



Language  
Technologies  
Institute

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# Multimodal Machine Learning

## Lecture 3.2: Language Representations and RNNs

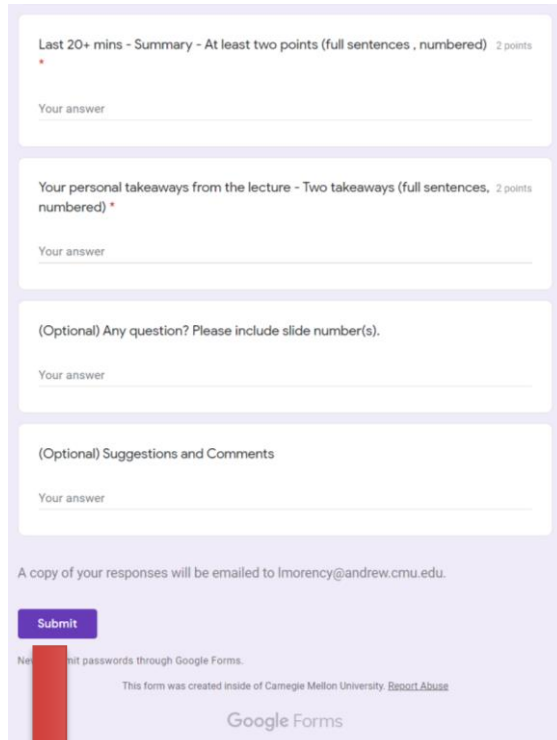
Louis-Philippe Morency

*\* Original course co-developed with Tadas Baltrusaitis.  
Spring 2021 edition taught by Yonatan Bisk*

# Administrative Stuff

# Lecture Highlights - Reminder

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The screenshot shows a Google Form with four text input fields. The first field is labeled 'Last 20+ mins - Summary - At least two points (full sentences , numbered) 2 points \*'. The second field is labeled 'Your personal takeaways from the lecture - Two takeaways (full sentences, 2 points numbered) \*'. The third field is labeled '(Optional) Any question? Please include slide number(s)'. The fourth field is labeled '(Optional) Suggestions and Comments'. Below the fields, there is a note: 'A copy of your responses will be emailed to lmorency@andrew.cmu.edu.' and a purple 'Submit' button. At the bottom, there is a small text: 'Never submit passwords through Google Forms. This form was created inside of Carnegie Mellon University. Report Abuse' and the 'Google Forms' logo.



**IMPORTANT:** Be sure you received an email after your submission (or revisit the form and your answers should be there).


## Reading Assignments – Reminder

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Week 3 reading assignment was posted

1. **Friday 8pm:** Post your summary
2. **Monday 8pm:** End of the reading assignment

**Be sure to post your discussion comments before Monday 8pm!**

 Start the discussion early 😊

 Late submissions will be penalized

# GPUs

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- ➔ 50\$ coupons available for each student
- ➔ Pre-registration is required first

More details soon on Piazza ...



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# Multimodal Machine Learning

## Lecture 3.2: Language Representations and RNNs

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# Lecture Objectives

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- Word representations
  - Distributional hypothesis
  - Learning neural representations
- Sentence representations and sequence modeling
  - Recurrent neural networks
  - Gated recurrent neural networks
  - Backpropagation through time
- Syntax and language structure
  - Phrase-structure and dependency grammars
  - Recursive neural network
    - Tree-based RNN, Stack LSTM

# Word Representations

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# What is the meaning of “bardiwac”?

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- He handed her her glass of **bardiwac**.
  - Beef dishes are made to complement the **bardiwacs**.
  - Nigel staggered to his feet, face flushed from too much **bardiwac**.
  - Malbec, one of the lesser-known **bardiwac** grapes, responds well to Australia’s sunshine.
  - I dined off bread and cheese and this excellent **bardiwac**.
  - The drinks were delicious: blood-red **bardiwac** as well as light, sweet Rhenish.
- ⇒ **bardiwac** is a heavy red alcoholic beverage made from grapes

# How to learn (word) features/representations?

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➡ **Distribution hypothesis:** Approximate the word meaning by its surrounding words

➡ Words used in a similar context will lie close together



➡ **Instead of capturing co-occurrence counts directly, predict surrounding words of every word**

$$\frac{1}{T} \sum_{t=1}^T \sum_{-c \leq j \leq c, j \neq 0} \log p(w_{t+j} | w_t)$$

# Geometric interpretation

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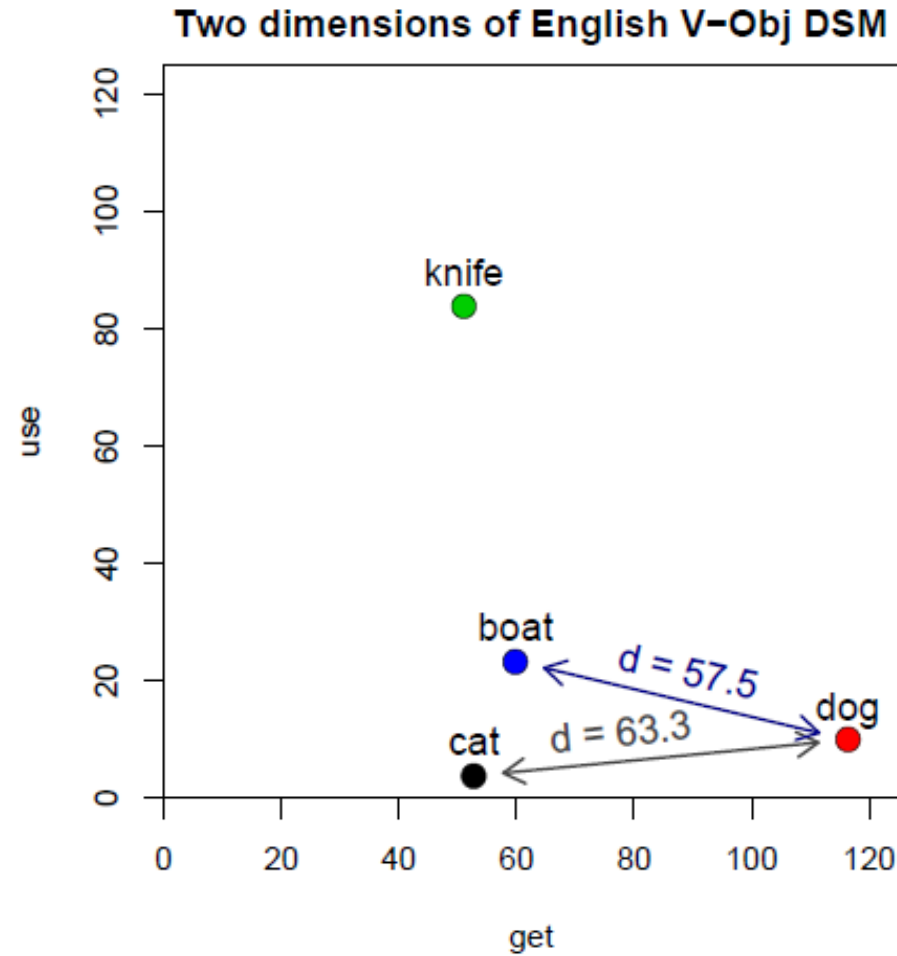
- row vector  $\mathbf{x}_{\text{dog}}$  describes usage of word *dog* in the corpus
- can be seen as coordinates of point in  $n$ -dimensional Euclidean space  $\mathbb{R}^n$

	get	see	use	hear	eat	kill
knife	51	20	84	0	3	0
cat	52	58	4	4	6	26
dog	115	83	10	42	33	17
boat	59	39	23	4	0	0
cup	98	14	6	2	1	0
pig	12	17	3	2	9	27
banana	11	2	2	0	18	0

co-occurrence matrix  $M$

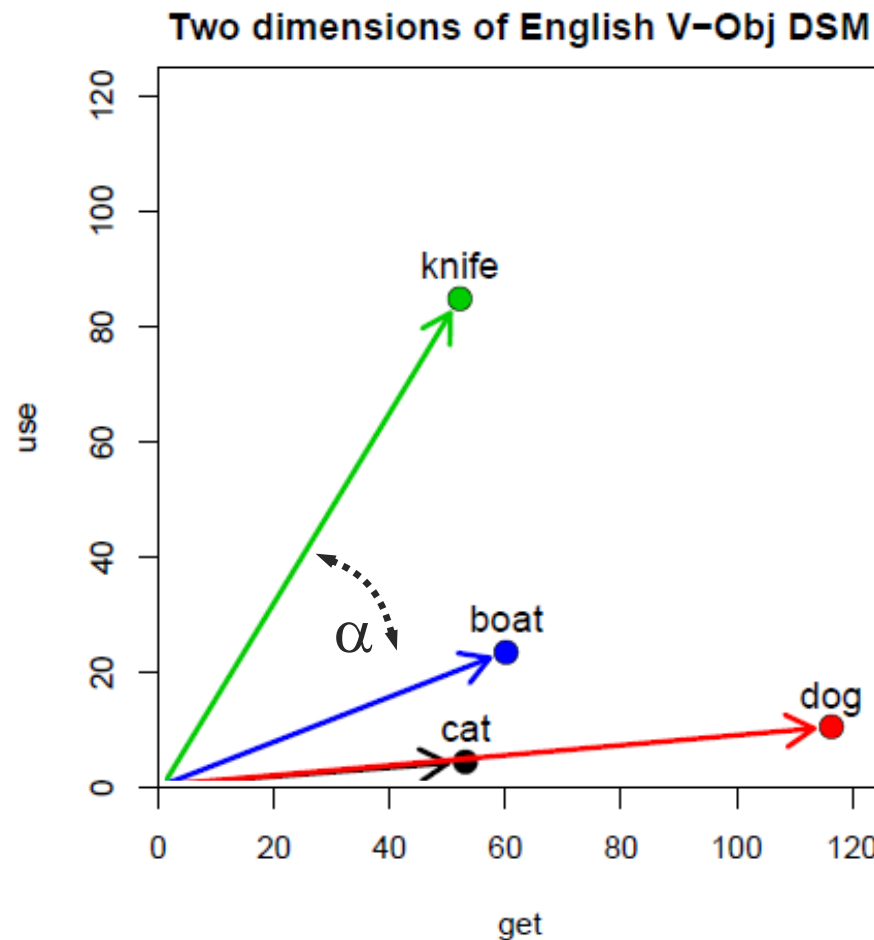
# Distance and similarity

- illustrated for two dimensions: *get* and *use*:  $\mathbf{x}_{\text{dog}} = (115, 10)$
- similarity = spatial proximity (Euclidean distance)
- location depends on frequency of noun ( $f_{\text{dog}} \approx 2.7 \cdot f_{\text{cat}}$ )

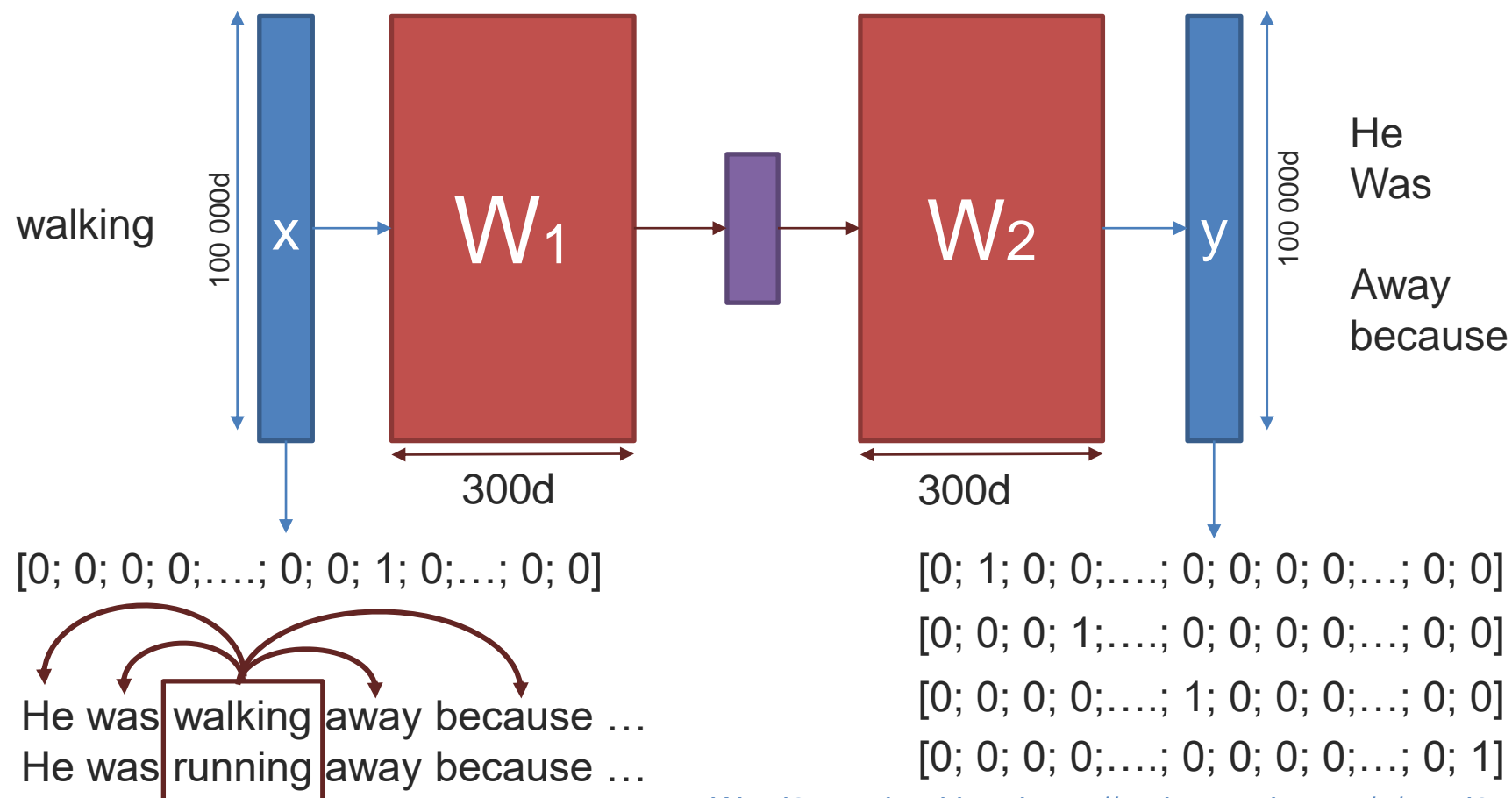


# Angle and similarity

- direction more important than location
- normalise “length”  
 $\|\mathbf{x}_{\text{dog}}\|$  of vector
- or use angle  $\alpha$  as distance measure



# How to learn (word) features/representations?



Word2vec algorithm: <https://code.google.com/p/word2vec/>

# How to use these word representations

If we would have a vocabulary of 100 000 words:

Classic NLP:  $\xleftarrow{100\ 000\ \text{dimensional vector}}$

Walking:  $[0; 0; 0; 0; \dots; 0; 0; 1; 0; \dots; 0; 0]$

Running:  $[0; 0; 0; 0; \dots; 0; 0; 0; 0; \dots; 1; 0]$

➡ Similarity = 0.0



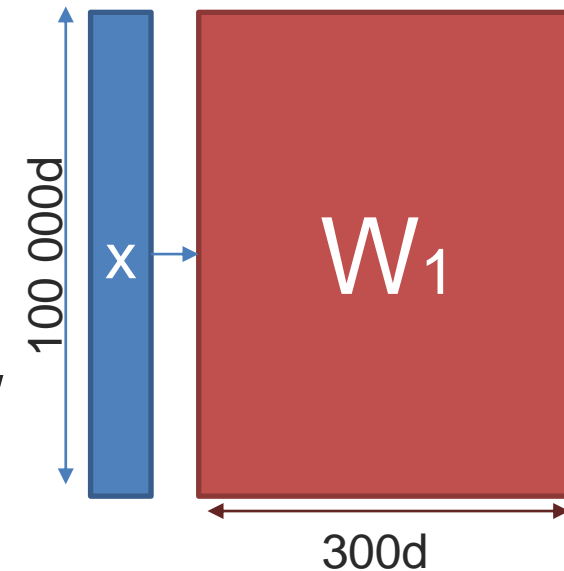
Transform:  $x' = x * W$

Goal:  $\xleftarrow{300\ \text{dimensional vector}}$

Walking:  $[0,1; 0,0003; 0; \dots; 0,02; 0,08; 0,05]$

Running:  $[0,1; 0,0004; 0; \dots; 0,01; 0,09; 0,05]$

➡ Similarity = 0.9



## Vector space models of words

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➡ While learning these word representations, we are actually building a vector space in which all words reside with certain relationships between them

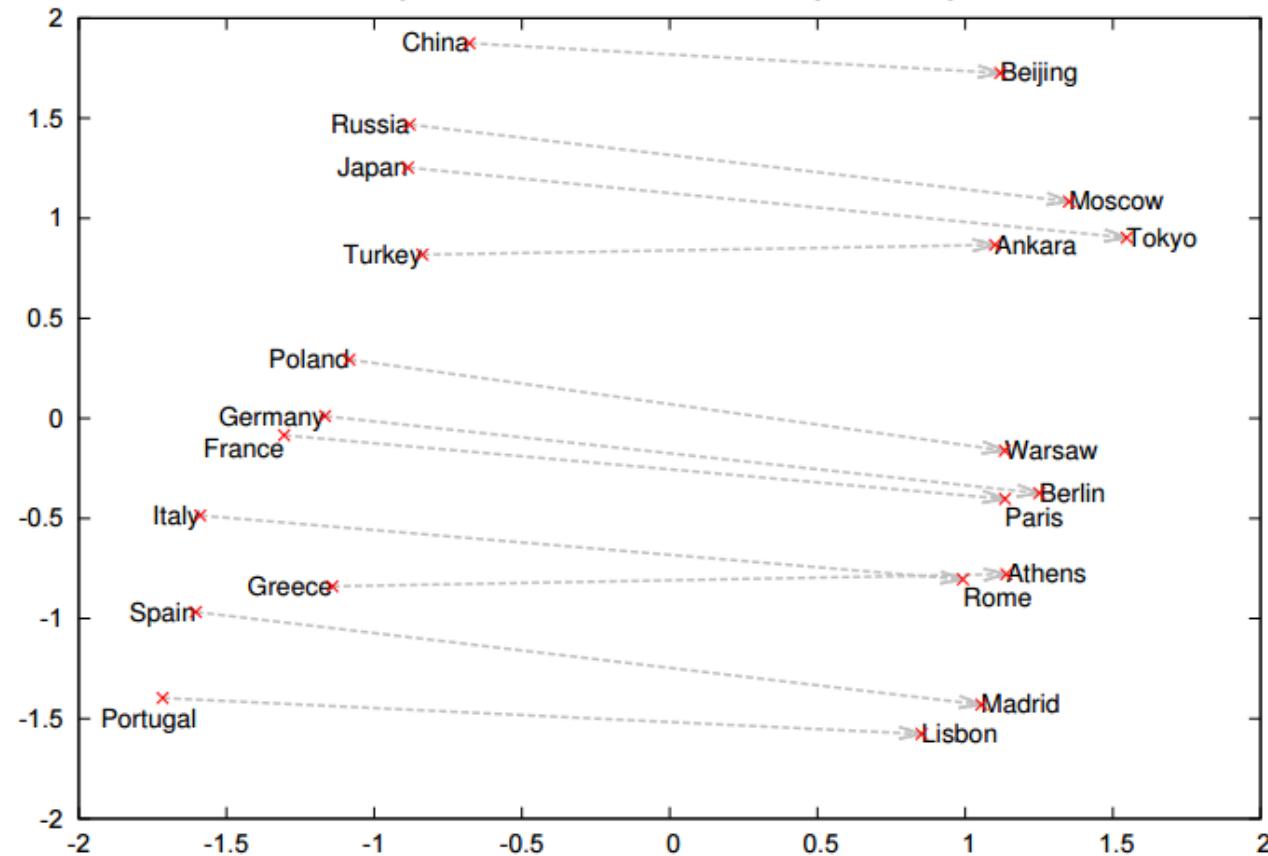
➡ Encodes both syntactic and semantic relationships

➡ This vector space allows for algebraic operations:

$$\text{Vec}(\text{king}) - \text{vec}(\text{man}) + \text{vec}(\text{woman}) \approx \text{vec}(\text{queen})$$



# Vector space models of words: semantic relationships



Trained on the Google news corpus with over 300 billion words

# Word Representation Resources

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## Word-level representations:

Word2Vec (Google, 2013)

<https://code.google.com/archive/p/word2vec/>

Glove (Stanford, 2014)

<https://nlp.stanford.edu/projects/glove/>

FastText (Facebook, 2017)

<https://fasttext.cc/>

## Sentence-level representations:

ELMO (Allen Institute for AI, 2018)

<https://allennlp.org/elmo>

BERT (Google, 2018)

<https://github.com/google-research/bert>

RoBERTa (Facebook, 2019)

<https://github.com/pytorch/fairseq>

Word representations  
are contextualized  
using all the words in  
the sentence.



More details later  
in this lecture and  
during Week 5

# Lexicon-based Word Representation

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## LIWC: Language Inquiry & Word Count

Manually created dictionaries for different topics and categories:

- Function words: *pronouns, preposition, negation...*
- Affect words: *positive, negative emotions*
- Social words: *family, friends, referents*
- Cognitive processes: *Insight, cause, ...*
- Perceptual processes: *Seeing, hearing, feeling*
- Biological processes: *Body, health/illness,...*
- Drives and needs: *Affiliation, achievement, ...*
- Time orientation: *past, present, future*
- Relativity: *motion, space, time*
- Personal concerns: *work, leisure, money, religion ...*
- Informal speech: *swear words, fillers, assent,...*

LIWC can encode individual words or full sentences.

<https://liwc.wpengine.com/>



Commercial software. Contact TAs in advance if you would like to use it.

# Other Lexicon Resources

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## Lexicons

- General Inquirer (Stone et al., 1966)
- OpinionFinder lexicon (Wiebe & Riloff, 2005)
- SentiWordNet (Esuli & Sebastiani, 2006)
- LIWC (Pennebaker)



## Other Tools

- LightSIDE
- Stanford NLP toolbox
- IBM Watson Tone Analyzer
- Google Cloud Natural Language
- Microsoft Azure Text Analytics

# Sentence Modeling

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# Sentence Modeling: Sequence Label Prediction

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**Masterful!**

By Antony Witheyman - January 12, 2006

Ideal for anyone with an interest in  
disguises who likes to see the subject  
tackled in a humorous manner.

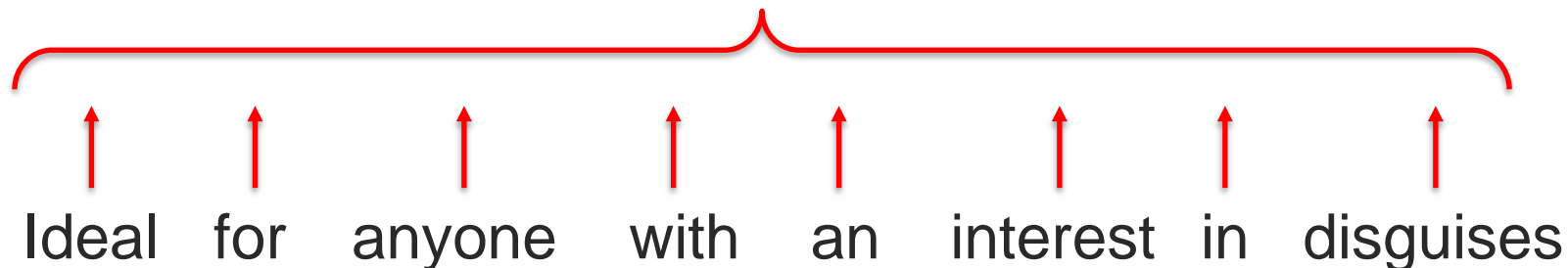
0 of 4 people found this review helpful

Prediction



Sentiment ?  
(positive or negative)

Sentiment label?



# Sentence Modeling: Sequence Prediction

---



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0 of 4 people found this review helpful

Prediction



Part-of-speech ?  
(noun, verb,...)

POS?

POS?

POS?

POS?

POS?

POS?

POS?

POS?



Ideal

for

anyone

with

an

interest

in

disguises

# Sentence Modeling: Sequence Representation

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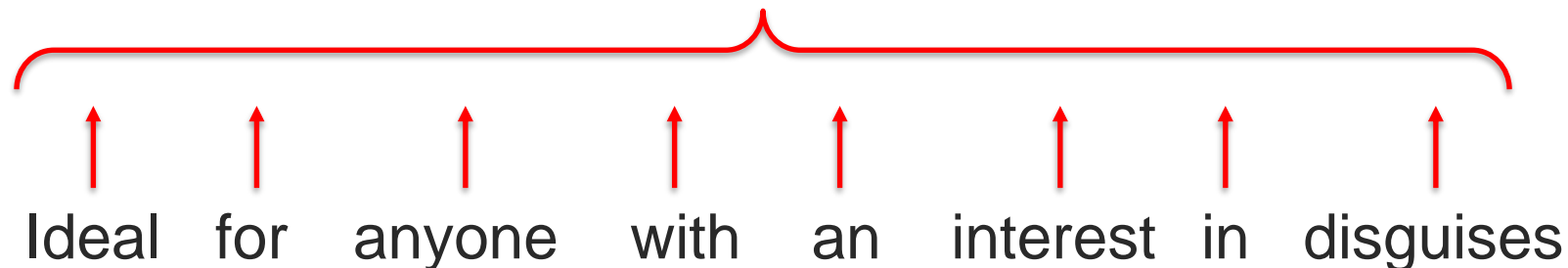
0 of 4 people found this review helpful

Learning



Sequence representation

[0,1; 0,0004; 0;....; 0,01; 0.09; 0,05]





# Sentence Modeling: Language Model

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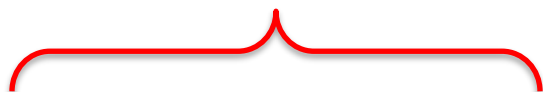
0 of 4 people found this review helpful

Prediction



Language Model

**Next word?**



Ideal

for

anyone

with

an

interest

in

disguises

# Language Model Application: Language Generation

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## Embedding

[0,1;  
0,0004;  
....;  
0.09;  
0,05]

Generation



Ideal for anyone with an interest in  
disguises who likes to see the subject  
tackled in a humourous manner.

## Example: Image captioning



[0,1;  
0,0004;  
....;  
0.09;  
0,05]



The man at bat readies to swing at the  
pitch while the umpire looks on.

# Language Model Application: Speech Recognition

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$$\arg \max_{wordsequence} P(wordsequence | acoustics) =$$

$$\arg \max_{wordsequence} \frac{P(acoustics | wordsequence) \times P(wordsequence)}{P(acoustics)}$$

$$\arg \max_{wordsequence} P(acoustics | wordsequence) \times P(wordsequence)$$



**Language model**

# Challenges in Sequence Modeling

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**Masterful!**

By Antony Witheyman - January 12, 2006

Ideal for anyone with an interest in disguises who likes to see the subject tackled in a humorous manner.

0 of 4 people found this review helpful

Model →

- Part-of-speech ?  
(noun, verb,...)
- Sentiment ?  
(positive or negative)
- Language Model
- Sequence representation

## Main Challenges:

- Sequences of variable lengths (e.g., sentences)
- Keep the number of parameters at a minimum
- Take advantage of possible redundancy

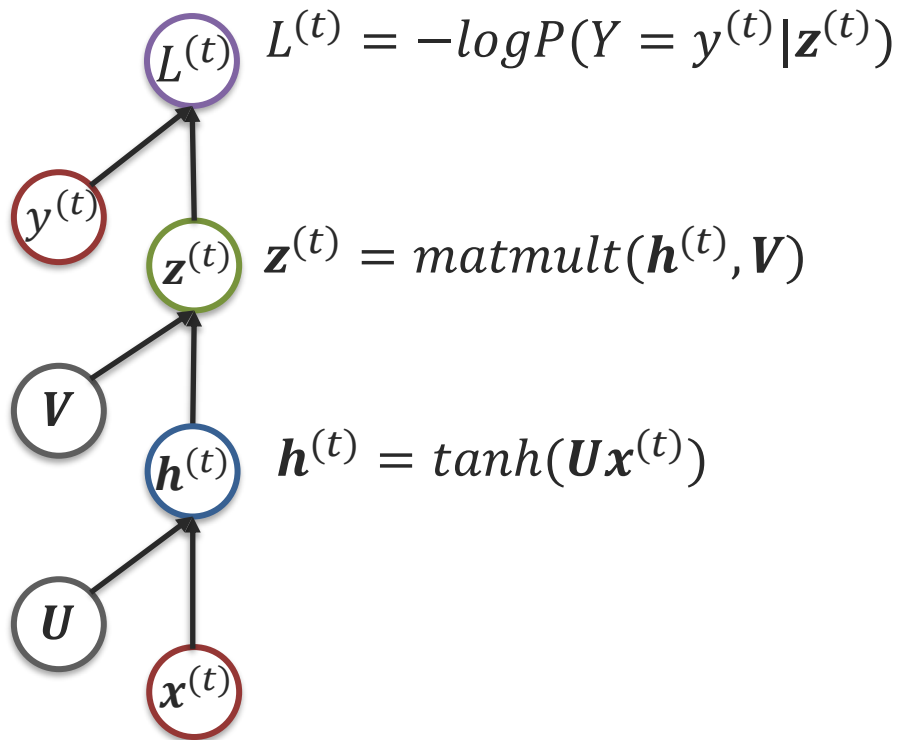
# Recurrent Neural Networks

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# Recurrent Neural Network

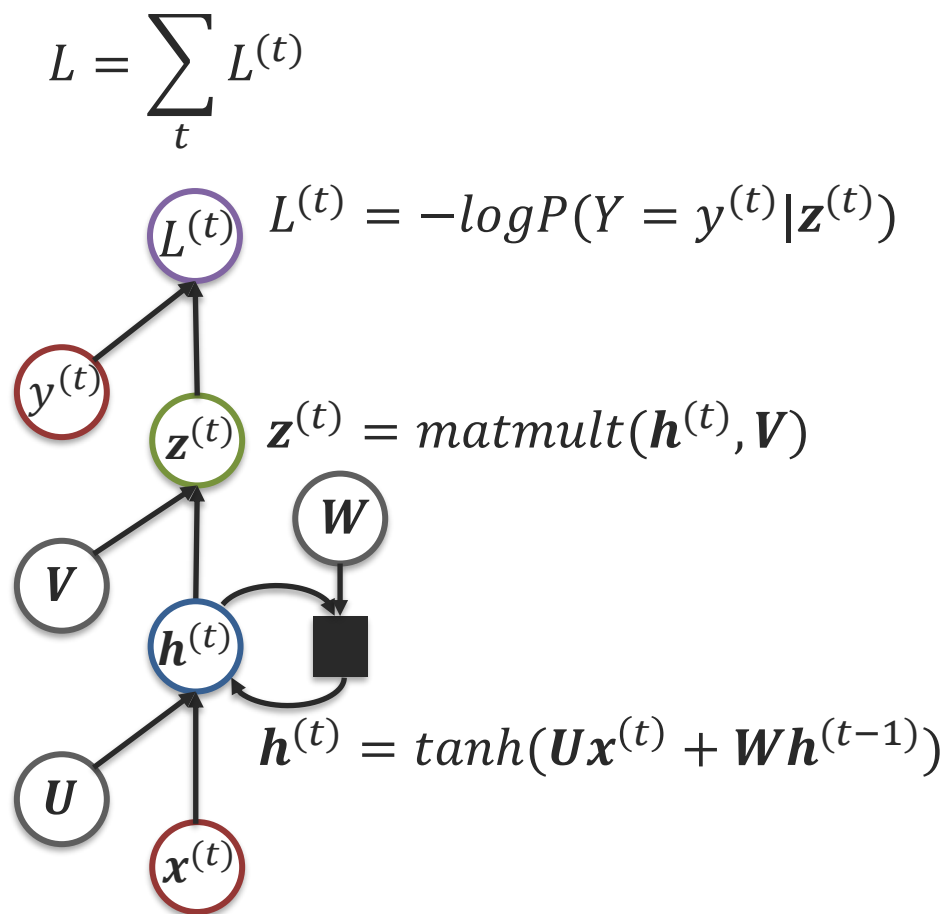
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## Feedforward Neural Network

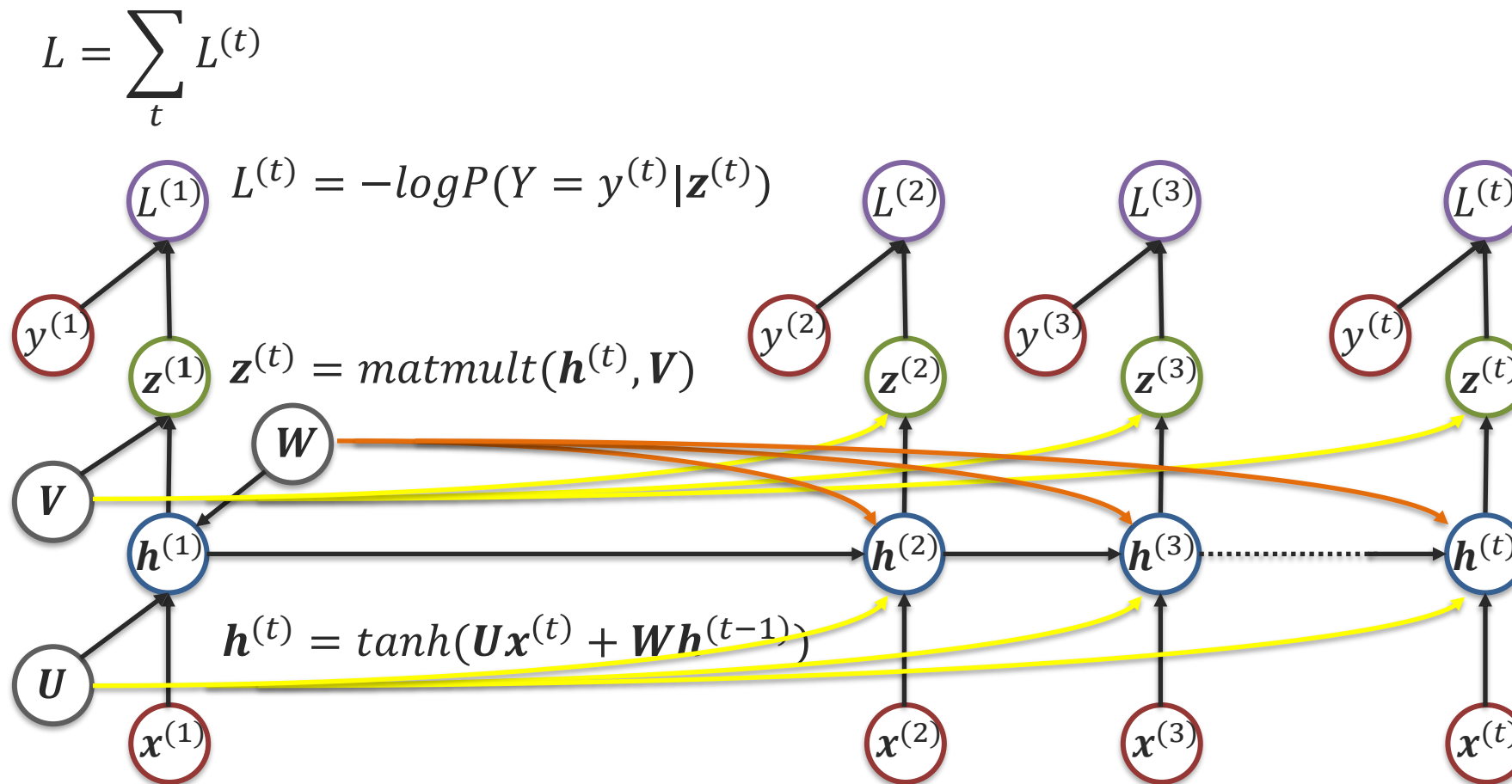


# Recurrent Neural Networks

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# Recurrent Neural Networks - Unrolling



**Same model parameters are used for all time parts.**



# Backpropagation Through Time

$$L = \sum_t L^{(t)} = - \sum_t \log P(Y = y^{(t)} | \mathbf{z}^{(t)})$$

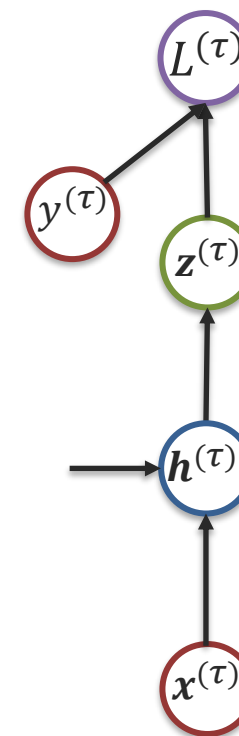
$$L^{(\tau)} \text{ or } L^{(t)} \quad \frac{\partial L}{\partial L^{(t)}} = 1$$

Gradient = “backprop” gradient  
x “local” Jacobian

$$\mathbf{z}^{(\tau)} \text{ or } \mathbf{z}^{(t)} \quad (\nabla_{\mathbf{z}^{(t)}} L)_i = \frac{\partial L}{\partial z_i^{(t)}} = \frac{\partial L}{\partial L^{(t)}} \frac{\partial L^{(t)}}{\partial z_i^{(t)}} = \text{sigmoid}(z_i^t) - \mathbf{1}_{i,y^{(t)}}$$

$$\mathbf{h}^{(\tau)} \quad \nabla_{\mathbf{h}^{(\tau)}} L = \nabla_{\mathbf{z}^{(\tau)}} L \frac{\partial \mathbf{z}^{(\tau)}}{\partial \mathbf{h}^{(\tau)}} = \nabla_{\mathbf{z}^{(\tau)}} L \mathbf{V}$$

$$\mathbf{h}^{(t)} \rightarrow \mathbf{h}^{(t+1)} \quad \nabla_{\mathbf{h}^{(t)}} L = \nabla_{\mathbf{z}^{(t)}} L \frac{\partial \mathbf{o}^{(t)}}{\partial \mathbf{h}^{(t)}} + \nabla_{\mathbf{z}^{(t+1)}} L \frac{\partial \mathbf{h}^{(t+1)}}{\partial \mathbf{h}^{(t)}}$$



# Backpropagation Through Time

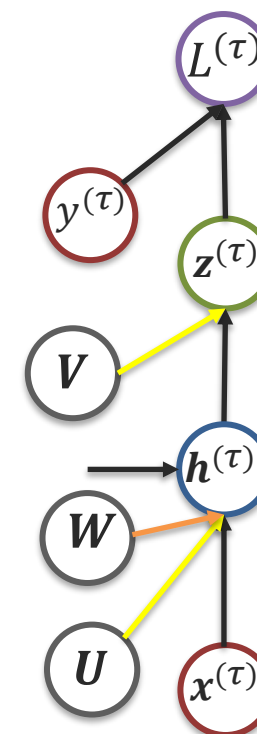
$$L = \sum_t L^{(t)} = - \sum_t \log P(Y = y^{(t)} | \mathbf{z}^{(t)})$$

Gradient = “backprop” gradient  
x “local” Jacobian

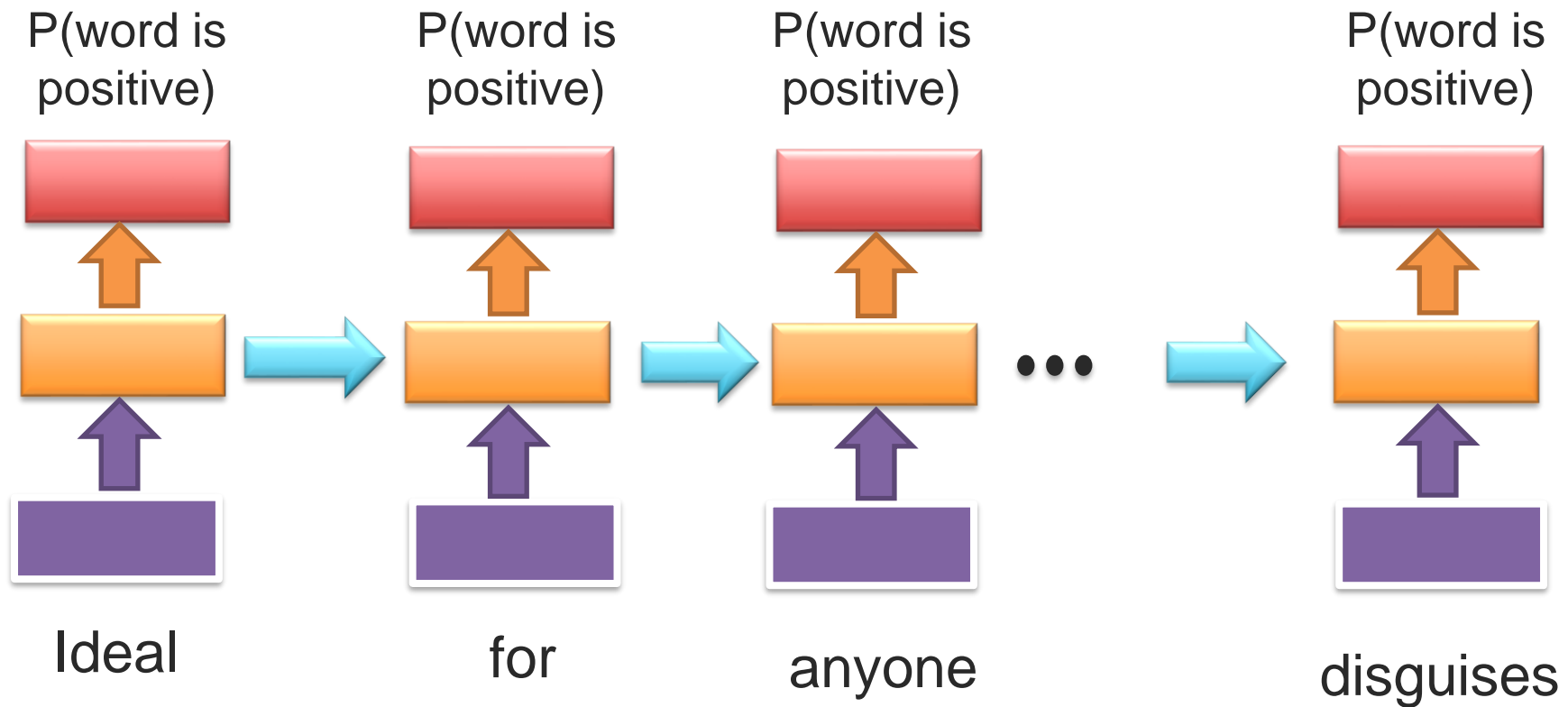
$$\textcircled{V} \quad \nabla_V L = \sum_t (\nabla_{\mathbf{z}^{(t)}} L) \frac{\partial \mathbf{z}^{(t)}}{\partial V}$$

$$\textcircled{W} \quad \nabla_W L = \sum_t (\nabla_{\mathbf{h}^{(t)}} L) \frac{\partial \mathbf{h}^{(t)}}{\partial W}$$

$$\textcircled{U} \quad \nabla_U L = \sum_t (\nabla_{\mathbf{h}^{(t)}} L) \frac{\partial \mathbf{h}^{(t)}}{\partial U}$$

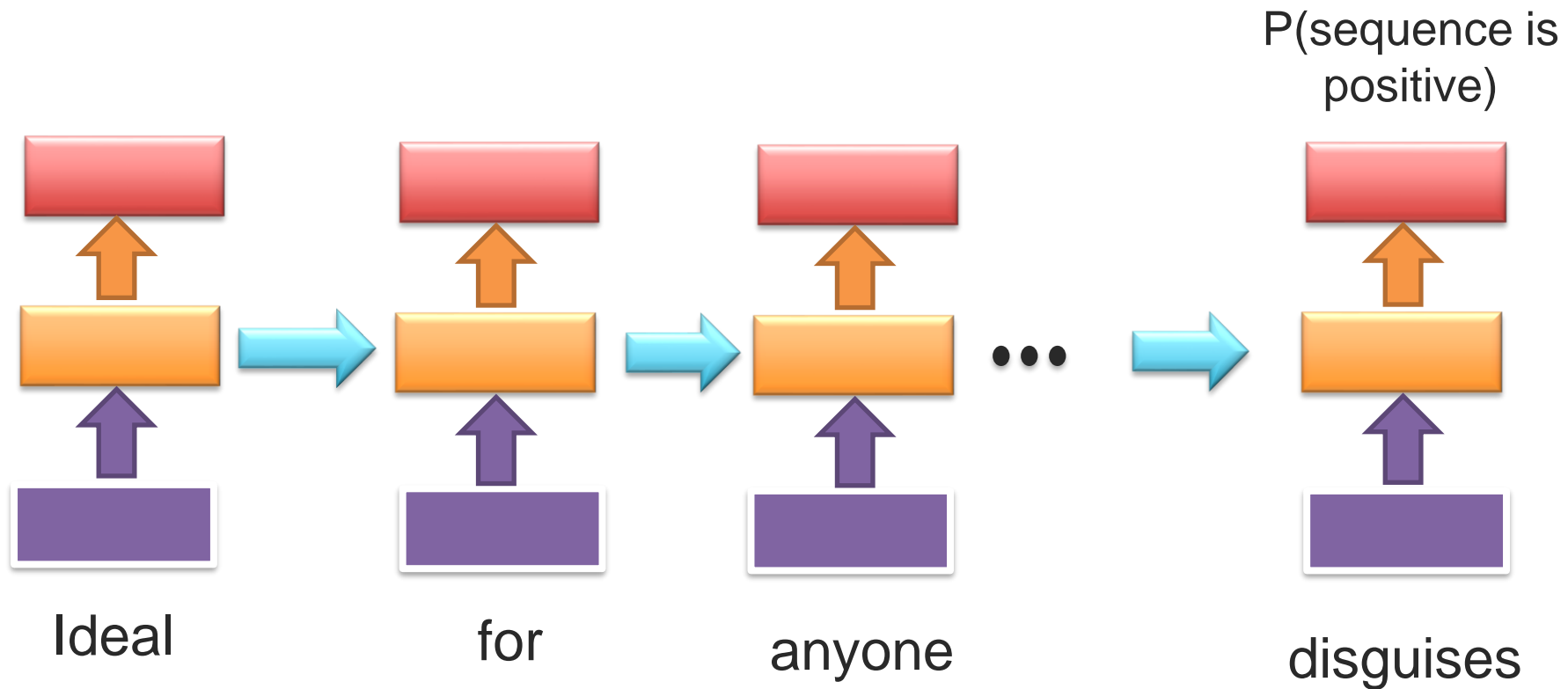


# RNN for Sequence Prediction



What is the loss? 
$$L = \frac{1}{N} \sum_t L^{(t)} = \frac{1}{N} \sum_t -\log P(Y = y^{(t)} | z^{(t)})$$

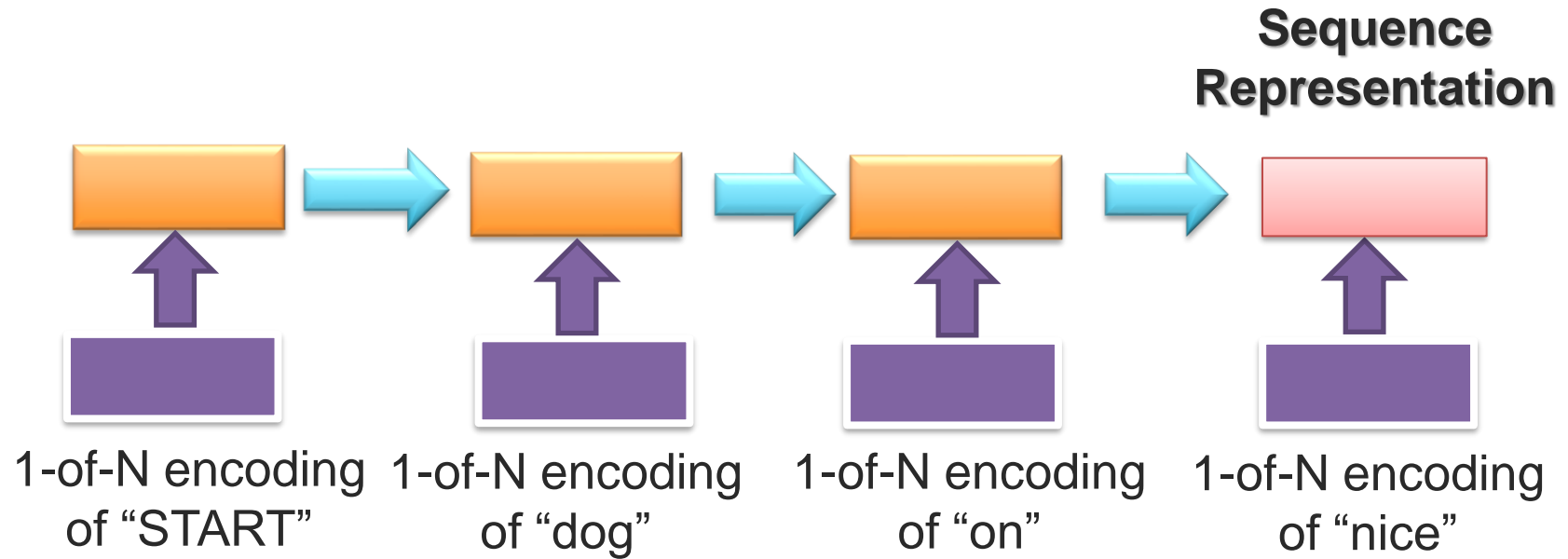
# RNN for Sequence Prediction



What is the loss?  $L = L^{(N)} = -\log P(Y = y^{(N)} | z^{(N)})$

# RNN for Sequence Representation (Encoder)

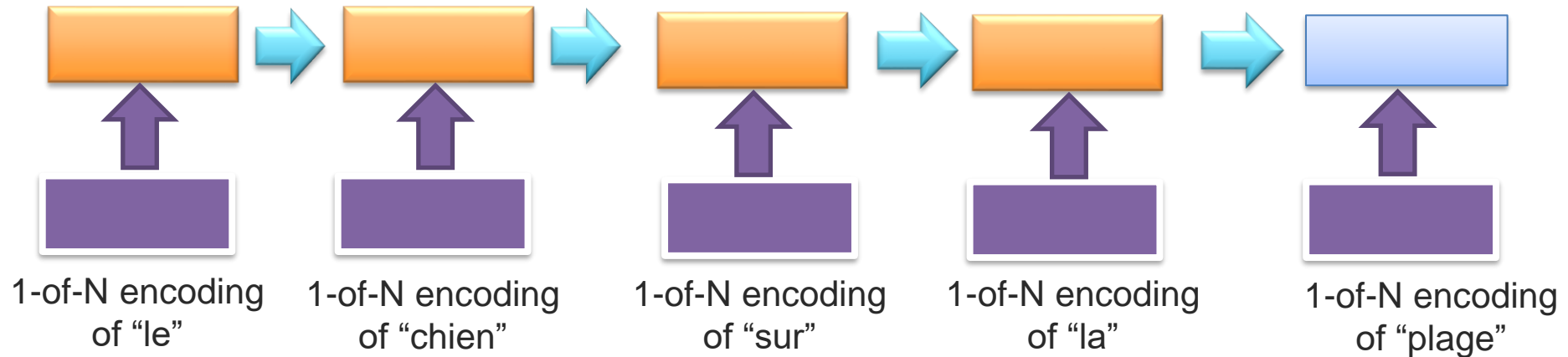
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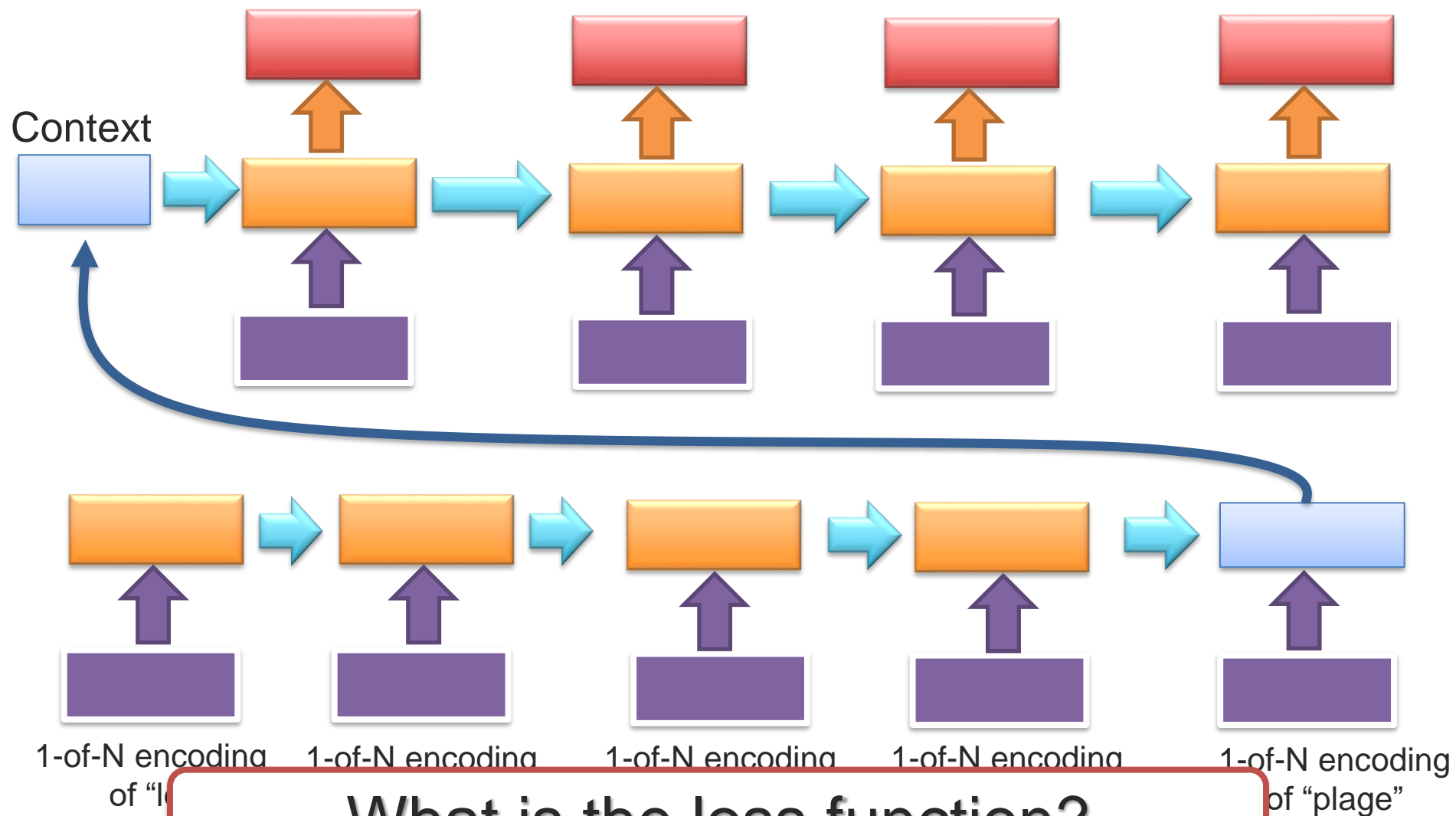
# RNN-based for Machine Translation

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Le chien sur la plage → The dog on the beach



# Encoder-Decoder Architecture



What is the loss function?

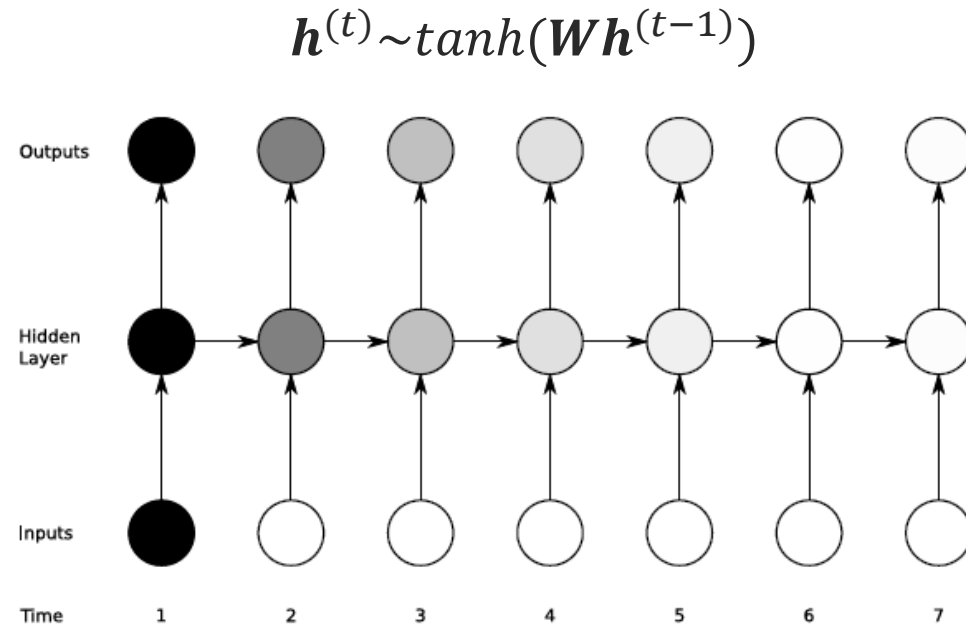
# Gated Recurrent Neural Networks

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# Long-term Dependencies

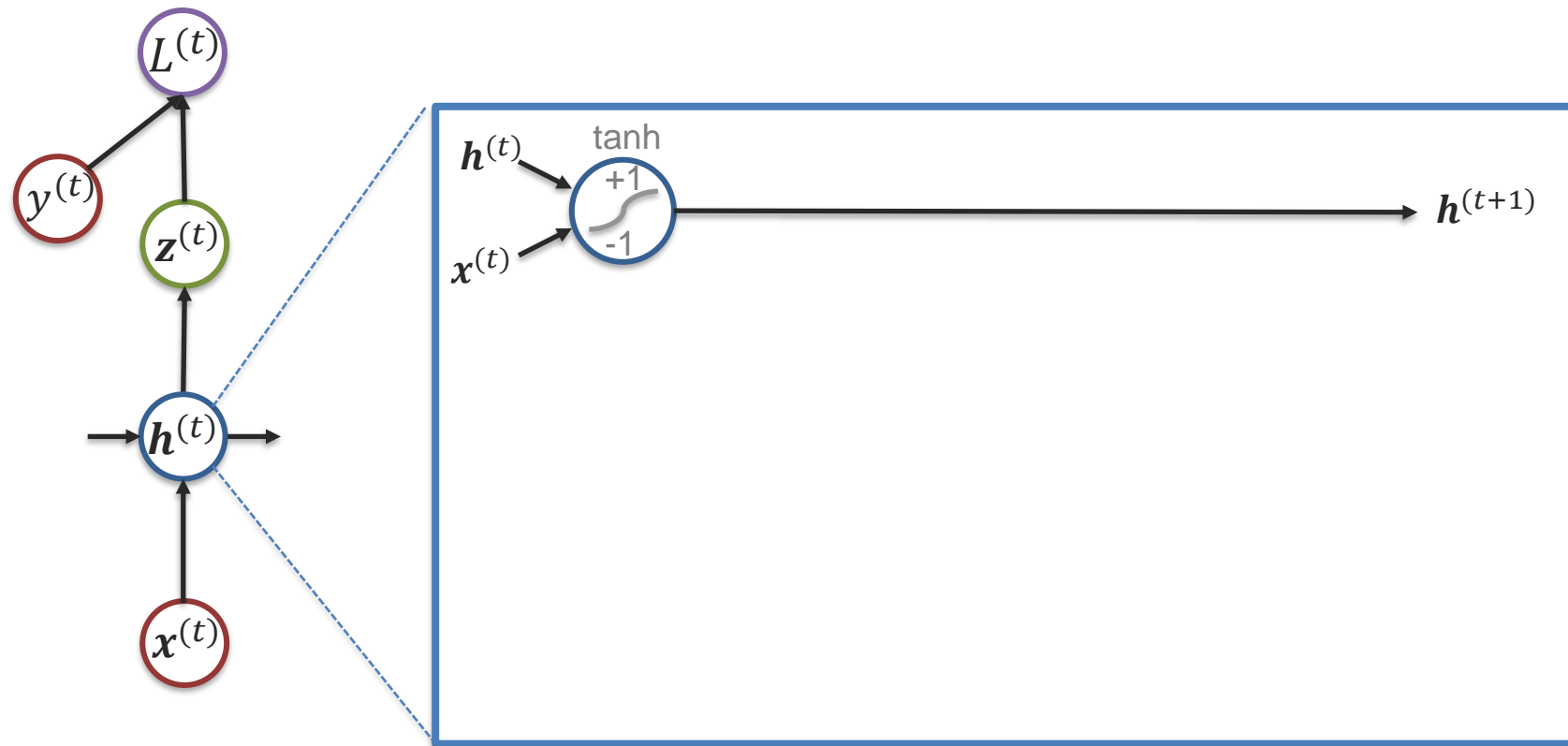
Vanishing gradient problem for RNNs:



- The influence of a given input on the hidden layer, and therefore on the network output, either decays or blows up exponentially as it cycles around the network's recurrent connections.

# Recurrent Neural Networks

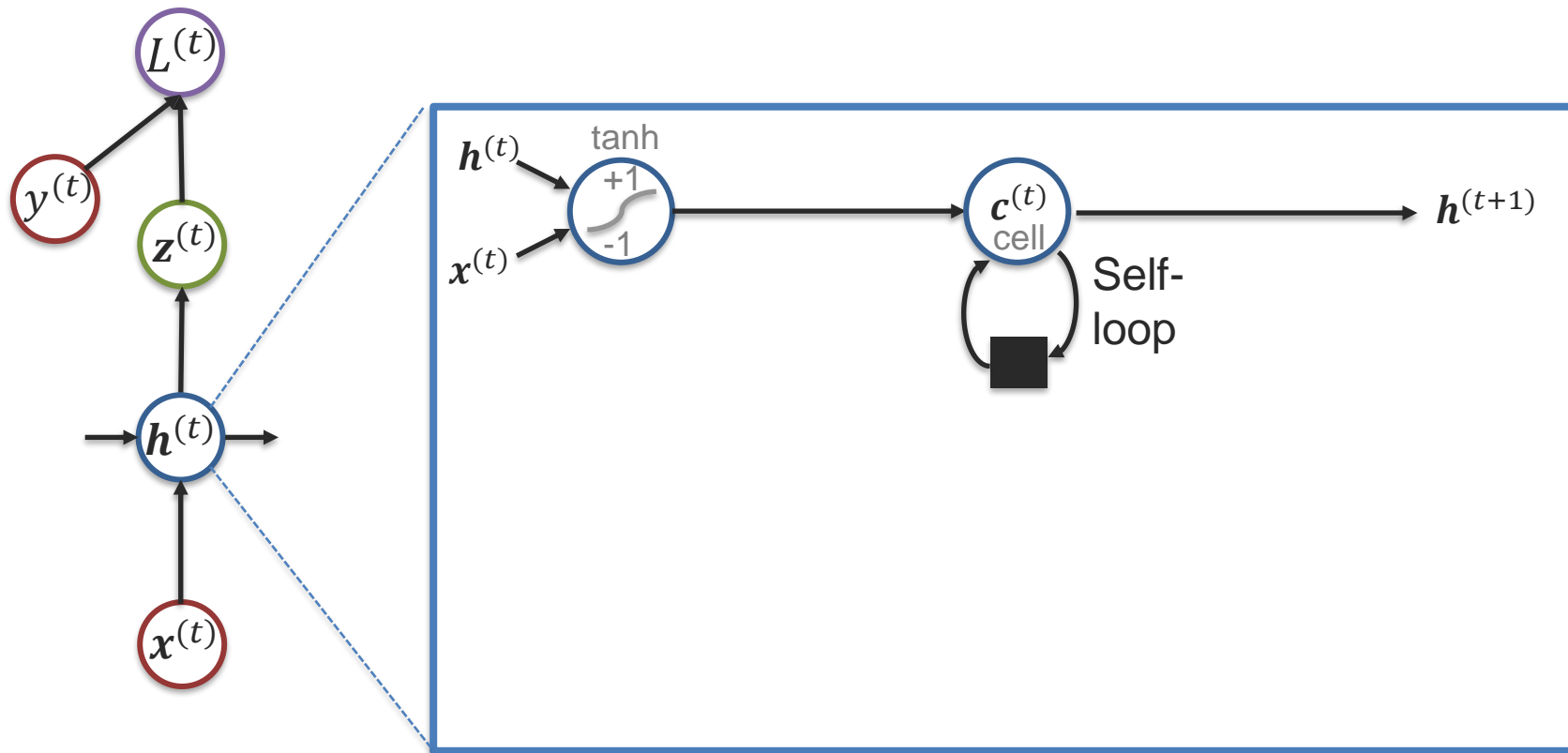
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# LSTM ideas: (1) “Memory” Cell and Self Loop

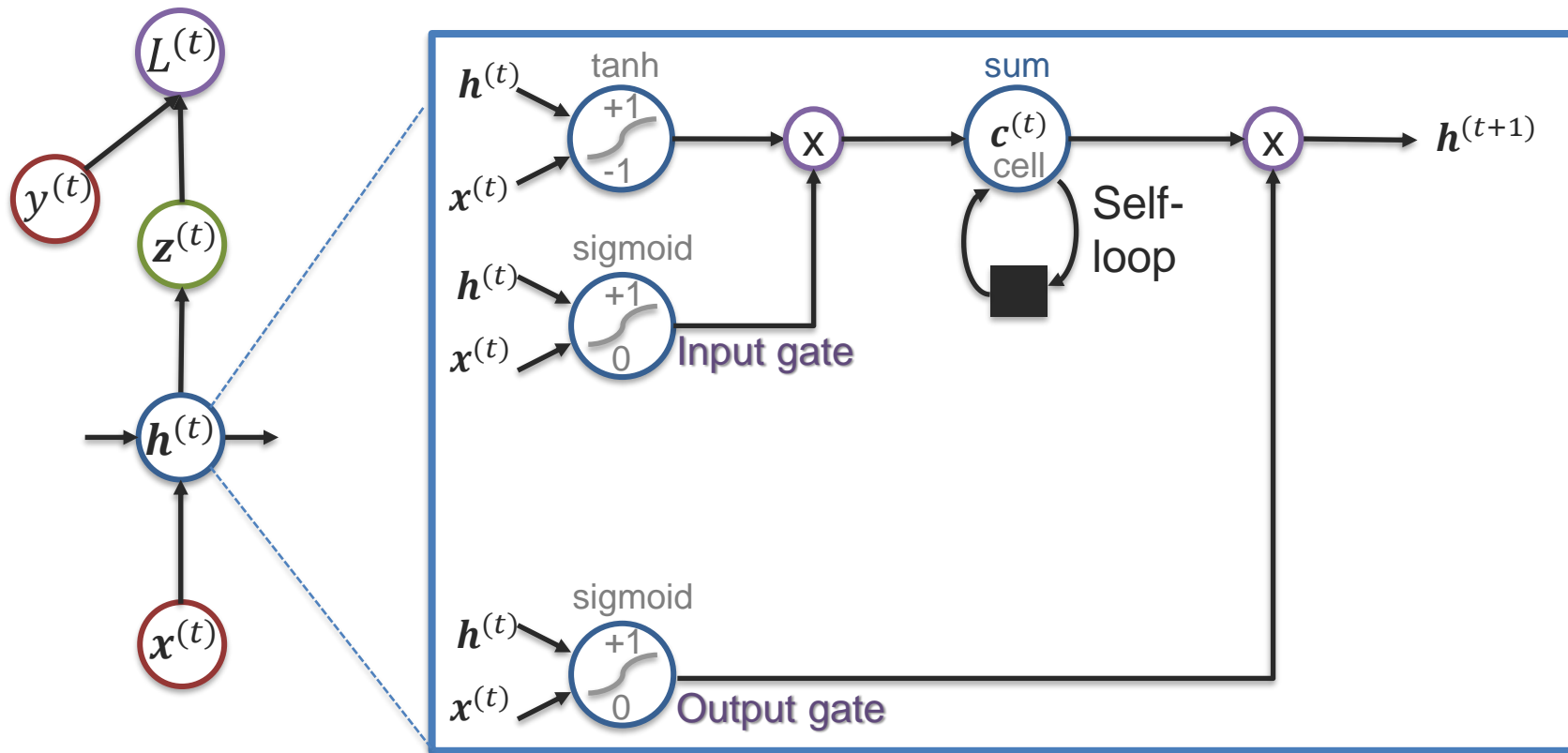
[Hochreiter and Schmidhuber, 1997]

## Long Short-Term Memory (LSTM)



# LSTM Ideas: (2) Input and Output Gates

[Hochreiter and Schmidhuber, 1997]



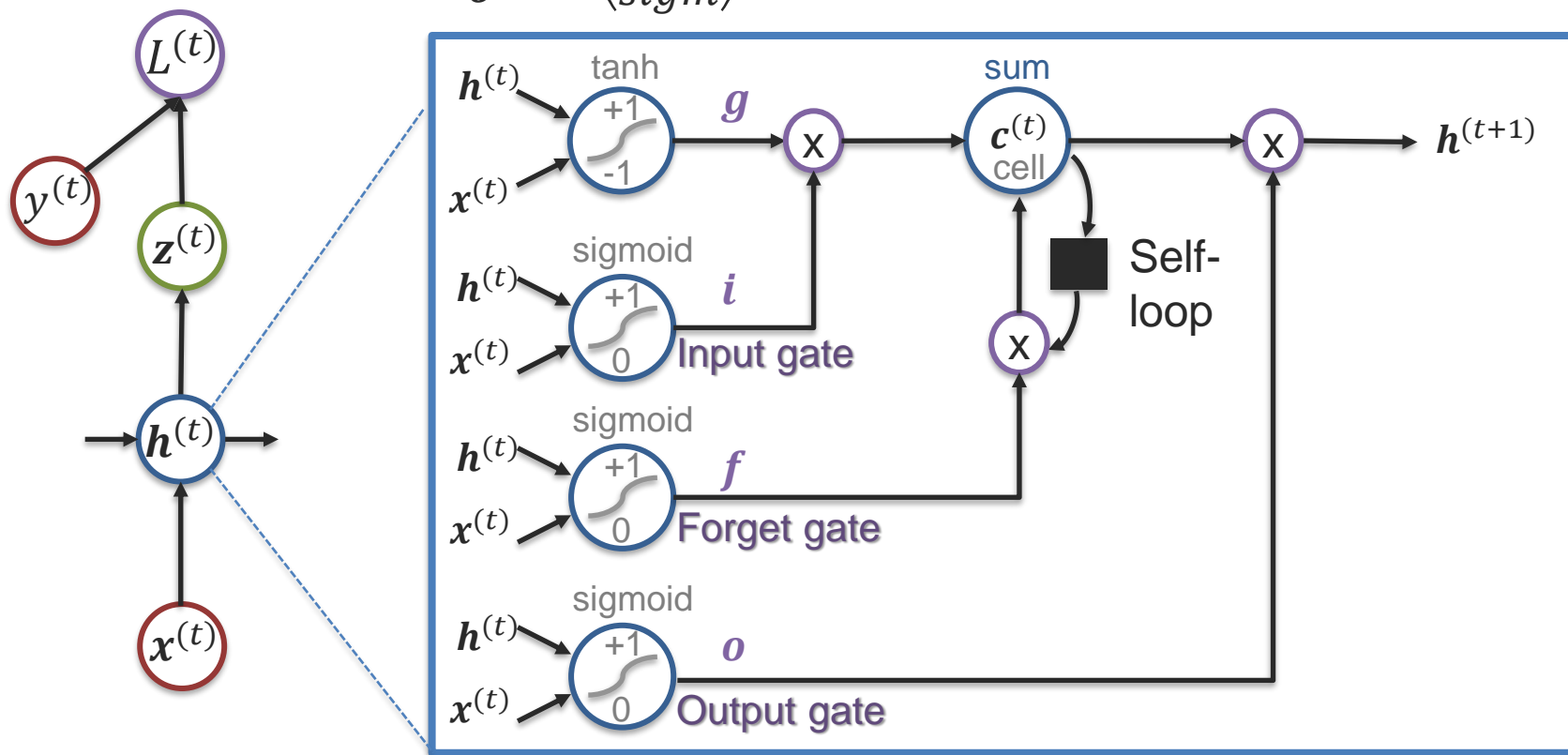
# LSTM Ideas: (3) Forget Gate

[Gers et al., 2000]

$$\begin{pmatrix} g \\ i \\ f \\ o \end{pmatrix} = \begin{pmatrix} \tanh \\ \text{sigm} \\ \text{sigm} \\ \text{sigm} \end{pmatrix} W \begin{pmatrix} h^{(t)} \\ x^{(t)} \end{pmatrix}$$

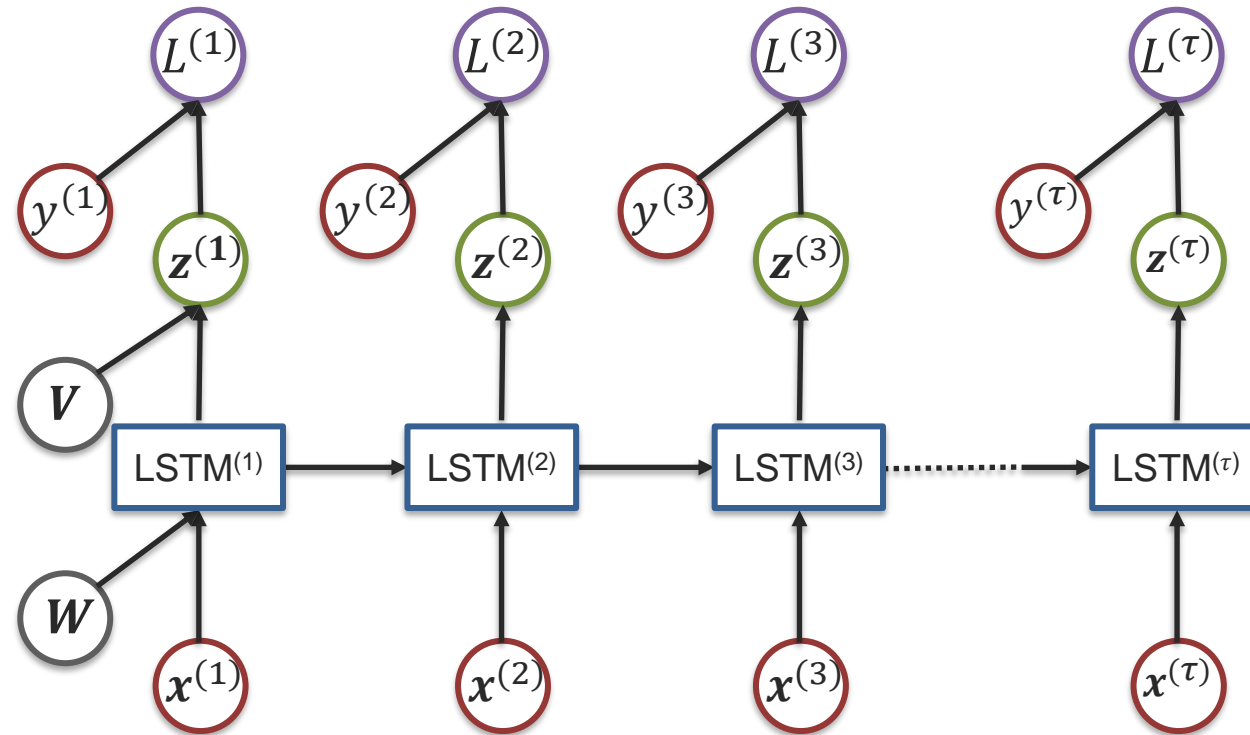
$$c^{(t)} = f \odot c^{(t-1)} + i \odot g$$

$$h^{(t)} = o \odot \tanh(c^{(t)})$$



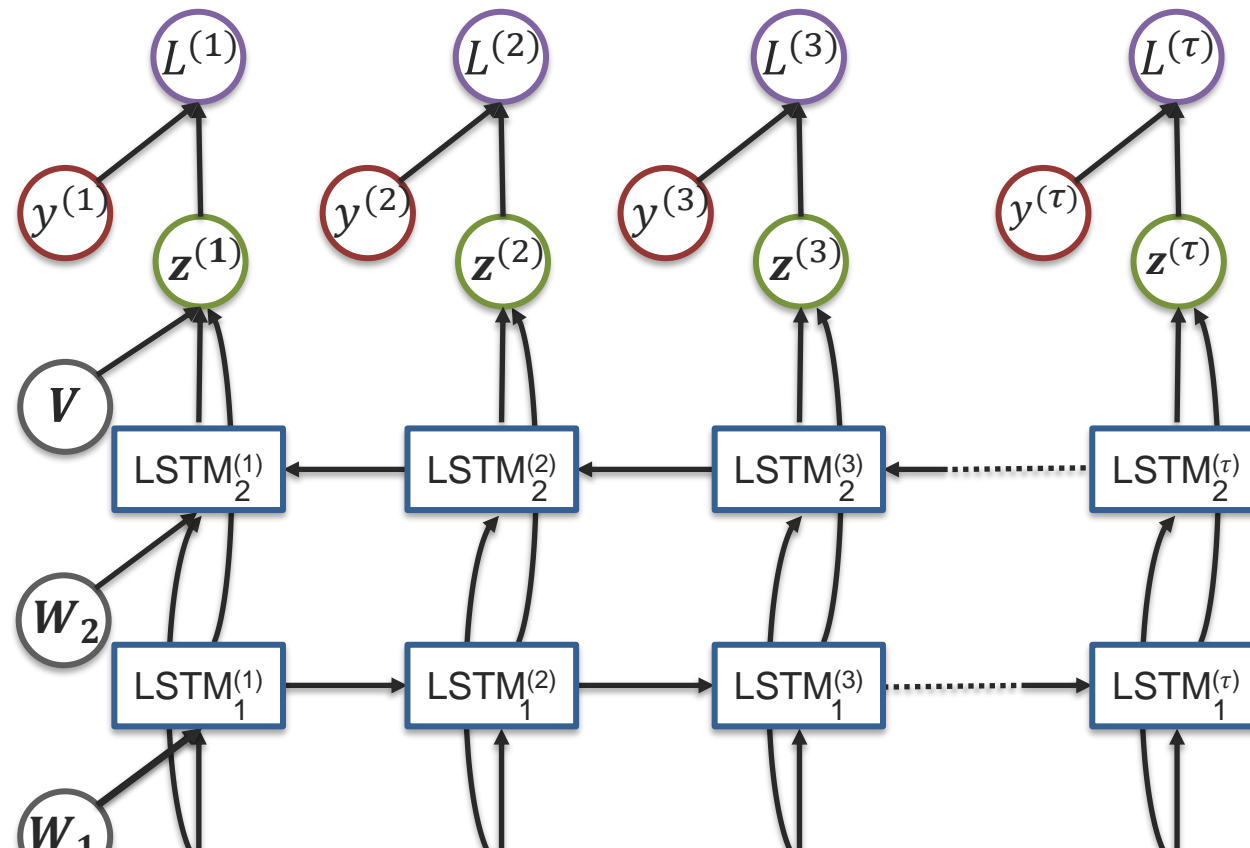
# Recurrent Neural Network using LSTM Units

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Gradient can still be computed using backpropagation!

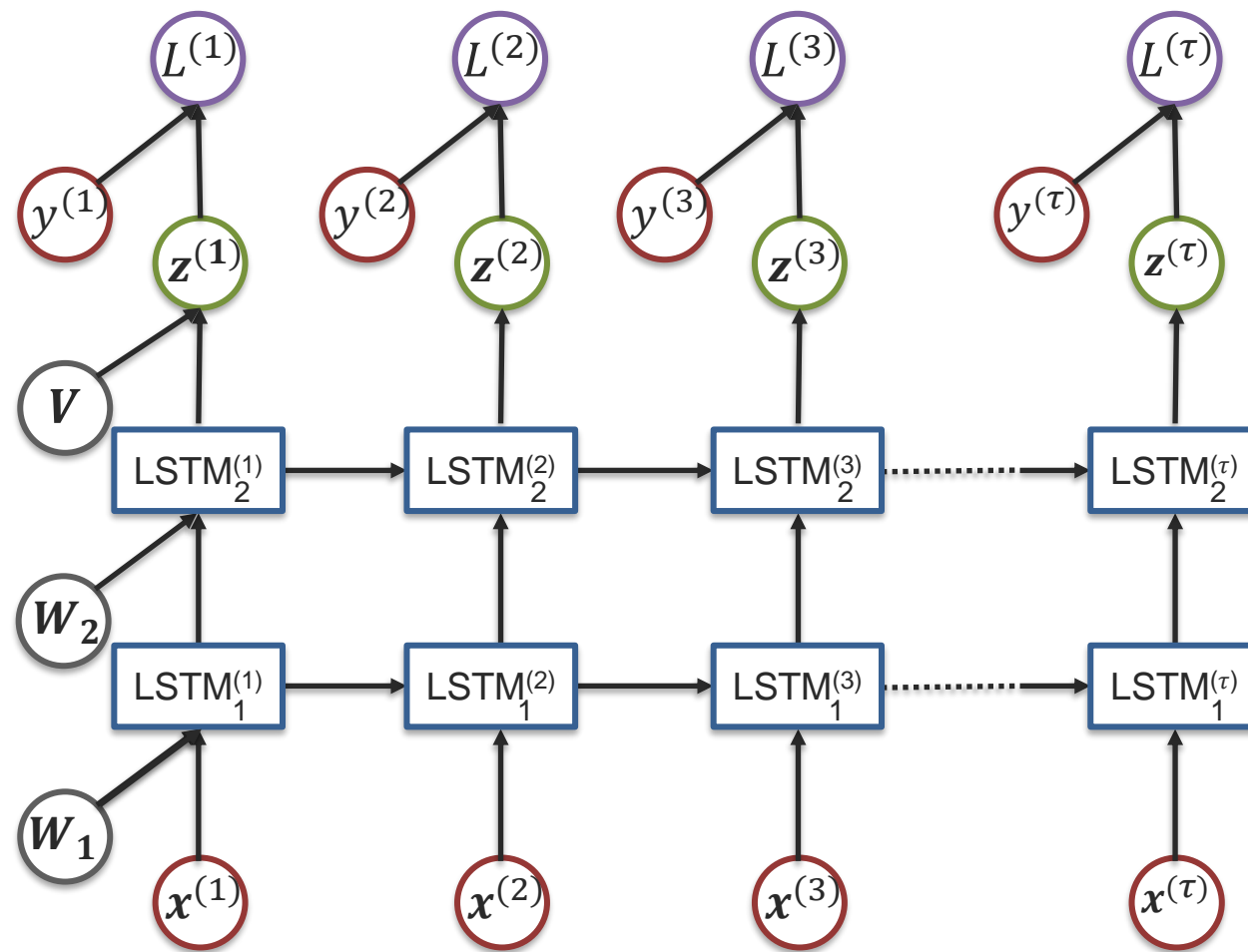
# Bi-directional LSTM Network



ELMO: Two bi-directional LSTMs are used to contextualize the word embeddings

<https://allennlp.org/elmo>

# Deep LSTM Network



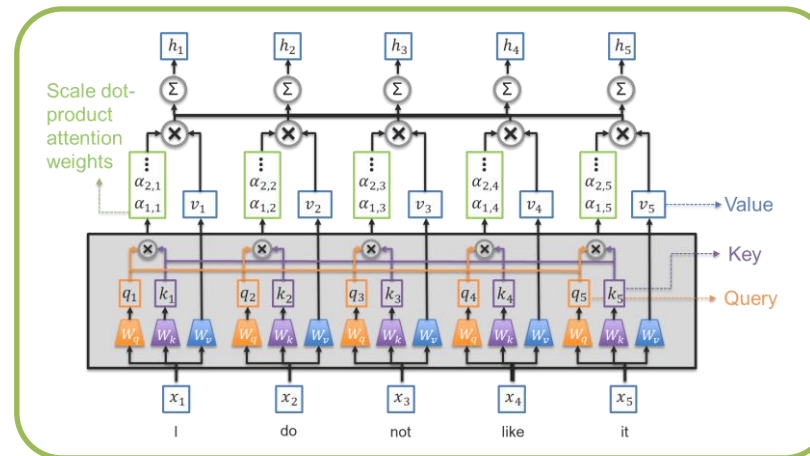


# And There Are More Ways To Model Sequences...

**COMING SOON**

... in Week 5!

## Self-attention Models (e.g., BERT, RoBERTa)

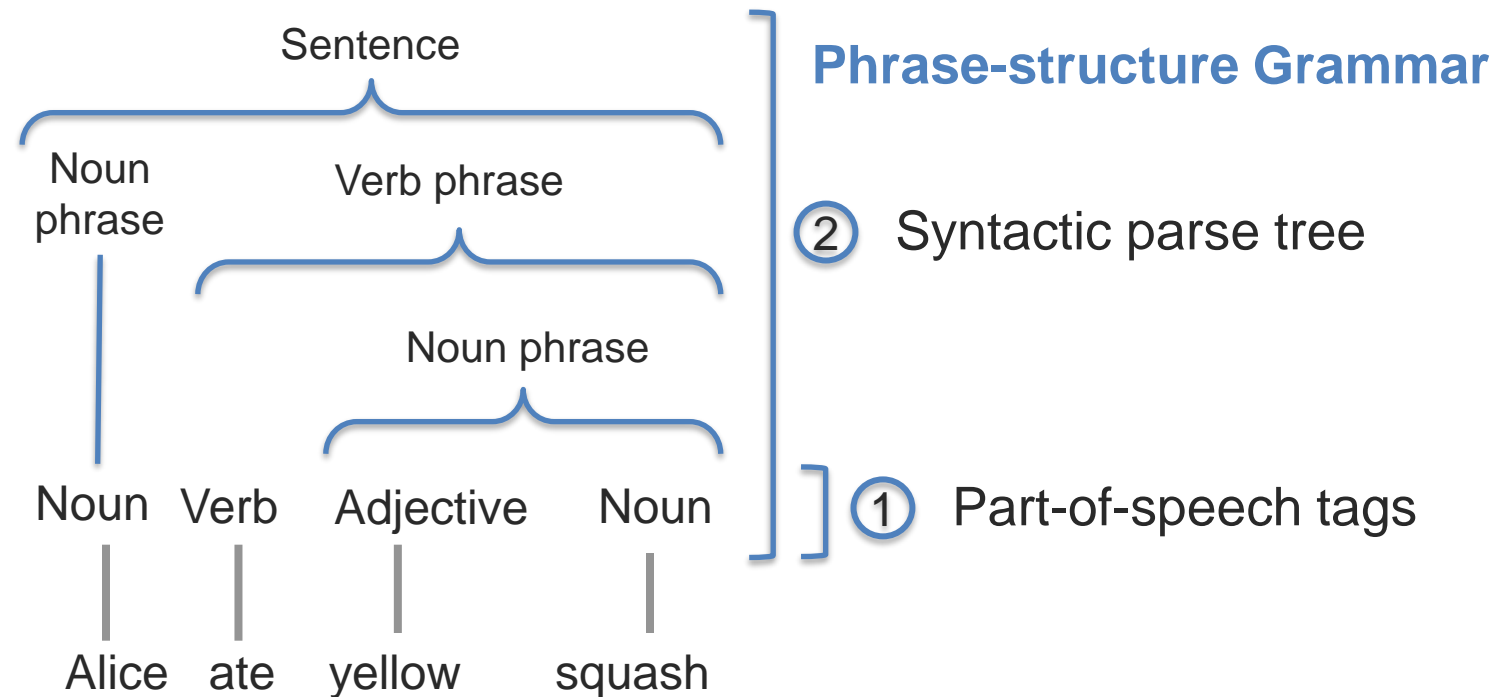


# Syntax and Language Structure

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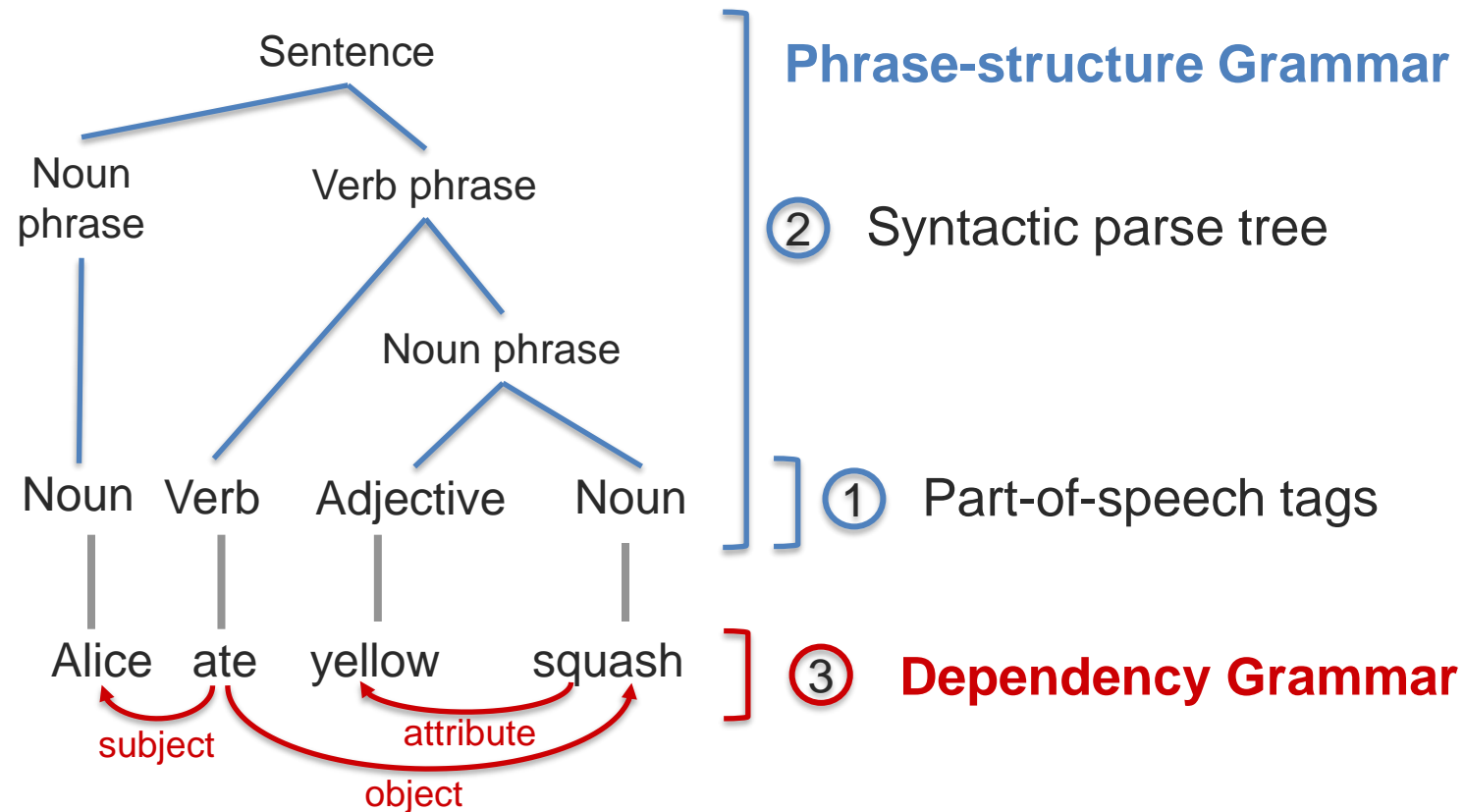
# Syntax and Language Structure

What can you tell about this sentence?



# Syntax and Language Structure

What can you tell about this sentence?

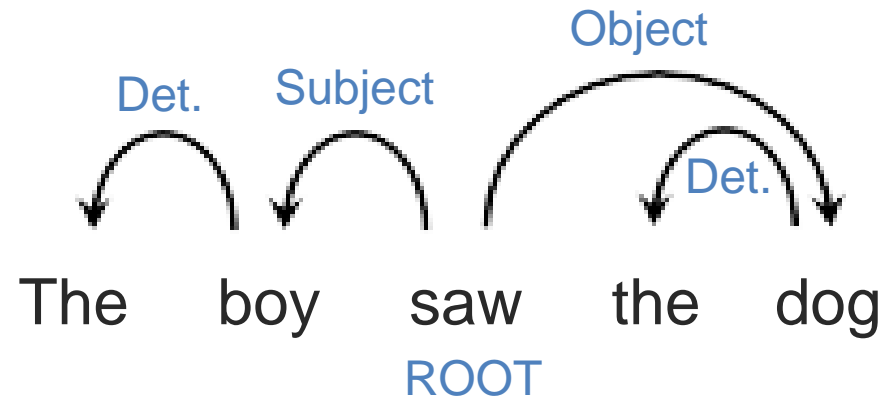


# Dependency Grammar

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**Main idea:** Syntactic structure consists of *lexical items*, linked by binary asymmetric relations called *dependencies*

- Easier to convert to predicate-argument structure
- You can try to convert one representation into another
  - ❑ But, in general, these formalisms are not equivalent



# Ambiguity in Syntactic Parsing

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## **“Like” can be a verb or a preposition**

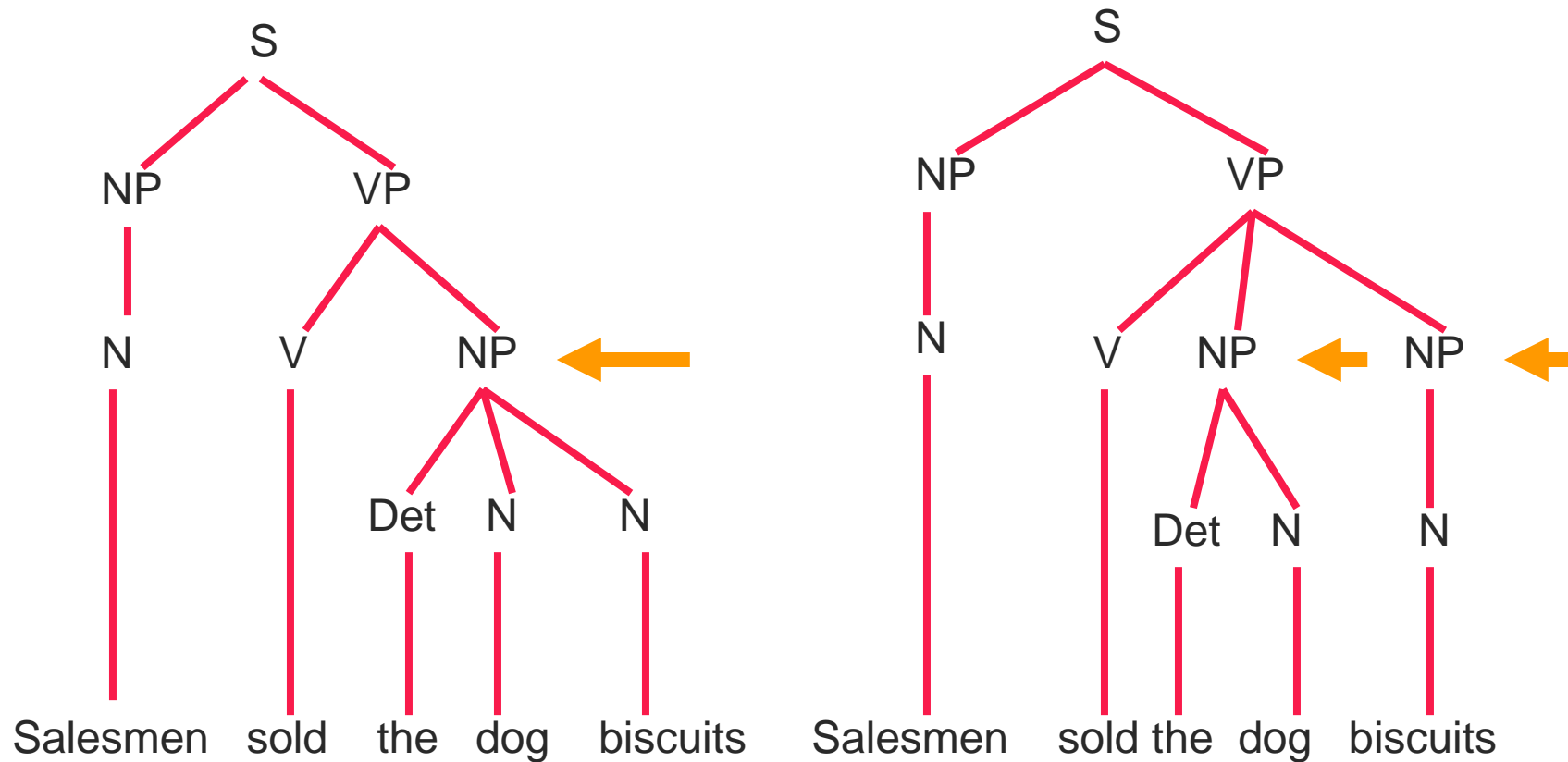
- I like/VBP candy.
- Time flies like/IN an arrow.

## **“Around” can be a preposition, particle, or adverb**

- I bought it at the shop around/IN the corner.
- I never got around/RP to getting a car.
- A new Prius costs around/RB \$25K.

# Language Ambiguity

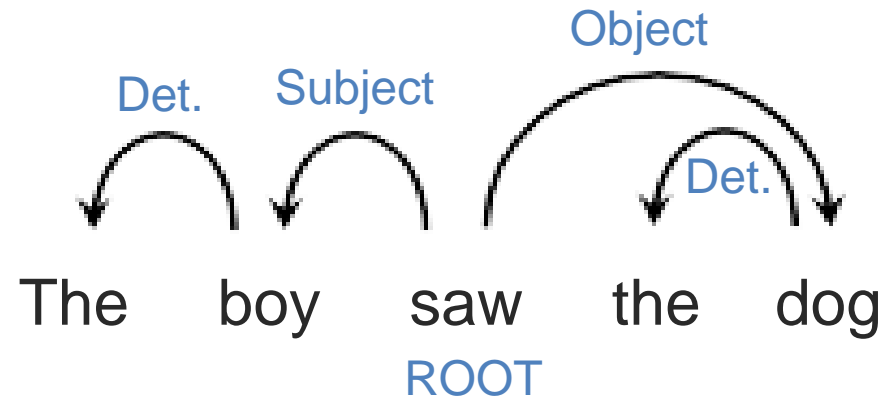
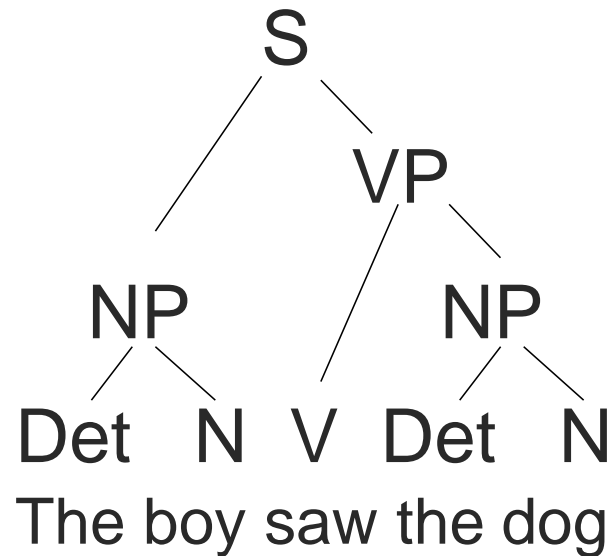
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# Language Syntax – Examples

Det Noun Verb Det Noun Prep Det Noun  
The boy saw the dog in the park

Part of Speech tagging



Constituency Parsing

Dependency Parsing

How to take advantage of syntax when modeling language with neural networks?

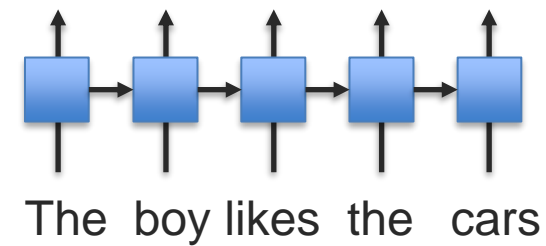
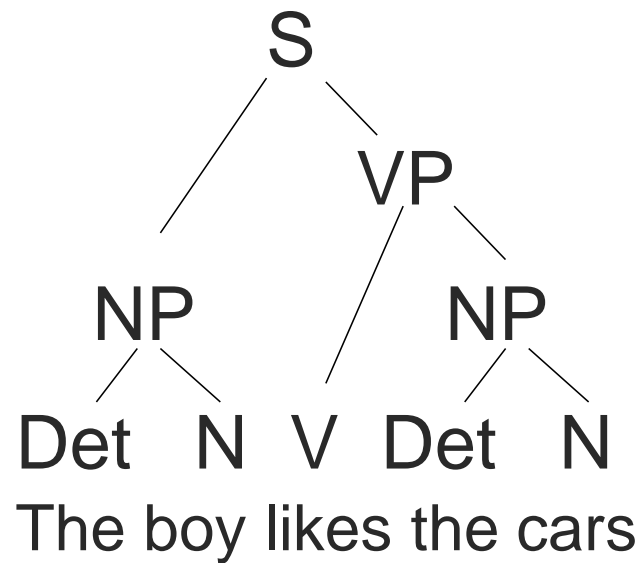


# Recursive Neural Network

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# How to Model Syntax with RNNs?

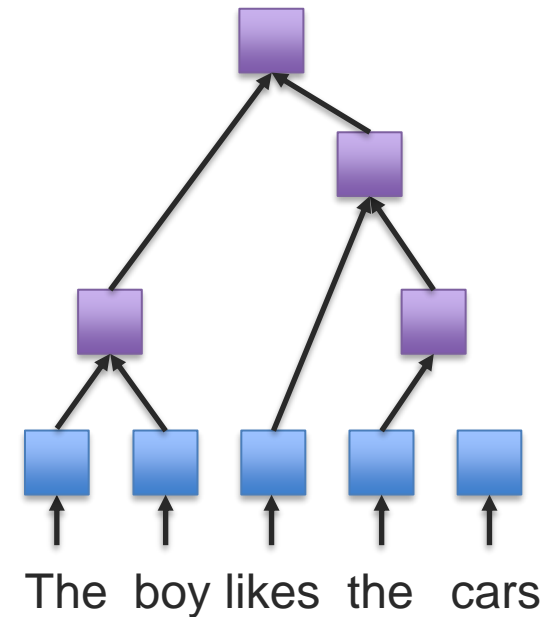
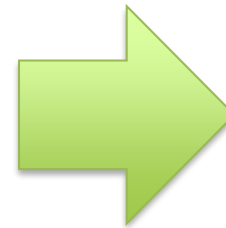
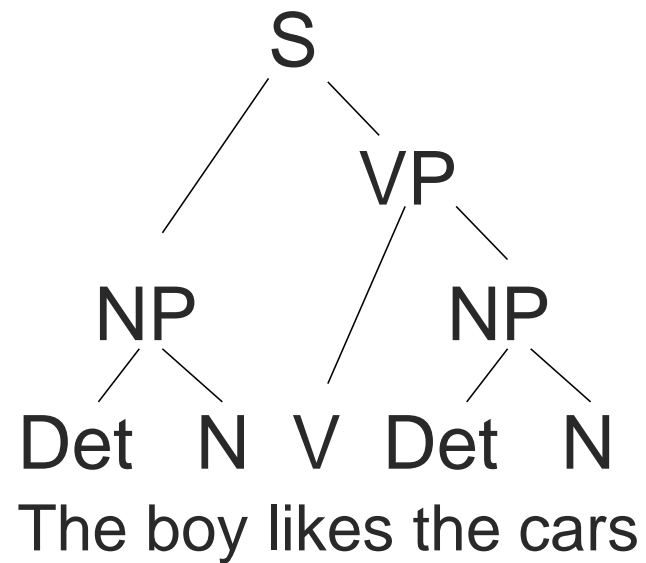
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We could use Part-of-Speech tags.

# Tree-based RNNs (or Recursive Neural Network)

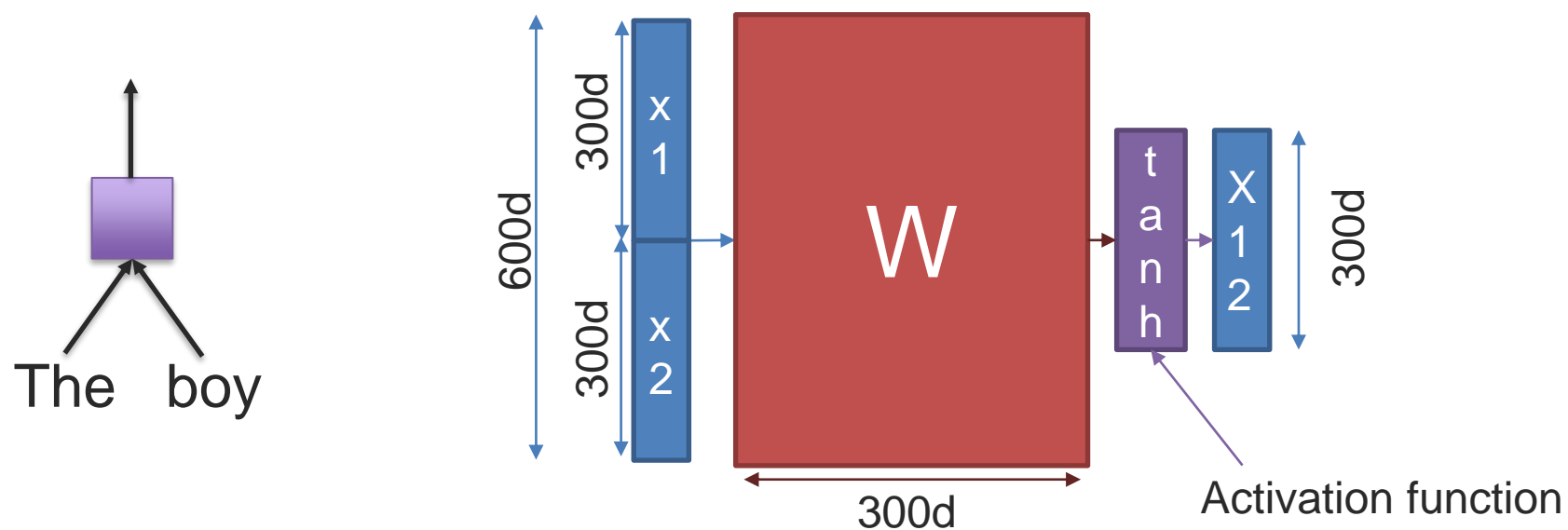
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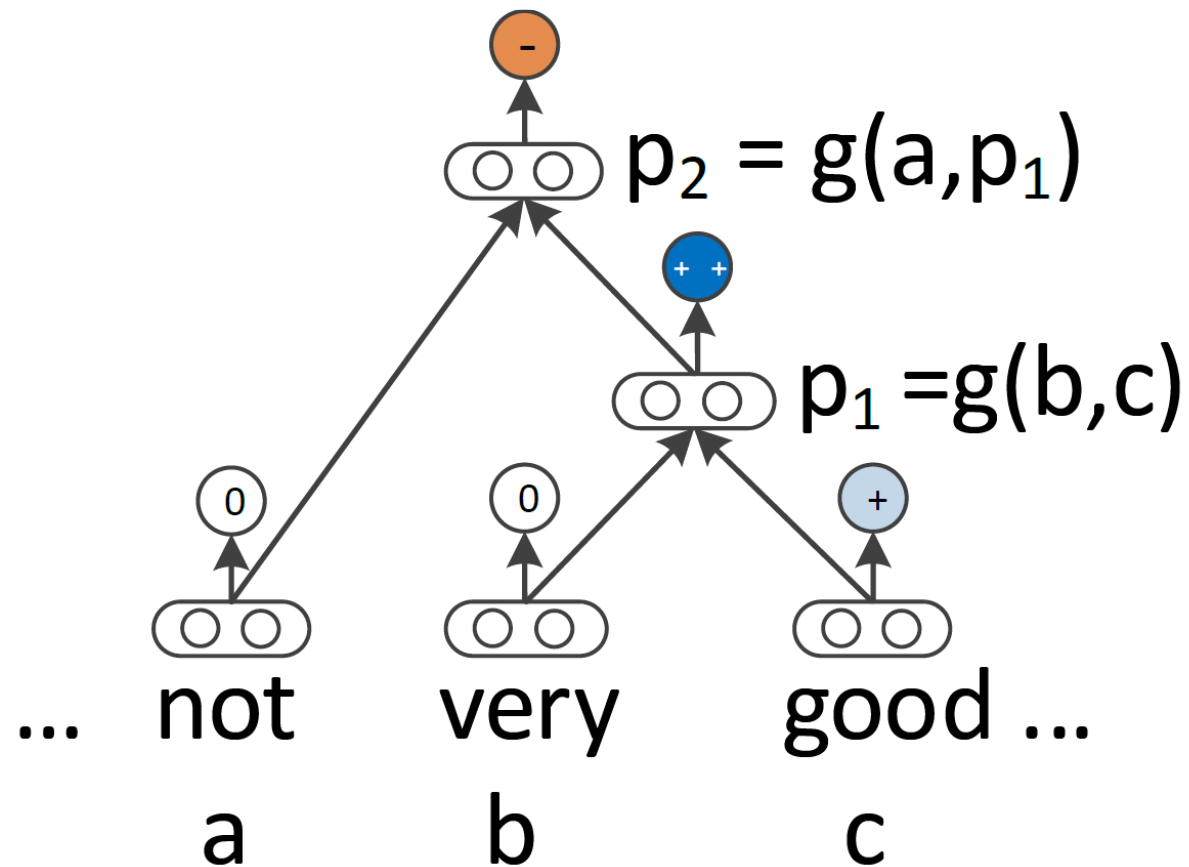
# Recursive Neural Unit

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➡ Pair-wise combination of two input features



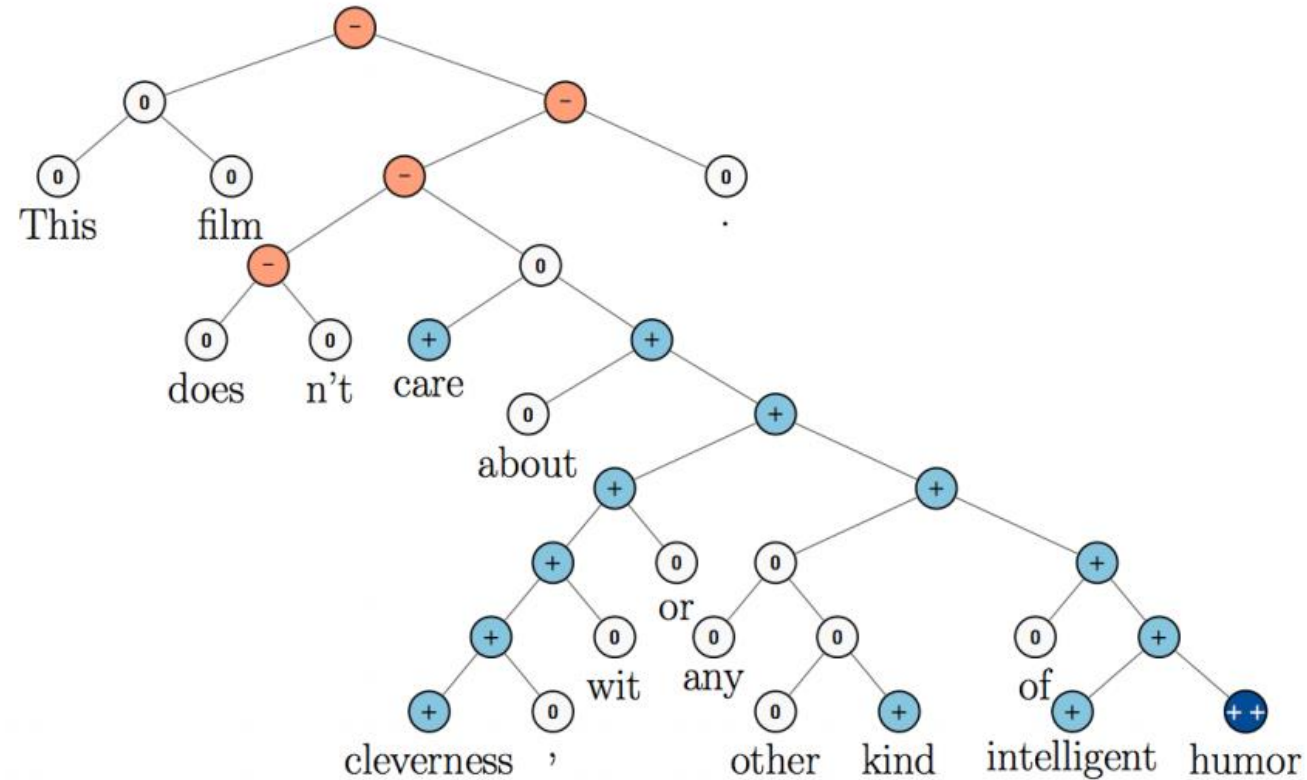
# Recursive Neural Network for Sentiment Analysis



Socher et al., Recursive Deep Models for Semantic Compositionality Over a Sentiment Treebank, EMNLP 2013

# Recursive Neural Network for Sentiment Analysis

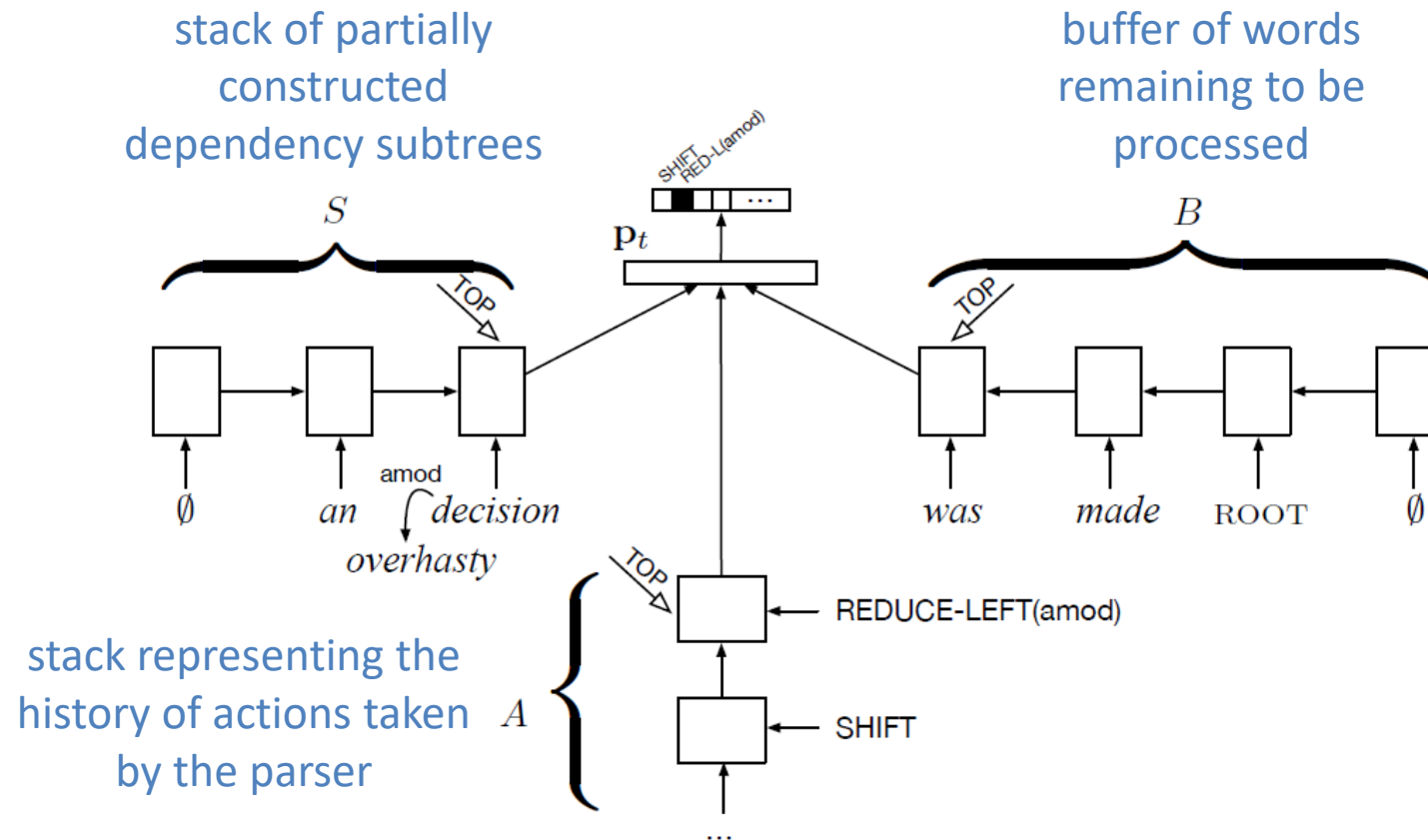
Classification of a sentence using tree-based compositionality of words



Demo: <http://nlp.stanford.edu/sentiment/>

Socher et al., Recursive Deep Models for Semantic Compositionality Over a Sentiment Treebank, EMNLP 2013

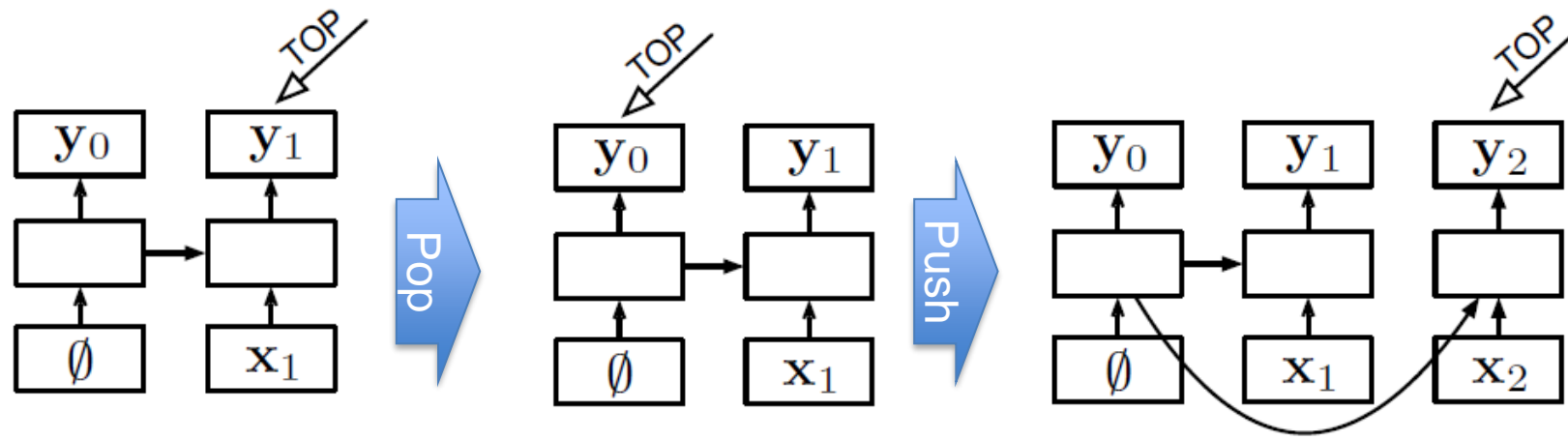
# Stack LSTM



Dyer et al., Transition-Based Dependency Parsing with Stack Long Short-Term Memory, 2015

# Stack LSTM

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Dyer et al., Transition-Based Dependency Parsing with Stack Long Short-Term Memory, 2015



## Resources

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- Stanford NLP software

<https://nlp.stanford.edu/software/>

- Stanford Parser
- Stanford POS Tagger

- UC Berkeley Parser

<https://github.com/slavpetrov/berkeleyparser>

- Parsers by Kenji Sagae (syntactic parsers)

<http://www.sagae.org/software.html>