

# Fundamentals of Database Systems

## [Relational Algebra]

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August, 2019

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# Preliminaries

**Relation:** Given the sets  $X_1, X_2, \dots, X_n \subseteq \mathbb{R}$  (the real plane), a relation  $\mathcal{R}$  can be defined on  $X_1, X_2, \dots, X_n$  as

$$\mathcal{R} = \{(x_1, x_2, \dots, x_n) : (x_1, x_2, \dots, x_n) \in X_1 \times X_2 \times \dots \times X_n\}.$$

If the sets denote different attributes in a database then a table represents nothing but a relation (subset of the Cartesian product of attributes) between the attributes.

Based on this, we can assume:

A **relation** is a table

The **attributes** are the headers of the table

A **tuple** is a row.

# Preliminaries

Example of a relation:

Table: MATH\_OLYMPIC

Year	Gold	Silver
2008	0	0
2009	0	3
2010	0	2
2011	1	1
2012	2	3
2013	0	2
2014	0	1
2015	0	1
2016	0	1
2017	0	0
2018	0	3

# Preliminaries

Example of another relation:

**Table:** MATH\_OLYMPIC\_GOLDEN

<b>Year</b>	<b>Gold</b>	<b>Silver</b>
2011	1	1
2012	2	3

# Preliminaries of relational algebra

**Query language:** A language for manipulation and retrieval of data from a database.

\* Query languages can be – procedural (user provides requirements along with instructions) and non-procedural (user provides requirements only).

**The relational algebra is a procedural query language**

The relational algebra works on relations

**Note:** Relational algebra is *closed* because every operation in relational algebra returns a relation.

# Preliminaries of relational algebra

Relational algebra is not “Turing complete”. This is inevitably favourable because it manifests that relational algebra is subject to algorithmic analysis (to be precise for query optimization).

# Union

**Notation:**  $R_1 \cup R_2$ , where  $R_1, R_2$  are relational algebra expressions.

**Description:** Returns tuples that appear in either or both of the two relations, thereby producing a relation with at most  $\mathcal{T}(R_1) + \mathcal{T}(R_2)$  tuples.

**Note:** Union operation is valid iff the attributes of  $R_1$  and  $R_2$  are the same, i.e.  $\mathcal{A}(R_1) = \mathcal{A}(R_2)$ .



# Union

**Example:**  $\text{MATH\_OLYMPIC} \cup \text{MATH\_OLYMPIC\_GOLDEN}$

Year	Gold	Silver
2008	0	0
2009	0	3
2010	0	2
2011	1	1
2012	2	3
2013	0	2
2014	0	1
2015	0	1
2016	0	1
2017	0	0
2018	0	3

# Intersection

**Notation:**  $R_1 \cap R_2$ , where  $R_1, R_2$  are relational algebra expressions.

**Description:** Returns tuples that appear in both the relations, thereby producing a relation with at most  $\min(\mathcal{T}(R_1), \mathcal{T}(R_2))$  tuples.

**Note:** Intersection operation is valid iff the attributes of  $R_1$  and  $R_2$  are the same, i.e.  $\mathcal{A}(R_1) = \mathcal{A}(R_2)$ .

# Intersection

**Example:**  $\text{MATH\_OLYMPIC} \cap \text{MATH\_OLYMPIC\_GOLDEN}$

Year	Gold	Silver
2011	1	1
2012	2	3

# Difference

**Notation:**  $R_1 - R_2$ , where  $R_1, R_2$  are relational algebra expressions.

**Description:** Returns the tuples that appear in one relation (first one) but not in the other (second one), thereby producing a relation with at most  $\mathcal{T}(R_1)$  tuples.

**Note:** Difference operation is valid iff the attributes of  $R_1$  and  $R_2$  are the same, i.e.  $\mathcal{A}(R_1) = \mathcal{A}(R_2)$ .

# Difference

**Example:** MATH\_OLYMPIC – MATH\_OLYMPIC\_GOLDEN

Year	Gold	Silver
2008	0	0
2009	0	3
2010	0	2
2013	0	2
2014	0	1
2015	0	1
2016	0	1
2017	0	0
2018	0	3

# Cartesian product / Cross join

**Notation:**  $R_1 \times R_2$ , where  $R_1, R_2$  are relational algebra expressions.

**Description:** Returns the Cartesian product of two relations, thereby producing a relation with attributes  $\mathcal{A}(R_1) \cup \mathcal{A}(R_2)$  and  $\mathcal{T}(R_1) * \mathcal{T}(R_2)$  number of tuples.

**Note:** No validity constraint.

## Cartesian product / Cross join

**Example:** MATH\_OLYMPIC  $\times$  MATH\_OLYMPIC\_GOLDEN

M.Year	M.Gold	M.Silver	M_G.Year	M_G.Gold	M_G.Silver
2008	0	0	2011	1	1
2008	0	0	2012	2	3
2009	0	3	2011	1	1
2009	0	3	2012	2	3
2010	0	2	2011	1	1
2010	0	2	2012	2	3
2011	1	1	2011	1	1
2011	1	1	2012	2	3
2012	2	3	2011	1	1
2013	2	3	2012	2	3
...	...	...	...	...	...
2018	0	3	2011	1	1
2018	0	3	2012	2	3

# Selection

**Notation:**  $\sigma_P(R)$ , where  $P$  is a predicate on the attributes of the relation  $R$ .

**Description:** Returns the tuples that satisfy a given predicate (extracts a subset of tuples).



# Selection

**Example:**  $\sigma_{\text{Gold} \neq 0}(\text{MATH\_OLYMPIC})$

Year	Gold	Silver
2011	1	1
2012	2	3

**Example:**  $\sigma_{\text{Gold} \neq 0 \wedge \text{Silver} > 1}(\text{MATH\_OLYMPIC})$

Year	Gold	Silver
2012	2	3

# Projection

**Notation:**  $\pi_S(R)$ , where  $S$  is a subset of the attributes in the relation  $R$ .

**Description:** Returns all tuples with the given attributes only (extracts a subset of attributes).

**Note:** A projection returns the distinct tuples (after removing duplicates) only.

# Projection

**Example:**  $\pi_{\text{Year}, \text{Silver}}(\text{MATH\_OLYMPIC})$

Year	Silver
2008	0
2009	3
2010	2
2011	1
2012	3
2013	2
2014	1
2015	1
2016	1
2017	0
2018	3

**Example:**  $\pi_{\text{Year}, \text{Silver}}(\sigma_{\text{Gold} > 1}(\text{MATH\_OLYMPIC}))$

Year	Silver
2012	3

# Rename

**Notation:**  $\rho_N(R)$ , where  $N$  is the new name for the result of  $R$ .

**Description:** Renames a relation in relational algebra.

## Rename – A caution



# Rename

**Example:**  $\rho_{IMO}(\text{MATH\_OLYMPIC})$

Table: IMO

Year	Gold	Silver
2008	0	0
2009	0	3
2010	0	2
2011	1	1
2012	2	3
2013	0	2
2014	0	1
2015	0	1
2016	0	1
2017	0	0
2018	0	3

# Natural join

**Notation:**  $R_1 \bowtie R_2$ , where  $R_1, R_2$  are relations obtained from relational algebra operations.

**Description:** Cartesian product of two relations followed by the removal of duplicate attributes.

**Note:** If we consider the pair of relations  $R_1$  and  $R_2$ , then the natural join between them ( $R_1 \bowtie R_2$ ) is a relation on schema  $\mathcal{A}(R_1) \cup \mathcal{A}(R_2)$  such that

$$R_1 \bowtie R_2 = \pi_{\mathcal{A}(R_1) \cup \mathcal{A}(R_2)}(\sigma_{\mathcal{A}_1(R_1)=\mathcal{A}_1(R_2) \wedge \dots \wedge \mathcal{A}_n(R_1)=\mathcal{A}_n(R_2)}(R_1 \times R_2)).$$

The selection is defined on the common set of attributes between  $R_1$  and  $R_2$ , i.e.,  $\mathcal{A}_1, \mathcal{A}_2, \dots, \mathcal{A}_n \in \mathcal{A}(R_1) \cap \mathcal{A}(R_2)$ . Hence, natural join reduces to Cartesian product if no attribute is common.

# Natural join

**Example:**  $\pi_{\text{Year}}(\text{IMO} \bowtie \text{MATH\_OLYMPIC\_GOLD})$

Year
2011
2012



## Natural join – A deeper look

Table: SYM

A1	A2
1	pi
2	e

Table: VAL

A2	A3
pi	22/7
pi	333/106

Table:  $\sigma_{SYM.A2=VAL.A2}(SYM \times VAL)$ 

SYM.A1	SYM.A2	VAL.A2	VAL.A3
1	pi	pi	22/7
1	pi	pi	333/106
2	e	pi	22/7
2	e	pi	333/106

Table:  $SYM \bowtie VAL$ 

A1	A2	A3
1	pi	22/7
1	pi	333/106

# Theta join

**Notation:**  $R_1 \bowtie_{\theta} R_2$ , where  $R_1, R_2$  are relations obtained from relational algebra operations.

**Description:** Cartesian product of two relations followed by selection operation. We can write

$$R_1 \bowtie_{\theta} R_2 = \sigma_{\theta}(R_1 \times R_2).$$

**Note:** The result of theta join is defined only if the attributes of the relations are disjoint.

# EQUI join

**Notation:**  $R_1 \bowtie_{=} R_2$ , where  $R_1, R_2$  are relations obtained from relational algebra operations.

**Description:** Cartesian product of two relations followed by selection operation with respect to equity. EQUI join is a special case of theta join where  $\theta = "="$ .

# Division

**Notation:**  $R_1 \div R_2$ , where  $R_1, R_2$  are relations obtained from relational algebra operations.

**Description:** Satisfies universal specification.

**Note:** Division operation is valid iff the attributes of  $R_2$  is a proper subset of  $R_1$ , i.e.  $\mathcal{A}(R_2) \subseteq \mathcal{A}(R_1)$ . A tuple is said to be in  $R_1 \div R_2$  iff the tuple is in  $\pi_{\mathcal{A}(R_1) - \mathcal{A}(R_2)}(R_1)$  and its Cartesian product with any arbitrary tuple in  $R_2$  produces a tuple that belongs to  $R_1$ . Interestingly, we can represent the division operation as follows

$$R_1 \div R_2 = \pi_{\mathcal{A}(R_1) - \mathcal{A}(R_2)}(R_1) - \pi_{\mathcal{A}(R_1) - \mathcal{A}(R_2)}((\pi_{\mathcal{A}(R_1) - \mathcal{A}(R_2)}(R_1) \times R_2) - R_1).$$

# Division

**Example:** Let us consider the following pair of relations.

Table: CODE

Roll	Coding	Feature
1	Python	Programming
2	C	Programming
2	R	Programming
3	Python	Programming
3	Python	Visualization
4	C++	Programming
5	R	Visualization

Table: SKILL

Feature
Programming
Visualization

CODE  $\div$  SKILL

Roll	Coding
3	Python

# Assignment

**Notation:**  $var \leftarrow R$ , where  $var$  is a variable and  $R$  is a relation obtained from relational algebra operations

**Description:** Assigns a relational algebra expression to a relational variable

**Example:**  $Gold \leftarrow E$

## Inner join – Basics

Inner join is a generalized representation of natural join operation.  
The following pair of relational algebra expressions are the same.

$$R_1 \bowtie R_2$$

\* Implicitly uses the common attributes to join

$$\sigma_P(R_1 \times R_2)$$

\* The common attributes are to be mentioned in  $P$

# Outer join – Basics

Outer join has been extended from the natural join operation for avoiding information loss. Let us consider the following pair of relations.

Table: FAC

Name	Unit	Centre
Malay	MIU	Kolkata
Mandar	CVPRU	Kolkata
Ansuman	ACMU	Kolkata
Sandip	ACMU	Kolkata

Table: RES

Name	Area	Level
Malay	CB	Junior
Mandar	IR	Senior
Sasthi	WSN	Senior
Sandip	DM	Senior



# Outer join – Motivation

**Example:** FAC  $\bowtie$  RES

Name	Unit	Centre	Area	Level
Malay	MIU	Kolkata	CB	Junior
Mandar	CVPRU	Kolkata	IR	Senior
Sandip	ACMU	Kolkata	DM	Senior

The information about *Ansuman* and *Sasthi* are lost.

# Outer join – Left outer join / Left join

**Notation:**  $R_1 \bowtie R_2$ , where  $R_1, R_2$  are relations obtained from relational algebra operations

**Description:** Makes a natural join but adds extra tuples to the result padded with NULL values to deal with missing information in the first relation

## Outer join – Left outer join / Left join

**Example:** FAC  $\bowtie$  RES

Name	Unit	Centre	Area	Level
Malay	MIU	Kolkata	CB	Junior
Mandar	CVPRU	Kolkata	IR	Senior
Sandip	ACMU	Kolkata	DM	Senior
Ansuman	ACMU	Kolkata	NULL	NULL

# Outer join – Right outer join / Right join

**Notation:**  $R_1 \bowtie R_2$ , where  $R_1, R_2$  are relations obtained from relational algebra operations

**Description:** Makes a natural join but adds extra tuples to the result padded with NULL values to deal with missing information in the second relation

## Outer join – Right outer join / Right join

**Example:**  $FAC \bowtie RES$

Name	Unit	Centre	Area	Level
Malay	MIU	Kolkata	CB	Junior
Mandar	CVPRU	Kolkata	IR	Senior
Sandip	ACMU	Kolkata	DM	Senior
Sasthi	NULL	NULL	WSN	Senior

# Outer join – Full outer join / Full join

**Notation:**  $R_1 \bowtie R_2$ , where  $R_1, R_2$  are relations obtained from relational algebra operations

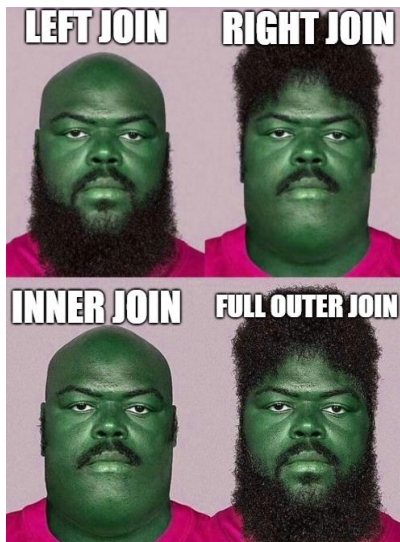
**Description:** Makes a natural join but adds extra tuples to the result padded with NULL values to deal with missing information in both the relations

# Outer join – Full outer join / Full join

**Example:** FAC  $\bowtie$  RES

Name	Unit	Centre	Area	Level
Malay	MIU	Kolkata	CB	Junior
Mandar	CVPRU	Kolkata	IR	Senior
Sandip	ACMU	Kolkata	DM	Senior
Ansuman	ACMU	Kolkata	NULL	NULL
Sasthi	NULL	NULL	WSN	Senior

## Join operations – The interpretation





## Join operations – The interpretation



# Understanding the concepts in a better way

**Try this out!!!**

RelaX – relational algebra calculator:

<https://dbis-uibk.github.io/relax>

# Completeness

A complete set comprises a subset of relational algebra operations that can express any other relational algebra operations.

E.g., the set  $\{\sigma, \pi, \cup, -, \times\}$  is complete.