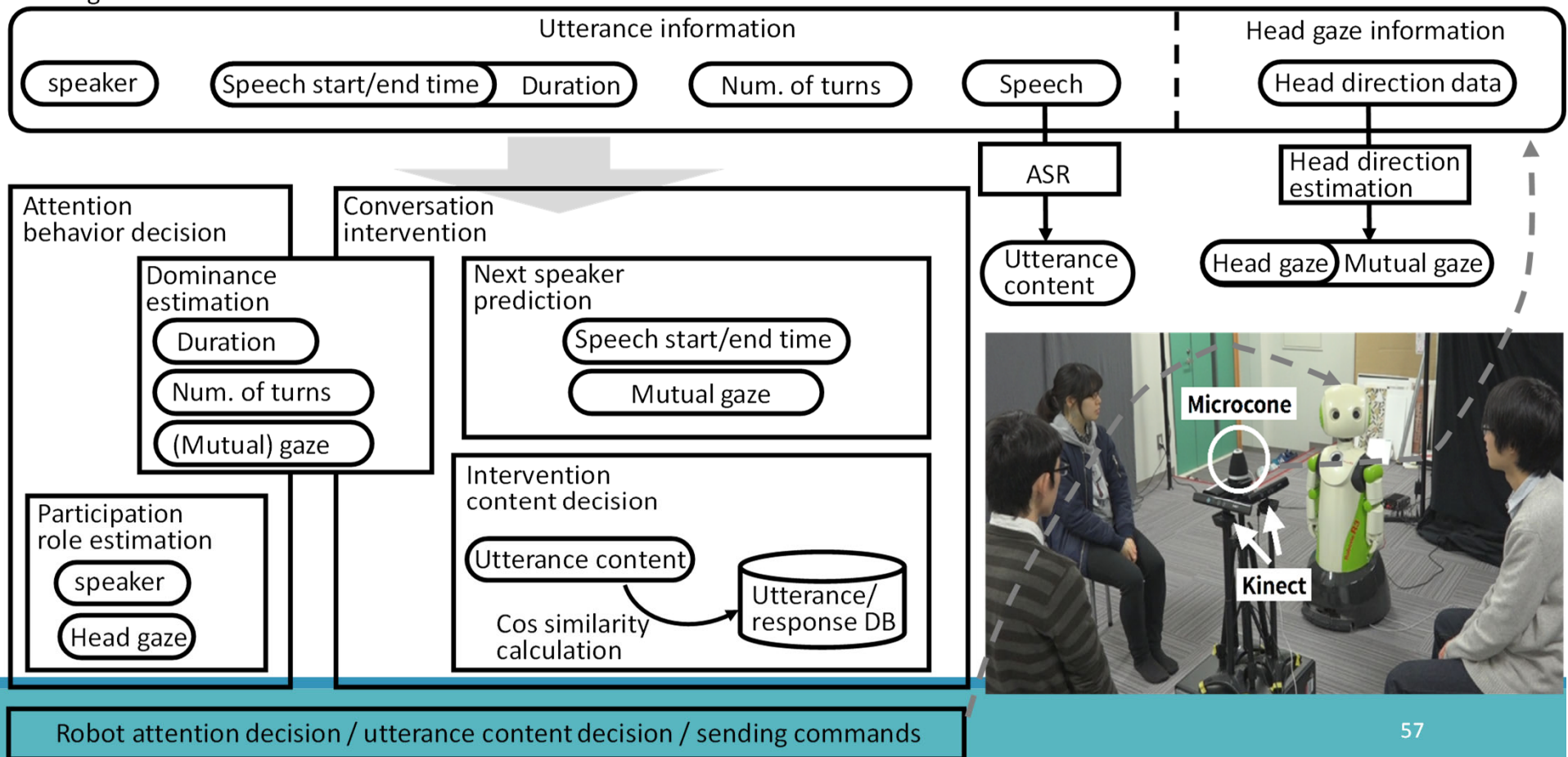
Gaze behaviors are different depending on the participation roles: speaker, addressee, side participant
Is the speaker/addressee/side participant dominant/less dominant?



System architecture and functions

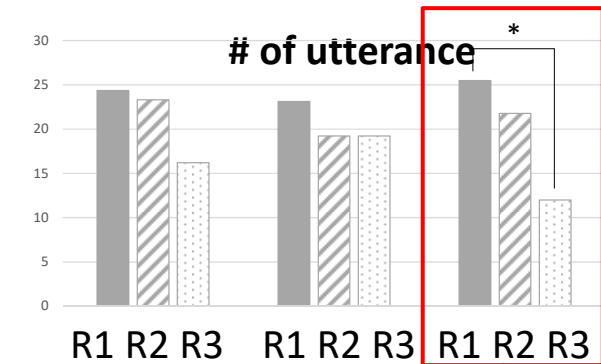
- Functions of conversation intervention robot
 - Estimating dominance and participation roles
 - Producing attention behaviors and conversation intervention

Sensing data



Evaluation experiment

- If a robot only looks at a speaker, the discrepancy of the amount of speech between the participants becomes larger.
- If a robot performs as a dominant participant, the amount of gaze communication of the group increases.
- Dominant person does not like a dominant robot very much.



Always look at a speaker

