



Language  
Technologies  
Institute

Carnegie  
Mellon  
University

# Multimodal Machine Learning

## Lecture 3.2: Language Representations and RNNs

Louis-Philippe Morency

\* Original version co-developed with Tadas Baltrusaitis

# Administrative Stuff

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# Piazza Live Q&A – Reminder

The screenshot displays the Piazza web interface for a class. The browser address bar shows the URL `piazza.com/class/kcncr11wq24q6z7?cid=43`. The page header includes the Piazza logo, the class ID `11777-A`, and navigation tabs for `Q & A`, `Resources`, `Statistics`, and `Manage Class`. The user profile for `Louis-Philippe Morency` is visible in the top right.

In the left sidebar, the `LIVE Q&A` folder is highlighted with a red box. Below it, a `New Post` button is also highlighted with a red box. The sidebar lists several posts, including a question titled `Question` dated `9/8/20` with the text `When is the lecture starting?`, a pinned post titled `Project preferences form` dated `9/3/20`, and a post titled `Course website` dated `9/1/20`.

The main content area shows a question titled `question @44` with the text `When is the lecture starting?`. The question is tagged with `live_q&a` and has `0` views. It was updated `Just now` by `Louis-Philippe Morency`. Below the question, there is an `the instructors' answer` section with the text `At 3:20pm EST`. This answer was also updated `Just now` by `Louis-Philippe Morency`.

# Lecture Highlights - Reminder

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<https://forms.gle/W1VJDWaitEumn4XSA>

Last 20+ mins - Summary - At least two points (full sentences, numbered) 2 points \*

Your answer

Your personal takeaways from the lecture - Two takeaways (full sentences, 2 points numbered) \*

Your answer

(Optional) Any question? Please include slide number(s).

Your answer

(Optional) Suggestions and Comments

Your answer

A copy of your responses will be emailed to lmorency@andrew.cmu.edu.

Submit

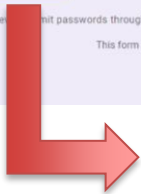
Never submit passwords through Google Forms.

This form was created inside of Carnegie Mellon University. [Report Abuse](#)

Google Forms

New set of instructions...

...but same deadline: **Saturday 10:40am**



**IMPORTANT:** Be sure you received an email after your submission (or revisit the form and your answers should be there).


# Reading Assignments – Reminder

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Week 3 reading assignment was posted

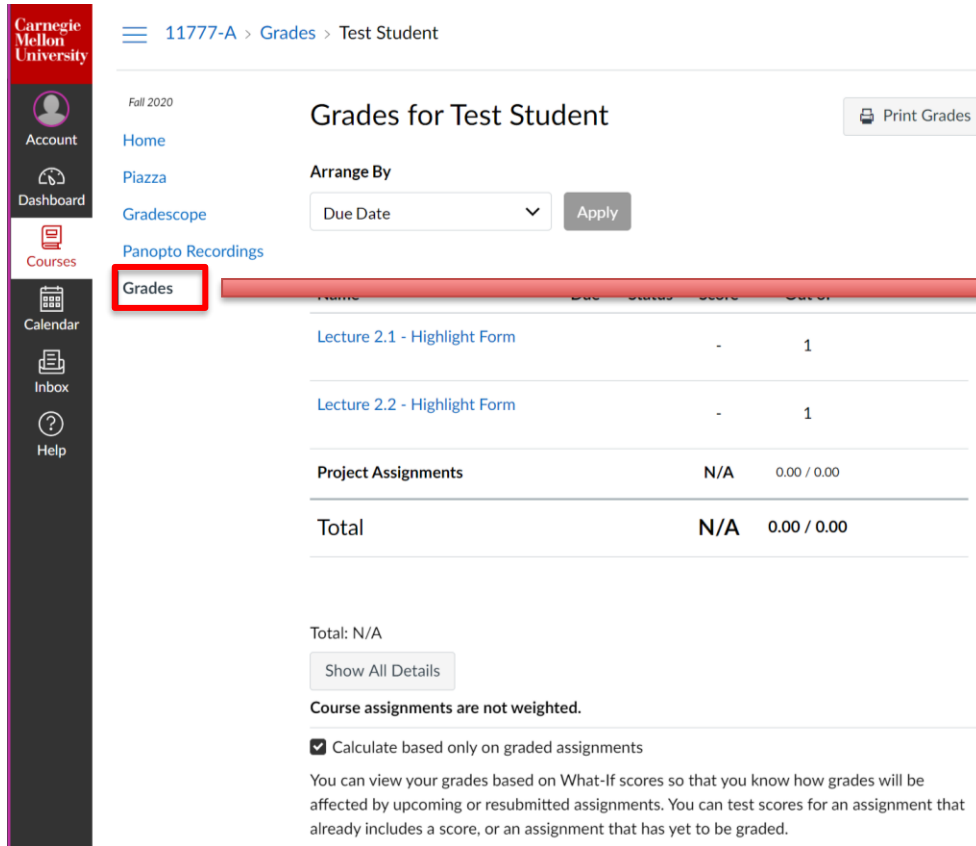
1. **Friday 8pm:** Post your summary
2. **Monday 8pm:** End of the reading assignment

**Be sure to post your discussion comments, questions and answers before Monday 8pm!**

 Start the discussion early 😊

 Late submissions will be penalized

# Grades on Canvas



Fall 2020

Grades for Test Student Print Grades

Arrange By  
Due Date Apply

Name	Due	Status	Score	Score
Lecture 2.1 - Highlight Form			-	1
Lecture 2.2 - Highlight Form			-	1
Project Assignments			N/A	0.00 / 0.00
Total			N/A	0.00 / 0.00

Total: N/A Show All Details

Course assignments are not weighted.

Calculate based only on graded assignments

You can view your grades based on What-If scores so that you know how grades will be affected by upcoming or resubmitted assignments. You can test scores for an assignment that already includes a score, or an assignment that has yet to be graded.

Grades are now on CMU Canvas for:

- Lecture highlights
- Reading assignments

Grades for the project assignments will be on gradescope



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# Multimodal Machine Learning

## Lecture 3.2: Language Representations and RNNs

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# Lecture Objectives

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- Word representations
  - Distributional hypothesis
  - Learning neural representations
- Sentence representations and sequence modeling
  - Recurrent neural networks
  - Gated recurrent neural networks
  - Backpropagation through time
- Syntax and language structure
  - Phrase-structure and dependency grammars
  - Recursive neural network
    - Tree-based RNN, Stack LSTM



# Word Representations

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# What is the meaning of “bardiwac”?

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- He handed her her glass of **bardiwac**.
  - Beef dishes are made to complement the **bardiwacs**.
  - Nigel staggered to his feet, face flushed from too much **bardiwac**.
  - Malbec, one of the lesser-known **bardiwac** grapes, responds well to Australia’s sunshine.
  - I dined off bread and cheese and this excellent **bardiwac**.
  - The drinks were delicious: blood-red **bardiwac** as well as light, sweet Rhenish.
- ⇒ **bardiwac** is a heavy red alcoholic beverage made from grapes

## How to learn (word) features/representations?

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➔ **Distribution hypothesis:** Approximate the word meaning by its surrounding words

➔ Words used in a similar context will lie close together

He was walking away because ...  
He was running away because ...

➔ **Instead of capturing co-occurrence counts directly, predict surrounding words of every word**

$$\frac{1}{T} \sum_{t=1}^T \sum_{-c \leq j \leq c, j \neq 0} \log p(w_{t+j} | w_t)$$

# Geometric interpretation

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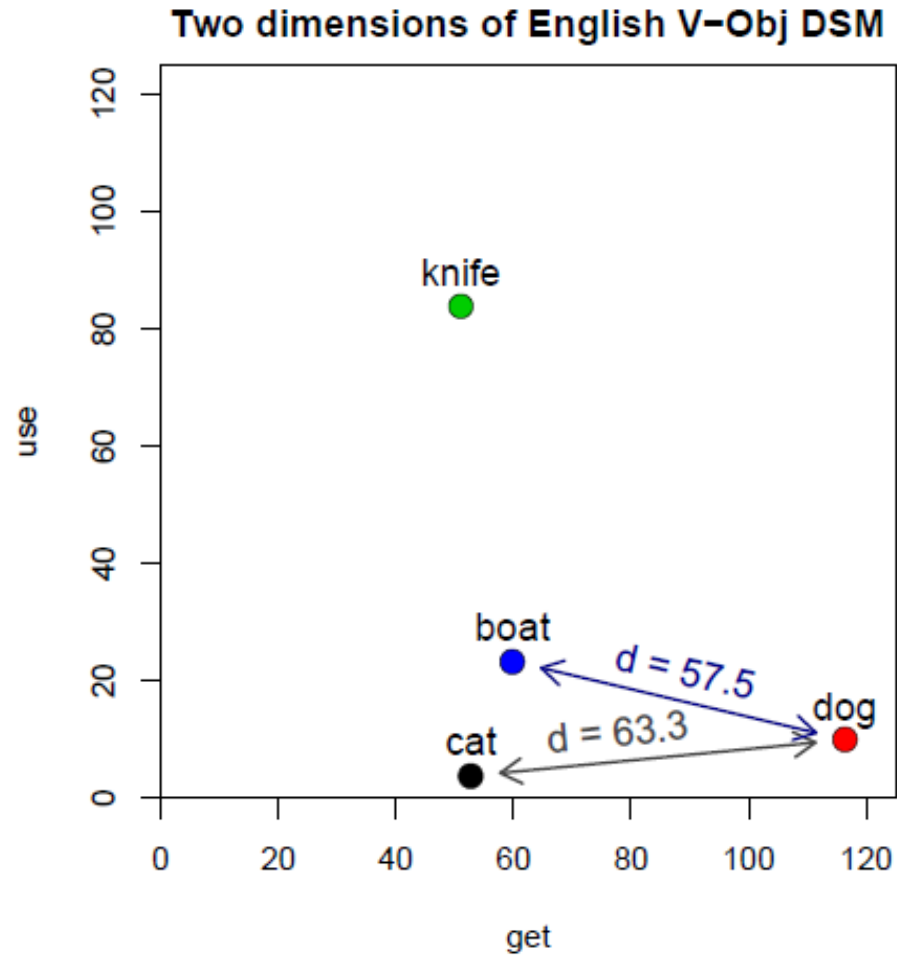
- row vector  $\mathbf{x}_{\text{dog}}$  describes usage of word *dog* in the corpus
- can be seen as coordinates of point in  $n$ -dimensional Euclidean space  $\mathbb{R}^n$

	get	see	use	hear	eat	kill
knife	51	20	84	0	3	0
cat	52	58	4	4	6	26
dog	115	83	10	42	33	17
boat	59	39	23	4	0	0
cup	98	14	6	2	1	0
pig	12	17	3	2	9	27
banana	11	2	2	0	18	0

co-occurrence matrix  $M$

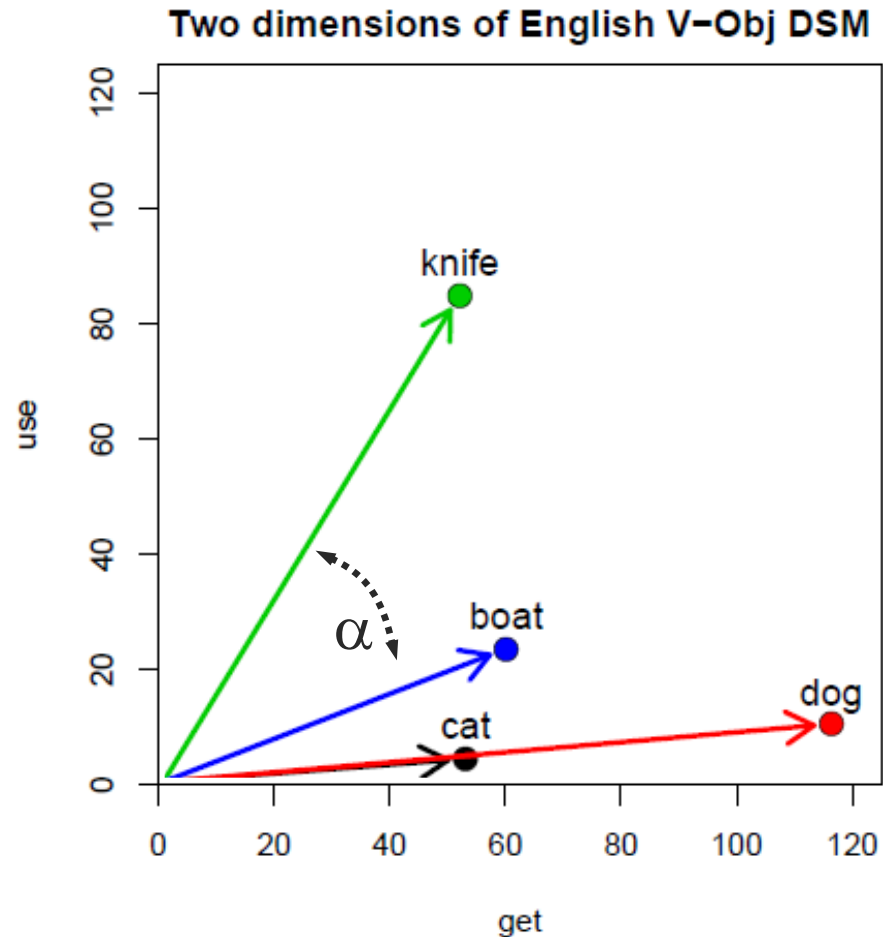
# Distance and similarity

- illustrated for two dimensions: *get* and *use*:  $\mathbf{x}_{\text{dog}} = (115, 10)$
- similarity = spatial proximity (Euclidean distance)
- location depends on frequency of noun ( $f_{\text{dog}} \approx 2.7 \cdot f_{\text{cat}}$ )

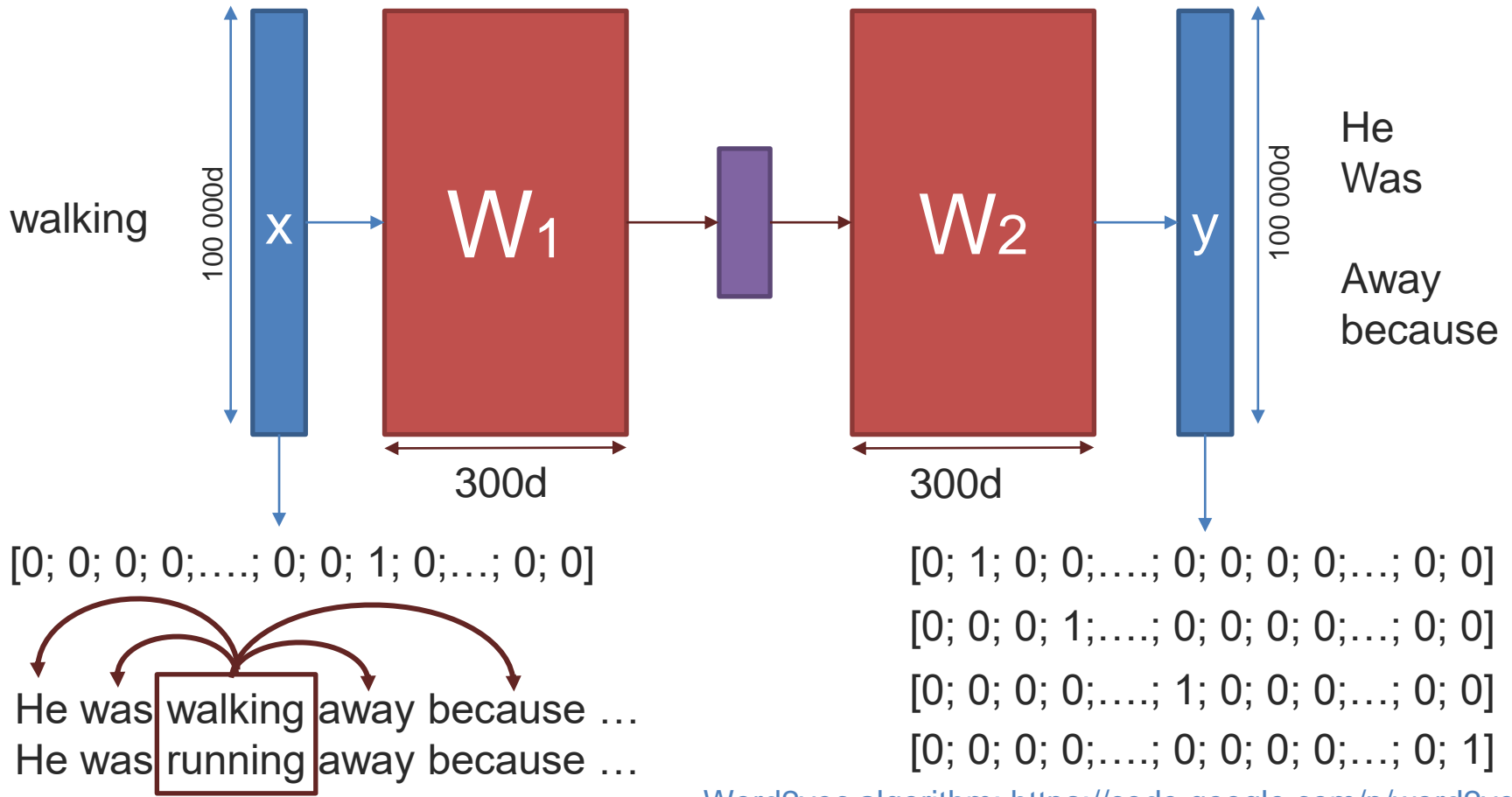


# Angle and similarity

- direction more important than location
- normalise “length”  
 $\|\mathbf{x}_{\text{dog}}\|$  of vector
- or use angle  $\alpha$  as distance measure



# How to learn (word) features/representations?



Word2vec algorithm: <https://code.google.com/p/word2vec/>

# How to use these word representations

If we would have a vocabulary of 100 000 words:

Classic NLP:  $\leftarrow$  100 000 dimensional vector  $\rightarrow$

Walking: [0; 0; 0; 0; .....; 0; 0; 1; 0; ...; 0; 0]

Running: [0; 0; 0; 0; .....; 0; 0; 0; 0; ...; 1; 0]

$\rightarrow$  Similarity = 0.0

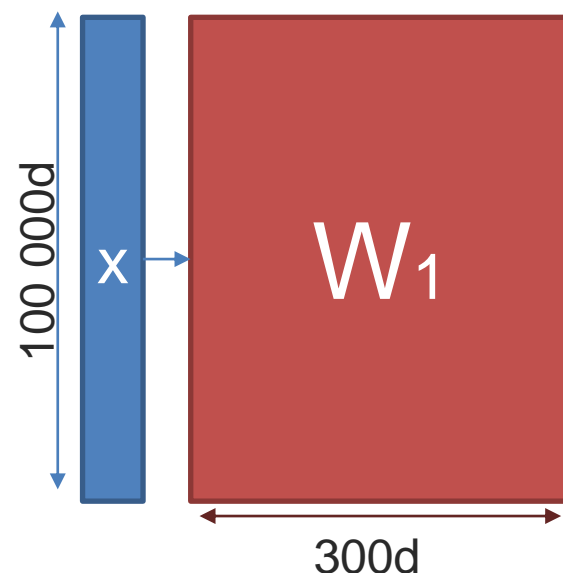
$\downarrow$  Transform:  $x' = x * W$

Goal:  $\leftarrow$  300 dimensional vector  $\rightarrow$

Walking: [0,1; 0,0003; 0; .....; 0,02; 0.08; 0,05]

Running: [0,1; 0,0004; 0; .....; 0,01; 0.09; 0,05]

$\rightarrow$  Similarity = 0.9





## Vector space models of words

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➔ While learning these word representations, we are actually building a vector space in which all words reside with certain relationships between them

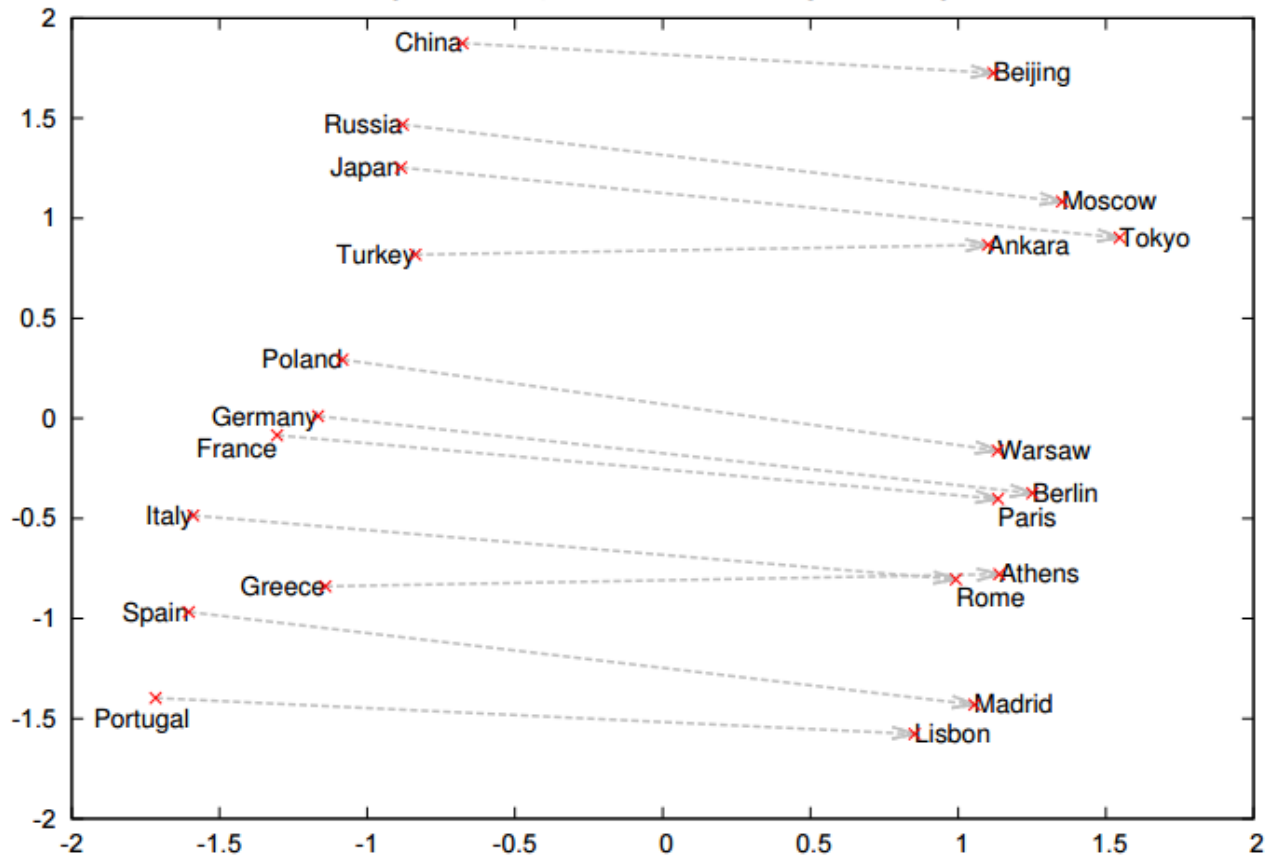
➔ Encodes both syntactic and semantic relationships

➔ This vector space allows for algebraic operations:

$$\text{Vec}(\text{king}) - \text{vec}(\text{man}) + \text{vec}(\text{woman}) \approx \text{vec}(\text{queen})$$



# Vector space models of words: semantic relationships



Trained on the Google news corpus with over 300 billion words

# Word Representation Resources

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## Word-level representations:

Word2Vec (Google, 2013)

<https://code.google.com/archive/p/word2vec/>

Glove (Stanford, 2014)

<https://nlp.stanford.edu/projects/glove/>

FastText (Facebook, 2017)

<https://fasttext.cc/>

## Sentence-level representations:

ELMO (Allen Institute for AI, 2018)

<https://allennlp.org/elmo>

BERT (Google, 2018)

<https://github.com/google-research/bert>

RoBERTa (Facebook, 2019)

<https://github.com/pytorch/fairseq>

Word representations are contextualized using all the words in the sentence.

➔ More details later in this lecture and during Week 5

# Lexicon-based Word Representation

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## LIWC: Language Inquiry & Word Count

Manually created dictionaries for different topics and categories:

- Function words: *pronouns, preposition, negation...*
- Affect words: *positive, negative emotions*
- Social words: *family, friends, referents*
- Cognitive processes: *Insight, cause, ...*
- Perceptual processes: *Seeing, hearing, feeling*
- Biological processes: *Body, health/illness,...*
- Drives and needs: *Affiliation, achievement, ...*
- Time orientation: *past, present, future*
- Relativity: *motion, space, time*
- Personal concerns: *work, leisure, money, religion ...*
- Informal speech: *swear words, fillers, assent,...*

LIWC can encode individual words or full sentences.

<https://liwc.wpengine.com/>



Commercial software. Contact TAs in advance if you would like to use it.

# Other Lexicon Resources

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## Lexicons

- General Inquirer (Stone et al., 1966)
- OpinionFinder lexicon (Wiebe & Riloff, 2005)
- SentiWordNet (Esuli & Sebastiani, 2006)
- LIWC (Pennebaker)

## Other Tools



- LightSIDE
- Stanford NLP toolbox
- IBM Watson Tone Analyzer
- Google Cloud Natural Language
- Microsoft Azure Text Analytics

# Sentence Modeling

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# Sentence Modeling: Sequence Label Prediction


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★★★★★ **Masterful!**

By Antony Witheyman - January 12, 2006

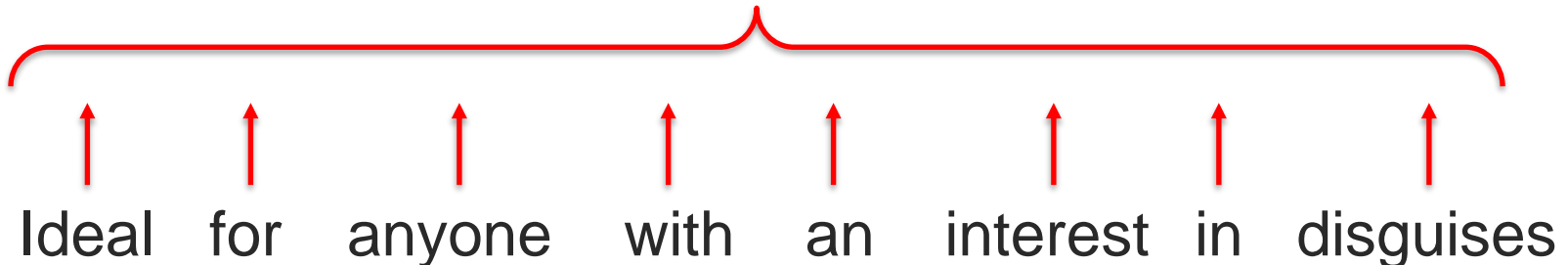
Ideal for anyone with an interest in disguises who likes to see the subject tackled in a humorous manner.

0 of 4 people found this review helpful

Prediction 

Sentiment ?  
(positive or negative)

Sentiment label?



# Sentence Modeling: Sequence Prediction


---

★★★★★ **Masterful!**

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0 of 4 people found this review helpful

Prediction 

Part-of-speech ?  
(noun, verb,...)

POS?

POS?

POS?

POS?

POS?

POS?

POS?

POS?



Ideal

for

anyone

with

an

interest

in

disguises





# Sentence Modeling: Sequence Representation

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★★★★★ **Masterful!**

By Antony Witheyman - January 12, 2006

Ideal for anyone with an interest in disguises who likes to see the subject tackled in a humorous manner.

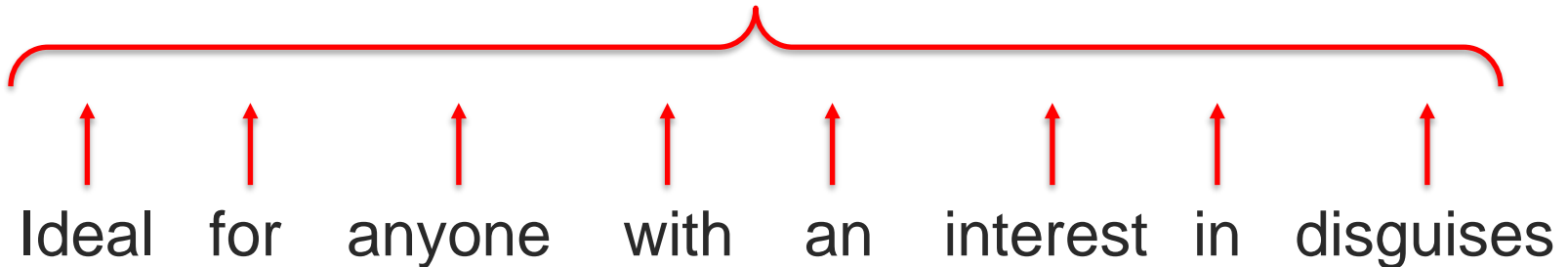
0 of 4 people found this review helpful

Learning



Sequence representation

[0,1; 0,0004; 0;.....; 0,01; 0.09; 0,05]



# Sentence Modeling: Language Model


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★★★★★ **Masterful!**

By Antony Witheyman - January 12, 2006

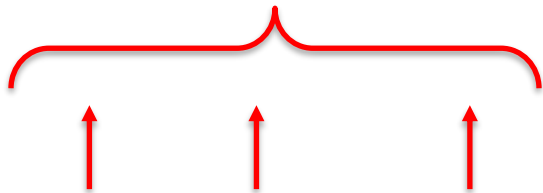
Ideal for anyone with an interest in disguises who likes to see the subject tackled in a humorous manner.

0 of 4 people found this review helpful

Prediction 

Language Model

**Next word?**



Ideal for anyone with an interest in disguises



# Language Model Application: Language Generation

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## Embedding

[0,1;  
0,0004;  
....;  
0.09;  
0,05]

Generation  
→

Ideal for anyone with an interest in  
disguises who likes to see the subject  
tackled in a humourous manner.

## Example: Image captioning



[0,1;  
0,0004;  
....;  
0.09;  
0,05]



The man at bat readies to swing at the  
pitch while the umpire looks on.

# Language Model Application: Speech Recognition

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$$\arg \max_{wordsequence} P(wordsequence | acoustics) =$$

$$\arg \max_{wordsequence} \frac{P(acoustics | wordsequence) \times P(wordsequence)}{P(acoustics)}$$

$$\arg \max_{wordsequence} P(acoustics | wordsequence) \times P(wordsequence)$$



**Language model**

# Challenges in Sequence Modeling

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★★★★★ **Masterful!**

By Antony Witheyman - January 12, 2006

Ideal for anyone with an interest in disguises who likes to see the subject tackled in a humorous manner.

0 of 4 people found this review helpful

Model →

- Part-of-speech ?  
(noun, verb,...)
- Sentiment ?  
(positive or negative)
- Language Model
- Sequence representation

## Main Challenges:

- Sequences of variable lengths (e.g., sentences)
- Keep the number of parameters at a minimum
- Take advantage of possible redundancy



# Recurrent Neural Networks

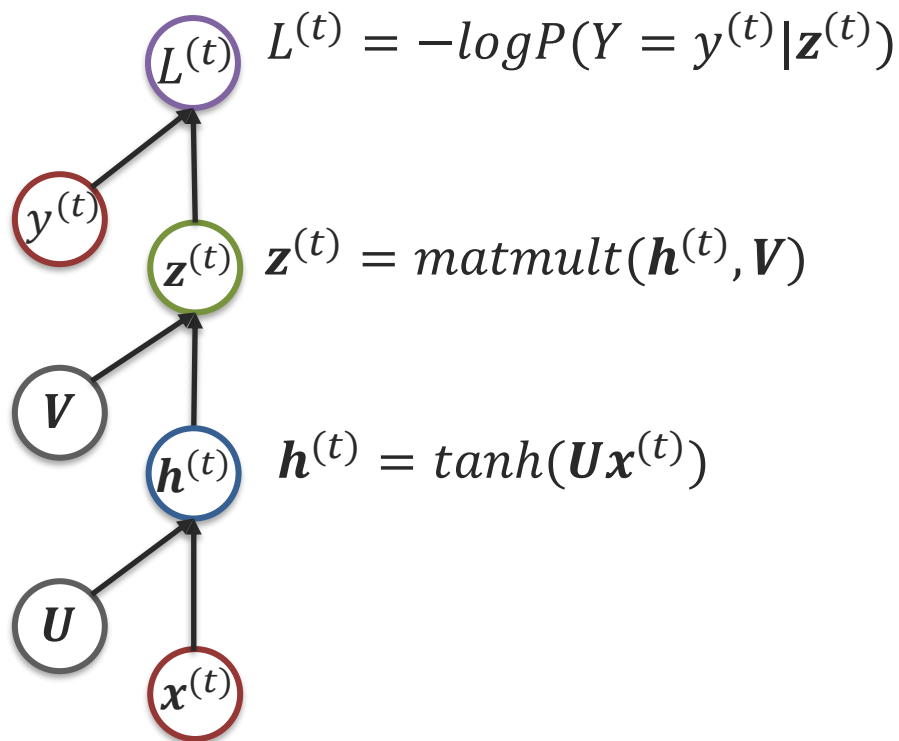
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# Recurrent Neural Network

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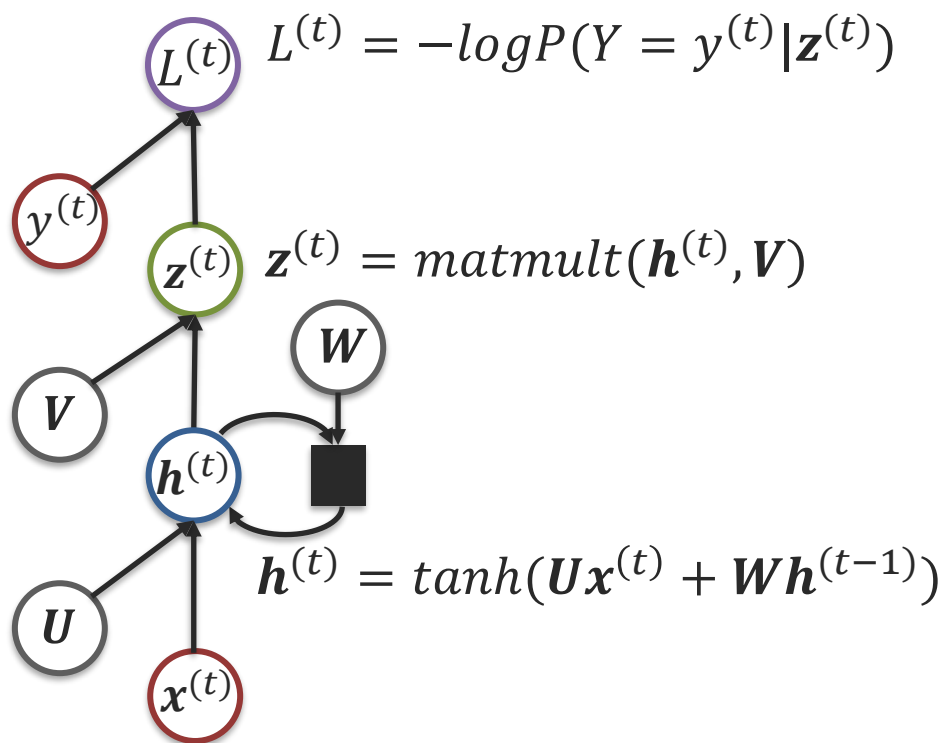
## Feedforward Neural Network



# Recurrent Neural Networks

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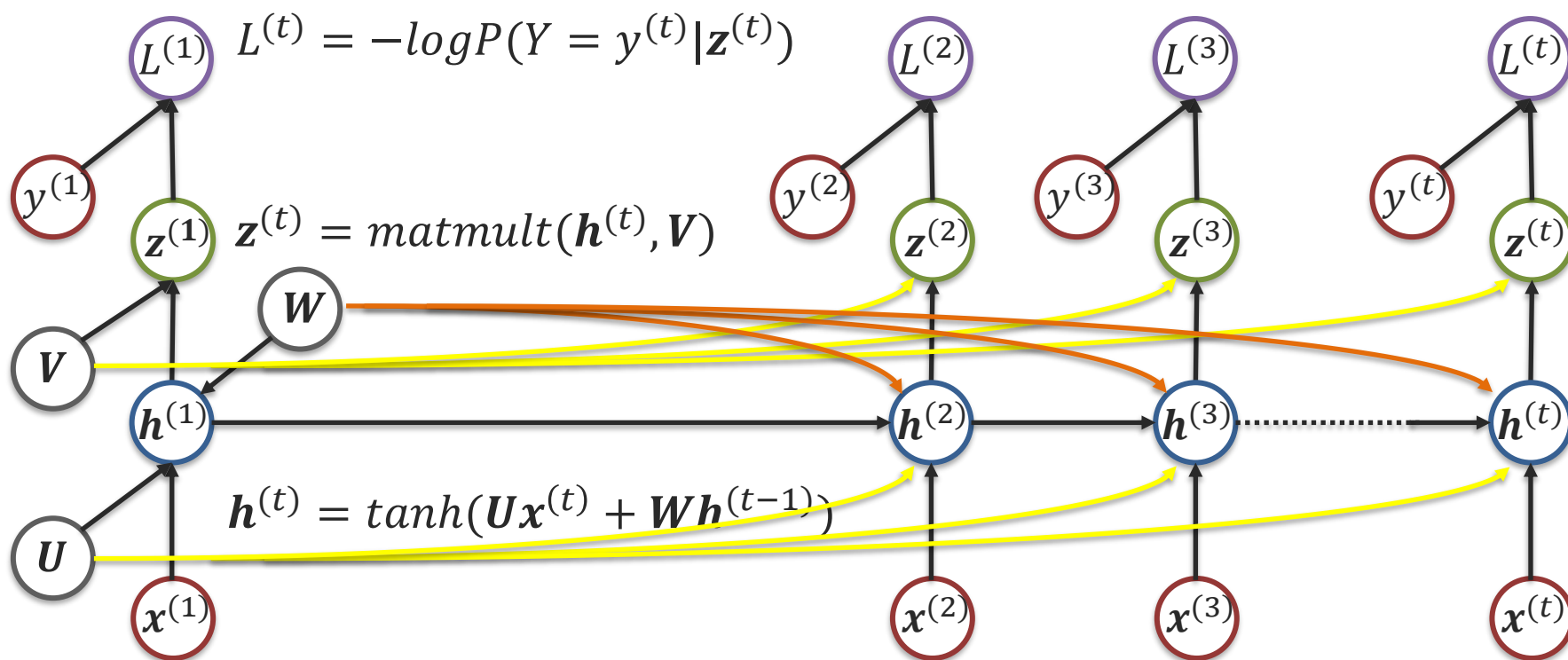
$$L = \sum_t L^{(t)}$$





# Recurrent Neural Networks - Unrolling

$$L = \sum_t L^{(t)}$$



**Same model parameters are used for all time parts.**

# Backpropagation Through Time

$$L = \sum_t L^{(t)} = - \sum_t \log P(Y = y^{(t)} | \mathbf{z}^{(t)})$$

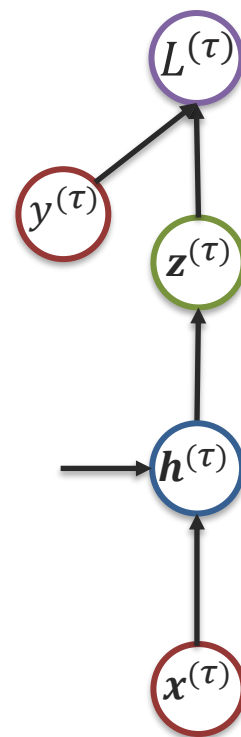
Gradient = "backprop" gradient  
x "local" Jacobian

$$L^{(\tau)} \text{ or } L^{(t)} \quad \frac{\partial L}{\partial L^{(t)}} = 1$$

$$z^{(\tau)} \text{ or } z^{(t)} \quad (\nabla_{z^{(t)}} L)_i = \frac{\partial L}{\partial z_i^{(t)}} = \frac{\partial L}{\partial L^{(t)}} \frac{\partial L^{(t)}}{\partial z_i^{(t)}} = \text{sigmoid}(z_i^t) - \mathbf{1}_{i,y^{(t)}}$$

$$h^{(\tau)} \quad \nabla_{h^{(\tau)}} L = \nabla_{z^{(\tau)}} L \frac{\partial z^{(\tau)}}{\partial h^{(\tau)}} = \nabla_{z^{(\tau)}} L V$$

$$h^{(t)} \rightarrow h^{(t+1)} \quad \nabla_{h^{(t)}} L = \nabla_{z^{(t)}} L \frac{\partial o^{(t)}}{\partial h^{(t)}} + \nabla_{z^{(t+1)}} L \frac{\partial h^{(t+1)}}{\partial h^{(t)}}$$



# Backpropagation Through Time

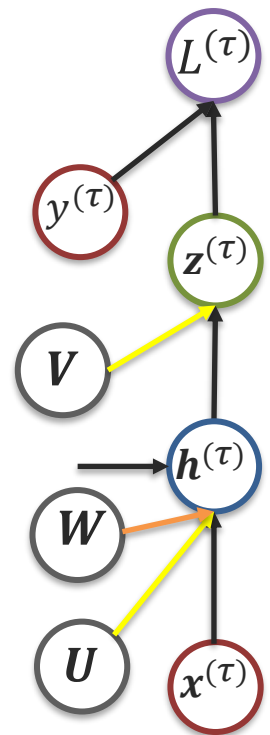
$$L = \sum_t L^{(t)} = - \sum_t \log P(Y = y^{(t)} | \mathbf{z}^{(t)})$$

Gradient = “backprop” gradient  
x “local” Jacobian

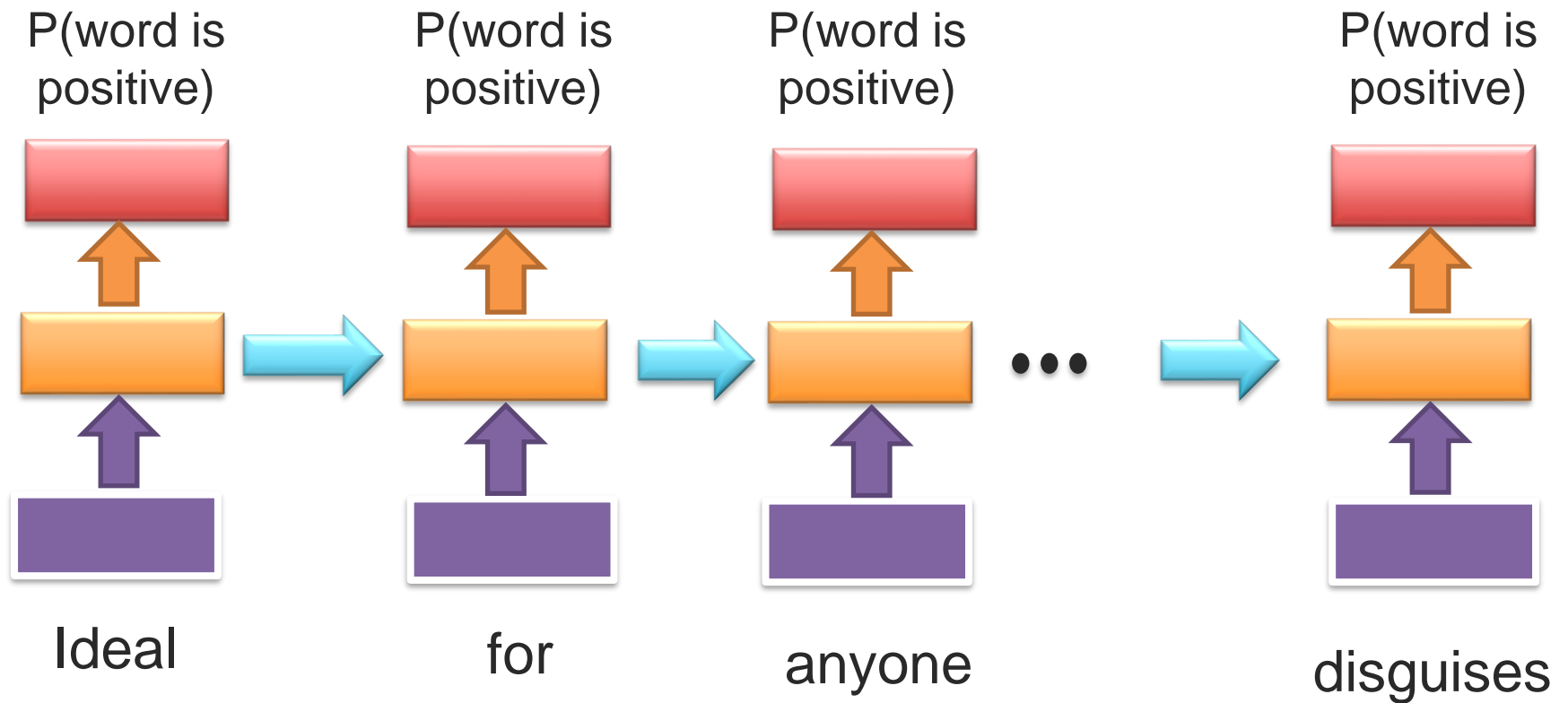
$$\textcircled{V} \quad \nabla_V L = \sum_t (\nabla_{\mathbf{z}^{(t)}} L) \frac{\partial \mathbf{z}^{(t)}}{\partial V}$$

$$\textcircled{W} \quad \nabla_W L = \sum_t (\nabla_{\mathbf{h}^{(t)}} L) \frac{\partial \mathbf{h}^{(t)}}{\partial W}$$

$$\textcircled{U} \quad \nabla_U L = \sum_t (\nabla_{\mathbf{h}^{(t)}} L) \frac{\partial \mathbf{h}^{(t)}}{\partial U}$$

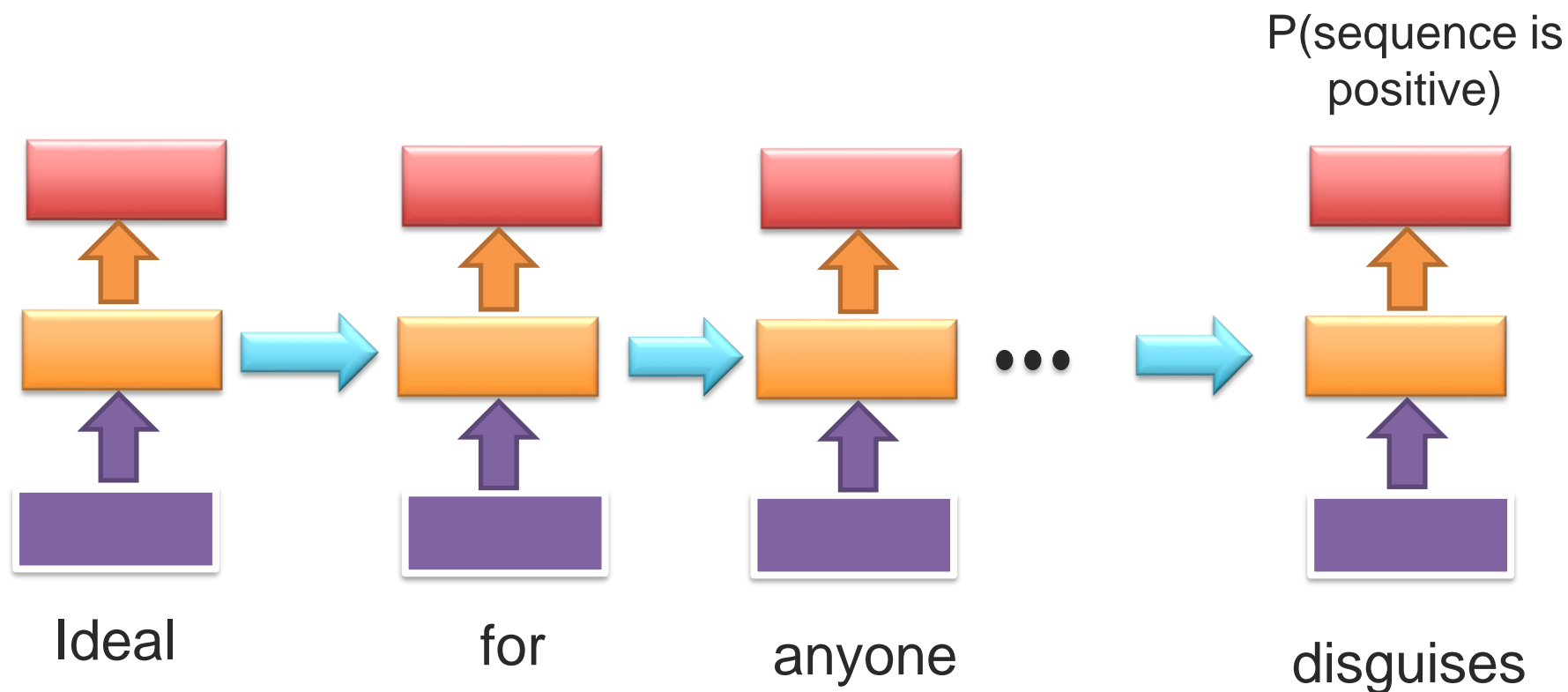


# RNN for Sequence Prediction



What is the loss? 
$$L = \frac{1}{N} \sum_t L^{(t)} = \frac{1}{N} \sum_t -\log P(Y = y^{(t)} | z^{(t)})$$

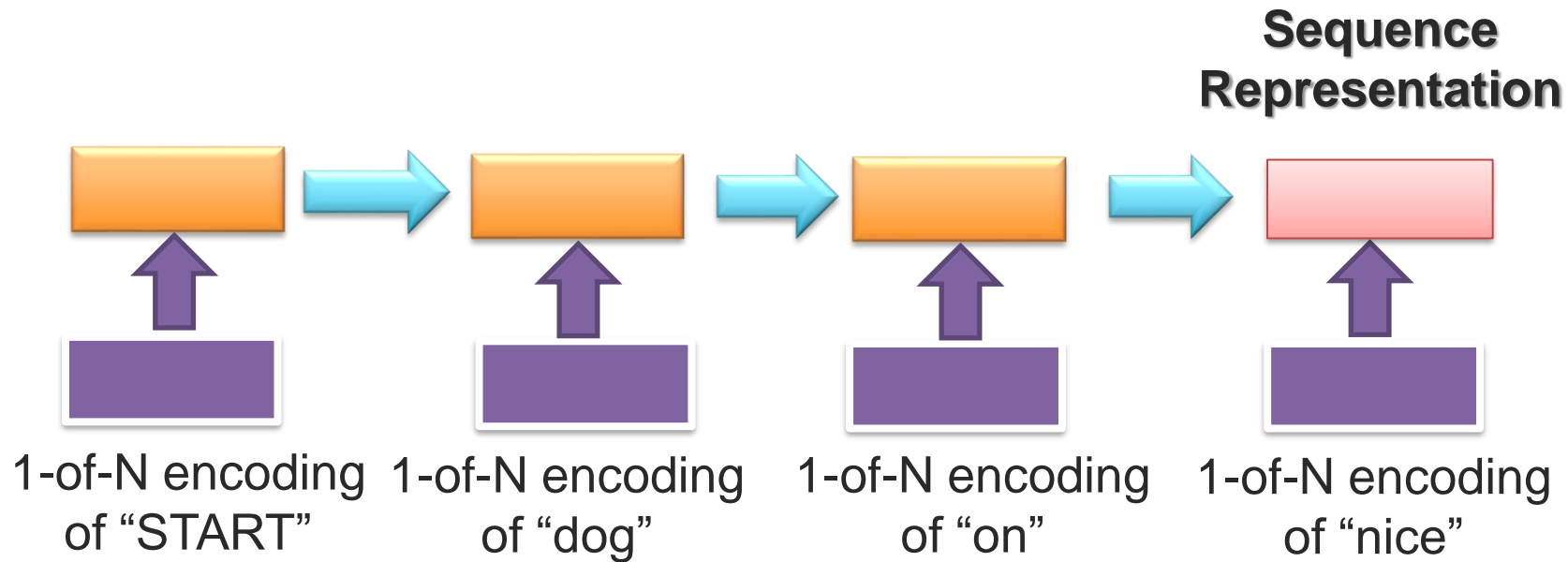
# RNN for Sequence Prediction



What is the loss?  $L = L^{(N)} = -\log P(Y = y^{(N)} | z^{(N)})$

# RNN for Sequence Representation (Encoder)

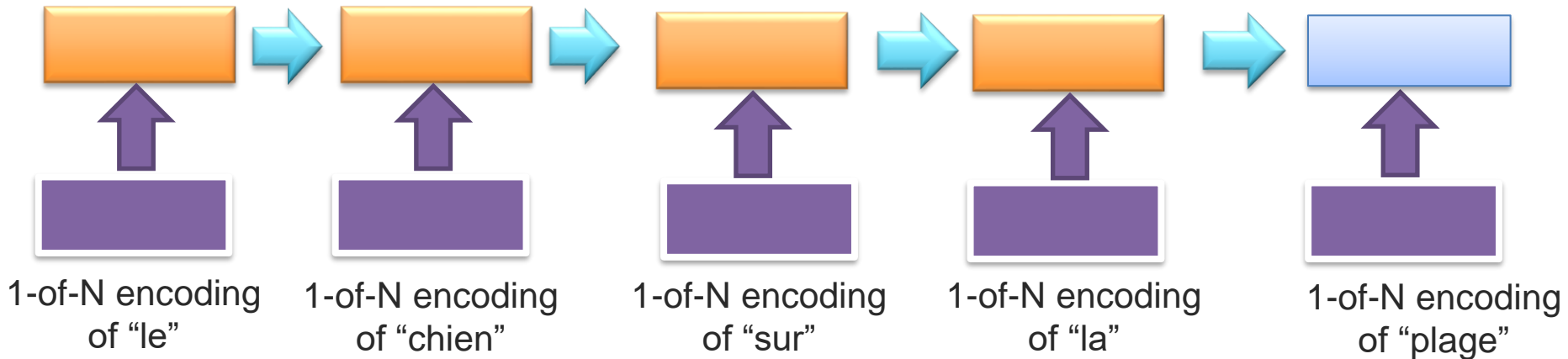
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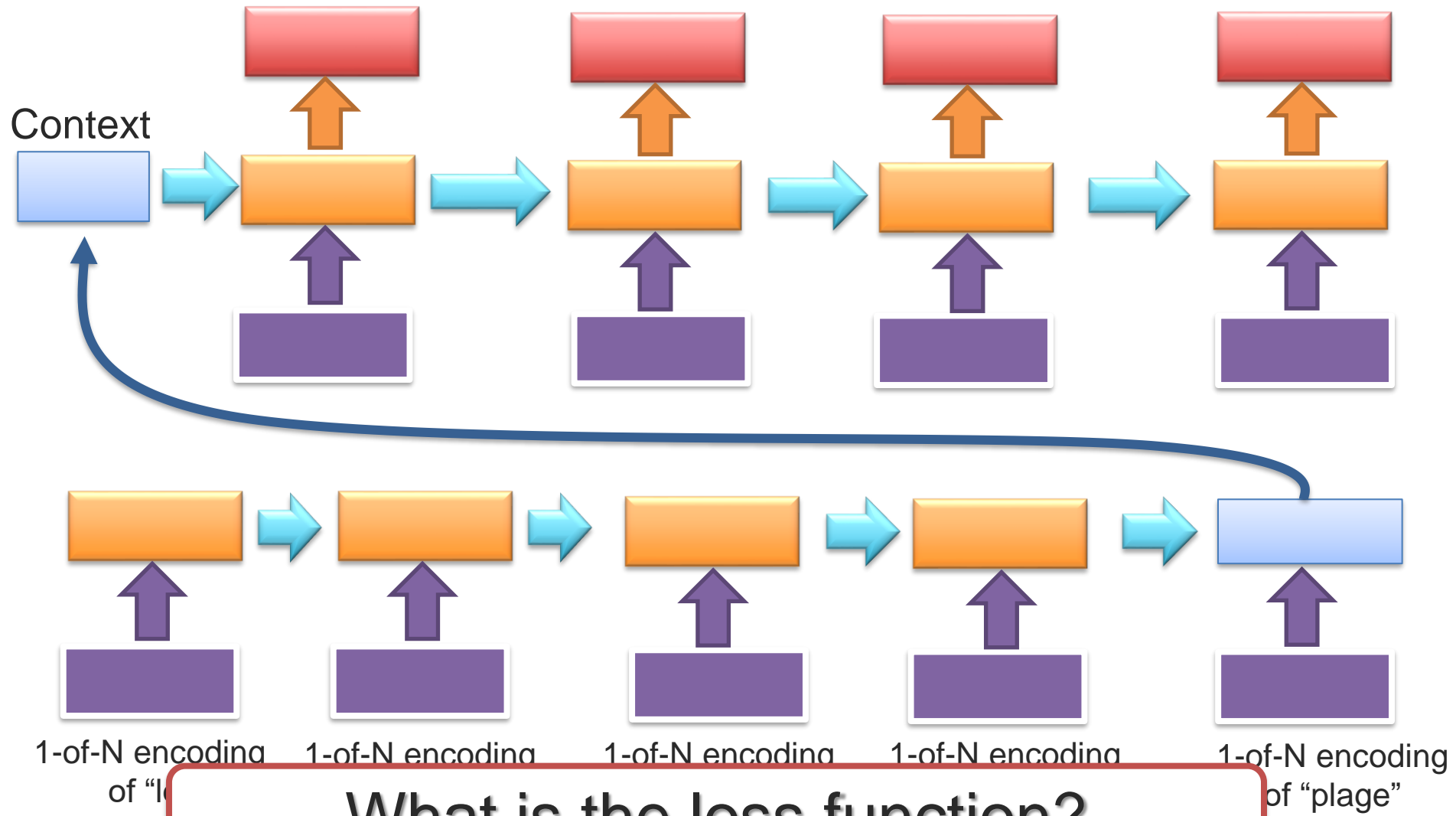
# RNN-based for Machine Translation

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Le chien sur la plage → The dog on the beach



# Encoder-Decoder Architecture



What is the loss function?

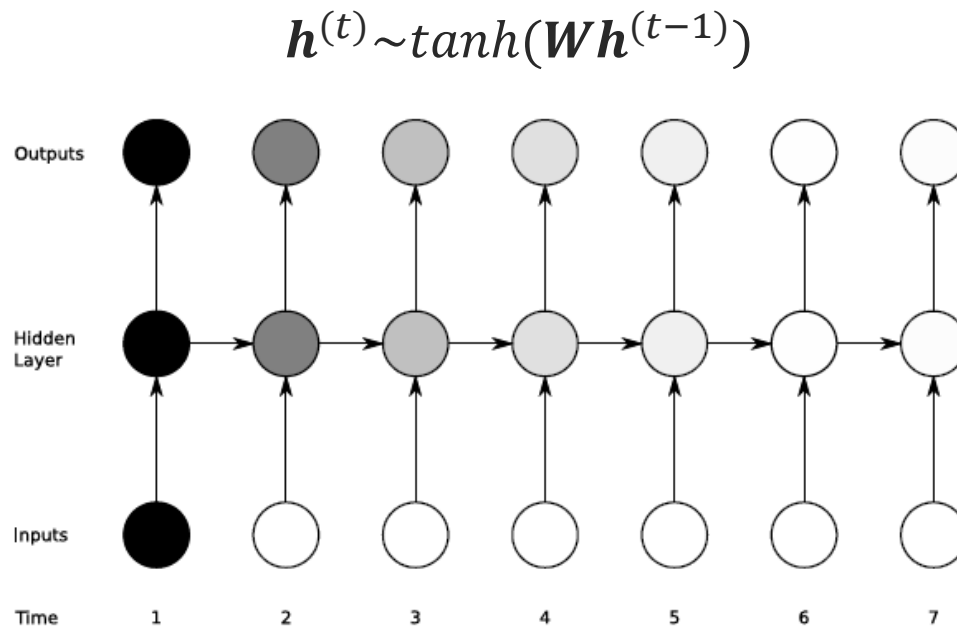


# Gated Recurrent Neural Networks



# Long-term Dependencies

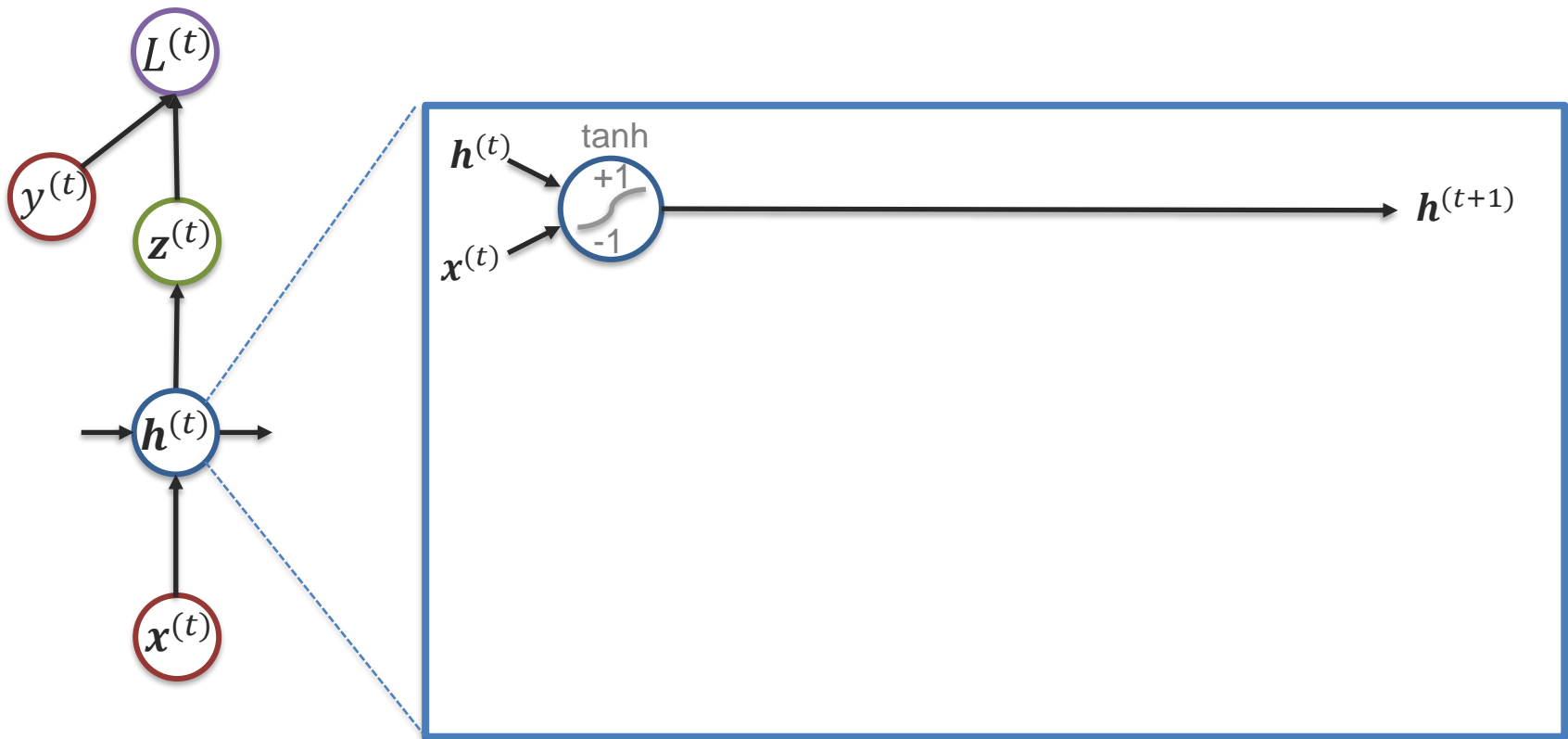
Vanishing gradient problem for RNNs:



- The influence of a given input on the hidden layer, and therefore on the network output, either decays or blows up exponentially as it cycles around the network's recurrent connections.

# Recurrent Neural Networks

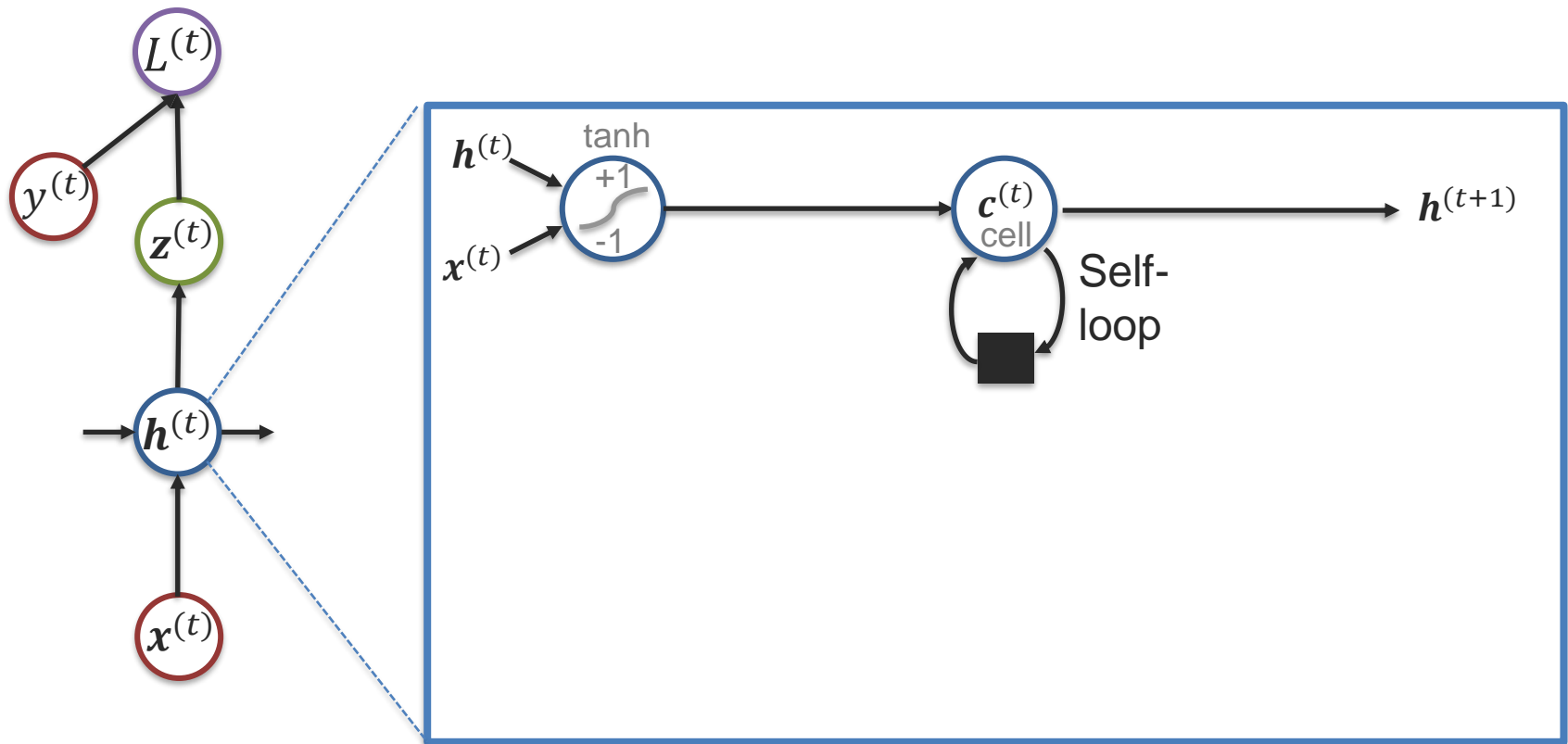
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# LSTM ideas: (1) “Memory” Cell and Self Loop

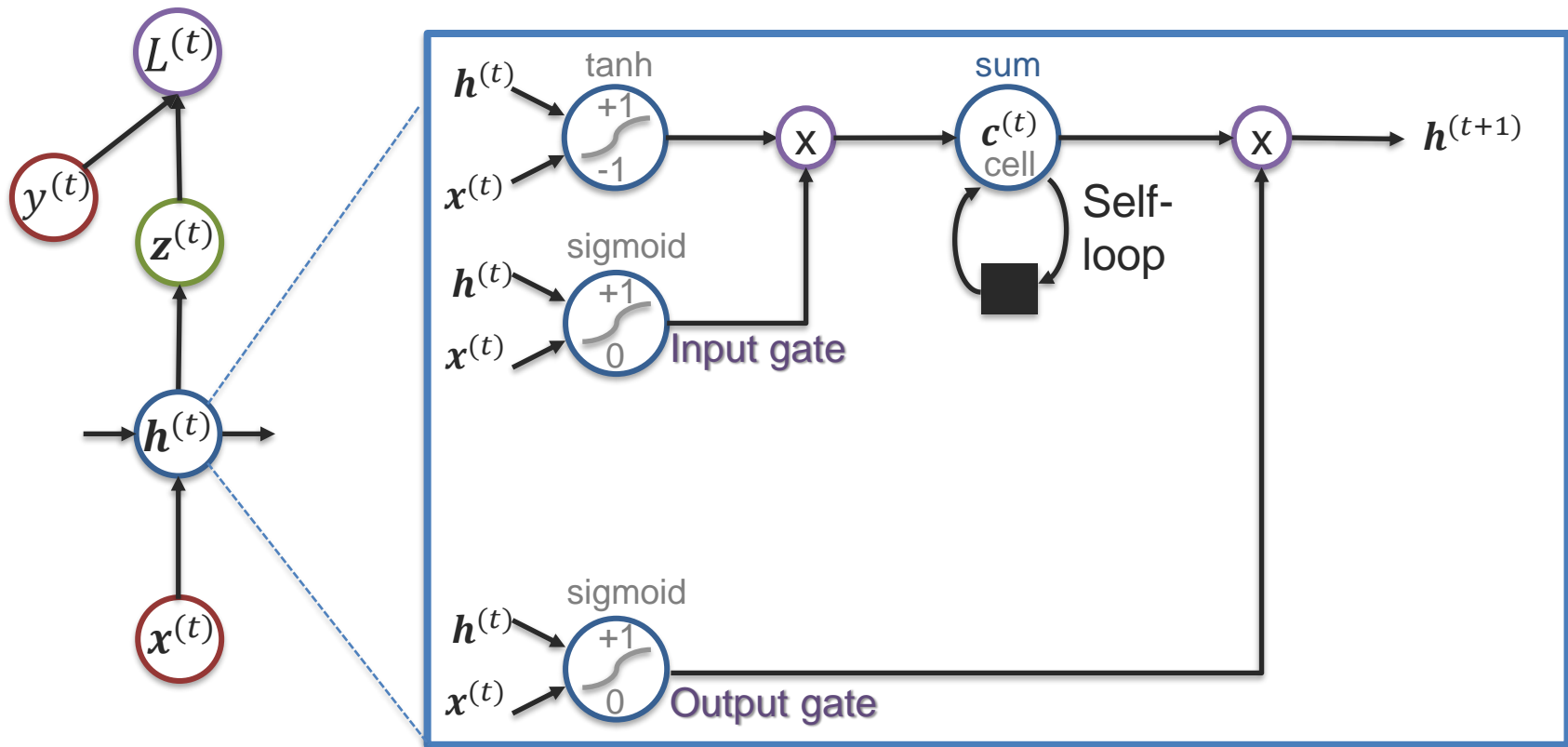
[Hochreiter and Schmidhuber, 1997]

## Long Short-Term Memory (LSTM)



# LSTM Ideas: (2) Input and Output Gates

[Hochreiter and Schmidhuber, 1997]

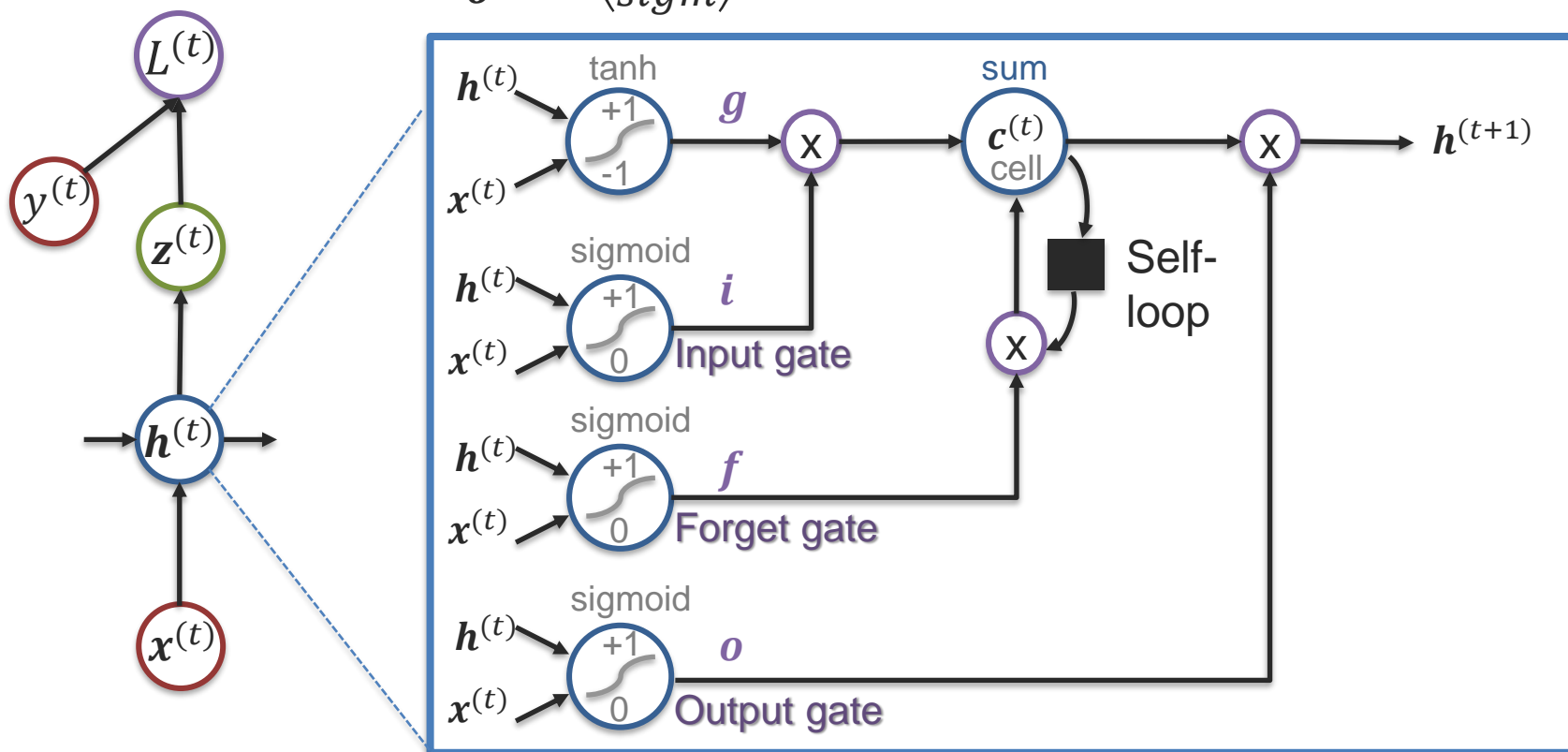


# LSTM Ideas: (3) Forget Gate [Gers et al., 2000]

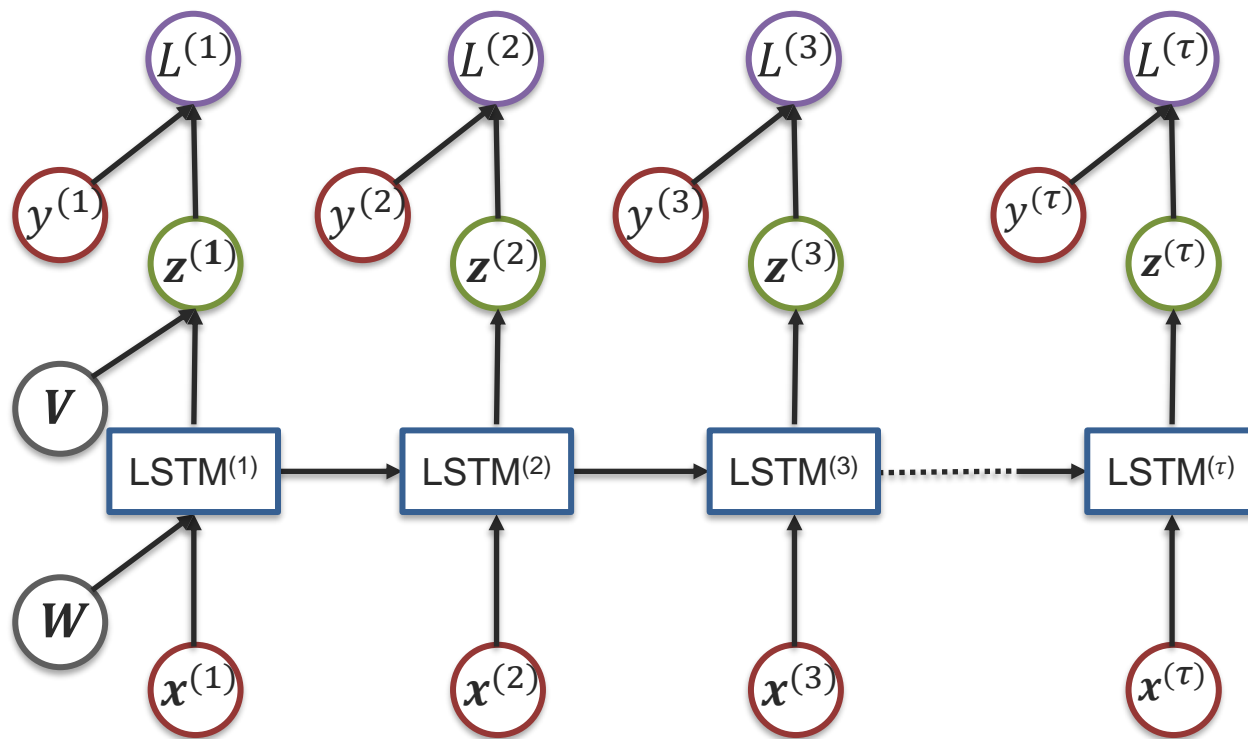
$$\begin{pmatrix} g \\ i \\ f \\ o \end{pmatrix} = \begin{pmatrix} \tanh \\ \text{sigm} \\ \text{sigm} \\ \text{sigm} \end{pmatrix} W \begin{pmatrix} h^{(t)} \\ x^{(t)} \end{pmatrix}$$

$$c^{(t)} = f \odot c^{(t-1)} + i \odot g$$

$$h^{(t)} = o \odot \tanh(c^{(t)})$$

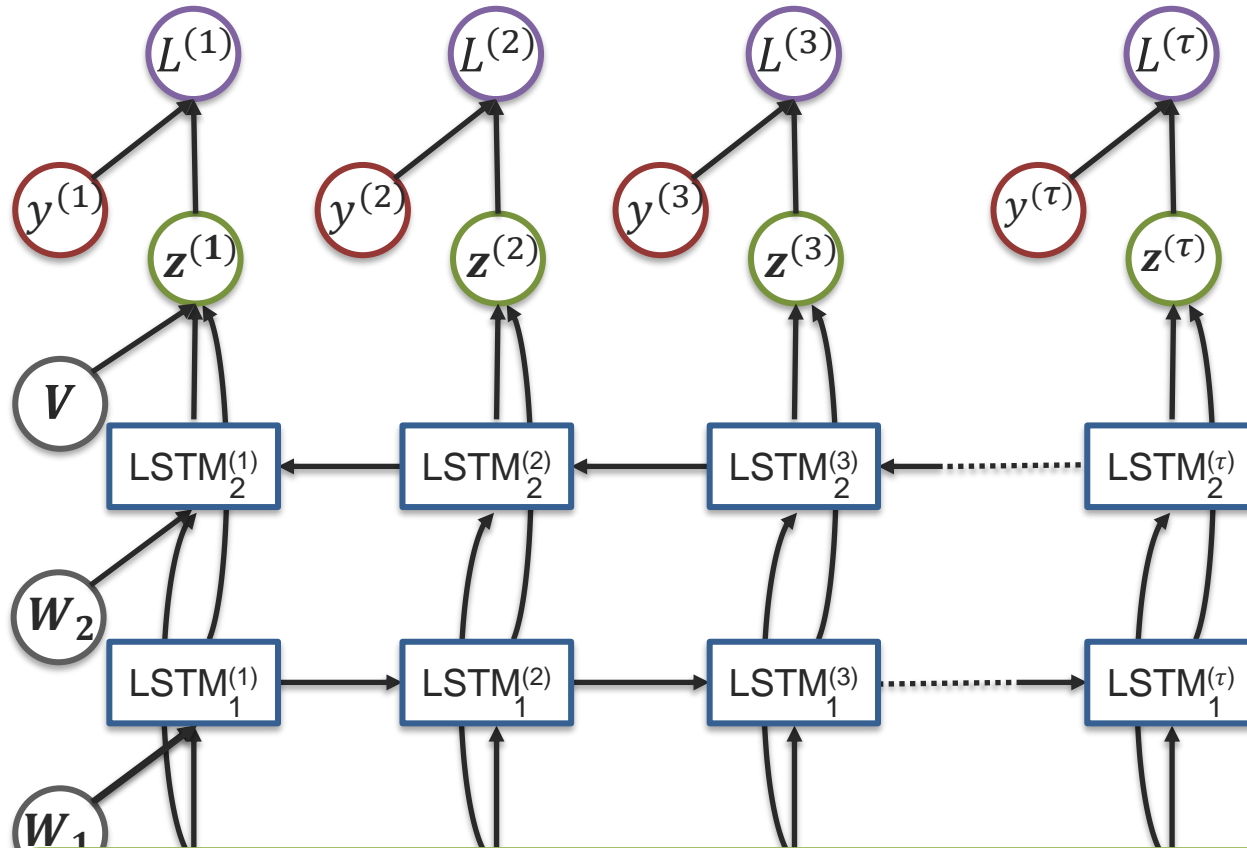


# Recurrent Neural Network using LSTM Units



Gradient can still be computed using backpropagation!

# Bi-directional LSTM Network



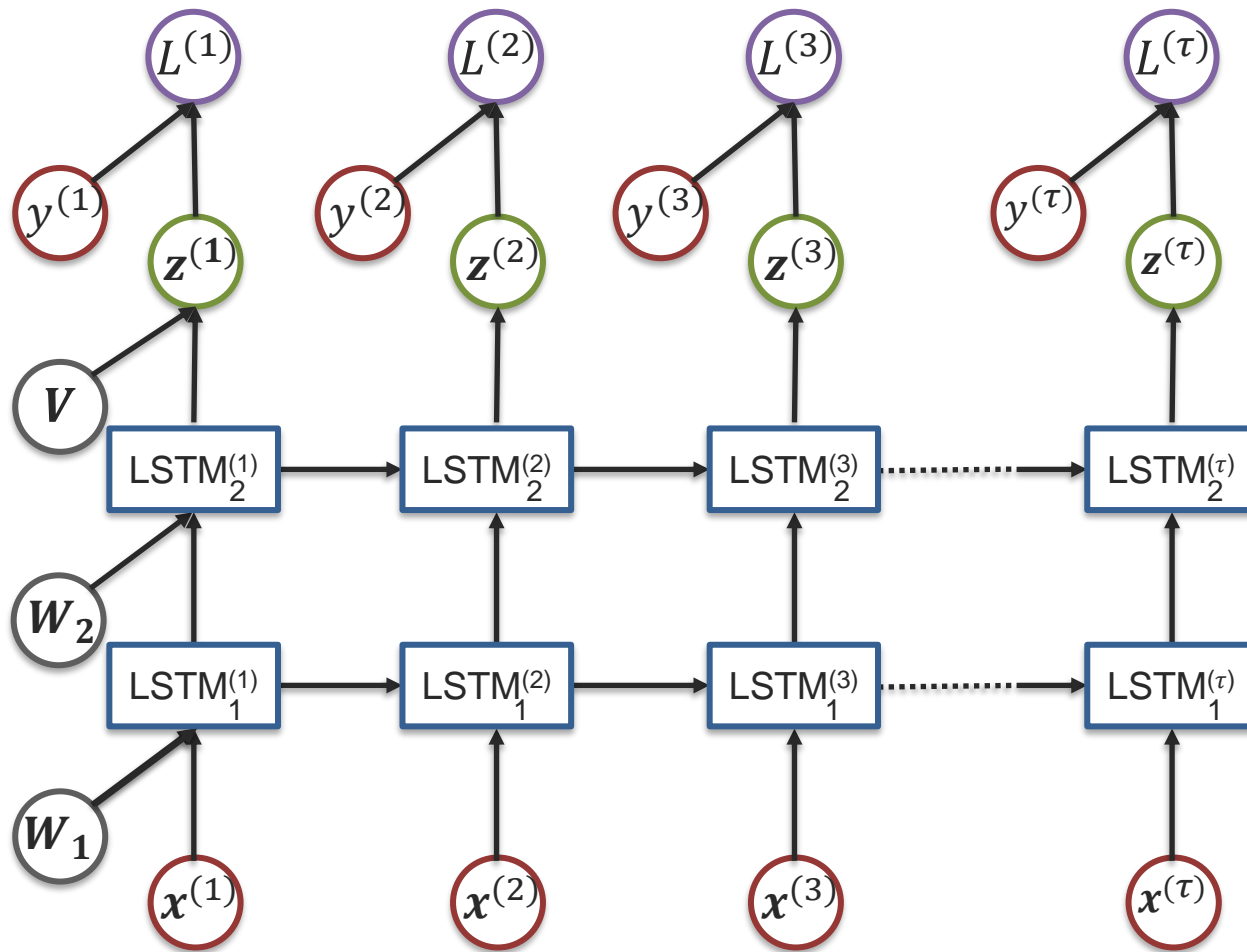
ELMO: Two bi-directional LSTMs are used to contextualize the word embeddings

<https://allennlp.org/elmo>





# Deep LSTM Network

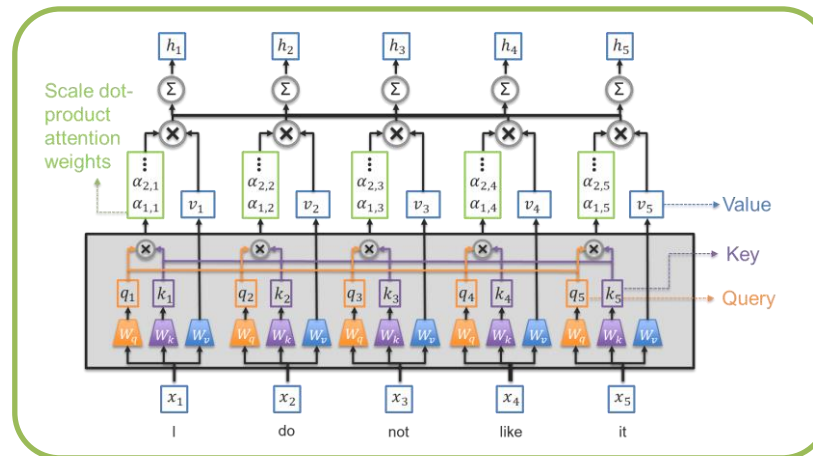


# And There Are More Ways To Model Sequences...

**COMING SOON**

... in Week 5!

## Self-attention Models (e.g., BERT, RoBERTa)



# Syntax and Language Structure

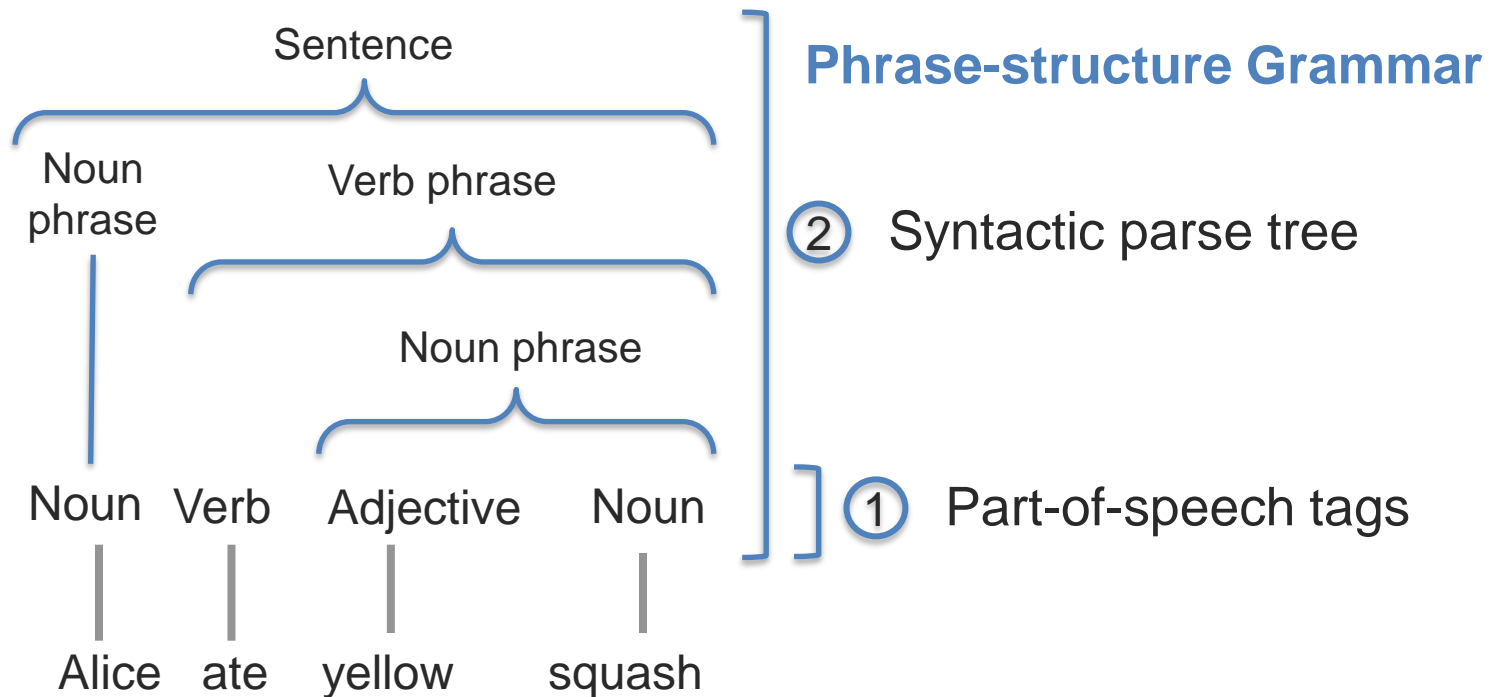
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# Syntax and Language Structure

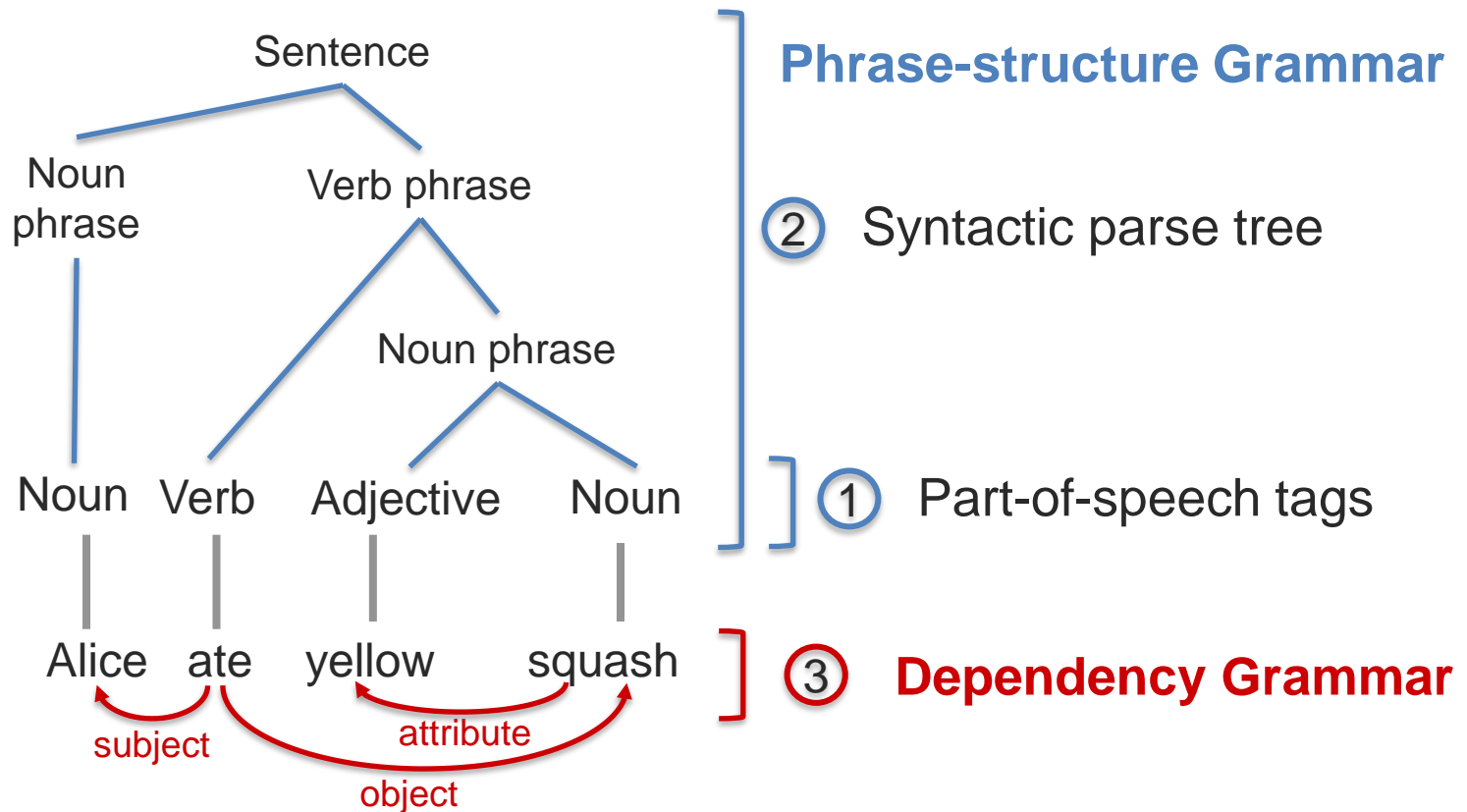
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What can you tell about this sentence?



# Syntax and Language Structure

What can you tell about this sentence?

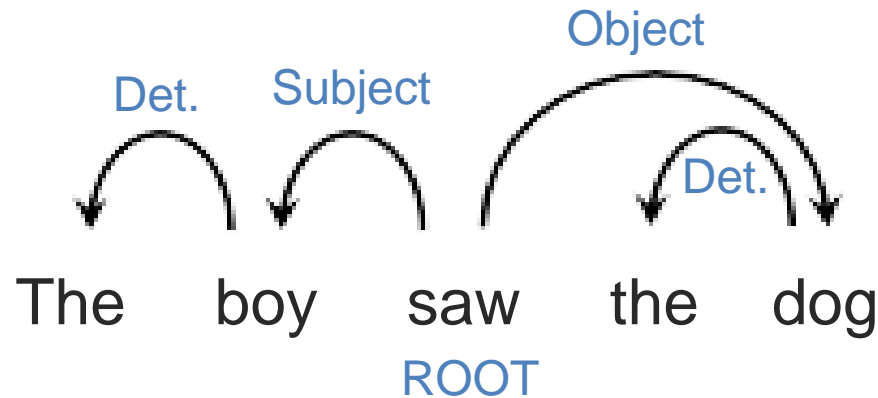


# Dependency Grammar

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**Main idea:** Syntactic structure consists of *lexical items*, linked by binary asymmetric relations called *dependencies*

- Easier to convert to predicate-argument structure
- You can try to convert one representation into another
  - ❑ But, in general, these formalisms are not equivalent



# Ambiguity in Syntactic Parsing

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**“Like” can be a verb or a preposition**

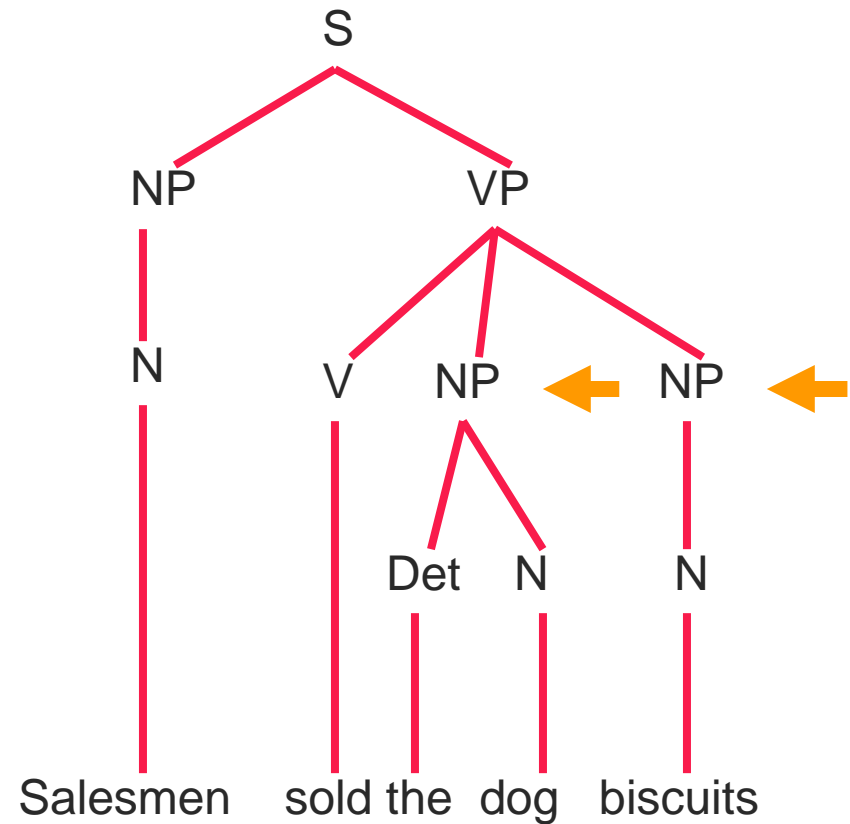
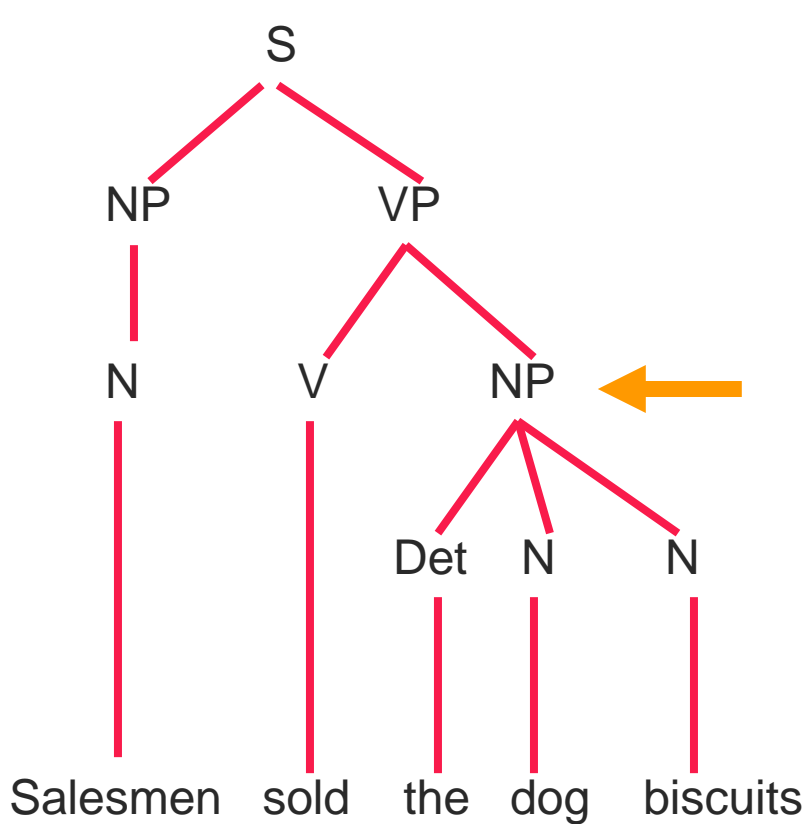
- I like/VBP candy.
- Time flies like/IN an arrow.

**“Around” can be a preposition, particle, or adverb**

- I bought it at the shop around/IN the corner.
- I never got around/RP to getting a car.
- A new Prius costs around/RB \$25K.

# Language Ambiguity

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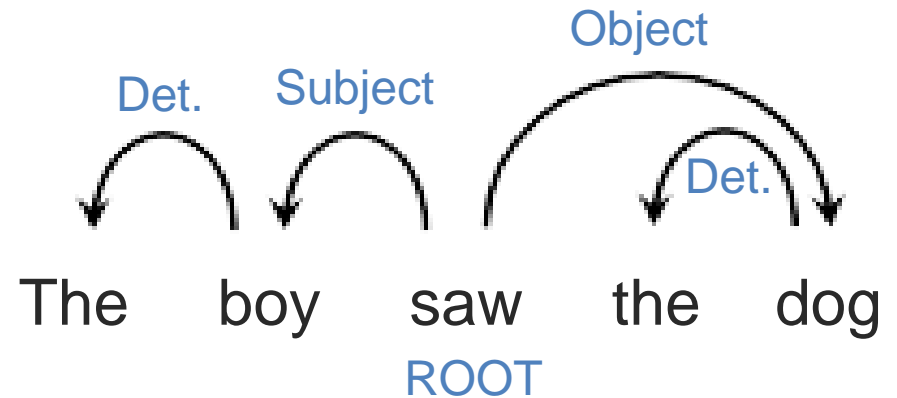
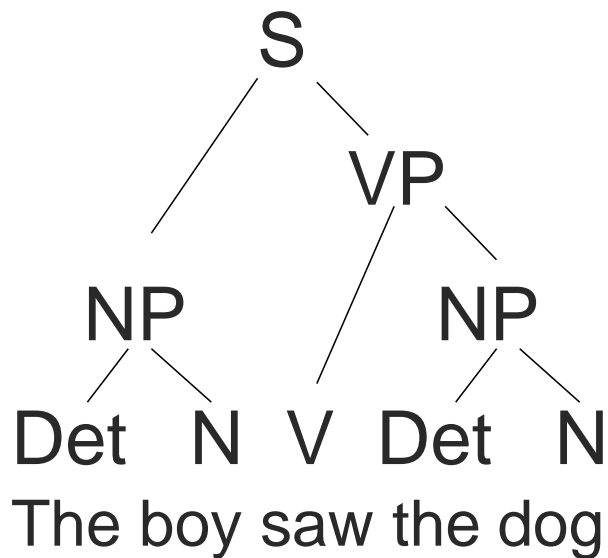




# Language Syntax – Examples

Det Noun Verb Det Noun Prep Det Noun  
The boy saw the dog in the park

## Part of Speech tagging



## Constituency Parsing

## Dependency Parsing

How to take advantage of syntax when modeling language with neural networks?

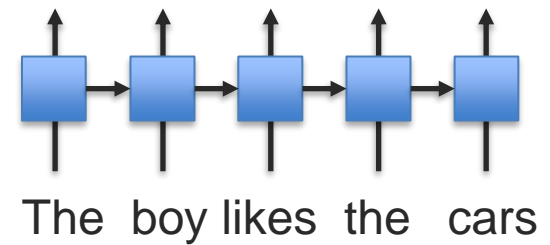
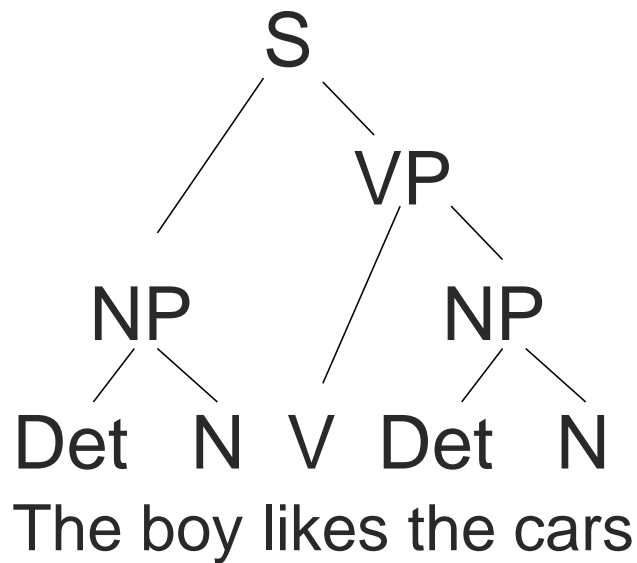


# Recursive Neural Network



# How to Model Syntax with RNNs?

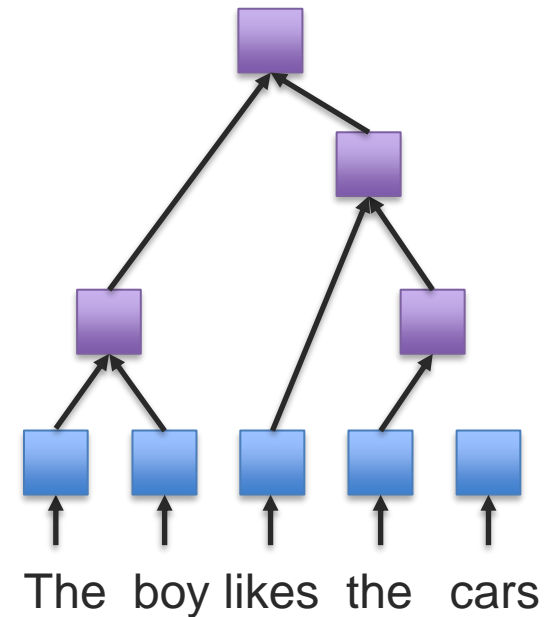
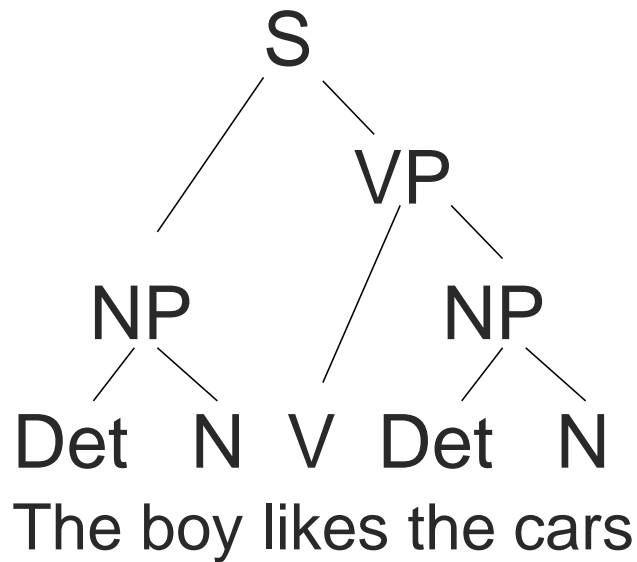
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We could use Part-of-Speech tags.

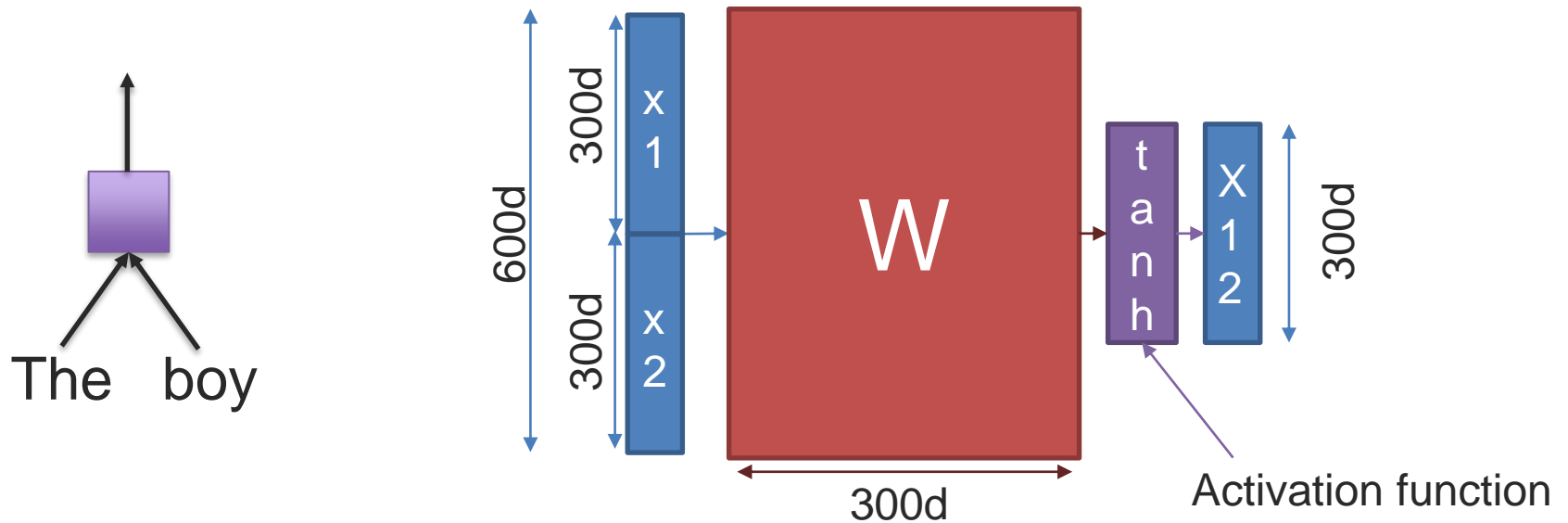
# Tree-based RNNs (or Recursive Neural Network)

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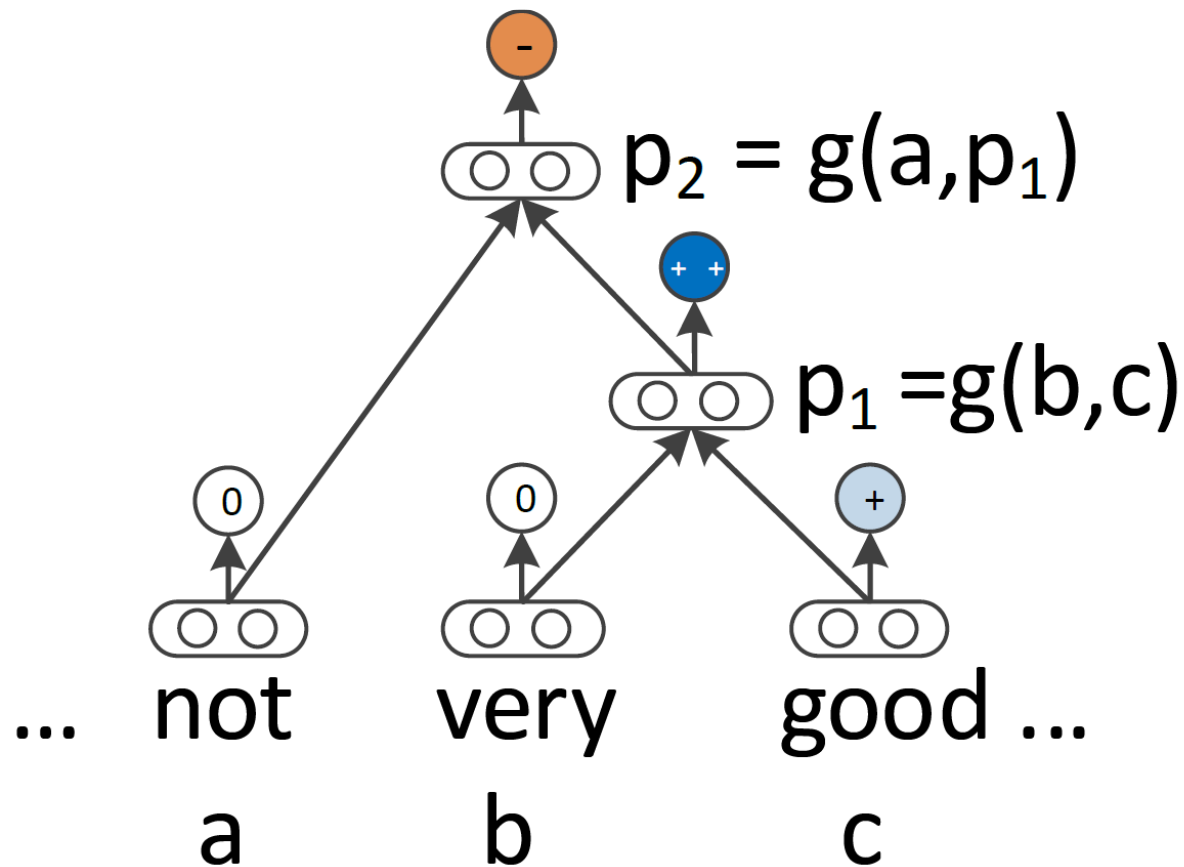


# Recursive Neural Unit

➔ Pair-wise combination of two input features



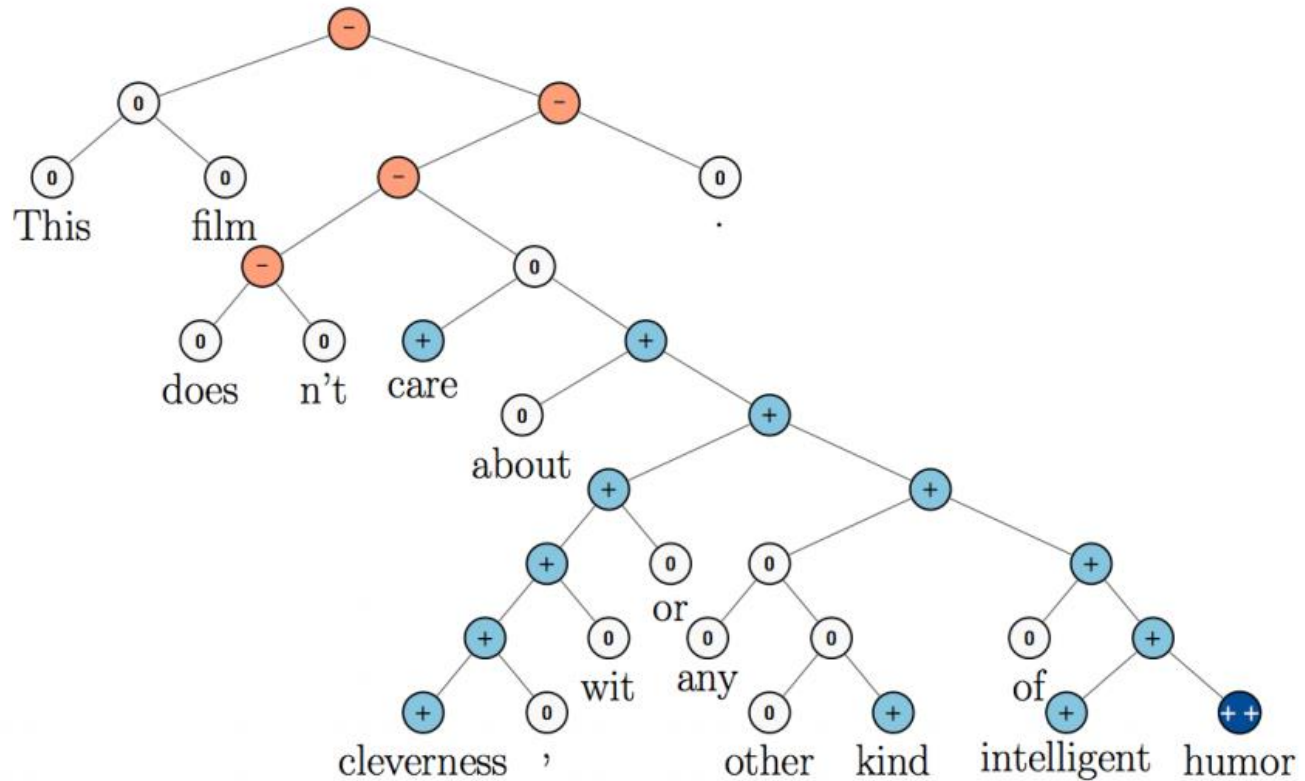
# Recursive Neural Network for Sentiment Analysis



Socher et al., Recursive Deep Models for Semantic Compositionality Over a Sentiment Treebank, EMNLP 2013

# Recursive Neural Network for Sentiment Analysis

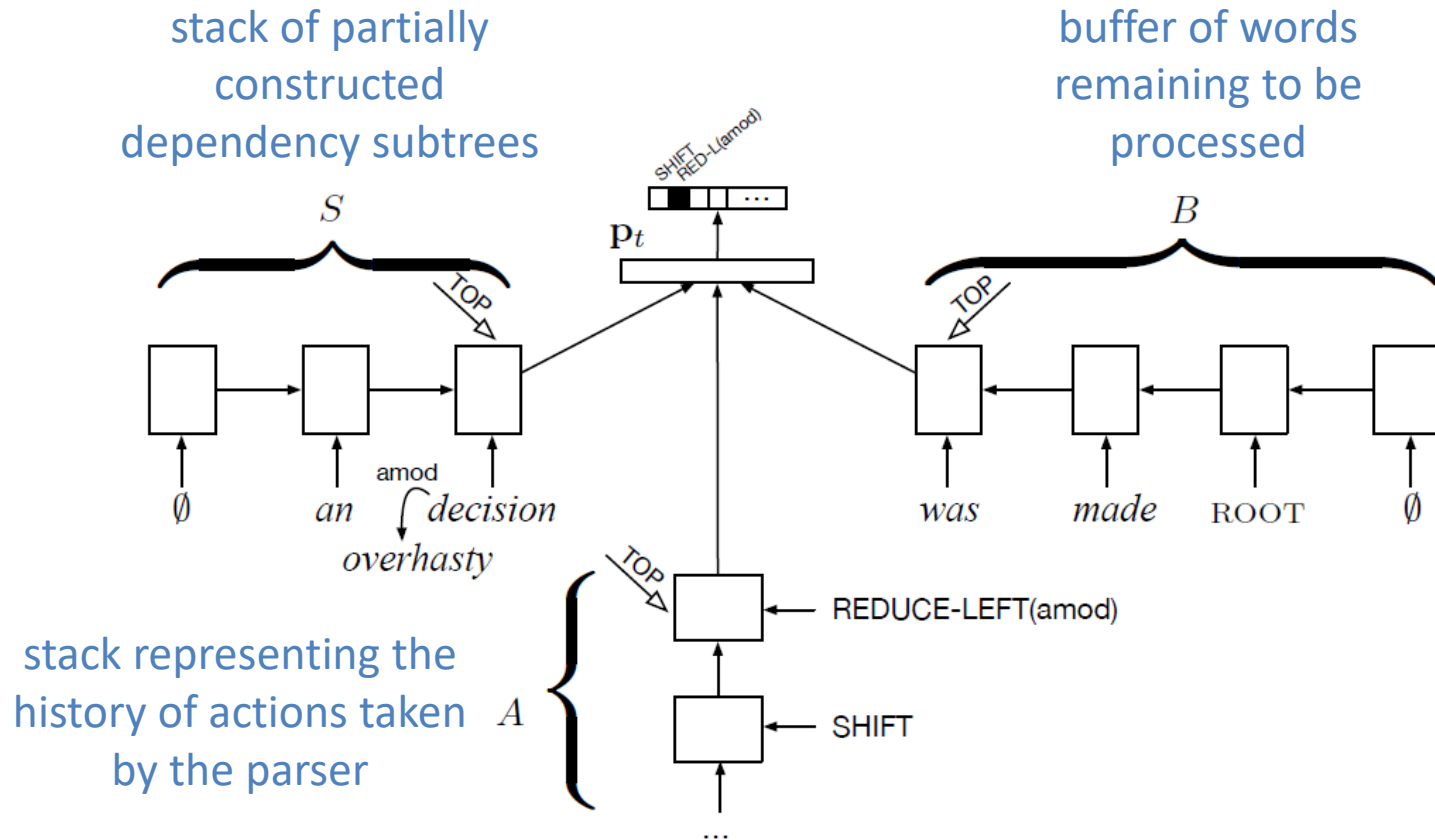
Classification of a sentence using tree-based compositionality of words



Demo: <http://nlp.stanford.edu/sentiment/>

Socher et al., Recursive Deep Models for Semantic Compositionality Over a Sentiment Treebank, EMNLP 2013

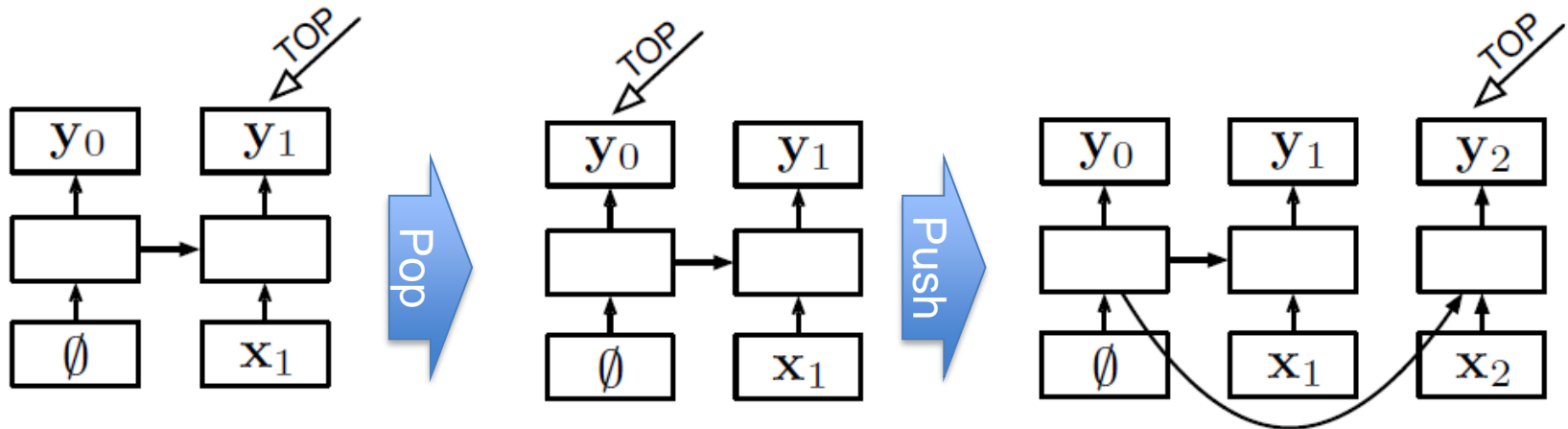
# Stack LSTM



Dyer et al., Transition-Based Dependency Parsing with Stack Long Short-Term Memory, 2015



# Stack LSTM



Dyer et al., Transition-Based Dependency Parsing with Stack Long Short-Term Memory, 2015

## Resources

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- Stanford NLP software

<https://nlp.stanford.edu/software/>

- Stanford Parser
- Stanford POS Tagger

- UC Berkeley Parser

<https://github.com/slavpetrov/berkeleyparser>

- Parsers by Kenji Sagae (syntactic parsers)

<http://www.sagae.org/software.html>