



Language Technologies Institute



# **Multimodal Machine Learning**

# **Lecture 12.1: New Research Directions**

## Mehul Agarwal, Soham Dinesh Tiwari, Haofei Yu and LP Morency

\* Fall 2021, 2022 and 2023 co-lecturer: Paul Liang. Original course co-developed with Tadas Baltrusaitis. Spring 2021 and 2022 editions taught by Yonatan Bisk. Spring 2023 edition taught by Yonatan and Daniel Fried

# **Administrative Stuff**

- Four main steps for the reading assignments
  - Monday 8pm: Official start of the assignment
  - Wednesday 8pm: Select your paper
  - Friday 8pm: Post your summary
  - Monday 8pm: Post your extra comments (5 posts)

Main goals:

- 1. Produce a research paper which will motivate your research problem, describe the prior work, present your research contributions, explain the details of your experiments, and discuss your results.
- 2. Novel research ideas (N-1 new ideas for N students)
  - Novel algorithm
  - Novel application
- 3. Incorporate feedback from previous milestones
- 4. Compare to multimodal baselines from midterm report
  - 1. Did the proposed ideas solve the errors highlighted in error analysis?
  - 2. Broader implications of proposed ideas.

# Main objective:

- Focus on only one of your new research ideas
- All students should present and answer questions
- Be sure to be on time! We have many presentations each day ③
- All presentations are in person (no remote presentations)

Presentation length:

- 30-seconds elevator pitch
- 4-minute full presentation all students should present
- Following each presentation, audience can ask questions

## 11-877 Next Semester!



# Advanced Topics in MultiModal Machine Learning

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Multimodal machine learning (MMML) is a vibrant multi-disciplinary research field which addresses some of the original goals of artificial intelligence by integrating and modeling multiple communicative modalities, including language, vision, and acoustic. This research field brings some unique challenges for multimodal researchers given the heterogeneity of the data and the contingency often found between modalities. This course is designed to be a graduate-level course covering recent research papers in multimodal machine learning, including technical challenges with representation, alignment, reasoning, generation, co-learning and quantifications. The main goal of the course is to increase critical thinking skills, knowledge of recent technical achievements, and understanding of future research directions.





Instructor Paul Liang Email: pliang@cs.cmu.edu

https://cmu-multicomp-lab.github.io/adv-mmml-course/spring2023/

Do you want to be TA for Multimodal course? Email me!





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# **Multimodal Machine Learning**

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# Multimodal Grounding

Can we point to some part of the image and ask typical questions? Yes! FERRET allows multimodal models to understand free-form input referring and generate grounded output



Currently, other multimodal model has no good ability to understand free-form referring.

#### **Question:**

What is the purpose of the object [region0] on the bike?

#### **Ground Truth:**

The object is a shock absorber on the bike. Its purpose is to absorb or dampen shock impulses. It does this by converting the kinetic energy of the shock into another form of energy which is then dissipated. In the context of the motorcycle, it is particularly useful in providing comfort and stability for the rider, especially when travelling over uneven or rough terrains.



#### LLaVA:

A black and silver Kawasaki motorcycle which is likely used for transportation, commuting, leisure rides, or long-distance travel.

#### Kosmos-2:

The purpose of the object on the motorcycle is to store and protect the motorcycle's fuel tank. Shikra :

The answer is leg.

#### Ferret (Ours):

The object is a shock absorber. This component is essential for the bike's stability, providing a smoother ride by soaking up the impact from bumps and uneven surfaces. It significantly improves the overall performance and comfort of the bike, enabling the rider to maintain control and stability even on rough terrains.

How to design unified representations for three types of regions: point / box / free-form shape

# Hybrid Region Representation



For the feature part of the free-form regions, use spatial-aware visual sampler to extract features.



Now the hardest part has been solved. How to train a LLM-based model to learn from those representations?



# **Multimodal Generation**

Motivation for VQ-VAE: Reduce the dimension size and do auto-regressive PixelCNN generation

Important points about VQ-VAE:

1. It is actually an auto-encoder

2. It uses discrete quantizer.



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Codebook from VQ-VAE:

It pre-defines an embedding space for quantization with size K. Discret quantization is a must for PixelCNN to generate the final output.



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SPAE extends based on VQ-VAE to use a frozen LLM to be the quantizer.



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Semantic Pyramid AutoEncoder: allows for representing semantic concepts with notably fewer tokens



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# MLLM-guided Image Editing



Commonsense Reasoning

## make it more healthy

add contrast to

simulate more light



The pizza includes vegetable toppings, such as tomatoes and herbs

Photoshop Modification



Local Editing a green web page



The green web page is related to a project. The other scheme still remains

The focus of the

image would shift to

the man's expression

let the laptop have

remove the woman

in the background



MGIE (Expressive Instruction)

Global Optimization



Input Image

MGIE (Expressive Instruction)

on the rock hillside



Input Image















# LLMs for Speech

- SALMONN, a Speech Audio Language Music Open Neural Network
- Integrating a pre-trained text-based large language model (LLM) with speech and audio encoders into a single multimodal model.
- Many prior Audio-Speech-Text LLMs such as SpeechGPT and AudioPaLM.
- How is SALMONN different?

How is SALMONN different?

- competitive performances on training tasks
- ASR and translation, auditory information-based question answering, emotion recognition, speaker verification, and music and audio captioning etc.
- SALMONN also has diverse emergent abilities unseen in training,
- speech translation to untrained languages, speech-based slot filling, spoken-query-based question answering, audio-based storytelling, and speech audio co-reasoning etc.
- A novel few-shot activation, by tuning LoRA scaling factor proposed to activate cross-modal emergent abilities of SALMONN.










# Generation from unique modalities

### **DreamDiffusion: Generating High-Quality Images from Brain EEG Signals**

#### Motivation:

- Image generation has seen advancements, especially in text-to-image methods
- What about "thoughts-to-images"?
- Challenges and Opportunities:
  - Current methods of image reconstruction rely on fMRI, but it's non-portable and costly.
  - EEG is non-invasive, low-cost, and has potential applications in art, dreams visualization, and therapy



### **Challenges in EEG-Based Image Generation**

- Inherent Noise in EEG Signals: High temporal resolution, low spatial resolution
- Limited Information and Individual Differences in EEG data

• EEG space differs significantly from text and image spaces



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### **Addressing Noise and Limited Information**

- Objective: Train EEG representations using abundant EEG data
- Method: Temporal masked signal modeling to predict missing tokens
- Uniqueness: Focus on temporal characteristics, deepening understanding across diverse brain activitie



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### Aligning EEG, Text, and Image Spaces

- Previous methods' limitation: Fine-tuning Stable Diffusion with limited data
- Solution: Introduce CLIP supervision to align EEG, text, and image embeddings
- Process: Leverage CLIP's image encoder to refine EEG feature embeddings, enhancing alignment



#### **Results**

• Quantitative and qualitative results show the method's effectiveness.



# **Embodied Multimodal Models**

# PaLM-E: An Embodied Multimodal Language Model

#### **Motivation:**

- Large Language Models (LLMs) excel in various domains.
- Real-world applications, like robotics, demand grounding—connecting words to real-world sensor modalities.
- LLMs trained on massive textual data lack direct connections to real-world visual and physical sensor modalities.
- Existing methods (Ahn et al., 2022) use robotic policies but are limited by providing only textual input.
- Evidence: State-of-the-art visual-language models struggle with direct solutions to robotic reasoning tasks.

# **Building Embodied Language Models**

- Main Idea: Inject continuous, embodied observations into PaLM-E's language embedding space.
- Implementation: Encode multi-modal observations into vectors matching language token dimensions.
- Analogy: Continuous data injected akin to language tokens.



# **Modalities incorporated into PaLM-E**

- State Estimation Vectors:
  - Simplest input, representing robot or object states.
- Vision Transformer (ViT):
  - $\circ~$  Utilizes ViT for 2D image features.
- Object-Centric Representations:
  - Structured encoders for visual input lacking pre-structured entities.
  - Decomposes ViT's representation into distinct objects using ground-truth masks.
- Object Scene Representation Transformer (OSRT):
  - Learns 3D-centric neural scene representations.
- Entity Referrals:
  - Labels multi-modal tokens for object identification.
  - Enables PaLM-E to reference objects using special tokens

### **Connecting Output to Embodiment**

- PaLM-E is a decoder-only LLM that autoregressively generates textual completions given a prefix or prompt
- Hence, we need to distinguish tasks requiring text-only output from those involving embodied planning or control
- Embodied Task Execution assumes access to policies executing low-level skills from a limited vocabulary.



PaLM-E guiding a real robot through one-shot and zero-shot tabletop manipulation tasks

#### Results

#### Visually-conditioned Jokes + Few-shot prompting



see (image omitted). Description: A cow sitting on a rug. Joke: Why is the cow sitting on a rug? Because it wants to be a cow-ch! ... I see <img>. Description: a donkey is carrying a dog, cat, and rooster. Joke: what do you call a donkey with a rooster on his back. A rooster booster.

#### Zero-shot Multimodal Chain-of-Thought



Given <img>. Q: Can I go down this street on a bicycle, yes or no? A: Let's think step by step. 1. do not enter. 2. except bicycles. 3. do not entry except bicycles. 4. yes.



Given <img>. Q: How many championship rings did the player on the left win in his career A: Let's



think step by step. 1. kobe bryant is on the left. 2. kobe bryant has won 5 championship rings. Given <img>. Q: Who are the two teams playing in this

photo, and which was the last to win a championship, and which year did they win, and who was their star player that year? A: Let's think step by step. 1. team in white is new

york knick. 2. team in green is boston celtic. 3. last to win championship was boston celtic. 4. year was 2008. 5. star player was paul pierce. 6. i am not sure if this is correct. i am not basketball fan. i just google it.

# OCR-free Math Reasoning) Given <img>



Robot: I am a robot operating in a kitchen. Given **Robot Visual Perception, Dialogue, and Planning** <img>, what do I see? a bowl of eggs, a bowl of flour, and a bowl of sugar.. and a spatula.. and a spoon.. and a bag of flour.. and a bag of sugar. When a human asks me to do a task, I will respond with the sequence of actions I would do to accomplish the task with only the items I see. Human: Use all of the ingredients you see to make a cake batter. 1. crack egg. 2. put egg in bowl. 3. put flour in bowl. 4. put sugar in bowl. 5. mix. 6. put in pan. 7. bake. 8. eat. 9. clean up.

#### **Physical Prediction**

Given <img>. Q: What will the robot do next? A: fall.



**Spatial Grounding** 

flavor is the doughnut on the right? Strawberry.. Q: What flavor is the doughnut on the left? A blueberry.

Given <img>. Q: What

Photo 1: <img1>. Photo 2: <img2>. Q: What is in Photo 1 but NOT in Photo 2? A: sunglasses. Photo 1: <img1>. Photo 2: <img2>. Q: What is in Photo 1

but NOT in Photo 2? A: Let's think step by step and explain. Photo 1 has sunglasses on top of folded clothes. Photo 2 does not have sunglasses on top of folded clothes. Therefore, sunglasses are in Photo 1 but not in Photo 2.

#### End-to-End Egocentric Q&A, Dialogue



Photo 1, at 10:30 am: <img1>. Photo 2, at 12:45 pm: <img2>. Photo 3, at 3:45 pm: <img3>. Q: I forget, what did I have for lunch, and what time was it? A: Let's think step by step. 1. you had a sandwich for lunch. 2. it was 12:45 pm.

A: clean table..pick up trash.. pick up chairs.. wipe chairs.. put chairs down.

Q: Where is

pot on it.

<imq1> in this

Given <img>. Q: If a

robot wanted to be

steps should it take?

useful here, what

#### Zero-shot: Multi-image Relationships

**Robot Initiative** 





# **Compositional Reasoning**

**Challenge:** While existing approaches work well on individual tasks, they struggle with scaling to a wide range of complex tasks.

#### **VISPROG Overview:**

- VISPROG inputs visual data and natural language instructions.
- Generates a sequence of steps or a "visual program" to execute tasks.
- Uses modules like computer vision models, language models, and image processing routines.



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#### Capabilities:

- VISPROG uses GPT-3 for in-context learning and program generation.
- Supports various modules for image understanding, manipulation, and knowledge retrieval.
- Highly interpretable with logical, step-by-step visual rationales for tasks.

#### **Advantages Over Previous Methods:**

- Higher level of abstraction than Neural Module Networks.
- More flexible and modular, allowing for a wide range of tasks without specific training.

#### **Key Contributions & Use Cases:**

- Demonstrates flexibility in tasks like visual question answering, image editing, and object tagging.
- Impressive performance gains in tests (e.g., VQA tasks, zero-shot accuracy in NLVR).

#### **Diverse Applications of VISPROG:**



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#### **Analysis and Results:**

#### **Effect of Prompt Size:**

- More in-context examples improve performance in GQA and NLVR tasks.
- Majority voting across different runs enhances accuracy.
- Performance in NLVR saturates with fewer prompts compared to GQA.

#### **Generalization across Tasks:**

- Various prompting strategies (random, voting, curated) impact performance differently.
- Curated prompts demonstrate comparable results to voting, with less computational resource.
- VISPROG shows strong zero-shot performance, particularly in single-image VQA for NLVR.

# Unified Visio-Linguistic Model

#### Image as a Foreign Language: BEIT Pretraining for All Vision and Vision-Language Tasks

- Modality Convergence: Integrates language and vision pretraining.
- Multiway Transformers for handling multiple modalities.
- Simplified pre-training with 'mask-then-predict' method.
- Versatile Applications: Effective across object detection, segmentation, classification, and more.



#### Image as a Foreign Language: BEIT Pretraining for All Vision and Vision-Language Tasks

#### **Multiway Transformer Backbone:**

- Shared self-attention module with vision, language, and vision-language experts.
- Enables deep fusion for multimodal tasks.

#### **Masked Data Modeling:**

- Unified task for both monomodal and multimodal data.
- Learns representations and alignments between modalities.



#### Image as a Foreign Language: BEIT Pretraining for All Vision and Vision-Language Tasks

#### Some Results:

- Fusion encoder model surpasses previous models on VQA v2.0 and achieves 84.03% accuracy
- Sets new record in NLVR2, reaching over 90% accuracy.



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# Multimodal Alignment

# **DEMYSTIFYING CLIP DATA**

- The increasing availability of pre-trained models for public use contrasts sharply with the lack of transparency regarding their training data. *What is the significance of good data for a good model?*
- **Demystifying CLIP Data**. The success of CLIP in computer vision is attributed to its data rather than its architecture or pre-training objective.



# **DEMYSTIFYING CLIP DATA**

- The limited disclosure of CLIP's data collection process has prompted the need to unveil its curation approach, leading to the creation of *MetaCLIP*.
- The goal is to uncover CLIP's data curation process, which involves preserving signal in the data while minimizing noise.
- Details from original CLIP paper:

#### "

To address this, we constructed a new dataset of 400 million (image, text) pairs collected from a variety of publicly available sources on the Internet. To attempt to cover as broad a set of visual concepts as possible, we *search* for (image, text) pairs as part of the construction process whose text includes one of a set of 500,000 queries We approximately class balance the results by including up to 20,000 (image, text) pairs per query.

"

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# DEMYSTIFYING CLIP DATA

- Data construction process consists of the following steps:
  - Metadata Construction: M = {entry}

Source	# of Entries	Desc. of Threshold	Threshold
WordNet synsets	86,654	N/A	[ALL] (follow CLIP)
Wiki uni-gram	251,465	Count	100 (follow CLIP)
Wiki bi-gram	100,646	Pointwise Mutual Info.(PMI)	30 (estimated)
Wiki titles	61,235	View Frequency	70 (estimated)

Table 1: Composition of MetaCLIP Metadata.

- $\circ$  Sub-string Matching: text  $\rightarrow$  entry
  - CommonCrawl (CC)<sup>4</sup> as the source (1.6B mage-text pairs)
  - Retains only high-quality matching texts

The base query list is all words occurring at least 100 times in the *English version of Wikipedia*. This is augmented with *bi-grams* with high pointwise mutual information as well as the names of all *Wikipedia articles* above a certain search volume. Finally all *WordNet synsets* not already in the query list are added.

"

We also restrict this step in CLIP to text-only querying for *sub-string matches* while most webly supervised work uses standard image search engines ...

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# **DEMYSTIFYING CLIP DATA**

- Data construction process consists of the following steps:
  - $\circ \quad \text{Inverted Indexing: entry} \rightarrow \text{text}$ 
    - All texts associated with each metadata entry are aggregated into lists, creating a mapping from each entry to the corresponding texts, *entry*  $\rightarrow$  *text*.
    - Out of the 500k entries, 114k entries have no matches.

Metadata Subset	# of Entries	# of Counts
Full	500K	5.6B
Counts = 0	114 <b>K</b>	0
Counts > 20000	16K	5.35B

Table 2: Summary of counts for entries.

- $\circ$  Query and Balancing with t  $\leq 20$ K
  - For each metadata entry, the associated list of texts (or image-text pairs) is sub-sampled, ensuring that the resulting data distribution is more balanced.
  - t = 20k is a threshold used to limit the number of texts/pairs for each entry.

#### Algorithm 1: Pseudo-code of Curation Algorithm in Python style (see Sec. A.7 for samples).

```
# D: raw image-text pairs;
# M: metadata;
# t: max matches per entry in metadata;
# D_star: curated image-text pairs;
D star = []
# Part 1: sub-string matching: store entry indexes in text.matched_entry_ids and output
    counts per entry in entry_count.
entry_count = substr_matching(D, M)
# Part 2: balancing via independent sampling
entry_count[entry_count < t] = t</pre>
entry_prob = t / entry_count
for image, text in D:
   for entry_id in text.matched_entry_ids:
      if random.random() < entry_prob[entry_id]:</pre>
         D_star.append((image, text))
         break
```

# **DEMYSTIFYING CLIP DATA**



Table 4: MetaCLIP-400M *vs.* CLIP (WIT400M data) and OpenCLIP (LAION-400M data). We use 3 different model scales (VIT-B/32 and -B/16 and -L/14) and an identical training setup as CLIP.

# **Multimodal Generation**

#### KOSMOS-G: Generating Images in Context with Multimodal Large Language Models

Major advancement in text-to-image (T2I) and vision-language-to-image (VL2I) generation.

• But, how can we generate images from generalized vision-language inputs?



Interleaved Vision-Language Prompt

# **KOSMOS-G: "Alignment before Instruct"**

The backbone of KOSMOS-G MLLM is a Transformer-based causal language model, serving as a general-purpose interface to multimodal input.

Entire pipeline can be divided into 3 stages:

- 1. Multimodal Language Modeling
- 2. Image Decoder Aligning
- 3. Instruction Tuning



Interleaved Vision-Language Prompt

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### **KOSMOS-G: Image Decoder Aligning**

To make KOSMOS-G capable of image generation,

- Diffusion models are incorporated as the image decoder.
- AlignerNet is proposed that consists of an encoder M and a decoder N to learn the alignment between the KOSMOS-G source space and CLIP text encoder target space.





(a) Align process. Text serves as an anchor, image embeddings are naturally aligned throughout the process.

(b) AlignerNet architecture. The Linear layers are used to project the output dimension of MLLM to d = 768, the purple elements denote the learned latent queries  $\mathbf{Q}_{\mathcal{M}}$  and  $\mathbf{Q}_{\mathcal{N}}$ .

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# **KOSMOS-G: Instruction Tuning**

To pursue the objective of "image as a foreign language in image generation,"

- An interleaved vision-language data has been curated and
- KOSMOS-G is further fine-tuned using the diffusion loss in Equation 3.

$$\mathcal{L}_{diff} = \mathbb{E}_{\mathbf{z}_0, \boldsymbol{\epsilon} \sim \mathcal{N}(0, 1), t} \Big[ \|\boldsymbol{\epsilon} - \boldsymbol{\epsilon}_{\theta}(\mathbf{z}_t, t)\|^2 \Big]$$
(3)



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# KOSMOS-G: Generating Images in Context with Multimodal Large Language Models

KOSMOS-G delivers impressive zero-shot generation results across diverse settings, yielding meaningful and coherent outputs even for highly customized subjects.



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