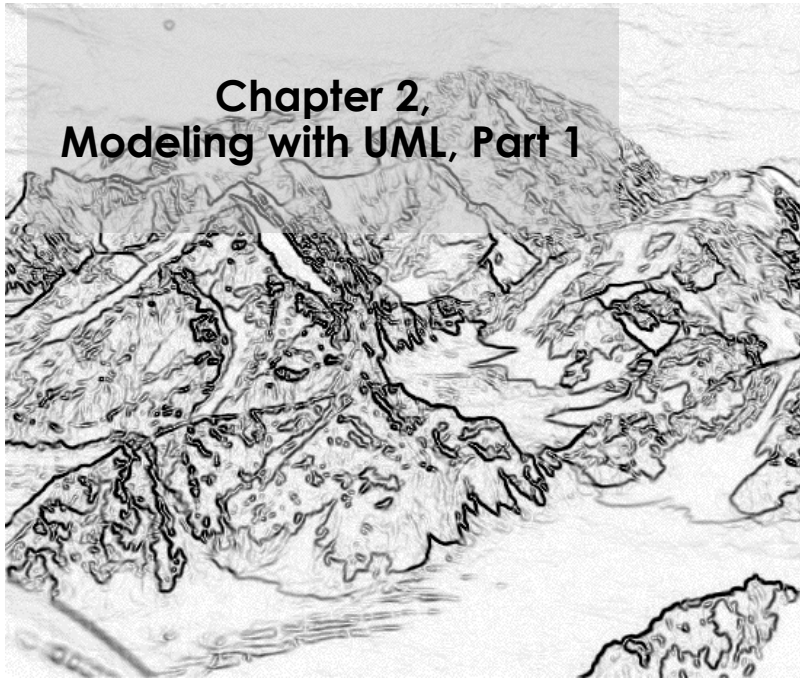


## Chapter 2, Modeling with UML, Part 1



### Odds and Ends

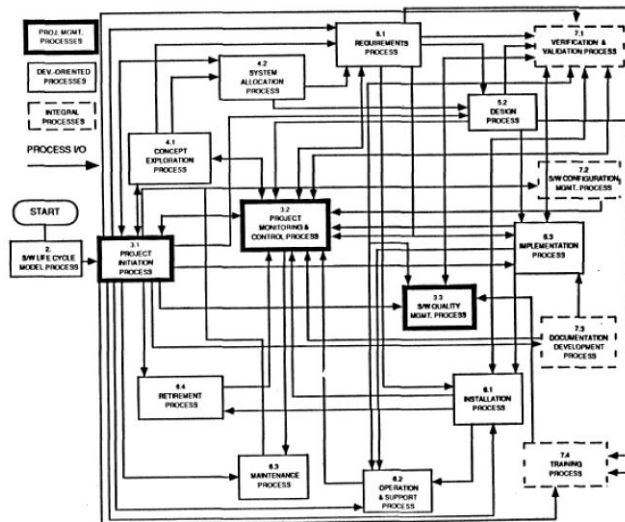
- Reading for this Week:
  - Chapter 1 and 2, Bruegge&Dutoit, Object-Oriented Software Engineering
- Lectures Slides:
  - Will be posted after each lecture.

## Overview for the Lecture

- Three ways to deal with complexity
  - ➔ Abstraction and Modeling
    - Decomposition
    - Hierarchy
- Introduction into the UML notation
- First pass on:
  - Use case diagrams
  - Class diagrams
  - Sequence diagrams
  - Statechart diagrams
  - Activity diagrams

3

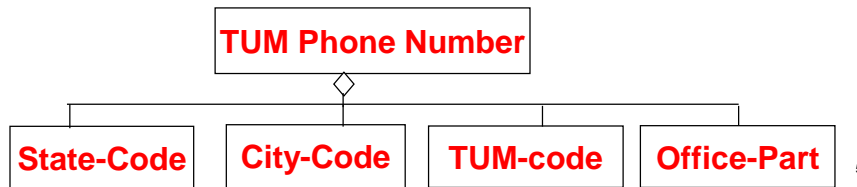
## What is the problem with this Drawing?



4

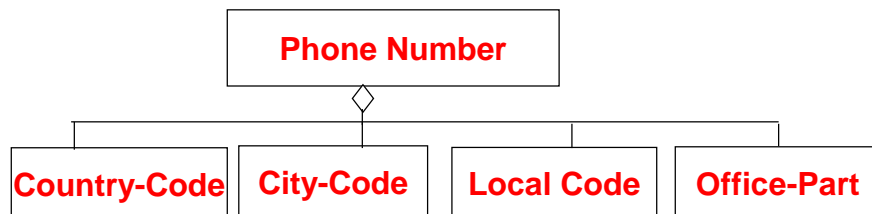
## Abstraction

- Complex systems are hard to understand
  - The 7 +- 2 phenomena
    - Our short term memory cannot store more than 7+-2 pieces at the same time -> **limitation of the brain**
    - TUM Phone Number: 498928918204
- Chunking
  - Group collection of objects to reduce complexity
  - 4 chunks:
    - State-code, city-code, TUM-code, Office-Part



## Abstraction

- Complex systems are hard to understand
  - The 7 +- 2 phenomena
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    - TUM Phone Number: 498928918204
- Chunking:
  - Group collection of objects to reduce complexity
  - State-code, city-code, TUM-code, Office-Part



## Abstraction

- Abstraction allows us to ignore unessential details
- Two definitions for abstraction:
  - Abstraction is a *thought process* where ideas are distanced from objects
    - Abstraction as activity
  - Abstraction is the *resulting idea* of a thought process where an idea has been distanced from an object
    - Abstraction as entity
- Ideas can be expressed by models



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## Model

- A model is an abstraction of a system
  - A system that no longer exists
  - An existing system
  - A future system to be built.



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## We use Models to describe Software Systems

- **Object model**: What is the structure of the system?
- **Functional model**: What are the functions of the system?
- **Dynamic model**: How does the system react to external events?
- **System Model**: Object model + Functional model + Dynamic model

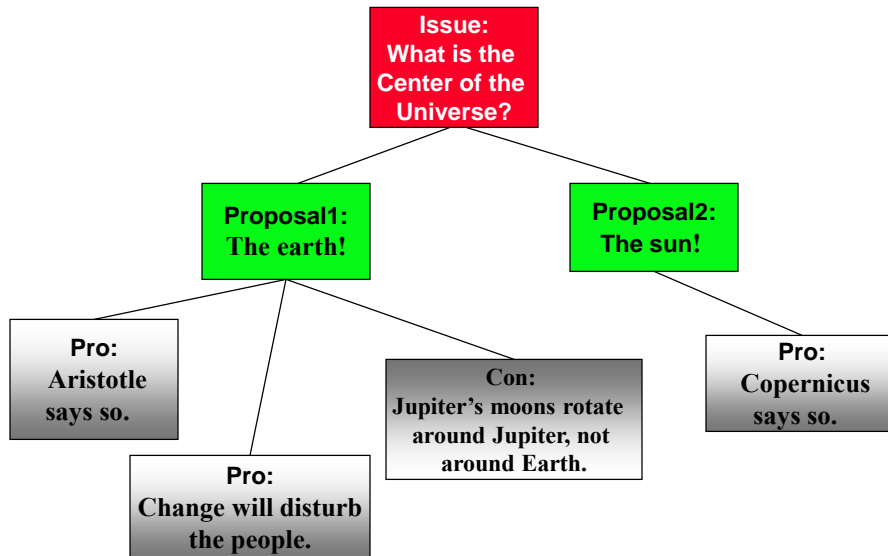
9

## Other models used to describe Software System Development

- **Task Model**
  - **PERT Chart**: What are the dependencies between tasks?
  - **Schedule**: How can this be done within the time limit?
  - **Organization Chart**: What are the roles in the project?
- **Issues Model**
  - What are the open and closed issues?
    - What blocks me from continuing?
  - What constraints were imposed by the client?
  - What resolutions were made?
    - These lead to action items

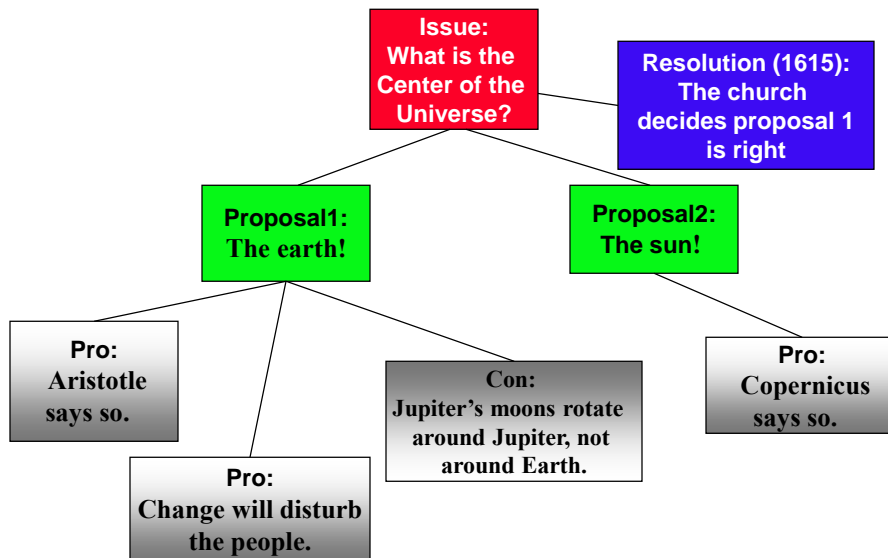
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## Issue-Modeling



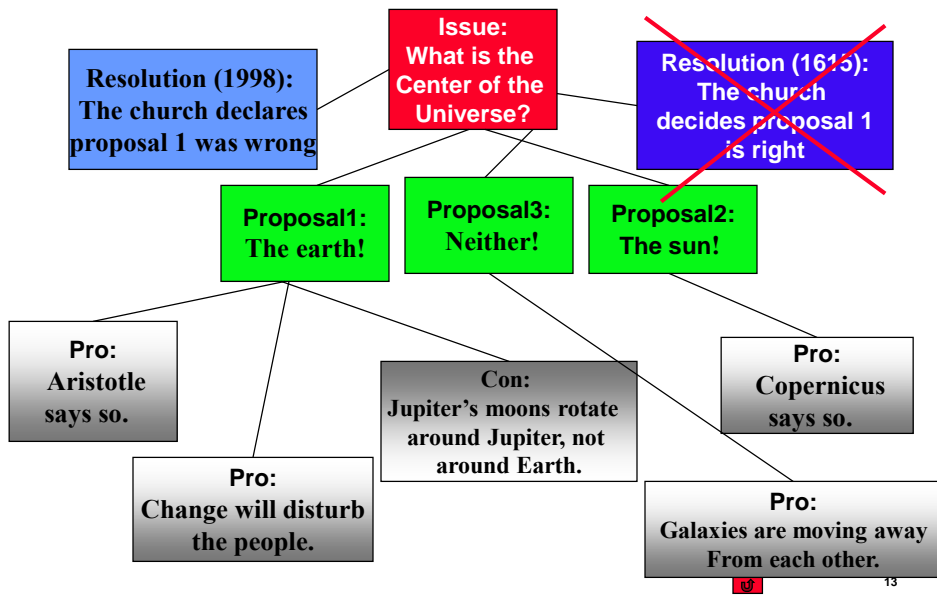
11

## Issue-Modeling



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## Issue-Modeling



## 2. Technique to deal with Complexity: Decomposition

- A technique used to master complexity ("divide and conquer")
- Two major types of decomposition
  - Functional decomposition
  - Object-oriented decomposition
- **Functional decomposition**
  - The system is decomposed into modules
  - Each module is a major function in the application domain
  - Modules can be decomposed into smaller modules.





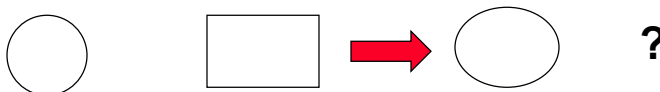
## Functional Decomposition

- The functionality is spread all over the system
- Maintainer must understand the whole system to make a single change to the system
- Consequence:
  - Source code is hard to understand
  - Source code is complex and impossible to maintain
  - User interface is often awkward and non-intuitive.

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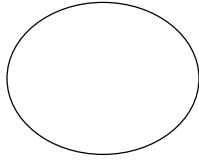
## Functional Decomposition

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  - User interface is often awkward and non-intuitive
- Example: Microsoft Powerpoint's Autoshapes
  - How do I change a square into a circle?



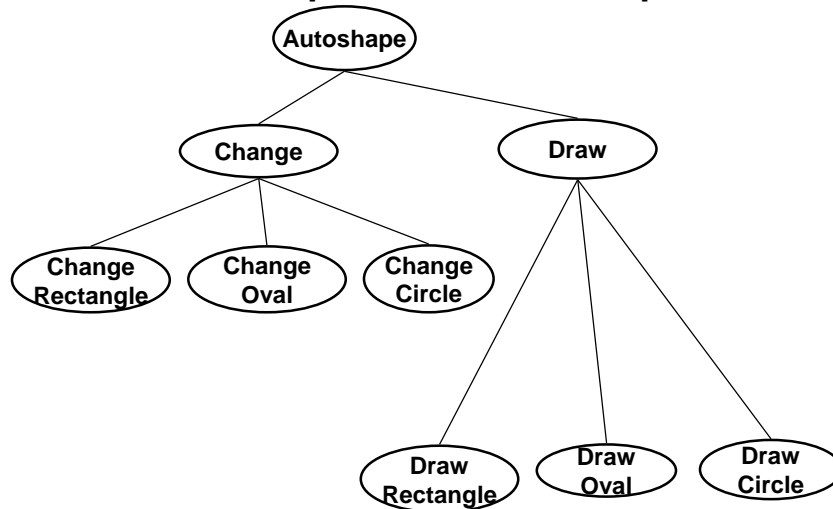
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## Changing a Square into a Circle



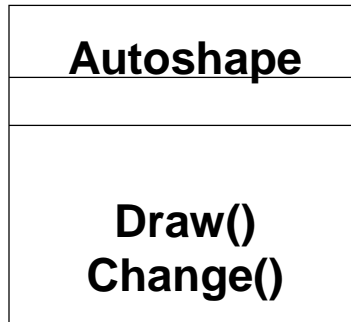
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## Functional Decomposition: Autoshape



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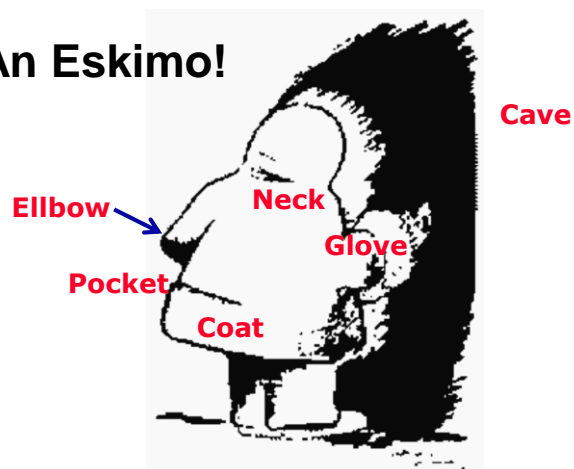
## Object-Oriented View



21

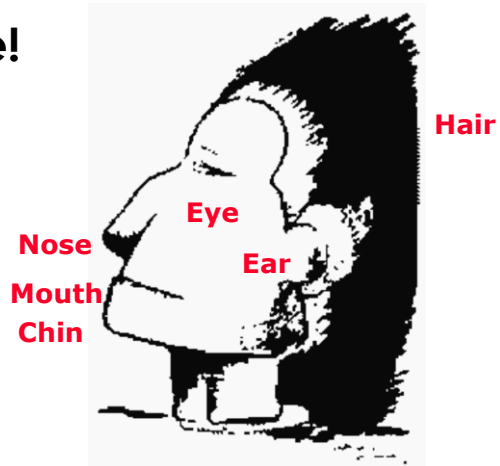
## What is This?

**An Eskimo!**



22

## A Face!

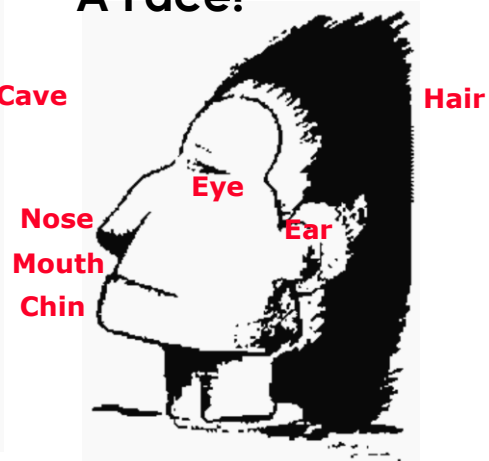


23

## An Eskimo!



## A Face!



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## Class Identification

- **Basic assumptions**

- We can find the *classes for a new software system*: **Greenfield Engineering**
- We can identify the *classes in an existing system*: **Reengineering**
- We can create a *class-based interface to an existing system*: **Interface Engineering**



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## Class Identification (cont'd)

- **Why can we do this?**

- Philosophy, science, experimental evidence

- **What are the limitations?**

- Depending on the purpose of the system, different objects might be found

- **Crucial**

Identify the purpose of a system



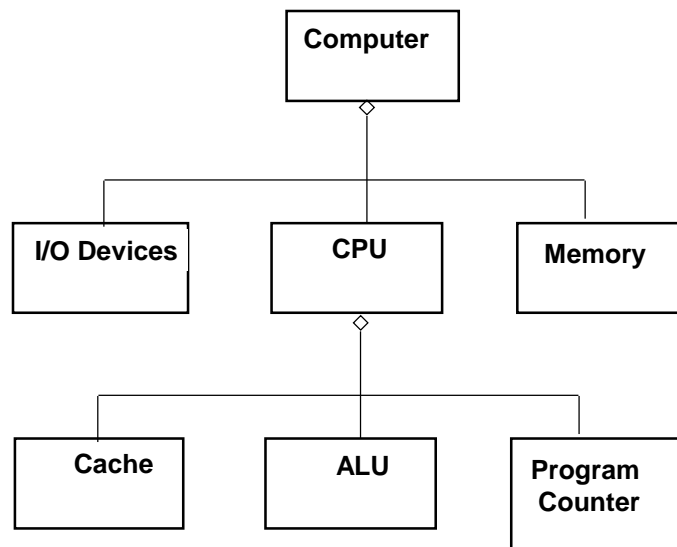
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### 3. Hierarchy

- So far we got abstractions
  - This leads us to classes and objects
  - "Chunks"
- Another way to deal with complexity is to provide relationships between these chunks
- One of the most important relationships is hierarchy
- 2 special hierarchies
  - "Part-of" hierarchy
  - "Is-kind-of" hierarchy

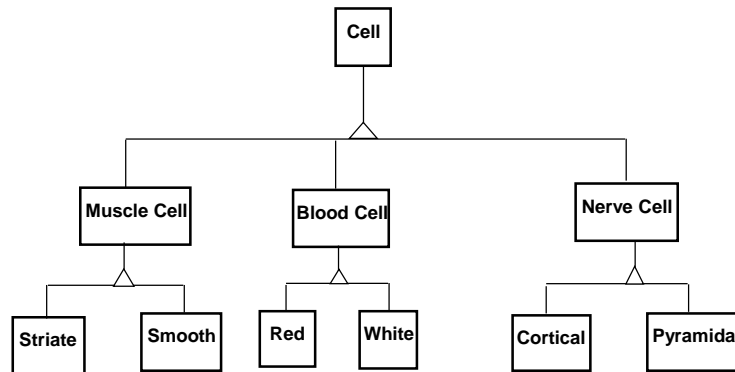
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### Part-of Hierarchy (Aggregation)



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## Is-Kind-of Hierarchy (Taxonomy)



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## Where are we now?

- Three ways to deal with complexity:
  - Abstraction, Decomposition, Hierarchy
- Object-oriented decomposition is good
  - Unfortunately, depending on the purpose of the system, different objects can be found
- How can we do it right?
  - Start with a description of the functionality of a system
  - Then proceed to a description of its structure
- Ordering of development activities
  - Software lifecycle

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## Models must be falsifiable

- Karl Popper ("Objective Knowledge):
  - There is no absolute truth when trying to understand reality
  - One can only build theories, that are "true" until somebody finds a counter example
- **Falsification:** The act of disproving a theory or hypothesis
- The truth of a theory is never certain. We must use phrases like:
  - "by our best judgement", "using state-of-the-art knowledge"
- In software engineering any model is a theory:
  - We build models and try to find counter examples by:
    - Requirements validation, user interface testing, review of the design, source code testing, system testing, etc.
- **Testing:** The act of disproving a model.

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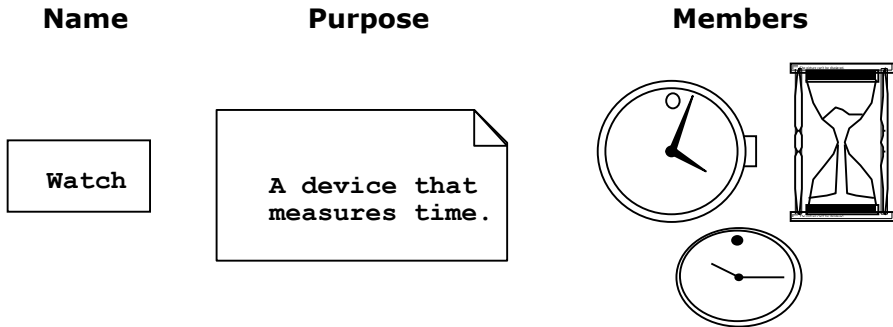
## Concepts and Phenomena

- **Phenomenon**
  - An object in the world of a domain as you perceive it
    - Examples: This lecture at 9:35, my black watch
- **Concept**
  - Describes the common properties of phenomena
    - Example: All lectures on software engineering
    - Example: All black watches
- **A Concept is a 3-tuple:**
  - **Name:** The name distinguishes the concept from other concepts
  - **Purpose:** Properties that determine if a phenomenon is a member of a concept
  - **Members:** The set of phenomena which are part of the concept.

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# Concepts, Phenomena, Abstraction and Modeling



## Definition **Abstraction**:

- Classification of phenomena into concepts

## Definition **Modeling**:

- Development of abstractions to answer specific questions about a set of phenomena while ignoring irrelevant details.

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## Abstract Data Types & Classes

### • Abstract data type

- A type whose implementation is hidden from the rest of the system

### • Class:

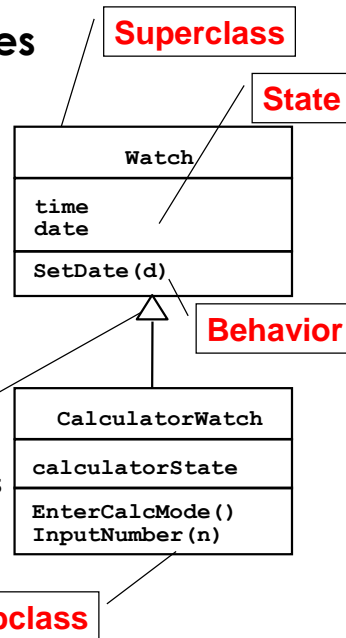
- An abstraction in the context of object-oriented languages
- A class encapsulates state and behavior
  - Example: Watch

**Inheritance**

Unlike abstract data types, subclasses can be defined in terms of other classes using inheritance

- Example: CalculatorWatch

**Subclass**



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## Type and Instance

- **Type:**
  - An concept in the context of programming languages
  - Name: int
  - Purpose: integral number
  - Members: 0, -1, 1, 2, -2,...
- **Instance:**
  - Member of a specific type
- The type of a variable represents all possible instances of the variable

The following relationships are similar:

Type    <->    Variable  
Concept <-> Phenomenon  
Class    <->    Object

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## Systems

- A *system* is an organized set of communicating parts
  - **Natural system:** A system whose ultimate purpose is not known
  - **Engineered system:** A system which is designed and built by engineers for a specific purpose
- The parts of the system can be considered as systems again
  - In this case we call them *subsystems*

**Examples of natural systems:**

- Universe, earth, ocean

**Examples of engineered systems:**

- Airplane, watch, GPS

**Examples of subsystems:**

- Jet engine, battery, satellite.

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## Systems, Models and Views

- A **model** is an abstraction describing a system or a subsystem
- A **view** depicts selected aspects of a model
- A **notation** is a set of graphical or textual rules for depicting models and views
  - formal notations, “napkin drawings”

### System: Airplane

#### Models:

Flight simulator  
Scale model

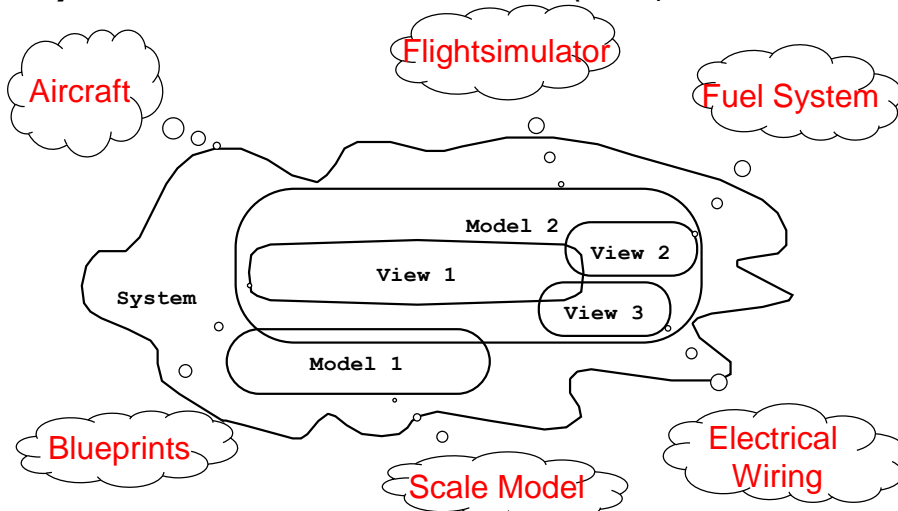
#### Views:

Blueprint of the airplane components  
Electrical wiring diagram, Fuel system  
Sound wave created by airplane



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## Systems, Models and Views (“Napkin” Notation)

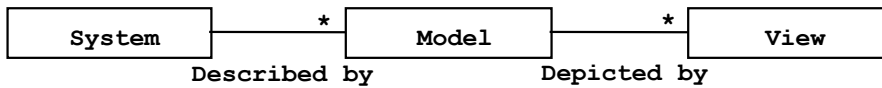


Views and models of a complex system usually overlap

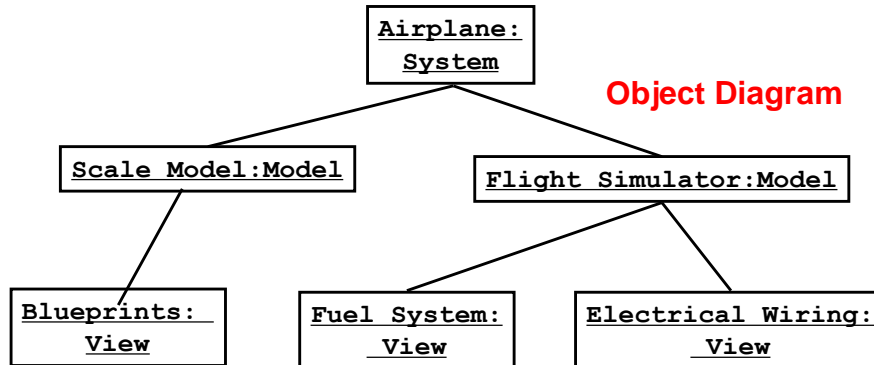
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## Systems, Models and Views (UML Notation)

### Class Diagram



### Object Diagram



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## Model-Driven Development

1. Build a platform-independent model of an applications functionality and behavior
  - a) Describe model in modeling notation (UML)
  - b) Convert model into platform-specific model
2. Generate executable from platform-specific model

### Advantages:

- Code is generated from model ("mostly")
- Portability and interoperability
- Model Driven Architecture effort:
  - <http://www.omg.org/mda/>
- OMG: Object Management Group

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## Model-driven Software Development

**Reality:** A stock exchange lists many companies. Each company is identified by a ticker symbol

**Analysis** results in analysis object model (UML Class Diagram):



**Implementation** results in source code (Java):

```
public class StockExchange {
    public m_Company = new Vector();
};

public class Company {
    public int m_tickerSymbol;
    public Vector m_StockExchange = new Vector();
};
```

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## Application vs Solution Domain

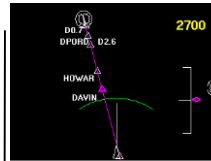
- **Application Domain** (Analysis):
  - The environment in which the system is operating
- **Solution Domain** (Design, Implementation):
  - The technologies used to build the system
- Both domains contain abstractions that we can use for the construction of the system model.

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# Object-oriented Modeling



Application Domain  
(Phenomena)

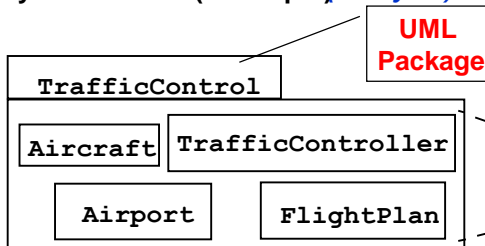


Solution Domain  
(Phenomena)

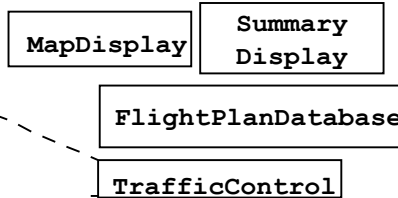


A screenshot of a data table with multiple columns and rows of numerical data, likely representing flight or system parameters.

System Model (Concepts)*Analysis*



System Model (Concepts)*Design*



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## What is UML?

- UML (Unified Modeling Language)
  - Nonproprietary standard for modeling software systems, OMG
  - Convergence of notations used in object-oriented methods
    - OMT (James Rumbaugh and colleagues)
    - Booch (Grady Booch)
    - OOSE (Ivar Jacobson)
- Current Version: UML 2.2
  - Information at the OMG portal <http://www.uml.org/>
- Commercial tools: Rational (IBM), Together (Borland), Visual Architect (business processes, BCD)
- Open Source tools: ArgoUML, StarUML, Umbrello
- Commercial and Opensource: PoseidonUML (Gentleware)

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## UML: First Pass

- You can model 80% of most problems by using about 20 % UML
- We teach you those 20%
- 80-20 rule: Pareto principle
  - [https://en.wikipedia.org/wiki/Pareto\\_principle](https://en.wikipedia.org/wiki/Pareto_principle)

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## UML First Pass

- **Use case diagrams**
  - Describe the functional behavior of the system as seen by the user
- **Class diagrams**
  - Describe the static structure of the system: Objects, attributes, associations
- **Sequence diagrams**
  - Describe the dynamic behavior between objects of the system
- **Statechart diagrams**
  - Describe the dynamic behavior of an individual object
- **Activity diagrams**
  - Describe the dynamic behavior of a system, in particular the workflow.

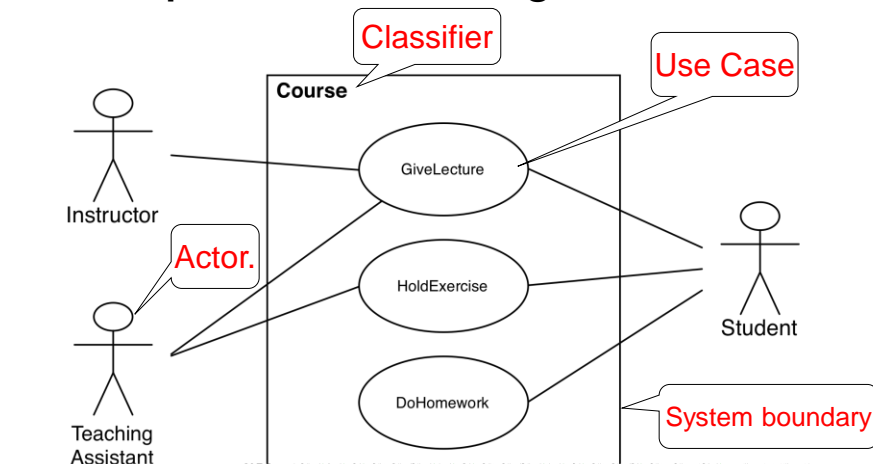
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## UML Core Conventions

- All UML Diagrams denote graphs of nodes and edges
  - Nodes are entities and drawn as rectangles or ovals
  - Rectangles denote classes or instances
  - Ovals denote functions
- Names of Classes are not underlined
  - SimpleWatch
  - Firefighter
- Names of Instances are underlined
  - myWatch:SimpleWatch
  - Joe:Firefighter
- An edge between two nodes denotes a relationship between the corresponding entities

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## UML first pass: Use case diagrams

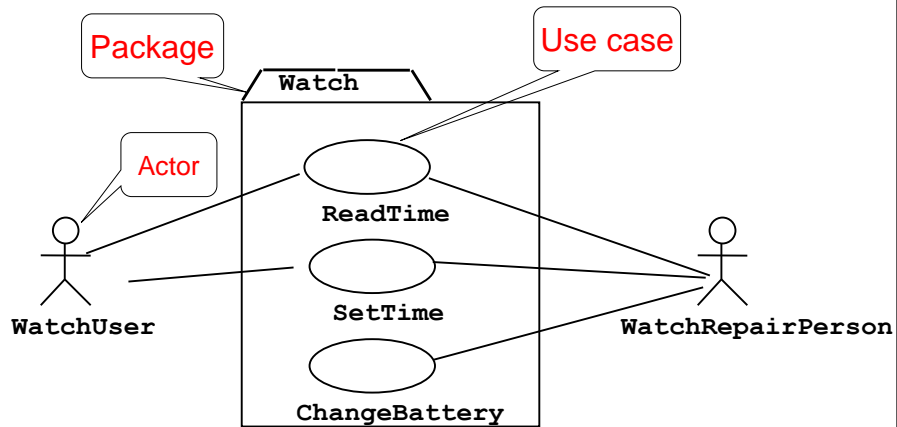


Use case diagrams represent the functionality of the system from user's point of view

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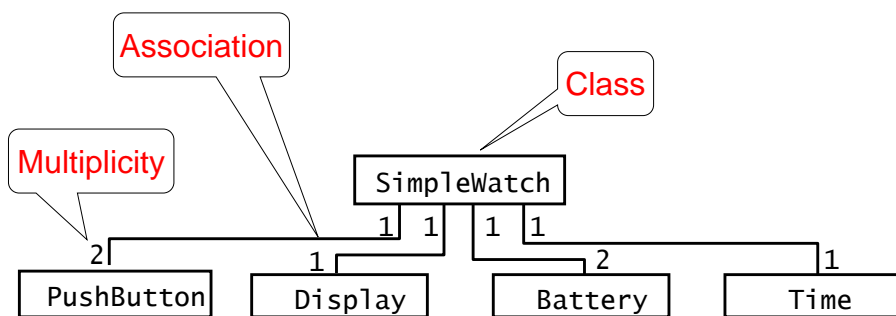
## Historical Remark: UML 1 used packages



Use case diagrams represent the functionality of the system from user's point of view

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## UML first pass: Class diagrams

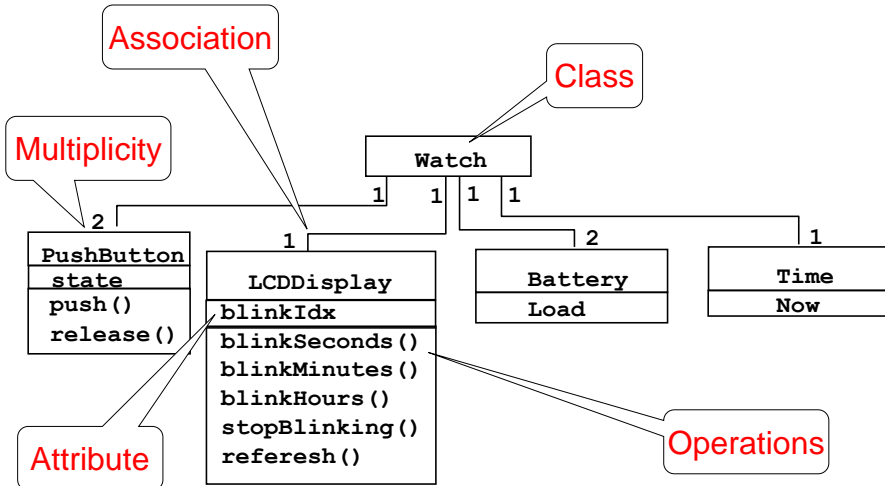


Class diagrams represent the structure of the system

50

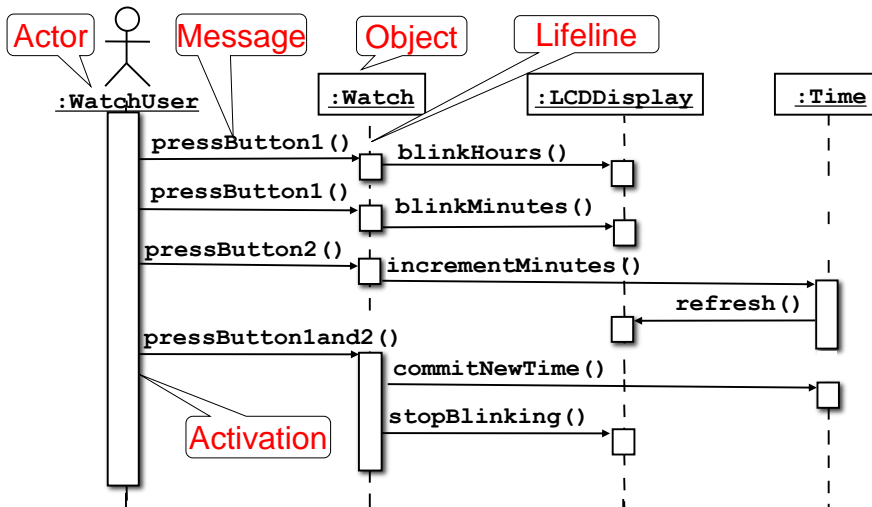
## UML first pass: Class diagrams

Class diagrams represent the structure of the system



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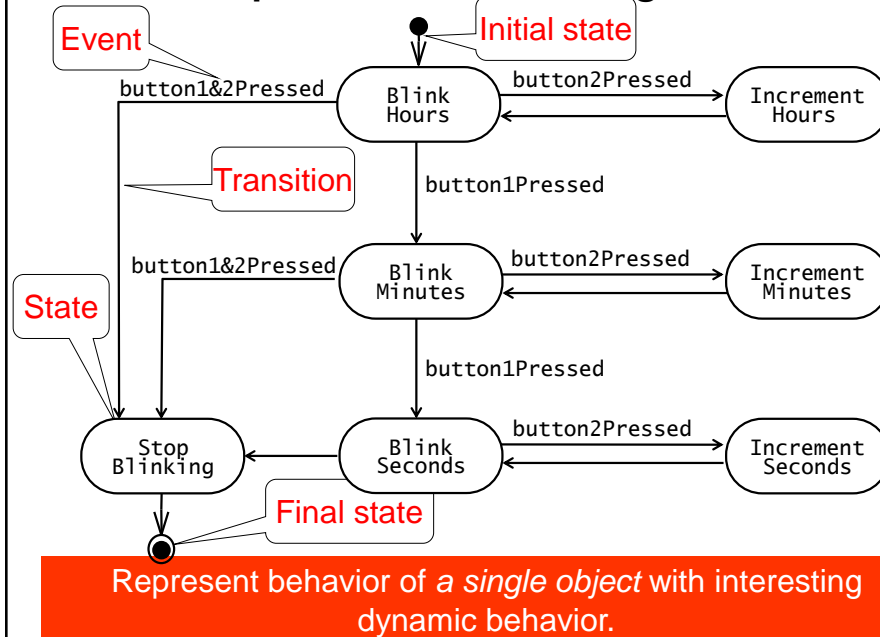
## UML first pass: Sequence diagram



Sequence diagrams represent the behavior of a system as messages ("interactions") between *different objects*

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## UML first pass: Statechart diagrams



## Other UML Notations

UML provides many other notations, for example

- Deployment diagrams for modeling configurations
  - Useful for testing and for release management
- We introduce these and other notations as we go along in the lectures
  - OCL: A language for constraining UML models

## What should be done first? Coding or Modeling?

- It all depends....
- **Forward Engineering**
  - Creation of code from a model
  - Start with modeling
  - Greenfield projects
- **Reverse Engineering**
  - Creation of a model from existing code
  - Interface or reengineering projects
- **Roundtrip Engineering**
  - Move constantly between forward and reverse engineering
  - Reengineering projects
  - Useful when requirements, technology and schedule are changing frequently.

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## UML Basic Notation Summary

- UML provides a wide variety of notations for modeling many aspects of software systems
- Today we concentrated on a few notations:
  - Functional model: Use case diagram
  - Object model: Class diagram
  - Dynamic model: Sequence diagrams, statechart

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## Additional References

- Martin Fowler
  - UML Distilled: A Brief Guide to the Standard Object Modeling Language, 3rd ed., Addison-Wesley, 2003
- Grady Booch, James Rumbaugh, Ivar Jacobson
  - The Unified Modeling Language User Guide, Addison Wesley, 2<sup>nd</sup> edition, 2005
- Commercial UML tools
  - Rational Rose XDE for Java
    - <http://www-306.ibm.com/software/awdtools/developer/java/>
  - Together (Eclipse, MS Visual Studio, JBuilder)
    - <http://www.borland.com/us/products/together/index.html>
- Open Source UML tools
  - <http://java-source.net/open-source/uml-modeling>
  - ArgoUML, UMLet, Violet, ...